## AMENDED IN SENATE APRIL 26, 2012 AMENDED IN SENATE MARCH 28, 2012

## SENATE BILL

No. 1456

## Introduced by Senator Lowenthal (Coauthor: Senator Liu)

February 24, 2012

An act to amend Sections 76300, 78210, 78211, 78211.5, 78212, 78213, 78214, 78215, 78216, and 78218 of, and to repeal Section 78212.5 of, the Education Code, relating to community colleges.

## LEGISLATIVE COUNSEL'S DIGEST

- SB 1456, as amended, Lowenthal. Community colleges: Seymour-Campbell Student Success Act of 2012.
- (1) Existing law establishes the California Community Colleges, under the administration of the Board of Governors of the California Community Colleges, as one of the segments of public postsecondary education in this state.

Existing law requires community college district governing boards to charge students an enrollment fee of \$46 per unit per semester, effective with the summer term of the 2012 calendar year. Existing law also authorizes the board of governors to waive this fee under certain circumstances related to the income status of the student.

This bill would further require that a student qualifying for a fee waiver identify a degree, certificate, transfer, or career advancement goal and meet academic and progress standards determined by the board of governors.

(2) Existing law, known as the Seymour-Campbell Matriculation Act of 1986, defines "matriculation" as a process that brings a college and a student who enrolls for credit into an agreement for the purpose

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of realizing the student's educational objectives. The act specifies the matriculation services that community colleges are required to provide, including the processing of the application for admission, orientation and preorientation services, assessment and counseling upon enrollment, and postenrollment evaluation of a student's progress.

This bill would revise and recast the act, and rename it the Seymour-Campbell Student Success Act of 2012. The act would state its purpose as increasing California community college access and success by providing effective core matriculation services of orientation, assessment and placement, counseling and education planning, and academic interventions. The bill would specify the responsibilities of students and institutions in entering into the matriculation process.

The bill would require the board of governors to develop a formula for allocating funding for the Student Success and Support Program that would be implemented under the act. The bill would specify that, in the 2012–13 fiscal year and each fiscal year thereafter, the act would be operative only if funds are specifically appropriated for its purposes.

To the extent that the bill would impose new duties on community college districts, it would constitute a state-mandated local program.

- (3) The bill would require the Legislative Analyst's Office to review and report specified information regarding the Seymour-Campbell Student Success Act of 2012 to the appropriate policy and fiscal committees of the Legislature by July 1, 2014, as specified.
  - (3)
- (4) The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that, if the Commission on State Mandates determines that the bill contains costs mandated by the state, reimbursement for those costs shall be made pursuant to these statutory provisions.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: yes.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 76300 of the Education Code, as amended
- 2 by Section 4 of Chapter 15 of the First Extraordinary Session of
- 3 the Statutes of 2011, is amended to read:

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76300. (a) The governing board of each community college district shall charge each student a fee pursuant to this section.

- (b) (1) The fee prescribed by this section shall be forty-six dollars (\$46) per unit per semester, effective with the summer term of the 2012 calendar year.
- (2) The board of governors shall proportionately adjust the amount of the fee for term lengths based upon a quarter system, and also shall proportionately adjust the amount of the fee for summer sessions, intersessions, and other short-term courses. In making these adjustments, the board of governors may round the per unit fee and the per term or per session fee to the nearest dollar.
- (c) For the purposes of computing apportionments to community college districts pursuant to Section 84750.5, the board of governors shall subtract, from the total revenue owed to each district, 98 percent of the revenues received by districts from charging a fee pursuant to this section.
- (d) The board of governors shall reduce apportionments by up to 10 percent to any district that does not collect the fees prescribed by this section.
  - (e) The fee requirement does not apply to any of the following:
- (1) Students enrolled in the noncredit courses designated by Section 84757.
- (2) California State University or University of California students enrolled in remedial classes provided by a community college district on a campus of the University of California or a campus of the California State University, for whom the district claims an attendance apportionment pursuant to an agreement between the district and the California State University or the University of California.
- (3) Students enrolled in credit contract education courses pursuant to Section 78021, if the entire cost of the course, including administrative costs, is paid by the public or private agency, corporation, or association with which the district is contracting and if these students are not included in the calculation of the full-time equivalent students (FTES) of that district.
- (f) The governing board of a community college district may exempt special part-time students admitted pursuant to Section 76001 from the fee requirement.
- (g) (1) The fee requirements of this section shall be waived for any student who meets all of the following requirements:

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1 (A) Upon enrollment, identifies a degree, certificate, transfer, 2 or career advancement goal. 3

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(A) Meets academic and progress standards, including a maximum unit cap, as defined by the board of governors.

- (B) Meets one of the following criteria:
- (i) At the time of enrollment, is a recipient of benefits under the Temporary Assistance to Needy Families program, the Supplemental Security Income/State Supplementary Program, or a general assistance program.
- (ii) Demonstrates eligibility according to income standards established by regulations of the board of governors.
- (iii) Demonstrates financial need in accordance with the methodology set forth in federal law or regulation for determining the expected family contribution of students seeking financial aid.
- (2) The board of governors, in consultation with students, faculty, and other key stakeholders, shall develop and adopt policies for the determination of the conditions specified in subparagraphs (A) and (B) subparagraph (A) of paragraph (1), including consideration of minimum uniform academic performance and progress, criteria for reviewing extenuating circumstances and granting appeals, and a process for reestablishing fee waiver eligibility. To ensure that students are not unfairly impacted by the requirements of paragraph (1), the board of governors shall establish a reasonable implementation period that is phased in to provide students adequate notification of this requirement and information about available support resources.
- (3) It is the intent of the Legislature that academic and progress standards defined pursuant to paragraph (1) be implemented only as campuses develop and implement the student support services and interventions necessary to ensure no disproportionate impact to students based on ethnicity, gender, or socioeconomic status.
- (h) The fee requirements of this section shall be waived for any student who, at the time of enrollment, is a dependent or surviving spouse who has not remarried, of any member of the California National Guard who, in the line of duty and while in the active service of the state, was killed, died of a disability resulting from an event that occurred while in the active service of the state, or is permanently disabled as a result of an event that occurred while

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in the active service of the state. "Active service of the state," for the purposes of this subdivision, refers to a member of the California National Guard activated pursuant to Section 146 of the Military and Veterans Code.

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- (i) The fee requirements of this section shall be waived for any student who is the surviving spouse or the child, natural or adopted, of a deceased person who met all of the requirements of Section 68120.
- (j) The fee requirements of this section shall be waived for any student in an undergraduate program, including a student who has previously graduated from another undergraduate or graduate program, who is the dependent of any individual killed in the September 11, 2001, terrorist attacks on the World Trade Center and the Pentagon or the crash of United Airlines Flight 93 in southwestern Pennsylvania, if that dependent meets the financial need requirements set forth in Section 69432.7 for the Cal Grant A Program and either of the following applies:
- (1) The dependent was a resident of California on September 11, 2001.
- (2) The individual killed in the attacks was a resident of California on September 11, 2001.
- (k) A determination of whether a person is a resident of California on September 11, 2001, for purposes of subdivision (j) shall be based on the criteria set forth in Chapter 1 (commencing with Section 68000) of Part 41 of Division 5 for determining nonresident and resident tuition.
- (*l*) (1) "Dependent," for purposes of subdivision (j), is a person who, because of his or her relationship to an individual killed as a result of injuries sustained during the terrorist attacks of September 11, 2001, qualifies for compensation under the federal September 11th Victim Compensation Fund of 2001 (Title IV (commencing with Section 401) of Public Law 107-42).
- (2) A dependent who is the surviving spouse of an individual killed in the terrorist attacks of September 11, 2001, is entitled to the waivers provided in this section until January 1, 2013.
- (3) A dependent who is the surviving child, natural or adopted, of an individual killed in the terrorist attacks of September 11, 2001, is entitled to the waivers under subdivision (j) until that person attains 30 years of age.

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(4) A dependent of an individual killed in the terrorist attacks of September 11, 2001, who is determined to be eligible by the California Victim Compensation and Government Claims Board, is also entitled to the waivers provided in this section until January 1, 2013.

- (m) (1) It is the intent of the Legislature that sufficient funds be provided to support the provision of a fee waiver for every student who demonstrates eligibility pursuant to subdivisions (g) to (j), inclusive.
- (2) From funds provided in the annual Budget Act, the board of governors shall allocate to community college districts, pursuant to this subdivision, an amount equal to 2 percent of the fees waived pursuant to subdivisions (g) to (j), inclusive. From funds provided in the annual Budget Act, the board of governors shall allocate to community college districts, pursuant to this subdivision, an amount equal to ninety-one cents (\$0.91) per credit unit waived pursuant to subdivisions (g) to (j), inclusive. It is the intent of the Legislature that funds provided pursuant to this subdivision be used to support the determination of financial need and delivery of student financial aid services, on the basis of the number of students for whom fees are waived. It also is the intent of the Legislature that the funds provided pursuant to this subdivision directly offset mandated costs claimed by community college districts pursuant to Commission on State Mandates consolidated Test Claims 99-TC-13 (Enrollment Fee Collection) and 00-TC-15 (Enrollment Fee Waivers). Funds allocated to a community college district for determination of financial need and delivery of student financial aid services shall supplement, and shall not supplant, the level of funds allocated for the administration of student financial aid programs during the 1992–93 fiscal year.
- (n) The board of governors shall adopt regulations implementing this section.
- (o) This section shall become operative on May 1, 2012, only if subdivision (b) of Section 3.94 of the Budget Act of 2011 is operative.
- 36 SEC. 2. Section 78210 of the Education Code is amended to 37 read:
- 78210. This article shall be known and may be cited as the Seymour-Campbell Student Success Act of 2012.

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SEC. 3. Section 78211 of the Education Code is amended to read:

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- 78211. It is the intent of the Legislature to do all of the following:
  - (a) Ensure equal education opportunity for all Californians.
- (b) Provide students with the resources and support to establish informed educational goals.
- (c) Ensure that students receive the educational services necessary to optimize their opportunities for success in completing their educational goals and programs of study.
- (d) Recognize that student success is the responsibility of the institution and student, supported by well-coordinated and evidence-based student and instructional services to foster academic success.
- (e) Target state resources on the provision of critical student services, such as counseling and student advising, and identify a broad array of service delivery mechanisms that can effectively reach a greater number of students.
- SEC. 4. Section 78211.5 of the Education Code is amended to read:
- 78211.5. (a) The purpose of the Seymour-Campbell Student Success Act of 2012 is to increase California community college student access and success by providing effective core matriculation services including orientation, assessment and placement, counseling and education planning, and academic interventions. The focus of the Seymour-Campbell Student Success Act of 2012 is on the entering students' transition into college in order to provide a foundation for student achievement and successful completion of students' educational goals, with a priority toward serving students who enroll to earn degrees, career technical certificates, or transfer. The Seymour-Campbell Student Success Act of 2012 targets state resources on core matriculation services that research has shown to be critical in increasing the ability of students to reach their educational goals. By focusing funding in these core areas and leveraging the use of technology to more efficiently and effectively serve a greater number of students, the goal of the Seymour-Campbell Student Success Act of 2012 is to provide students with a solid foundation and opportunity for success in the California Community Colleges.

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(b) Any college or district receiving funding under this article shall agree to carry out its provisions as specified, but shall be bound to that agreement only for the period during which funding is received pursuant to this article. The obligations of the college or district under the agreement shall include, but not be limited to, the expenditure of funds received pursuant to this article for only those services approved by the board of governors and the contribution toward the purposes of this article of matching funds as the board of governors may require pursuant to Section 78216. SEC. 5. Section 78212 of the Education Code is amended to read:

- 78212. (a) (1) For purposes of this article, "matriculation" means a process that brings a college and a student into an agreement for the purpose of achieving the student's educational goals and completing the student's program of study. The agreement involves the responsibilities of both parties to attain those objectives through the college's established programs, policies, and requirements including those established by the board of governors pursuant to Section 78215.
- (2) The student's responsibilities under the agreement include, but are not necessarily limited to, the identification of an educational goal upon enrollment, the declaration of a specific program of study within a reasonable period after enrollment as defined by the board of governors, diligence in class attendance and completion of assigned coursework, and the completion of courses and maintenance of academic progress toward an educational goal and program of study identified in the student's education plan according to standards established by the college, the district, and the state. To ensure that students are not unfairly impacted by the requirements of this chapter, the board of governors shall establish a reasonable implementation period that is phased in as resources are available to provide nonexempt students with the core services pursuant to this section.

(3)

(2) The institution's responsibility under the agreement includes the provision of student services to provide a strong foundation and support for their academic success and ability to achieve their educational goals. The program of services funded through the Seymour-Campbell Student Success Act of 2012, which shall be known and may be cited as the Student Success and Support

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Program, shall include, but are not necessarily limited to, all of the following:

- (A) Orientation services designed to provide to students, on a timely basis, information concerning campus procedures, academic expectations, financial assistance, and any other matters the college or district finds appropriate.
- (B) Assessment upon enrollment, which shall include, but not necessarily be limited to, the administration of assessments to determine student competency in computational and language skills and readiness for college.
- (C) Counseling and education planning services, which shall include, but not necessarily be limited to, all of the following:
  - (i) Counseling and advising.

- (ii) Assistance to students in the identification of aptitudes, interests, and educational objectives, including, but not necessarily limited to, associate of arts degrees, transfer for baccalaureate degrees, and career technical certificates and licenses.
- (iii) The provision of information, guided by sound counseling principles and practices, using a broad array of delivery mechanisms, including technology-based strategies to serve a continuum of student needs and abilities, that will enable students to make informed choices.
- (iv) Development of an education plan leading to a program of study and guidance on course selection.
- (D) Referral to specialized support services as needed and available, including, but not necessarily limited to, federal, state, and local financial assistance; health services; career services; veteran support services; foster youth services; extended opportunity programs and services provided pursuant to Article 8 (commencing with Section 69640) of Chapter 2 of Part 42 of Division 5; campus child care services provided pursuant to Article 4 (commencing with Section 8225) of Chapter 2 of Part 6 of Division 1 of Title 1; programs that teach basic skills education and English as a second language; and disabled student services provided pursuant to Chapter 14 (commencing with Section 67300) of Part 40 of Division 5.
- (E) Evaluation of each student's progress and referral to appropriate interventions, and required advisement or counseling for students who are enrolled in basic skills courses, who have not declared an educational goal as required, or who are on academic

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probation, as defined by standards adopted by the Board of Governors of the California Community Colleges and community college districts.

- (3) The student's responsibilities under the agreement include, but are not limited to, the identification of an educational goal upon enrollment, the declaration of a specific program of study within a reasonable period after enrollment as defined by the board of governors, diligence in class attendance and completion of assigned coursework, and the completion of courses and maintenance of academic progress toward an educational goal and program of study identified in the student's education plan according to standards established by the college, the district, and the state. To ensure that students are not unfairly impacted by the requirements of this chapter, the board of governors shall establish a reasonable implementation period that is phased in as resources are available to provide nonexempt students with the core services pursuant to this section.
- (b) Funding for the Student Success and Support Program shall be targeted to fully implement orientation, assessment, counseling and advising, and education planning services needed to assist a student in making an informed decision about his or her educational goal and program of study and in the development of an education plan.
  - SEC. 6. Section 78212.5 of the Education Code is repealed. SEC. 7. Section 78213 of the Education Code is amended to
- 78213. (a) No district or college may use any assessment instrument for the purposes of this article without the authorization of the board of governors. The board of governors may adopt a list of authorized assessment instruments pursuant to the policies and procedures developed pursuant to this section and the intent of this article. The board of governors may waive this requirement as to any assessment instrument pending evaluation.
- (b) The board of governors shall review all assessment instruments to ensure that they meet all of the following requirements:
- (1) Assessment instruments shall be sensitive to cultural and language differences between students.
- (2) Assessment instruments shall be used as an advisory tool to assist students in the selection of an educational program.

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(3) Assessment instruments shall not be used to exclude students from admission to community colleges.

- (c) The board of governors shall establish an advisory committee to review and make recommendations concerning all assessment instruments used by districts and colleges pursuant to this article.
- (d) When the board of governors adopts a system of common assessment, community college districts and colleges may use supplemental measures for placement pursuant to subdivision (a).
- 9 SEC. 8. Section 78214 of the Education Code is amended to 10 read:
  - 78214. (a) All participating districts shall, with the assistance of the chancellor, establish and maintain institutional research to evaluate the effectiveness of the Student Success and Support Program described by this article and of any other programs or services designed to facilitate students' completion of their educational goals and programs of study.
  - (b) The accountability metrics for this research shall include, but not be limited to:
  - (1) Prior educational experience, including transcripts when appropriate, as determined by the chancellor.
    - (2) Educational goals and programs of study.
  - (3) Criteria for exemption from orientation, assessment, or required counseling or advisement, if applicable.
    - (4) Need for financial assistance.

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- (5) Disaggregated data by ethnicity, gender,—and age, and socioeconomic status, to the extent this information is available.
- (6) Academic performance, such as the completion of specified unit thresholds, success in basic skills courses, grade point average, course completion outcomes, transfer readiness, and degree and certificate completion.
- (7) Any additional information that the chancellor finds appropriate.
- (c) The evaluation provided for by this section shall include an assessment of the effectiveness of the programs and services in attaining at least the following objectives:
- (1) Helping students to define their educational goals and declare a program of study.
- (2) Assisting institutions in the assessment of students' educational needs and valid course placement.

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(3) Helping support students' successful course completion and attainment of a degree, certificate, or transfer, through the provision of effective orientation services and academic interventions.

- 4 (4) Matching institutional resources with students' educational needs.
  - SEC. 9. Section 78215 of the Education Code is amended to read:
  - 78215. (a) The Board of Governors of the California Community Colleges, in consultation with students, faculty, student service administrators, and other key stakeholders, shall establish policies and processes for all of the following:
  - (1) Requiring all nonexempt students to complete orientation and assessment and to develop education plans.
  - (2) Exempting students from participation in orientation, assessment testing, or required education planning services under this article.
  - (3) Requiring community college districts to adopt a student appeal process.
  - (b) To ensure that students are not unfairly impacted by the requirements of this chapter, these policies *and processes* shall be phased in over a reasonable period of time as determined by the board of governors in consideration of the resources available to provide the core services identified in Section 78212.
  - (c) It is the intent of the Legislature that these policies and processes be developed and implemented only as resources are provided and utilized by community college campuses to provide the student support services, individual counseling, and advising necessary to ensure that students can successfully meet the requirements of this section.
  - SEC. 10. Section 78216 of the Education Code is amended to read:
  - 78216. (a) The Legislature recognizes that community college districts are currently funding various components of student matriculation through existing orientation, counseling and advising, education planning, assessment, and other student services, but that adequate student matriculation and implementation of the Student Success and Support Program strategies cannot be realized without supplemental funding support.
- (b) The board of governors shall develop a formula for allocating
   the funding for the Student Success and Support Program to

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implement the services identified in Section 78212 at community colleges. The formula shall include the requirement that the districts or colleges contribute matching funds in an amount to be established by the board of governors in each case, and shall reflect, but not be necessarily limited to, other considerations as follows:

- (1) The number of students to receive services at each college.
- (2) The number of students who received orientation, assessment, counseling and advising, and education planning services.
- (3) The requirement that funds for the Student Success and Support Program services be expended only for services approved by the board of governors.
- (4) The requirement that any district or college receiving funding pursuant to this section agree to implement this article and implement the board of governors' system of common assessment and accountability scorecard, pursuant to Section 84754.5, when established during the period in which it receives that funding.
- (5) Insofar as a community college district is able to fully implement in-person or technology strategies for orientation, assessment, and education planning services, the board of governors may identify other noninstructional support services that can be funded through this article.
- (c) The board of governors shall require participating colleges to develop a Student Success and Support Program plan that reflects all of the following:
- (1) A description of the Student Success and Support Program services identified in Section 78212 to be provided.
- (2) A description of the college's process to identify students at risk for academic or progress probation and the college's plan for interventions or services to students.
- (3) The college budget for the state-funded Student Success and Support Program services pursuant to Sections 78212 and 78214.
- (4) The development and training of staff and faculty to implement the Student Success and Support Program services.
- (5) In multicampus districts, the coordination of the college Student Success and Support Program plan with other college plans within the district.
- (6) Technology services and institutional research and evaluation necessary for implementation of this article.

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(7) Coordination with college student equity plans to ensure that the college has identified strategies to monitor and address equity issues and mitigate any disproportionate impacts on student access and achievement.

- (d) The board of governors may allocate up to 5 percent of the total funds appropriated for the Student Success and Support Program for state administrative operations to carry out the intent of this article, subject to the review of the annual budget process.
- 9 SEC. 11. Section 78218 of the Education Code is amended to 10 read:
  - 78218. In the 2012–13 fiscal year and each fiscal year thereafter, this article shall be operative only if funds are specifically appropriated for the purposes of this article.
  - SEC. 12. (a) The Legislative Analyst's Office shall review and report to the appropriate fiscal and policy committees of the Legislature by July 1, 2014, all of the following:
  - (1) The extent to which the provisions of the Seymour-Campbell Student Success Act of 2012 are implemented consistent with the intent of the Legislature.
  - (2) The overall progress on the implementation of the Student Success Task Force's recommendations provided pursuant to Chapter 409 of the Statutes of 2010.
  - (3) The impacts of the Seymour-Campbell Student Success Act of 2012 on student participation, progress, and completion, disaggregated by ethnicity, age, gender, and socioeconomic status.
  - (4) A summary of community college campus implementation efforts for the Seymour-Campbell Student Success Act of 2012 and recommendations on whether and how these efforts can be improved.
  - (b) The Office of the Chancellor of the California Community Colleges shall work with the Legislative Analyst's Office to identify information that is necessary to provide the report required in subdivision (a) and shall provide this information to the Legislative Analyst's Office by April 1, 2014.
    - SEC. 12.
- 36 SEC. 13. If the Commission on State Mandates determines that 37 this act contains costs mandated by the state, reimbursement to 38 local agencies and school districts for those costs shall be made

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- pursuant to Part 7 (commencing with Section 17500) of Division
  4 of Title 2 of the Government Code.