

# Patent Trial and Appeal Board Statistics

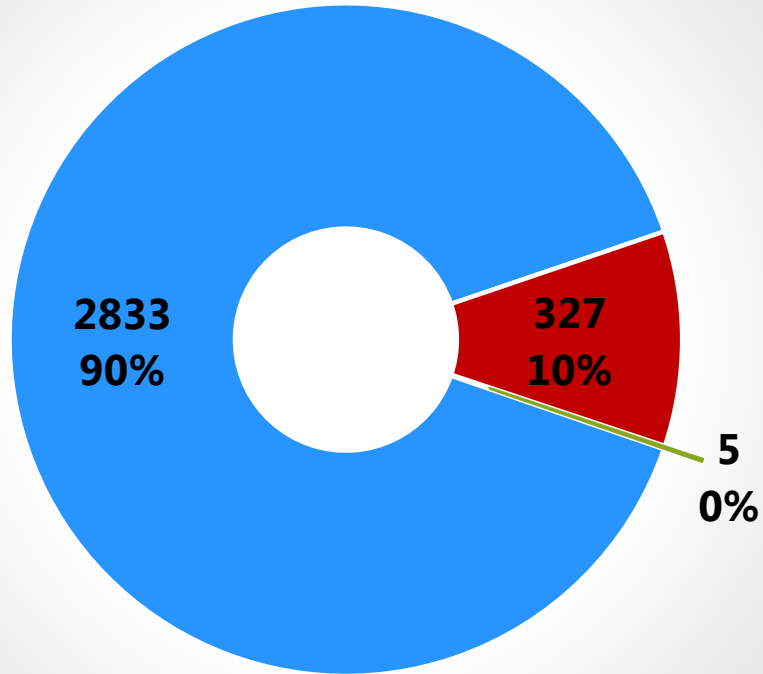
4/30/2015

UNITED STATES  
PATENT AND TRADEMARK OFFICE



## 3165 Total AIA Petitions

Cumulative from 09/16/2012 to 04/30/2015



■ Total IPR Petitions ■ Total CBM Petitions ■ Total PGR Petitions

### Narrative:

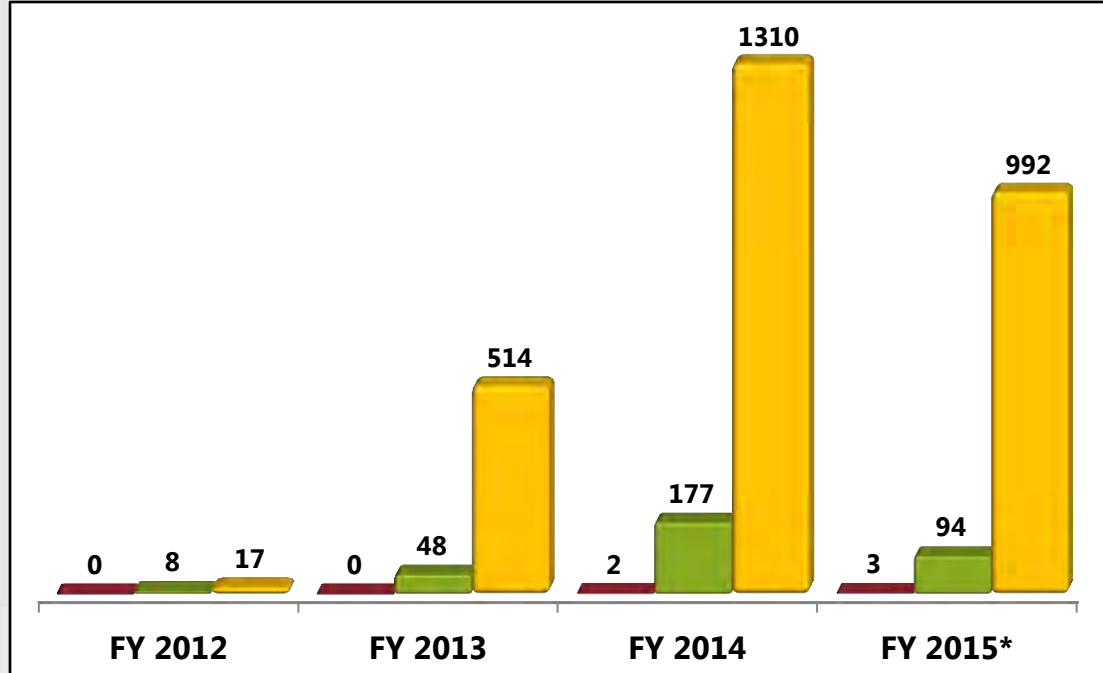
This pie chart shows the total number of cumulative AIA petitions filed to date broken out by trial type (i.e., IPR, CBM, and PGR).

**Data current as of: 4/30/2015**



## Number of Petitions Filed by Fiscal Year by Type

■ PGR ■ CBM ■ IPR

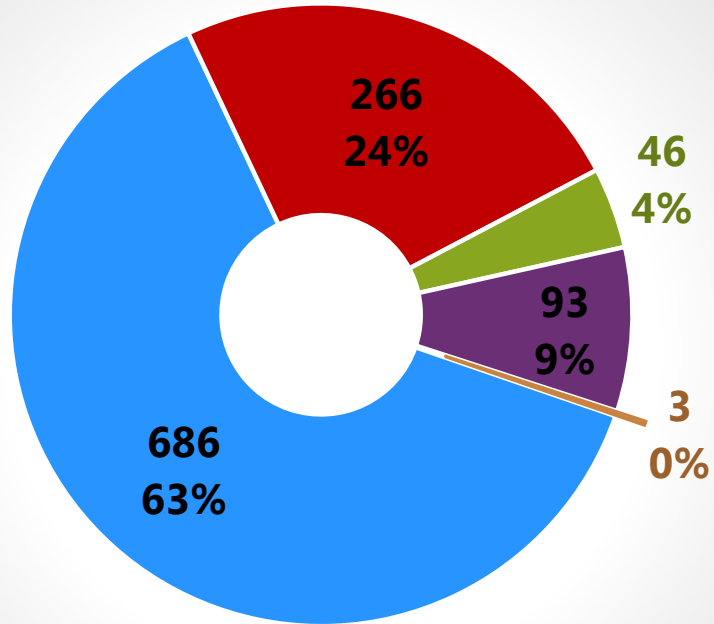


### Narrative:

This bar graph depicts the number of AIA petitions filed each fiscal year, with each bar showing the filings for that fiscal year by trial type (i.e., IPR, CBM, and PGR).

**Data current as of: 4/30/2015**

## 1094 Total AIA Petitions in FY 15\* (Technology Breakdown)



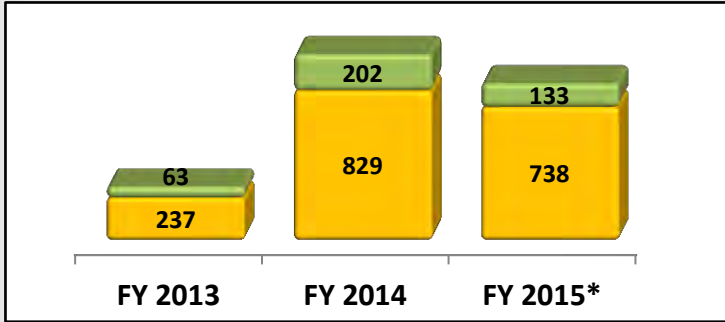
- Electrical/Computer - TCs 2100, 2400, 2600, 2800
- Mechanical/Business Method - TCs 3600, 3700
- Chemical - TC 1700
- Bio/Pharma - TC 1600
- Design - TC 2900

### Narrative:

This pie chart shows the total number of AIA petitions filed in the current fiscal year to date and the number and percentage of these petitions broken down by technology.

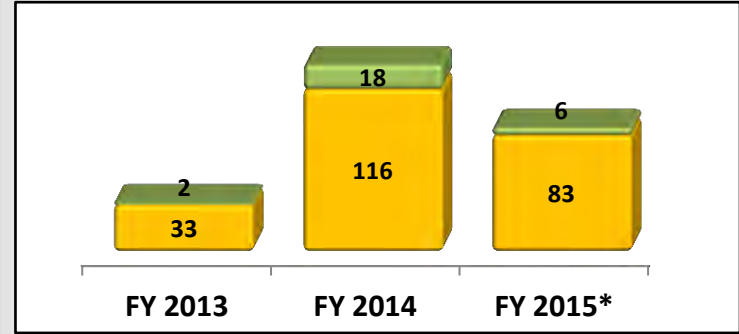
**Data current as of: 4/30/2015**

**IPR - Number of Preliminary Patent Owner Responses by Fiscal Year**



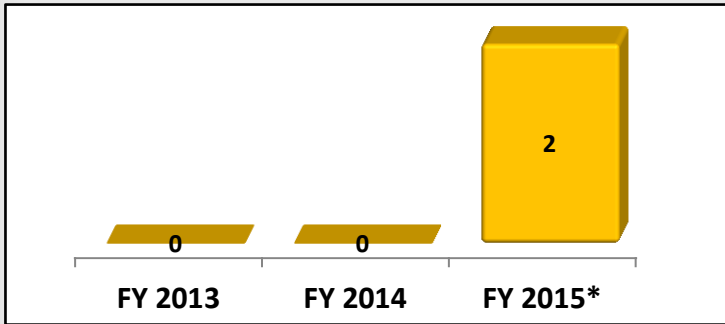
■ Filed ■ Waived/Not Filed

**CBM - Number of Preliminary Patent Owner Responses by Fiscal Year**



■ Filed ■ Waived/Not Filed

**PGR - Number of Preliminary Patent Owner Responses by Fiscal Year**



■ Filed ■ Waived/Not Filed

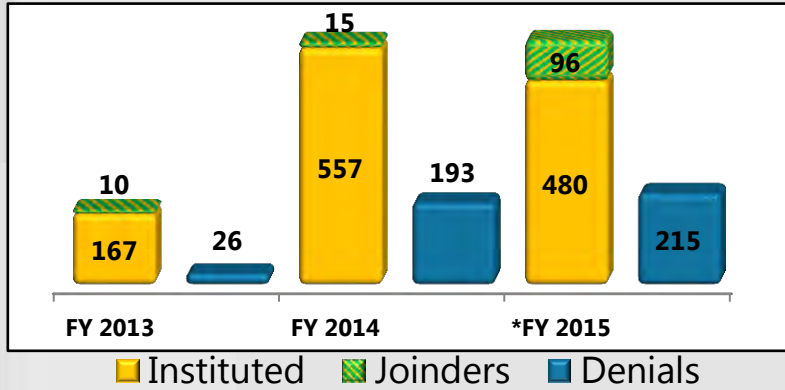
**Narrative:**

These three bar graphs show the number of patent owner preliminary responses filed and waived/not filed each fiscal year in IPR, CBM, and PGR proceedings, respectively.

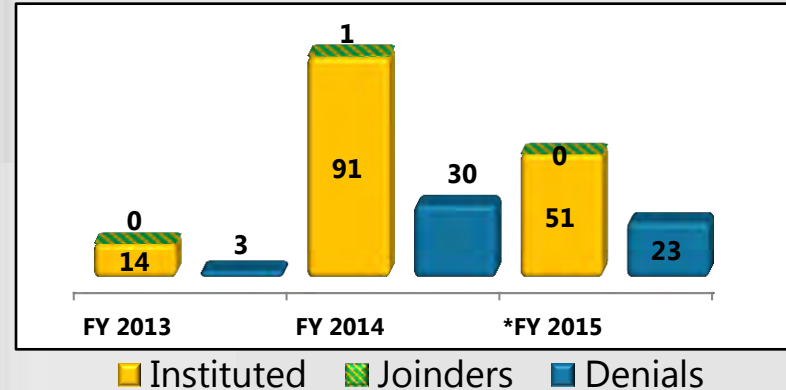
**Data current as of: 4/30/2015**



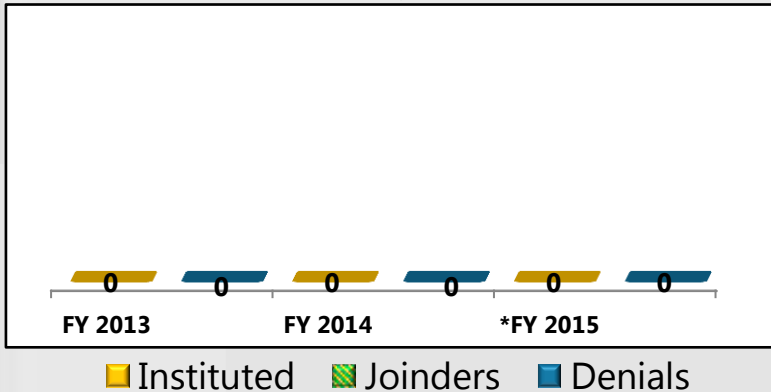
**IPR - Number of Decisions On Institutions Per Fiscal Year by Outcome**



**CBM - Number of Decisions On Institutions Per Fiscal Year by Outcome**



**PGR - Number of Decisions On Institutions Per Fiscal Year by Outcome**



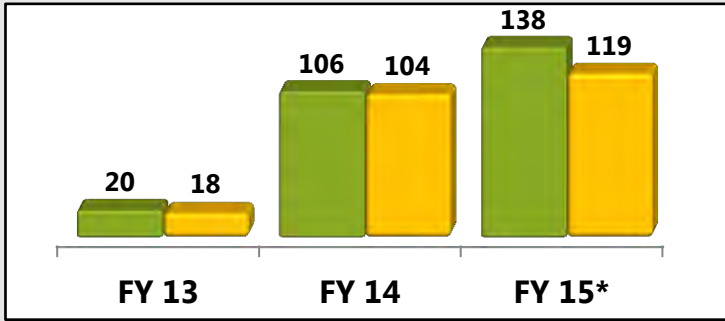
**Narrative:**

These three bar graphs show the number of decisions on institution by fiscal year broken out by trials instituted (including joinders) and trials denied in IPR, CBM, and PGR proceedings, respectively.

**Data current as of: 4/30/2015**

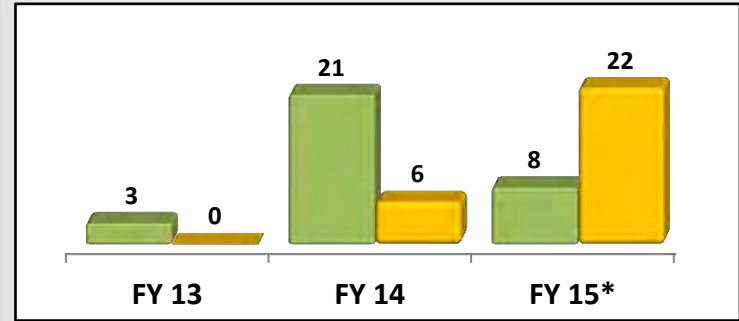


### IPR - Settlements



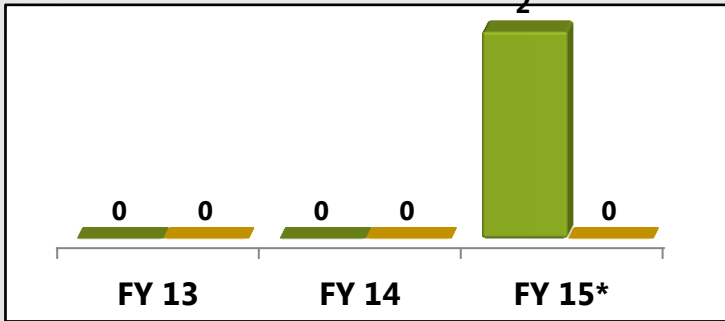
■ Settled Before Institution ■ Settled After Institution

### CBM - Settlements



■ Settled Before Institution ■ Settled After Institution

### PGR - Settlements



■ Settled Before Institution ■ Settled After Institution

### Narrative:

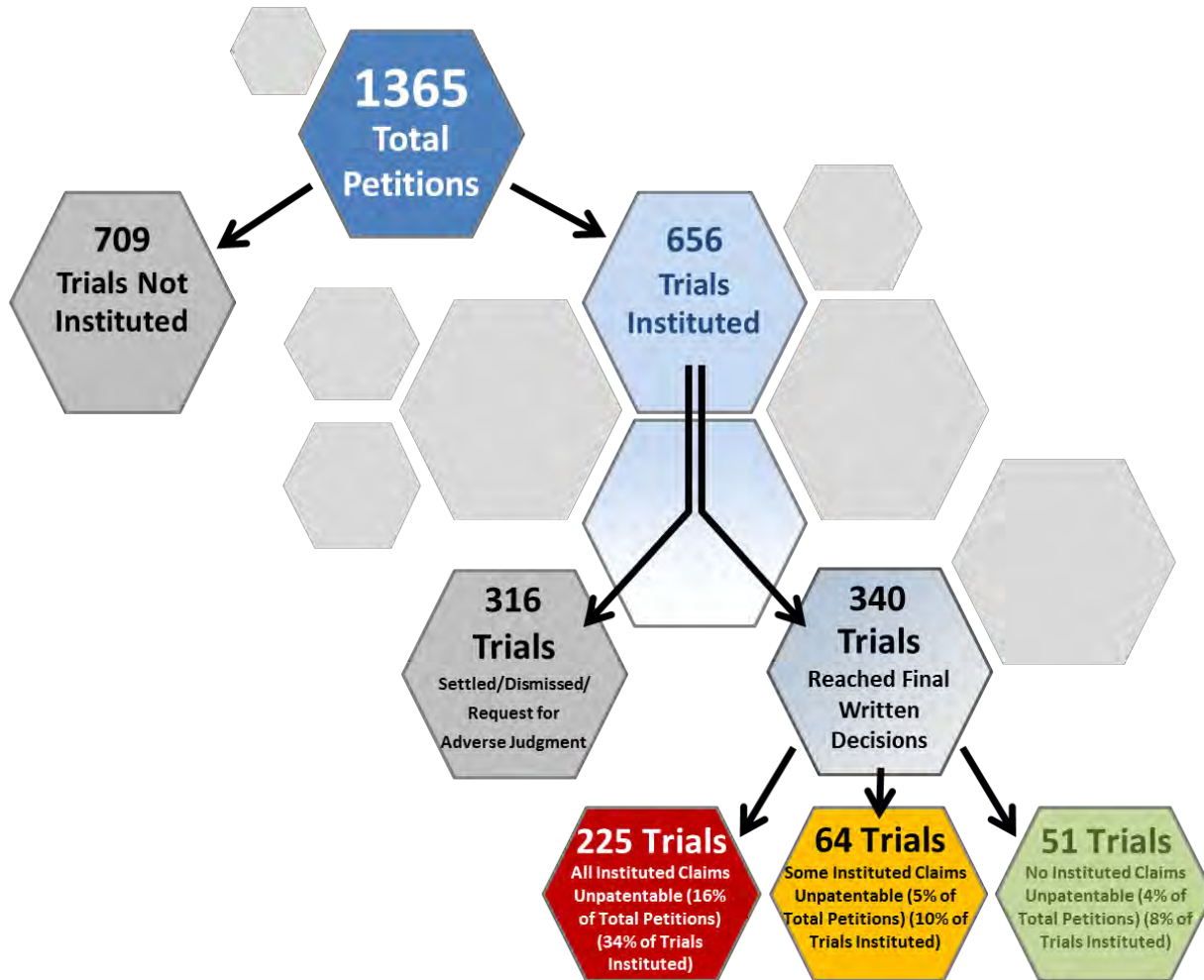
These three bar graphs show settlements in AIA trials broken down by settlements that occurred prior to institution and settlements that occurred after institution in IPR, CBM, and PGR proceedings, respectively.

Data current as of: 4/30/2015





# Disposition of IPR Petitions to Date



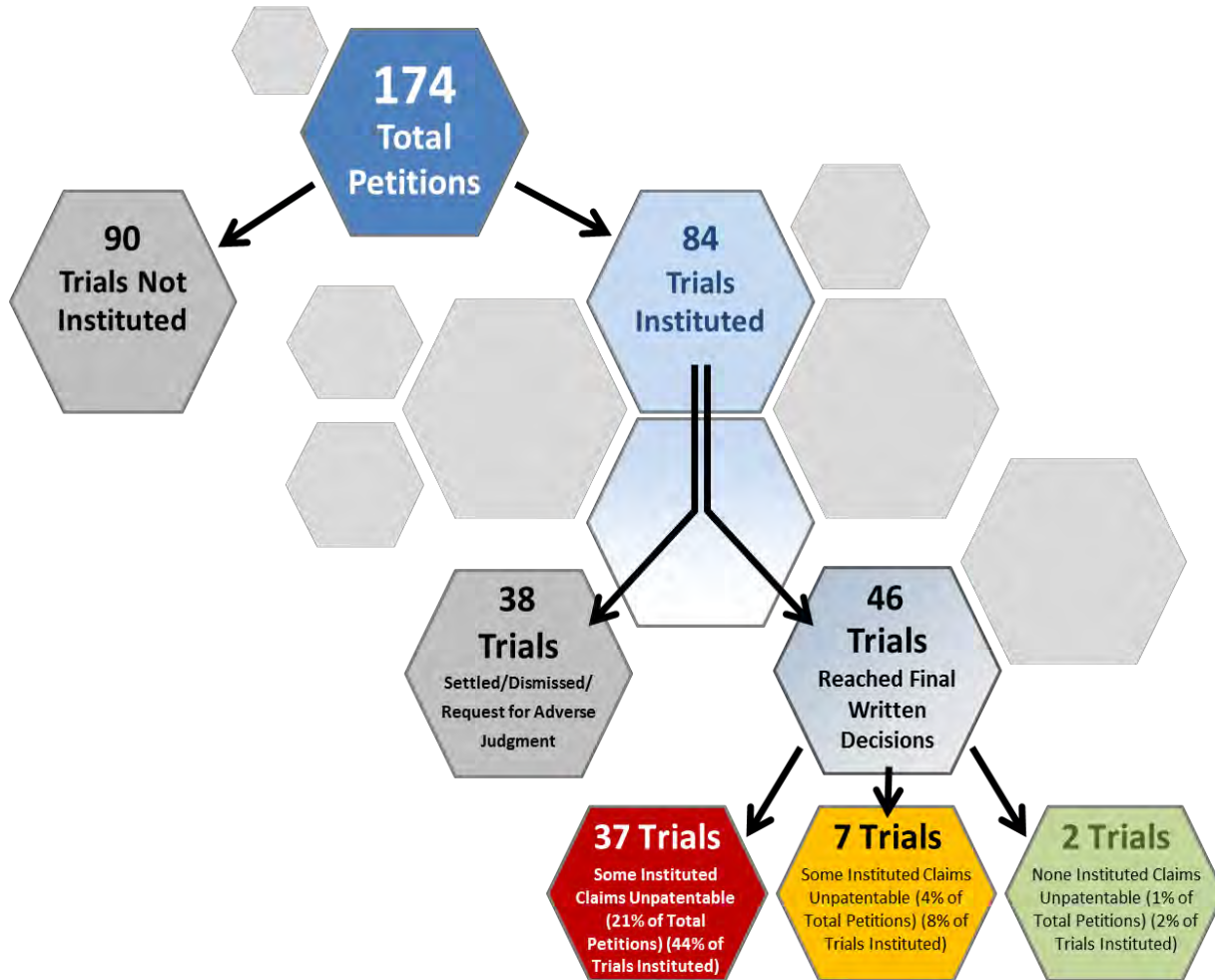
## **Narrative:**

This graph shows a stepping stone visual of the total number of IPR petitions filed divided by trials not instituted and trials instituted. Of those trials that were instituted, the visual divides the trials by the those trials that have reached a final written decision and those trials that have settled, been dismissed or been disposed of by adverse judgment. Of the trials that had reached a final written decision, the visual divides the decisions by trials in which all claims were held unpatentable, trials in which only a portion of claims were held unpatentable, and trials in which no claims were held unpatentable.

**Data current as of: 4/30/2015**



# Disposition of CBM Petitions to Date



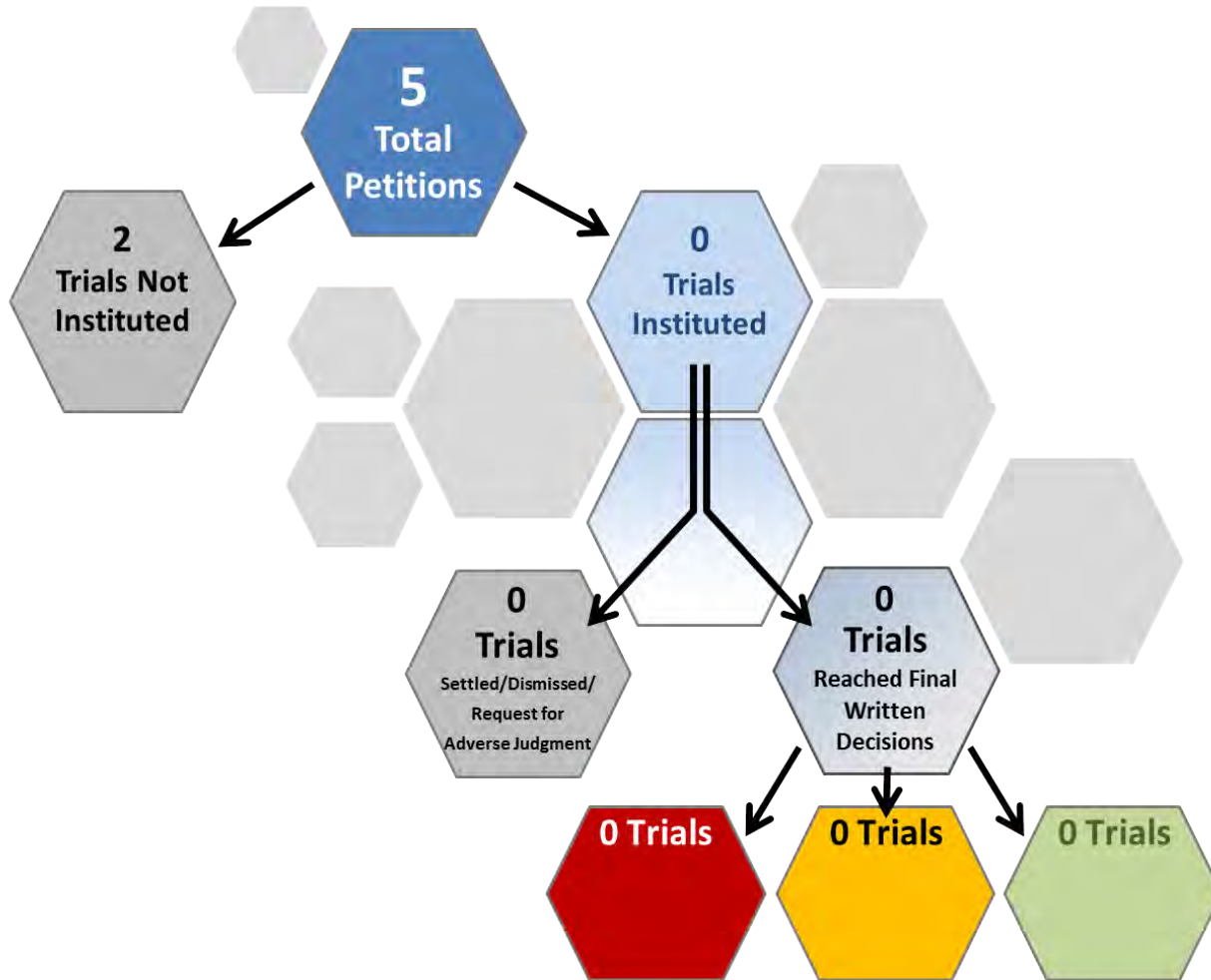
## Narrative:

This graph shows a stepping stone visual of the total number of CBM petitions filed divided by trials not instituted and trials instituted. Of those trials that were instituted, the visual divides the trials by the those trials that have reached a final written decision and those trials that have settled, been dismissed or been disposed of by adverse judgment. Of the trials that had reached a final written decision, the visual divides the decisions by trials in which all claims were held unpatentable, trials in which only a portion of claims were held unpatentable, and trials in which no claims were held unpatentable.

Data current as of: 4/30/2015



# Disposition of PGR Petitions to Date



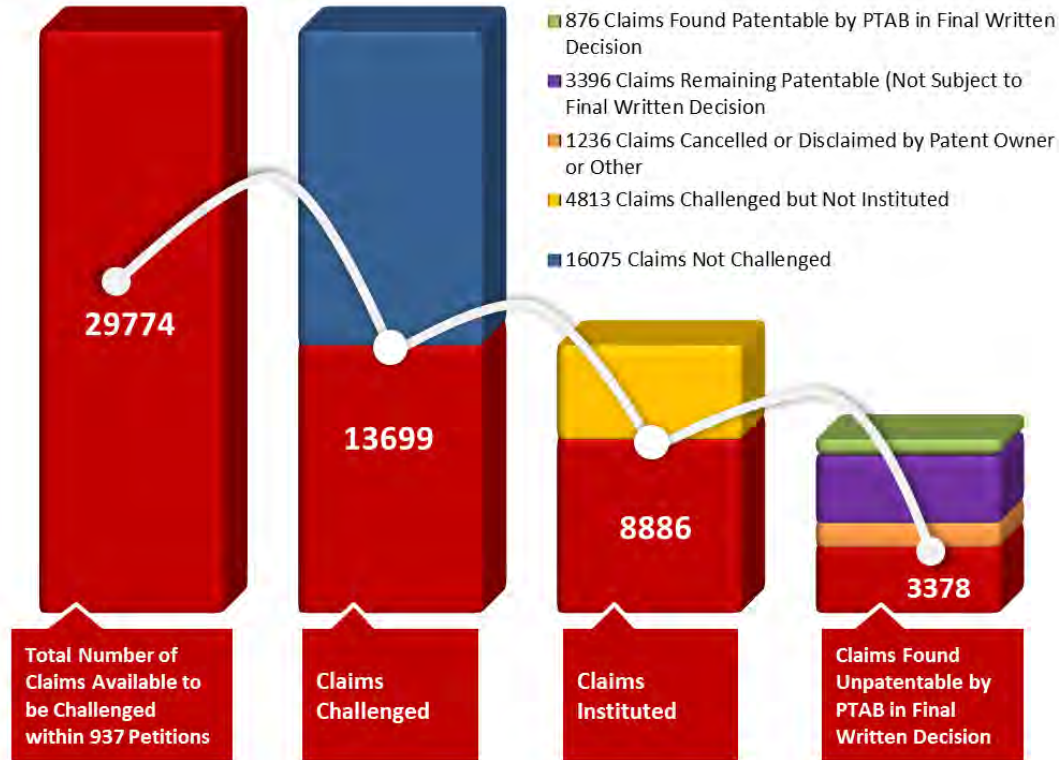
## **Narrative:**

This graph shows a stepping stone visual of the total number of PGR petitions filed divided by trials not instituted and trials instituted. Of those trials that were instituted, the visual divides the trials by the those trials that have reached a final written decision and those trials that have settled, been dismissed or been disposed of by adverse judgment. Of the trials that had reached a final written decision, the visual divides the decisions by trials in which all claims were held unpatentable, trials in which only a portion of claims were held unpatentable, and trials in which no claims were held unpatentable.

**Data current as of: 4/30/2015**



## IPR Petitions Terminated to Date



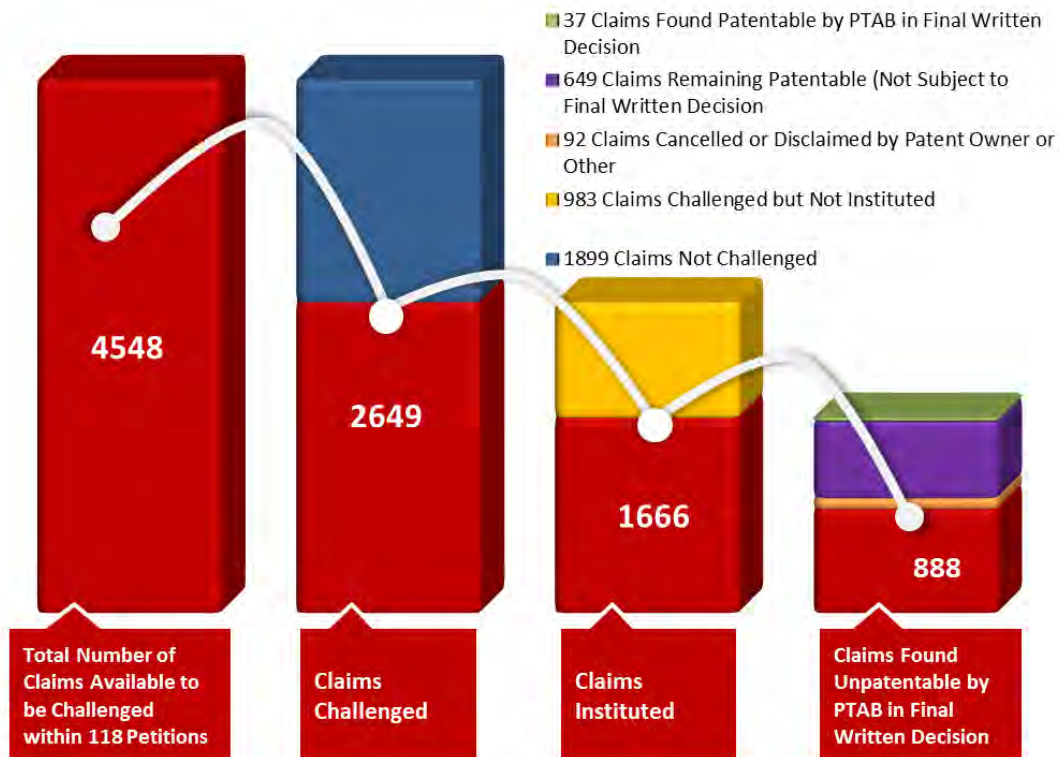
### **Narrative:**

This visual contains four bars. The first bar shows the total number of claims available to be challenged in the IPR petitions filed. The second bar shows the number of claims actually challenged and not challenged. The third bar shows the number of claims on which trial was instituted and not instituted. The fourth bar shows the total number claims found unpatentable in a final written decision, the number of claims canceled or disclaimed by patent owner, the number of claims remaining patentable (not subject to a final written decision), and the number of claims found patentable by the PTAB.

**Data current as of: 4/30/2015**



## CBM Petitions Terminated to Date



### **Narrative:**

This visual contains four bars. The first bar shows the total number of claims available to be challenged in the CBM petitions filed. The second bar shows the number of claims actually challenged and not challenged. The third bar shows the number of claims on which trial was instituted and not instituted. The fourth bar shows the total number claims found unpatentable in a final written decision, the number of claims canceled or disclaimed by patent owner, the number of claims remaining patentable (not subject to a final written decision), and the number of claims found patentable by the PTAB.

**Data current as of: 4/30/2015**



