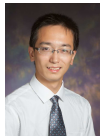
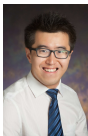


# When Sparsity Meets Low-Rankness: Transform Learning With Non-Local Low-Rank Constraint For Image Restoration

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# Outline of Talk

- Local sparsity v.s Non-local low-rankness
- **STROLLR** - Sparsifying TRansfOrm Learning and Low-Rank model
- **STROLLR Image Restoration**: formulation & algorithms
- Applications in image denoising and inpainting.

# Local and Non-Local Image Properties

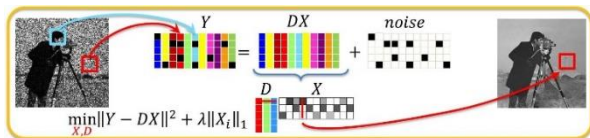
Image Properties: to differentiate **Signal** from corruptions.



## 1. Local properties - **Sparsity**

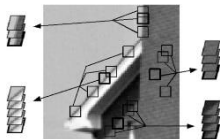
- Natural patches are sparsifiable.

- Synthesis model
- Analysis model
- => Transform model

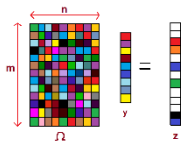


## 2. Non-local properties – **Low-rankness**

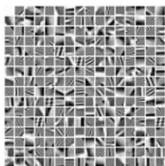
- Image contains “similar” patches.
- Group & process
- Low-rank approximation



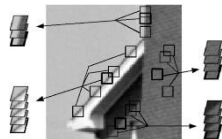
## Sparse Coding



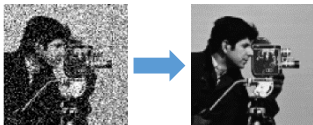
## Transform Learning



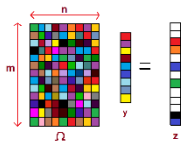
## Non-local Low-Rankness



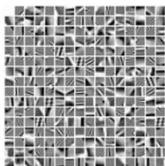
## Image Restoration



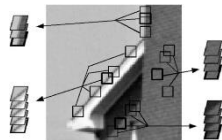
## Sparse Coding



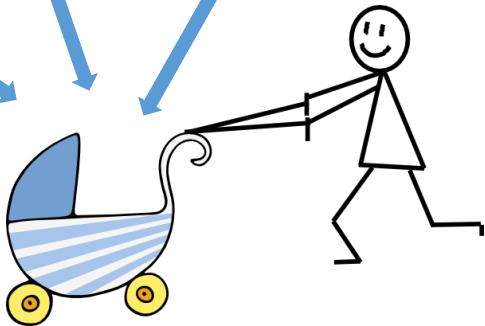
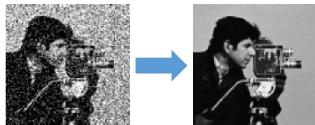
## Transform Learning



## Non-local Low-Rankness



## Image Restoration





Example: Barbara

Select  $M-1$  Nearest Neighbors  $V_i$

Reference Patch  $u_i$



Example: Barbara

Select  $M-1$   
Nearest Neighbors

$V_i$

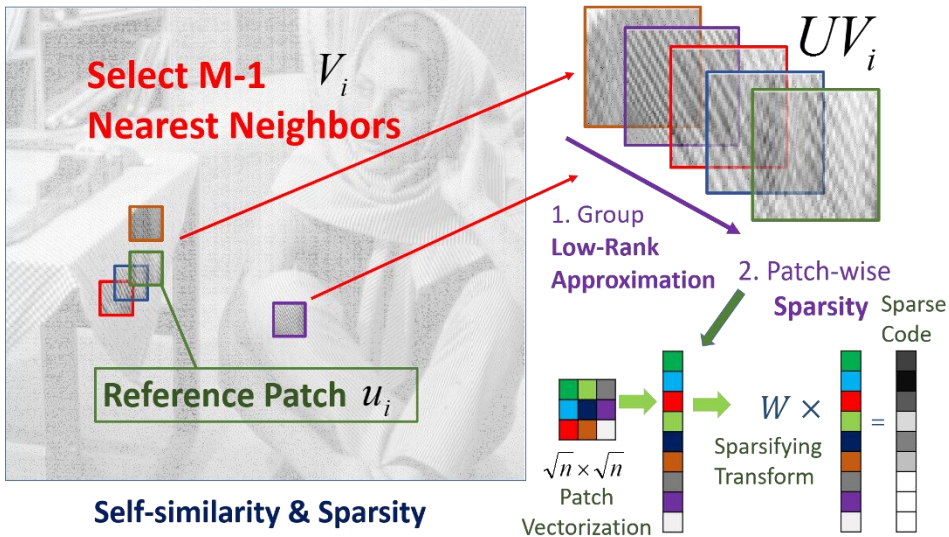
Reference Patch  $u_i$

1. Group  
Low-Rank  
Approximation

$UV_i$

Example: Barbara

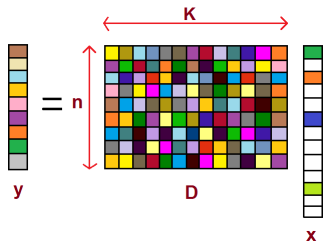




Example: Barbara

# Sparse Model: Synthesis Model

- **Synthesis Model (SM):** Given synthesis dictionary  $D \in \mathbb{R}^{n \times K}$ , a signal  $y \in \mathbb{R}^n$  satisfies  $y = Dx$ , with sparse  $x$ , i.e.,  $\|x\|_0 \ll n$ .
  - General **SM:**  $y = Dx + e$ , where  $e$  is a small deviation term.

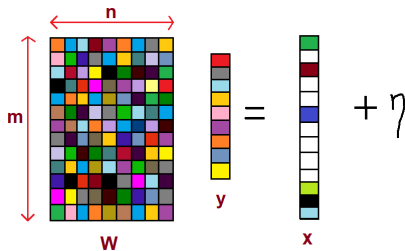


$D \in \mathbb{R}^{n \times K}$ : Sparsifying transform     $x \in \mathbb{R}^K$  is sparse

- **Dictionary Learning:** popular sparse signal modeling approach.
- **Sparse coding in SM is NP-hard!**
  - Approximate methods: Greedy algorithms /  $l_1$  norm relaxation.
  - Not efficient enough.

# Sparse Model: Transform Model

- Transform Model - generalization of analysis model.
- Given transform  $W \in \mathbb{R}^{m \times n}$ , signal  $y \in \mathbb{R}^n$  satisfies  $Wy = x + \eta$ , with  $\|x\|_0 \ll m$ , and a small deviation  $\eta$  in the **transform domain**.



$W \in \mathbb{R}^{m \times n}$ : Sparsifying transform     $x \in \mathbb{R}^m$  is sparse

- **Transform sparse coding:**

$$\hat{x} = \arg \min_x \|Wy - x\|_2^2 \text{ s.t. } \|x\|_0 \leq s.$$

- **Exact and cheap solution:**  $\hat{x} = H_s(Wy)$  computed by thresholding  $Wy$  to the  $s$  largest magnitude elements (projection onto  $\ell_0$  ball).
  - A least squares signal estimate:  $\hat{y} = W^\dagger \hat{x}$ .

$$(P1) \quad \min_{\{W, X, \{D_i\}\}} \|W U - X\|_F^2 + \gamma_s^2 \|X\|_0 + \\ \gamma_l \sum_{i=1}^N \left\{ \|U V_i - D_i\|_F^2 + \theta^2 \text{rank}(D_i) \right\} \quad \text{s.t.} \quad W^T W = I_n$$

- $U = [u_1 | u_2 | \dots | u_N] \in \mathbb{R}^{n \times N}$  : matrix of image patch vectors.
- $X = [x_1 | x_2 | \dots | x_N] \in \mathbb{R}^{n \times N}$  : matrix of sparse codes of  $u_i$ 's.
- $UV_i \in \mathbb{R}^{n \times M}$  : matrix of patch-vectors via block matching (BM) with reference patch  $u_j$ .
- $D_i \in \mathbb{R}^{n \times M}$  : the low-rank approximation of  $UV_i$ .
- **STROLLR Modeling:**
  - Local patch sparsity  $\implies$  Sparse coding for  $U$  with adaptive  $W$ .
  - Non-local low-rankness  $\implies$  Low-rank approximation of  $UV_i$ .

$$\begin{aligned} \text{(P2)} \quad & \min_{\{W, X, \{D_i\}, U\}} \|W U - X\|_F^2 + \gamma_s^2 \|X\|_0 + \gamma_f \sum_{i=1}^N \left\{ \|A_i u_i - y_i\|_2^2 \right\} \\ & + \gamma_l \sum_{i=1}^N \left\{ \|U V_i - D_i\|_F^2 + \theta^2 \text{rank}(D_i) \right\} \quad \text{s.t. } W^T W = I_n \end{aligned}$$

- Corrupted measurement  $y_i = A_i u_i + h_i$ 
  - $h_i \in \mathbb{R}^n$ : additive noise, and  $A_i \in \mathbb{R}^{n \times n}$ : corruption operator.
- $U = [u_1 | u_2 | \dots | u_N] \in \mathbb{R}^{n \times N}$ , where  $u_i$  is  $i$ -th overlapping image patch.
- $U V_i \in \mathbb{R}^{n \times M}$ : block matching within  $Q \times Q$  search window, centered at  $u_i$ .
- **Simple algorithm via Block Coordinate Descent:**
  - Exact and closed-form solution within each step.

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## STROLLR Image Restoration Algorithm Framework

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**Input:** The corrupted image  $Y$ , the initial transform  $W_0$ .

**Initialize:**  $\hat{W}_0 = W_0, \hat{U}_0 = [R_1 Y \mid R_2 Y \mid \dots \mid R_N Y]$ .

**For**  $t = 1, 2, \dots, T$  **Repeat**

1. **Sparse Coding:**  $\hat{X}_t = H_{\gamma_s}(\hat{W}_{t-1} \hat{U}_{t-1})$ .
2. **Transform Update:** Compute  $S_t \Sigma_t G_t^T = \text{SVD}(\hat{U}_{t-1} \hat{X}_t^T)$  as the full SVD, then update  $\hat{W}_t = G_t S_t^T$ .
3. **Low-rank Approximation for all  $i = 1, \dots, N$ :**
  - (a) Form  $\{U_{t-1} V_i\}$  using BM.
  - (b) Compute  $\text{SVD } \Phi_t \Omega_t \Psi_t^T = \text{SVD}(U_{t-1} V_i)$ .
  - (c) Update  $\hat{D}_{i,t} = \Phi_t H_\theta(\Omega_t) \Psi_t^T$ .
4. **Patch Restoration:** Restore the patch with closed-form solution for denoising or inpainting, to update  $\hat{U}_t$

**End**

**Aggregate**  $\{\hat{u}_i\}_{i=1}^N$  to restore the image

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### Four Major Steps:

1. Sparse Coding
2. Transform Update
3. Low-rank Approximation
4. Patch Restoration

$$\hat{X} = \underset{X}{\operatorname{argmin}} \|W U - X\|_F^2 + \gamma_s^2 \|X\|_0 \quad (1)$$

**Step 1: Sparse Coding:** update  $X$  with fixed  $W$ .

- Standard transform-domain sparse coding:

$$\text{Cheap hard thresholding: } \hat{X} = H_{\gamma_s}(W U).$$

$$\hat{W} = \underset{W}{\operatorname{argmin}} \|W U - X\|_F^2 \quad \text{s.t. } W^T W = I_n \quad (2)$$

**Step 2: Transform Update:** update  $W$  with fixed  $X$ .

- Singular Value Decomposition:  $S \Sigma G^T = U X^T$

$$\text{Exact transform update: } \hat{W} = G S^T.$$

$$\hat{D}_i = \underset{D_i}{\operatorname{argmin}} \|U V_i - D_i\|_F^2 + \theta^2 \operatorname{rank}(D_i) \quad (3)$$

**Step 3: Low-Rank Approximation:** solve for  $D_i \forall i$ :

- Block matching to form  $UV_i$ .
- Apply SVD:  $\Phi\Omega\Psi^T = UV_i$ :

$$\text{Low-rank Approximation: } \hat{D}_i = \Phi H_\theta(\Omega)\Psi^T.$$

$$\hat{u}_i = \underset{u_i}{\operatorname{argmin}} \|W u_i - x_i\|_2^2 + \gamma_f \|A_i u_i - y_i\|_2^2 + \gamma_l \sum_{j \in \mathcal{C}_i} \|u_i - D_{j,i}\|_2^2 \quad (4)$$

**Step 4: Patch Reconstruction:** solve for  $u_i$ , with fixed  $W$ ,  $X$ , and  $\{D_i\}$ .

- Different restoration problems apply different  $A_j$ .



$$\hat{u}_i = \{(1 + |C_i|\gamma_l)I_n + \gamma_f A_i\}^{-1} (W^T x_i + \gamma_l \sum_{j \in C_i} D_{j,i} + \gamma_f A_i y_i) \quad (5)$$

**Inpainting:**  $A_i$  is diagonal binary matrix  $\forall i$ .

- Least squares solution to (4), with cheap inversion of diagonal matrix.

$$\hat{u}_i = \operatorname{argmin}_{u_i} \|W u_i - x_i\|_2^2 + \gamma_f \|u_i - y_i\|_2^2 + \gamma_l \sum_{j \in C_i} \|u_i - D_{j,i}\|_2^2 \quad (6)$$

**Denoising:** special case when  $A_i = I \forall i$ .

- Simple solution as weighted average:

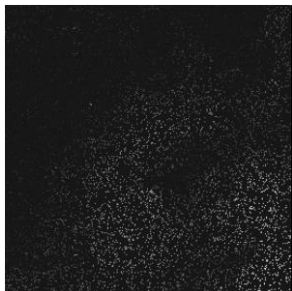
$$\hat{u}_i = (W^T x_i + \gamma_f y_i + \gamma_l \sum_{j \in C_i} D_{j,i}) / (1 + \gamma_f + |C_i|\gamma_l).$$

# Application: Inpainting

- Example:



Image *Face*



Corrupted measurement  
with 90% pixels missing



Inpainted image  
using **STORLLR**  
PSNR = 28.1 dB

Testing  
Images  
(size)



Barbara  
512<sup>2</sup>



Lena  
512<sup>2</sup>



Airport  
1024<sup>2</sup>



Baboon  
512<sup>2</sup>



Face  
276<sup>2</sup>



Moon  
256<sup>2</sup>



Elaine  
512<sup>2</sup>



Sailboat  
512<sup>2</sup>



Tank  
512<sup>2</sup>



Plane  
1024<sup>2</sup>

# Application: Inpainting

- Inpainting results:

- Random pixel removal
- Additive Gaussian noise
- PSNR (dB)

Available pixels	$\sigma$	Smooth	LR	TL	STROLLR
20%	5	28.9	29.0	29.2	<b>29.3</b>
	10	27.4	28.2	28.2	<b>28.3</b>
	15	26.9	27.3	27.3	<b>27.4</b>
	20	25.5	<b>26.5</b>	26.2	<b>26.5</b>
10%	5	26.9	26.9	27.0	<b>27.1</b>
	10	26.0	26.3	26.3	<b>26.5</b>
	15	24.8	25.5	25.4	<b>25.6</b>
	20	23.7	24.7	24.5	<b>24.9</b>
Average		26.3	26.8	26.8	<b>27.0</b>

Testing Images (size)



Barbara  
512<sup>2</sup>



Lena  
512<sup>2</sup>



Airport  
1024<sup>2</sup>



Baboon  
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Face  
276<sup>2</sup>



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512<sup>2</sup>



Tank  
512<sup>2</sup>



Plane  
1024<sup>2</sup>

## Denoising PSNR table:

Images	$\sigma$	KSVD	LR	TL	BM3D	STROLLR
Barbara	5	38.1	38.4	38.1	38.3	<b>38.5</b>
	10	34.4	35.0	34.3	35.0	<b>35.1</b>
	15	32.3	33.1	32.1	33.1	<b>33.2</b>
	20	30.8	31.8	30.5	31.7	<b>31.9</b>
Elaine	5	37.3	37.2	37.2	36.7	<b>37.4</b>
	10	34.0	34.1	33.7	33.3	<b>34.2</b>
	15	32.3	32.5	32.1	32.2	<b>32.6</b>
	20	31.4	31.6	31.2	31.5	<b>31.7</b>
Average over 10 testing images		32.9	33.0	32.8	33.1	<b>33.2</b>

Testing  
Images  
(size)



Barbara  
512<sup>2</sup>



Lena  
512<sup>2</sup>



Airport  
1024<sup>2</sup>



Baboon  
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Face  
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Sailboat  
512<sup>2</sup>



Tank  
512<sup>2</sup>



Plane  
1024<sup>2</sup>

# Take-home message

- Prior works on local and non-local image structures
- Sparsifying TRansfOrm Learning and Low-Rank (**STROLLR**) combines both local sparsity and non-local structure in a single variational formulation.
- **STROLLR modeling and restoration with efficient algorithms.**
- Applications: Image Denoisng, inpainting, etc.

Thank you! Questions??

