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NAGOYA UNIVERSITY

Proceedings of the US-Japan Workshop

on

Advanced Plasma Modeling II

March 23-27, 1987

Nagoya, Japan

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Further communication about this report is to be sent to the  
Research Information Center, Institute of Plasma Physics, Nagoya  
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## Preface

"The Second U.S.-Japan Workshop on Advanced Plasma Modeling" meet at IPP Nagoya from March 23-27th, 1987. The program for the meeting is attached as appendix I.

There were seven American participants and 26 Japanese participants; the list of participants is attached as appendix II.

We consider that this workshop was very successful. There was lively discussion from both sides on detail of modeling techniques, their advantages and disadvantages, how they might be improved and what types of physics problems could be treated. It was clear that Japanese-U.S. collaboration has played a large role in developing many powerful new techniques for handling large time step large space scale problems. There were also developments that took place in one country or the other and it was clear that the participants learned a lot from hearing of each others work. We have drawn a number of conclusion from this workshop.

J.M. Dawson

T. Kamimura

## Summary

### Gyrokinetic Particle Simulation

The numerical properties of a gyrokinetic plasma have been discussed in detail in this workshop. It is generally agreed that considerable improvement in time step, grid spacing and noise level can indeed be realized with the present model. Numerical schemes for solving the nonlinear gyrokinetic equations have also been presented. The consensus is that the treatment of the electron motion parallel to the magnetic field is very crucial. The proposed sub-cycling scheme should be examined carefully.

With the arrival of the present generation of super-computers, Prof. Dawson feels very strongly that it is <sup>has come</sup> the time ~~for us~~ to work actively toward the realistic simulation of tokamak discharges with particle codes — gyrokinetic or implicit. However, there are numerous important issues one has to resolve before achieving that goal, such as particle re-cycling techniques, 3D diagnostics, <sup>used by Fredman</sup> the MHD equilibrium problem, the development of collision operators and the choice of the appropriate coordinate systems for the simulation. Another important aspect is the inclusion of the <sup>simulation</sup> ~~the~~ "kitchen physics" in the code.

In view of the man power shortage in this area, Profs. Dawson and Kamimura have proposed a collaboration effort between the US and Japan. The initial phase of this joint endeavor will start this summer at UCLA.

W. W. Lee

## US-Japan Workshop on Advanced Plasma Modeling

March 23-27, 1987

IPP, Nagoya, Japan

A G E N D AMarch 23 (Monday)

Registration (9:30)

Opening Remarks (10:00-10:20)

J.M. Dawson and T. Kamimura

Morning Session (10:20-12:30)

Chairman I. Kawakami

A. Aydemir(IFS) : Generalized Reduced MHD and Full MHD Calculations  
with Semi-Implicit Techniques

D.D. Schnack(SAI) : Semi-Implicit Methods for 3D MHD Computations

G. Kurita(JAERI) : Nonlinear Evolution of Free Boundary Modes in Tokamak

Lunch (12:30-14:00)

Afternoon Session ① (14:00-15:30)

Chairman: A. Aydemir

T. Hayashi(HIFT) : 3D-Equilibrium and Stability code of Helical System

T. Hayashi(HIFT) : A Simulation of Driven Reconnection  
by a high precision MHD code

Coffee Break (15:30-15:45)

Afternoon Session ② (15:45-17:15)

Chairman: G. Kurita

T. Ogino : An MHD Simulation Of the Solar Wind and Cometary Plasma  
(Nagoya Univ.) (An MHD Model with Plasma Production)

C.Z. Cheng(PPPL) : NOVA-2: A Kinetic MHD Stability Code

March 24 (Tuesday)

Morning Session ① (9:15-10:50)

Chairman: H. Abe

- J.M. Dawson(UCLA) : A Hybrid Vlasov-Fluid Model with Kinetic Ions  
and Massless Fluid Electrons  
W.W. Lee(PPPL) : Gyrokinetic Particle Simulation of Finite-Beta Plasma

Coffee Break (10:50-11:00)

Morning Session ② (11:00-12:30)

Chairman: C.Z. Cheng

- M. Tanaka(HIFT) : Macroscale Particle Simulation of Kinetic Alfvén Waves  
( Code Description and Application )  
A. Friedman(LLNL) : Advanced Particle-in-cell Plasma Modeling at LLNL

Lunch (12:30-14:00)

Afternoon Session ① (14:00-15:50)

Chairman: M. Tanaka

- K. Nishihara : Particle-Particle Particle-Mesh code  
(Osaka Univ.) for Nonideal High Density Plasma and Its Application  
on Rayleigh-Taylor Instability in ICF Plasma  
S. Kawata : TRIPIC: Triangular-Mesh PIC code  
(Nagaoka Tec.) for LIB Diode Simulation  
S.Y. Kim : Particle Simulation Technique using FEM Methods  
(KIT, Korea)

Coffee Break (15:50-16:00)

Afternoon Session ② (16:00-17:30)

Chairman: M. Aizawa

- K. Miki : A Domain Decomposition and Overlapping Method  
(Hitachi Ltd.) for 3D Large Scale Numerical Simulation  
R. Sydora(UCLA) : Subtraction Technique for Plasma Physics and  
Evaluation of Numerical Effects in Codes

Group Dinner (18:30- )

March 25 (Wednesday)

Morning Session (9:15-10:50)

Chairman: T.Ogino

A.Friedman(LLL) : Numerically Induced Stochasticity

T.Yabe(Osaka Univ.) : Reduced Atomic Model for Calculation of Charge  
Distribution in Multiply-Charged Plasma

Working Time for Discussion Leaders and Group Photo (10:50-11:30)

Lunch (11:30-13:00)

.....  
Discussion and Working Sessions ① (13:00-17:30)

① Advanced MHD Model (13:00-15:00)

Discussion Leaders: T.Hayashi and D.D.Schnack

comments: I.Kawakami, T.Ogino, and T.Hayashi

Coffee Break (15:00-15:30)

② Implicit Particle Model (15:30-17:30)

Discussion Leaders: K.Nishihara and A.Friedman

comments: T.Kamimura, H.Abe, M.Tanaka, A.Friedman, and W.W.Lee

March 26 (Thursday)

Discussion and Working Sessions ② (9:15-15:00)

③ Gyrokinetic Particle Model (9:15-11:15)

Discussion Leaders: H.Naitou and W.W.Lee

comments: W.W.Lee and J.M.Dawson

Coffee Break (11:15-11:30)

④-1 Improvement of Simulation Model (11:30-12:30)

Discussion Leaders: T.Yabe, I.Katanuma, and R.Sydora

comments: S.Takeuchi, K.Hanatani, and Y.Abe

Lunch (12:30-14:00)

④-2 Continuation (14:00-15:00)

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Summary and Conclusions (15:00-16:00)

Co-chairmen : J.M.Dawson and T.Kamimura

March 27 (Friday)

Working Session

Closing

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The maximum time allowed for speakers including discussions;  
(40 - 45) min. for the oral presentations  
(10 - 15) min. for comments



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US-Japan Workshop on Advanced Plasma modeling  
March 23-27, 1987  
IPP, Nagoya, Japan

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GENERALIZED REDUCED MHD, AND FULL MHD CALCULATIONS WITH  
SEMI-IMPLICIT TECHNIQUES

A. Aydemir

University of Texas Austin  
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The Four-Field model of Hazeltine, et al<sup>(1)</sup>, generalizes the well-known reduced MHD (RMHD) equation to include parallel and perpendicular compressibility, diamagnetic drift frequencies, and pressure gradients in the parallel Ohm's law. This model is applied to the study of drift-tearing modes in tokamaks; newly-found Alfvén-resonant modes<sup>2</sup> are discussed. Applications of recently-developed semi-implicit techniques<sup>3</sup> for efficient treatment of shear Alfvén waves, ion sound waves, and semi-collisional terms are presented.

semi-implicit techniques are discussed in the context of non-reduced, full MHD equations also. Fully toroidal, linear and nonlinear studies of  $m = 1$  modes in tokamaks are presented and discussed in terms of their application to fast saw-tooth crashes.

(1) R.D. Hazeltine, et al, Phys. Fluids, 28, 2466 (1985).

(2) A.V. Aydemir, et al, Phys. Fluids, 30, 4(1987).

(3) D.S. Harred, and D.D. Schnack, J. Comp. Phys. (1986).

## SEMI-IMPLICIT METHODS FOR 3-D MHD COMPUTATIONS

D.D. Schnack

Science Applications International Corp.  
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Nonlinear MHD systems often evolve on time scales that are long compared to those associated with the fastest normal modes of the system. Stability restrictions placed on explicit temporal approximations may result in uneconomically small time steps, while the fully implicit treatment of nonlinear terms requires iteration, or results in unacceptably large matrices. Recently, a new class of methods for solving the time-dependent MHD equations, based on an algorithm developed for long-range weather simulation, has been introduced. These semi-implicit methods allow very large time steps, yet avoid the complexity and large memory requirements associated with implicit methods. In the semi-implicit methods for MHD new terms are added to the time-discretized equations that do not affect the consistency of the solution, yet provide a simple and efficient means of enhancing stability. This method is unconditionally stable with respect to all Alfvén modes, and consequently permits such large time steps that accuracy becomes the most important consideration in the choice of step size. The semi-implicit method may be implemented using a variety of different time advance schemes and choices for the semi-implicit terms. The proper choices can lead to improved efficiency and accuracy for long-time scale 3-d nonlinear MHD computations. Examples of such simulations of fusion devices (tokamaks and RFPs) and the solar corona are presented.

NONLINEAR EVOLUTION OF FREE BOUNDARY MODE  
IN A TOKAMAK

Gen ichi KURITA, Tomonori TAKIZUKA, Masafumi AZUMI  
and Tatsuoki TAKEDA

Department of Thermonuclear Fusion Research  
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Nonlinear MHD calculations of the  $m/n=2/1$  free-boundary mode including tearing mode in a cylindrical tokamak are carried out by taking account of the parallel diffusion of resistivity. When  $1.75 < q_a \leq 1.9$  for  $q_0/q_a = 0.5$  ( $q_0$  is the safety factor at the magnetic axis and  $q_a$  is that at the plasma surface), the plasma column shrinks with the elliptic deformation, the value of  $q_a$  is decreased in time, the plasma becomes stable against the  $m/n=2/1$  mode, and finally damping oscillation is observed. The vacuum bubbles inside the plasma are formed for  $1.9 \leq q_a < 2$  due to free-boundary kink mode and for  $2.0 \leq q_a \leq 2.25$  due to surface tearing mode, respectively. The saturation of magnetic islands are observed for  $2.25 \leq q_a \leq 2.4$  due to surface tearing mode with plasma expansion and for higher  $q_a$  values due to usual tearing mode with fixed plasma surface position, respectively. Interaction between the plasma and material limiter causes the shrinkage for all the unstable values of  $q_a$  to free-boundary mode. When  $q_0$  is nearly equal to or larger than unity, the plasma shrinks rapidly and  $q_a$  can be reduced less than unity below which the  $m/n=1/1$  kink mode becomes unstable. This plasma shrinkage is a candidate of the major disruptions in the tokamak discharge with  $q_a$  around 2.

## 1. INTRODUCTION

In the tokamak discharges with  $q_0$  nearly equal to 2, some major disruptions are observed which limit the maximum plasma current [1,2]. The  $m/n=2/1$  tearing mode ( $m$  and  $n$  are the poloidal and toroidal mode numbers, respectively) is considered to play an important role in this major disruption process. This disruption process has been studied by numerical calculations [3,4,5]. The  $m/n=2/1$  free boundary kink mode is also considered to play an important role in the major disruption. The following scenario has been supposed: The plasma deforms elliptically due to the growth of the kink mode and the deformation is saturated by the negative surface current. With the dissipation of the surface current due to the plasma-limiter interaction [6] or due to high electric resistivity near the plasma surface [7], however, the deformation continues to grow and the current disruption is caused. A new scenario of the disruption has been presented by Kurita et al. [8] by means of numerical calculation of the  $m/n=2/1$  free-boundary kink modes, where the resistivity evolution including parallel diffusion is considered. The plasma is deformed by the interaction with the limiter. This shrinkage may cause the major disruption. The plasma deformation by the  $m/n=2/1$  surface tearing mode has been also presented by Kurita et al. [9]. In this report we review these results and present detailed results of numerical calculations. In section 2, basic equations are described. The linear stability of free boundary modes and tearing mode including resistivity equation with finite parallel diffusion is analysed in section 3. The results of nonlinear evolutions are shown in section 4, and summary and discussion are given in section 5.

## 2. BASIC EQUATIONS

As basic equations, we employ the single helicity reduced set of resistive MHD equations of a low beta cylindrical plasma including the resistivity evolution equation. Fourier expanded equations in poloidal and toroidal directions are written as follows:

$$\frac{\partial \Psi_{m/n}}{\partial t} = [\Psi, \Phi]_{m/n} + \sum_{m'=m+n} \sum_{n'=n+n'} \eta_{m'/n'} J_{m''/n''} - E^w \delta_{m0} \delta_{n0}, \quad (1)$$

$$\frac{\partial U_{m/n}}{\partial t} = [U, \Phi]_{m/n} + [J, \Psi]_{m/n}, \quad (2)$$

$$\frac{\partial \eta_{m/n}}{\partial t} = [\eta, \Phi]_{m/n} - \kappa_{\parallel} [v_{\parallel} \eta, \Psi]_{m/n} + \kappa_{\perp} \Delta \eta_{m/n}, \quad (3)$$

$$U_{m/n} = \Delta \Phi_{m/n}, \quad (4)$$

$$J_{m/n} = \Delta \Psi_{m/n} + 2R_{\hat{m}/\hat{n}} \delta_{m0} \delta_{n0}, \quad (5)$$

$$[X, Y]_{m/n} = \sum_{m'=m+n} \sum_{n'=n+n'} \frac{m'}{r} \left( X_{m'/n'} \frac{\partial Y_{m''/n''}}{\partial r} - Y_{m'/n'} \frac{\partial X_{m''/n''}}{\partial r} \right), \quad (6)$$

$\Psi_{m/n}$  is the helical poloidal magnetic flux,  $\Phi_{m/n}$  the stream function,  $\eta_{m/n}$  the resistivity,  $J_{m/n}$  the current density,  $U_{m/n}$  the vorticity,  $E^w$  the electric field at the wall,  $\delta_{ij}$  the Kronecker delta and  $R_{\hat{m}/\hat{n}}$  is the ratio of  $\hat{m}/\hat{n}$ , where  $\hat{m}$  and  $\hat{n}$  are the Fourier mode numbers of our interest. In these equations, the uniform plasma density is assumed, and the time is normalized by the poloidal Alfvén transit time  $\tau_{pa} = B_t / \sqrt{\rho R}$  ( $B_t$  is the toroidal magnetic field,  $\rho$  the plasma density, and  $R$  the major radius). Other normalization factors are  $\sqrt{\rho R} / (B_t b^2)$  ( $b$  is the shell radius) for  $\eta$  and  $\sqrt{\rho R} / (B_t R^2)$  for  $\kappa_{\perp}$ .

The resistivity is assumed to follow the same equation as that for the electron temperature. The parallel diffusion coefficient of resistivity,  $\kappa_{\parallel}$ , and perpendicular one,  $\kappa_{\perp}$ , are assumed to be uniform for simplicity. The parallel gradient of  $\eta$  is defined as  $(v_{\parallel} \eta)_{m/n} = [\eta, \Psi]_{m/n}$ . To calculate



the free-boundary problem, we use the 'pseudo-vacuum' model, where the vacuum is replaced by the plasma with high resistivity. This method has been successfully applied to nonlinear simulations of free boundary modes [10,11]. The above set of nonlinear equations is solved by the predictor-corrector time integration scheme. The diffusion terms in Eqs.(1) and (3) are approximated by the implicit representation. These implicit parts of nonlinear calculation spend almost CPU time of the computer. The equation for resistivity, Eq.(3), including the diffusion term is solved by a mapping method. In this method, the radial plasma displacement,  $S$ , is solved instead of resistivity equation, that is,

$$\frac{\partial S}{\partial t} = [S, \varphi] - \kappa_1 [v_{\parallel} \eta, \Psi] + \frac{\eta''}{\eta'} (v_{\parallel} \eta)^2 + \kappa_1 \Delta^* S \quad (7)$$

The additional term,  $(\eta''/\eta')(v_{\parallel} \eta)^2$ , is appeared by the variable transformation of  $\eta-S$ , and plays a very important role in the resistivity evolution of free-boundary case. Obtaining new  $S_{n/n}$  and Fourier-composed  $\hat{S}(r, \theta)$ , we calculate  $\hat{\eta}(r, \theta)$  by a mapping,

$$\hat{\eta}(\hat{S}, \theta) = \hat{\eta}(\hat{S}_{t=0}, \theta) ; \hat{S}_{t=0} = r. \quad (8)$$

After expanding  $\hat{\eta}(r, \theta)$  in Fourier series, we can calculate the right hand side of Eq.(1), and can advance the time step. By this procedure, the resistivity is always kept positive at all grid points, which is necessary to integrate Eq.(1) in time without numerical instability [10].

### 3. LINEAR STABILITY ANALYSIS

In this section, we investigate the effect of parallel diffusion on the linear stability of tearing mode and free-boundary modes; kink and surface tearing modes [10,12]. From Eqs.(1)~(6), the following linearized reduced set of resistive MHD equations is derived,

$$\gamma \Delta \Phi = F \Delta \Psi - \frac{m}{r} \frac{dJ_{eq}}{dr} \Psi, \quad (9)$$

$$\gamma \Psi = -F \Phi + \eta_{eq} \Delta \Psi + \eta J_{eq}, \quad (10)$$

$$\gamma \eta = -\frac{m}{r} \frac{d\eta_{eq}}{dr} \Phi + \kappa_1 F \left( \frac{m}{r} \frac{d\eta_{eq}}{dr} \Psi - F \eta \right), \quad (11)$$

$$F = \frac{1}{q} (m - nq), \quad (12)$$

where  $q$  is the safety factor, subscript 'eq' means equilibrium quantities, and the time derivatives are replaced by the growth rate,  $\gamma$ . The perpendicular diffusion is negligibly small in general. Since the singular surface ( $F=0$ ) does not exist in the plasma region for the kink mode ( $q_0 < m/n$ ),  $\gamma \Psi \approx -F \Phi$  holds and Eq.(11) becomes

$$\left( 1 + \frac{\kappa_1 F^2}{\gamma} \right) \left( \gamma \eta + \frac{m}{r} \frac{d\eta_{eq}}{dr} \Phi \right) \approx 0. \quad (13)$$

This relation implies that the parallel diffusion scarcely affects the kink mode in the linear stage. The ratio of the plasma radius,  $a$ , to the wall radius,  $b$ , is  $a/b=0.66$ . The resistivity,  $\eta_{eq}(r)$ , is inversely proportional to  $J_{eq}(r)$  with  $\eta_{eq}(0)=10^{-6}$  and  $\eta_{eq}(b)=1$ . The profile of current density is chosen as

$$J_{eq}(r) = \{ J_{eq}(0) - J_{eq}(b) \} \{ 1 - (r/a)^{3.56} \}^2 + J_{eq}(b), \quad (14)$$

for  $0 \leq r \leq a$ , and  $J_{eq}(r) = J_{eq}(b) = J_{eq}(0)\eta_{eq}(0)/\eta_{eq}(b)$  for  $a < r \leq b$ . The ratio,  $q_0/q_a$ , is 0.5 for this current profile, and the value of  $J_{eq}(0)$  is determined by the value of  $q_0$ . In Fig.1, the graph of linear growth rate versus  $q_a$  for three values of  $\kappa_{||}$  is shown by three solid curves. The dependence to  $\kappa_{||}$  is small in free-boundary kink mode region ( $q_a \leq 2$ ) as indicated by Eq.(13). Numerical calculations of the eigenvalue problem support above prediction. The growth rate of the kink mode is smoothly connected to that of the 'surface tearing mode' in the  $q_a \geq 2$  region [12]. The growth rate for higher  $\kappa_{||}$  value is higher than that of lower  $\kappa_{||}$  at  $q_a$  around 2. This relation is inverted in the surface tearing mode region, and the growth rate for higher  $\kappa_{||}$  becomes smaller than that of lower case. For sufficiently large  $\kappa_{||}$  value, the growth rate of tearing mode with resistivity perturbation tends to that without resistivity perturbation ( $\eta = \text{const.}$ ) shown by the broken curve in the figure. This result is consistent to that in Refs.[13,14]. The relatively large value of the linear growth rate at  $q_a \approx 2.0$  is attributed to finite and relatively large plasma resistivity near the singular surface, details of which will be presented elsewhere. The parallel diffusion of resistivity becomes very important in the nonlinear phase, especially in the phase of the interaction between plasma and limiter.

#### 4. NONLINEAR CALCULATIONS

In this section, we carry out nonlinear calculations of the  $m/n=2/1$  free-boundary mode including tearing mode for the cases (4.a) without a limiter and (4.b) with a limiter. The initial profile of current density is given by Eq.(14) ( $q_0/q_a|_{t=0}=0.5$ ). Total plasma current is assumed to be constant in time. The resistivity at  $t=0$  is determined as  $\eta(r)=E^w/J(r)$  with  $\eta(0)=10^{-6}$  and  $\eta(b)=1$ . We choose, in the following calculations, the initial plasma radius,  $a_0$ , as  $a_0/b=0.66$ . The parallel diffusion coefficient,  $\kappa_{||}$  is set  $10^2$ , and  $\kappa_{\perp}=10^{-8}$ . The nonuniformity of  $\eta$  on a magnetic surface disappears within the time interval of about 0.1 due to the diffusion of  $\kappa_{||}=10^2$ . This value of  $\kappa_{||}$  corresponds to the following actual parameters; toroidal magnetic field  $B_t=4$  T, major radius  $R=1$  m, electron temperature  $T_e=1$  keV, and plasma density  $n=10^{20}$  m $^{-3}$ . Number of Fourier components,  $M$ , and radial meshes,  $N_r$ , are typically  $M=10$  and  $N_r=200$ .

##### 4.a Case without limiter

The nonlinear evolutions without limiter are studied at first for various initial values of  $q_{a0}=q_a(t=0)$ ; (a)  $q_{a0}=1.85$ , (b)  $q_{a0}=1.95$ , (c)  $q_{a0}=2.05$ , (d)  $q_{a0}=2.15$ , and (e)  $q_{a0}=2.5$ . Figures 2 and 3 show the time evolutions of  $\Psi$ -contour for  $q_{a0}=1.85$  and  $q_{a0}=1.95$ , respectively. The bold line in the figure represents crowded resistivity contours which correspond to the approximate position of the plasma surface. For  $q_{a0}=1.85$ , the elliptic deformation grows with the shrinkage of the plasma column ( $0 < t \leq 100$ ). Since the plasma current is constant, this shrinkage makes  $q_a$  value smaller from 1.85 to 1.25, and the plasma becomes linearly stable against the  $m/n=2/1$  kink mode. Finally the damping oscillation of the shrunk plasma is observed ( $t \geq 100$ ). The radius of each shrunk plasma with circular cross section is  $0.95a_0$  for  $q_{a0}=1.75$ ,  $0.85a_0$  for  $q_{a0}=1.8$  and  $0.80a_0$  for  $q_{a0}=1.85$ . The shrinkage of the plasma column is caused by the following processes. An  $\eta$ -contour in a resistive plasma crosses  $\Psi$ -contours near the plasma surface due to the convection, and the plasma periphery connected with the vacuum region is drastically cooled by the parallel thermal conduction. It is to be noted for the case of  $\kappa_{\perp}=0$  that the plasma area is conserved and the saturation state with elliptic deformation can be realized [10].

On the other hand, for larger  $q_{a0}$  value ( $q_{a0}=1.95$ ), the vacuum bubbles are formed by the free boundary kink mode, as shown in Fig.3, even for such a decreasing current profile ( $q_0/q_{a|t=0}=0.5$ ). The hot plasma flows out into the "pseudo-vacuum" region along the magnetic field line and the vacuum region penetrates into the plasma to form the vacuum bubbles. It was shown by Rosenbluth et al. that the saturation state of the ideal kink mode is the elliptic deformation for the parabolic current profile [15]. The vacuum bubbles were found to be formed by the surface tearing mode in a resistive plasma for  $q_{a0}>2$  and  $q_0/q_{a|t=0}=0.5$  [10]. The bubble formation by the free boundary kink mode for the initial condition of  $q_a=1.85$  and  $q_0=1.2$  has been found by Dnestrovskii et al. using the "heating" model for the transport of  $\eta$  in which the convection of plasma boundary is not considered [11]. The transition from the formation of vacuum bubbles to the shrinkage with elliptic deformation occurs between the  $q_{a0}$  values of 1.85 and 1.9 for this current profile. The current profile determines this transition point, but the detailed mechanism or the criterion of this transition is not clarified yet.

When  $q_{a0}$  becomes larger than 2, the formation of vacuum bubbles by the surface tearing mode is observed even for finite  $\kappa_1$  value just the same as for the case of no  $\kappa_1$  [10]. This bubble formation occurs in the following process; First, the plasma boundary touches the magnetic islands formed inside of the plasma due to the horizontal plasma flow. Because of finite large  $\kappa_1$ , the cold plasma in the vacuum flows into the hot plasma along the magnetic field line instantaneously and the magnetic islands with plasma current are put to the vacuum region, being separated from the plasma hot core. At this point, the singular surface is also put in the vacuum and after that the vacuum bubbles are formed just the same process as that in free-boundary kink mode (see Fig.4).

We observe the magnetic island saturation with plasma expansion for higher  $q_{a0}$  values  $2.05 \leq q_{a0} \leq 2.3$  as shown in Fig.5. The transition from formation of vacuum bubbles to saturation due to magnetic islands occurs about  $q_{a0}=2.05$  for the plasma of this current profile with  $\kappa_1=10^2$ . To see this, we rewrite Eq.(11) by elimination of  $\Psi$  by use of Eq.(10) as follows;

$$(\gamma + \kappa_1 F^2)_{|l} = -\frac{m}{r} \frac{d\eta_{eq}}{dr} (\Phi - \kappa_1 F \Psi) \quad (15)$$

Sign of resistivity perturbation at the plasma surface,  $\eta(a)$ , determines whether vacuum bubbles are formed or not, so the condition for the formation of vacuum bubbles can be written by the following condition for  $\kappa_1$ ;

$$\kappa_1 < \frac{\Phi}{\Psi} \frac{q}{m-nq} \Big|_{r=a} \quad (16)$$

In the case of  $\kappa_1=0$ , since  $m-nq < 0$  and  $\Psi > 0$  at plasma surface  $r=a$ , this condition becomes to be  $\Phi < 0$ , just the same as the condition of surface tearing mode [10,12]. So, in this case, the plasma always forms the vacuum bubbles by the surface tearing mode, which is consistent to our former results [10]. In the case of  $\kappa_1 \rightarrow \infty$ , this condition cannot be satisfied and in this case plasma always saturate to form the magnetic islands. When  $q_{a0}$  value becomes much larger, and the unstable mode changes from surface tearing mode to tearing mode, the usual magnetic islands saturation with fixed plasma surface position is realized in the simulation (see Fig.6).

#### 4.b Case with limiter

In this subsection, the effect of the limiter on the nonlinear evolution of free-boundary kink mode and surface tearing mode is studied. We assume, for simplicity, that the plasma is surrounded by the limiter for all poloidal and toroidal angles. The limiter radius,  $b_l$ , is chosen as  $b_l/a_0=1.03$  for

all cases. The value of  $\kappa_1$  in the plasma region is  $10^8$ , while that in the vacuum region is set  $10^4$  for numerical reasons. Other parameters are the same as those for the case without the limiter.

At first, we investigate the effect of limiter to the case of  $m/n=2/1$  free boundary kink mode;  $1.75 \leq q_{a0} < 2.0$ . We show the time evolutions of  $q_a$  and the internal inductance,  $l_i$ , for the cases with (solid line) and without (broken line) the limiter in Fig.7 for  $q_{a0}=1.85$  (a) and  $q_{a0}=1.95$  (b), where  $l_i = \int (\nabla\psi)^2 dS / (\nabla\psi)_a^2 S$  ( $S$  is the plasma area and  $a$  denotes the plasma surface) and its initial value is 1. The bold line, shown upper side of the figure, denotes the time duration when the plasma contacts with limiter. In the shrinkage phase with the constant total current, the values of  $q_0$  is unchanged in contrast with the decrease of  $q_a$  value, the positive skin current flows near the plasma surface, and the value of  $l_i$  becomes small. In the case of  $q_{a0}=1.85$ , the final value of  $q_a$  is about 1.3 and that of  $l_i$  is about 0.7, respectively, for both cases with and without the limiter. After the plasma surface touches the limiter ( $t > 55$ ) the plasma is shrinking as the ellipticity is increasing. In contrast to this case, the time evolutions of  $q_a$  and  $l_i$  for  $q_{a0}=1.95$  are quite different between two cases with and without limiter. Figure 8 shows the time evolutions of  $\psi$  and  $\eta$  contours for the case of  $q_{a0}=1.95$ , in the region of formation of vacuum bubbles. The deformation of plasma is much restricted by the limiter shown by a row of small rectangles in the figure. After the plasma touches the limiter ( $t \geq 40$ ), it is hardly sharpened by the limiter, shrinks rapidly and finally goes into the stable state to  $m/n=2/1$  free boundary kink mode. When the plasma becomes stable against the  $m/n=2/1$  kink mode, the plasma is detached from the limiter and the damping oscillation begins ( $t \geq 80$ ), which is clearly seen by the magnetic energy evolution of each mode shown in Fig.9. Since the separatrix always crosses the limiter, the vacuum bubbles cannot be formed and plasma periphery is cooled as the same as the case of  $q_{a0} \leq 1.9$ . As shown by solid lines in Fig.7(b), the minimum value of  $q_a$  becomes to be less than one and the value of  $l_i$  becomes about 0.6 in this case. To demonstrate the plasma shrinkage and the flattening of average current density, the radial current profiles of  $m/n=0/0$  mode before ( $t=0$ ) and after ( $t=187.5$ ) the shrinkage are shown in Fig.10. This phenomenon is much different from that without the limiter; the formation of large vacuum bubbles. The evolutions of  $q_a$  and  $l_i$  of that case are shown by broken lines in Fig.7(b) and the evolution of  $\psi$  and  $\eta$  are shown in Fig.2, respectively.

Next, we investigate the limiter effect in the case of surface tearing mode,  $2. \leq q_{a0} \leq 2.45$ . In Figs. 11 and 12, time evolutions of  $\psi$  and  $\eta$  contours are shown for  $q_{a0}=2.05$  and  $q_{a0}=2.15$ , respectively. In both cases, when the singular surface is put into the vacuum region, the magnetic islands with the plasma current are also put there. This helical current flowing in the magnetic islands, which is separated from the hot plasma core, makes the plasma in the equilibrium state stable to  $m/n=2/1$  kink mode and the plasma is detached from the limiter once ( $t \geq 130$  in Fig.11 and  $t \geq 230$  in Fig.12). The helical current, however, disappears due to the interaction with the limiter and the plasma becomes unstable to  $m/n=2/1$  kink mode again, and the same process develops as that in the free-boundary kink mode. The values of  $q_a$  become nearly equal to 1 in both cases.

Figure 13 is the stability diagram in the  $(q_a, q_0/q_a)$  plane for approximated current profile to that realized in the nonlinear calculations with the limiter, where the hatched region denotes the unstable one. The trajectories of  $q_0=\text{const.}$  for  $q_{a0}=1.85$  and  $q_{a0}=1.95$  are also depicted, which correspond to the results of nonlinear calculations. In this stability calculation, the plasma radius is determined from the condition of constant total current, that is,  $a=a_0\sqrt{q_a/q_{a0}}$  with  $a_0/b=0.66$ . It is easily seen from the figure that higher  $q_{a0}$  values for the same  $q_0/q_a$  value result in lower  $q_a$  value in the final stable state. Final  $q_a$  values of nonlinear calculations for both  $q_{a0}$  cases are shown in the figure by open circles. Because of its inertial effect, the final  $q_a$  values decrease lower than the

marginally stable values. It should be noted, however, that the numerical results here are obtained from the single helicity calculation and in an actual plasma with  $q_a$  less than unity, the most dangerous  $m/n=1/1$  free boundary kink mode becomes unstable, which can easily lead the current disruption. The existence of this  $m/n=1/1$  free boundary kink mode in a tokamak is already detected in T-10 experiment, and the relation to major disruption is also suggested in Ref.[16]. To show the whole disruption process, multiple helicity calculation must be carried out.

It is also easily seen from Fig.13 that, if the plasma can pass the dangerous zone,  $q_a$  of about 2, without large deformation of plasma surface in some way, the  $m/n=2/1$  free-boundary kink mode becomes not so dangerous and the shrinkage due to the instability makes the value of  $q_a$  a little bit smaller; just the intermediate one,  $1.3 \lesssim q_a \lesssim 1.7$ , where the plasma is stable to both  $m/n=2/1$  kink mode and  $m/n=1/1$  surface tearing and kink modes. This can explain the reason of realizing the very low  $q_a$  value in a few tokamak experiments, once  $q_a$  is lowered below 2 [17].

#### 4.c Negative surface current

In this subsection, the evolution of negative surface current, which is considered to play an important role in the major disruption process, is studied. Figure 14 shows the time evolution of the maximum value of negative surface current near top or bottom of plasma poloidal plane in Fig.8 for  $q_{a0}=1.95$  without limiter (broken line) and with limiter (solid line). The negative surface current developed to suppress the instability does not disappear in spite of the interaction between the plasma and limiter, but even grows rapidly during the contact and disappears suddenly when the plasma reaches the stable state and is detached from the limiter. This fact is different from the prediction of Kadomtsev[6] or Zakharov[7], but it is plausible because the plasma surface is always formed just inside of limiter, the plasma is unstable during the interaction and, furthermore, the negative surface current grows almost exponentially.

### 5. SUMMARY AND DISCUSSION

In this section, we summarize the results of nonlinear calculations. The parallel diffusion of resistivity plays a crucial role in the evolution of free-boundary modes, especially in the case with the limiter; The plasma shrinks due to the diffusion, while the plasma area and the value of  $q_a$  is conserved in time without the diffusion. The final states of nonlinear  $m/n=2/1$  free-boundary modes evolutions without the limiter are classified into following four cases according to the value of initial  $q_a$ ,  $q_{a0}$ .

1. Saturation of magnetic islands without plasma surface deformation
2. Saturation of magnetic islands with plasma expansion
3. Saturation due to formation of vacuum bubbles
4. Stable state of shrunk plasma

The ranges of  $q_{a0}$  are  $4 \gtrsim q_{a0} \gtrsim 2.3$ ,  $2.3 \gtrsim q_{a0} \gtrsim 2.05$ ,  $2.05 \gtrsim q_{a0} \gtrsim 1.9$  and  $1.9 \gtrsim q_{a0} \gtrsim 1.75$  for cases 1, 2, 3 and 4, respectively, which are values for calculation parameters in this report, and depend on the current profile and/or the value of  $\kappa_1$ . The boundary between cases 1 and 2 almost coincide with that between tearing and surface tearing modes, and that of cases 2 and 3 is given by Eq.(16). As for the boundary between cases 3 and 4, however, the mechanism is not clarified yet, and we can determine this boundary only by the nonlinear calculations at present.

When the plasma is surrounded by the limiter placed near the plasma surface, the above-mentioned final states of cases 2 and 3 becomes completely different one, that of case 4, and in this case, all unstable free-boundary modes with plasma surface deformation go into stable state of shrunk plasma to  $m/n=2/1$  kink mode. In some cases, however, the minimum value of  $q_a$

becomes even less than 1, where the most dangerous  $m/n=1/1$  free boundary kink mode is unstable. New disruption scenario of tokamak discharges with  $q_a \approx 2$  is described briefly in the following:

1. When  $q_a \approx 2$ ,  $m/n=2/1$  free-boundary kink mode becomes unstable.
2. Plasma shrinks due to the interaction with the limiter.
3. If  $q_0 \approx 1$ , this shrinkage makes  $q_a$  value to be less than or nearly equal to 1.
4. Then  $m/n=1/1$  free-boundary kink mode becomes unstable, which causes the major disruption.

This process occurs in the neighborhood of the broken line in the stability diagram shown in Fig.13. It can be concluded that the condition,  $q_0 < 1$ , is required to pass the dangerous zone of  $q_a \approx 2$  in tokamak discharges, because, by the interaction with the limiter,  $q_a$  decreases nearly equal to 1 for  $q_0 \approx 1$  and the  $m/n=1/1$  kink mode is destabilized. The condition of  $q_0 < 1$  for realization of low  $q_a$  ( $q_a \leq 1.5$ ) discharges is the same as that in Ref.(7), but the mechanisms are completely different each other. The nonlinear calculations of multiple helicity are now being carried out and the complete results of this disruption scenario will be presented elsewhere.

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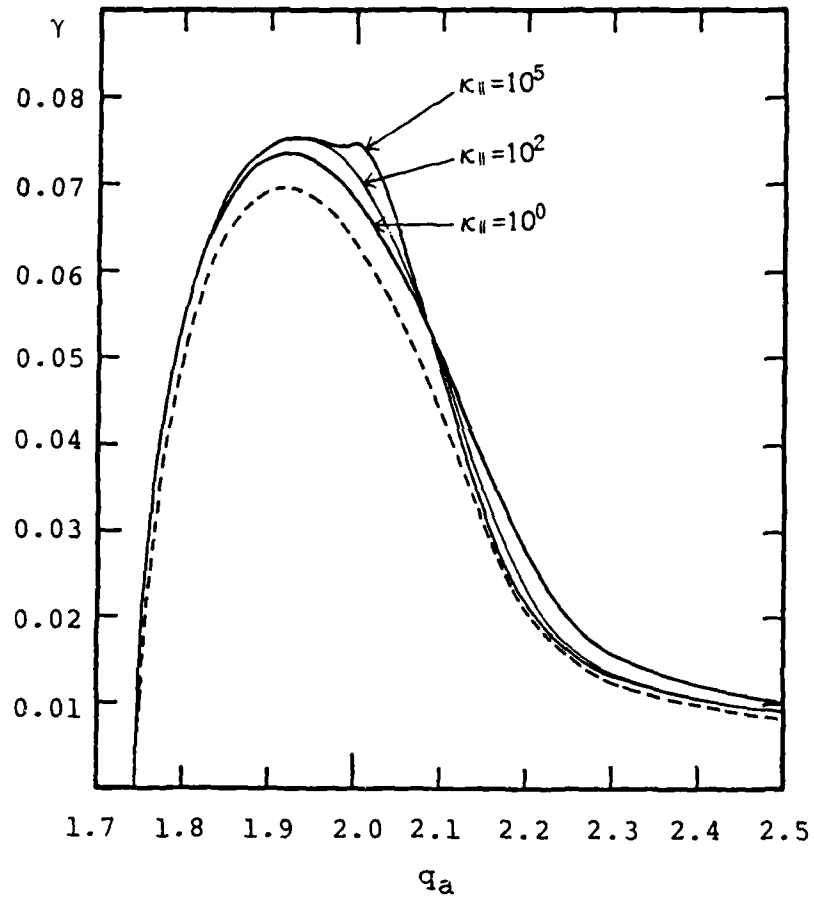


FIG.1 Linear growth rate,  $\gamma$ , versus safety factor at the plasma surface  $q_a$  for various values of parallel resistivity diffusion coefficients  $\kappa_{||}$  for current profile;  $J(r)=J(0)(1-(r/a)^{3.56})^2$ . Parameters are chosen as  $a/b=0.66$  and  $\eta(b)/\eta(0)=10^6$ . Values of  $\kappa_{||}$  are  $10^5, 10^2$  and  $10^0$  for three solid lines. Broken line is growth rate without resistivity perturbation, Eq.(11).

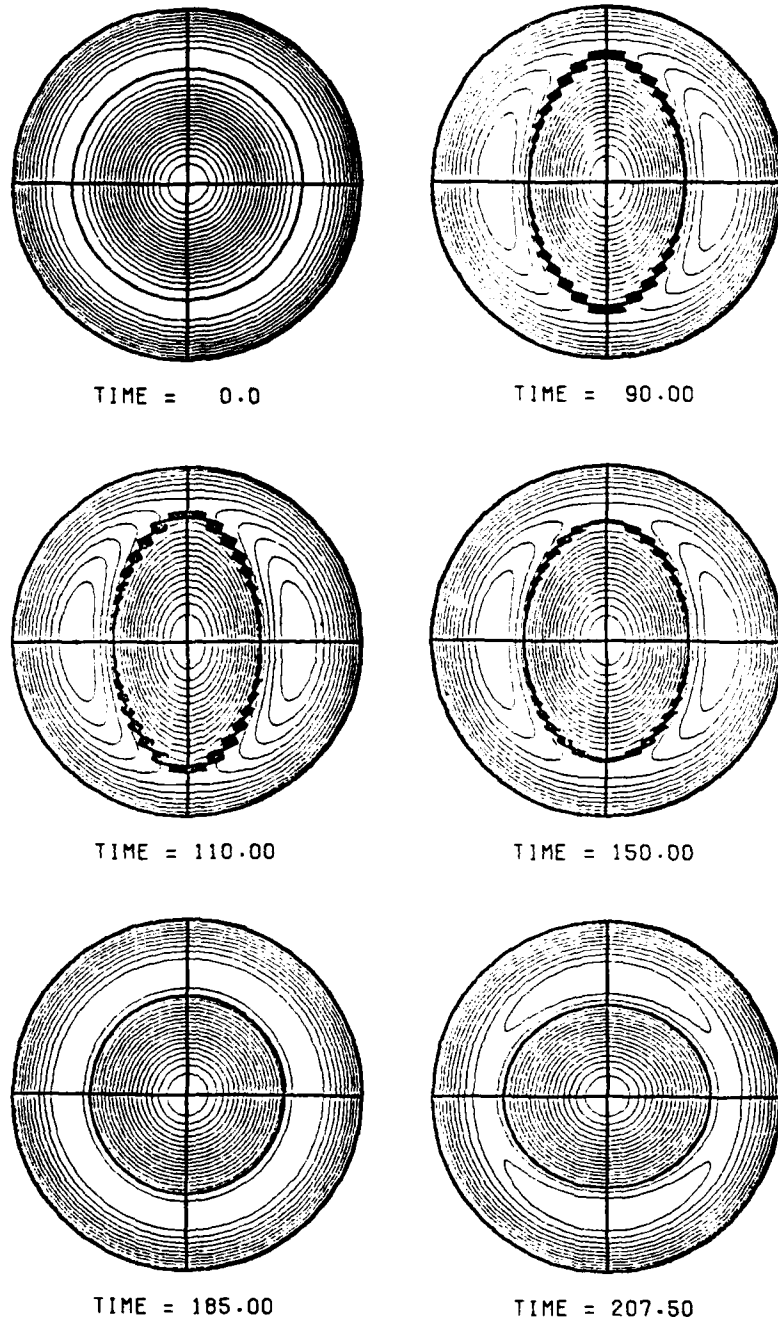


FIG.2 Time evolutions of  $\psi$  contours and plasma surface (bold line) for current profile,  $J(r)=J(0)(1-(r/a)^{3.56})^2$ , with  $q_{a0}=1.85$ . Plasma shrinks with elliptic deformation.



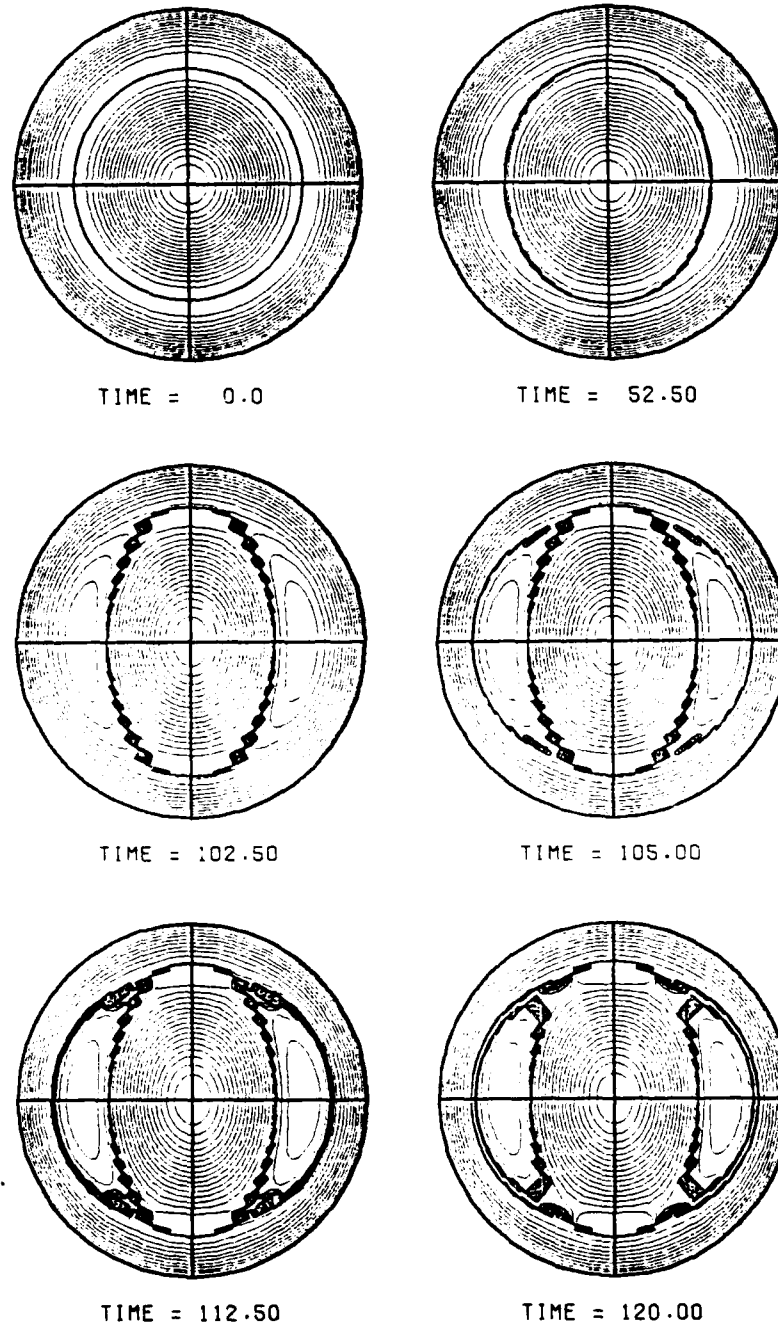


FIG.3 Time evolutions of  $\psi$ -contours and plasma surface for  $q_0=1.95$ . Formation of large vacuum bubbles is observed.

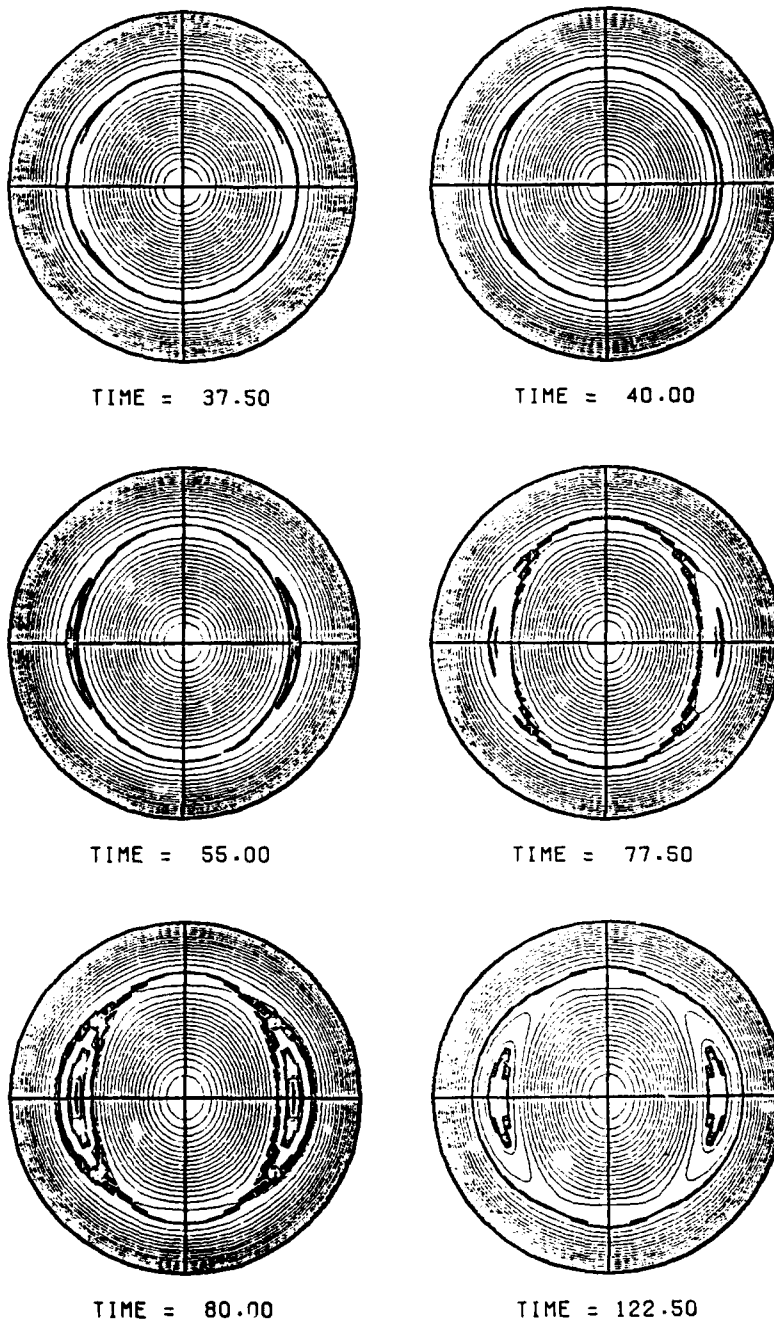


FIG.4 Time evolutions of  $\psi$ -contours and plasma surface for  $q_0=2.05$ . Formation of vacuum bubbles is observed for plasma of  $q_0 \gtrsim 2$  with finite  $\kappa_1$ .

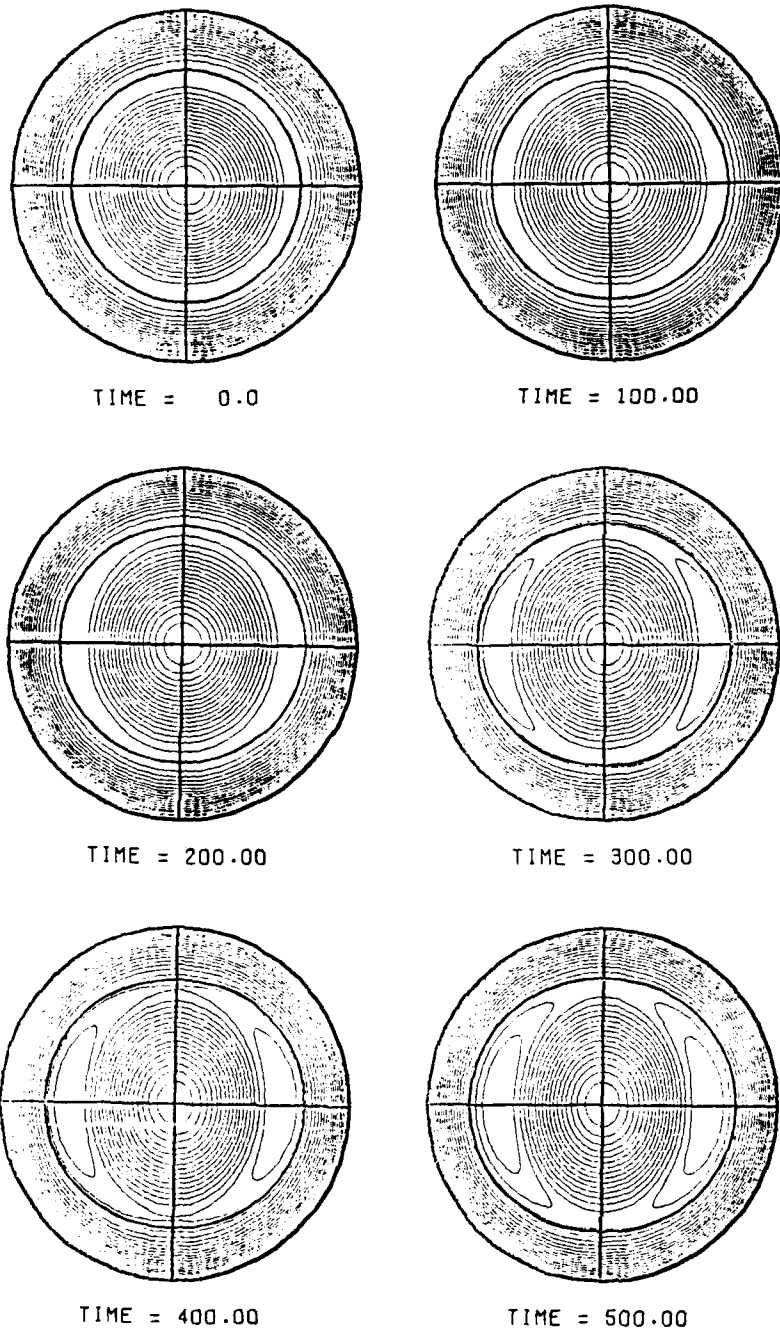
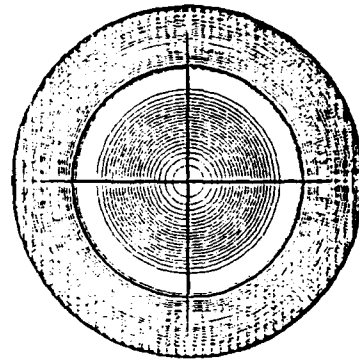
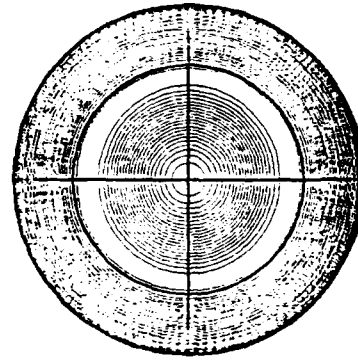


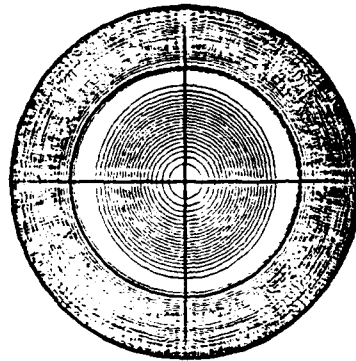
FIG.5 Time evolutions of  $\psi$ -contours and plasma surface for  $q_{a0}=2.15$ . Plasma expands due to surface tearing mode with magnetic islands.



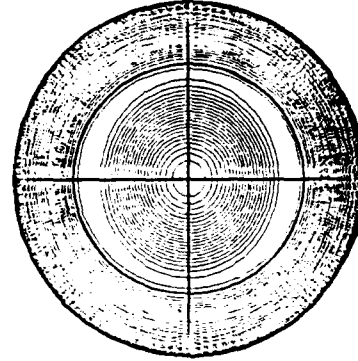
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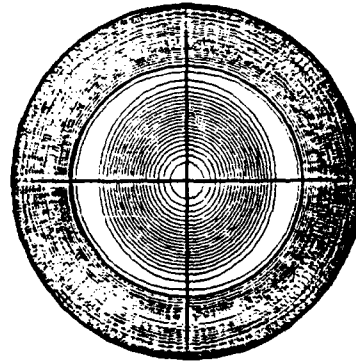
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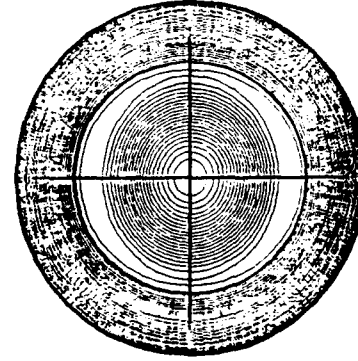
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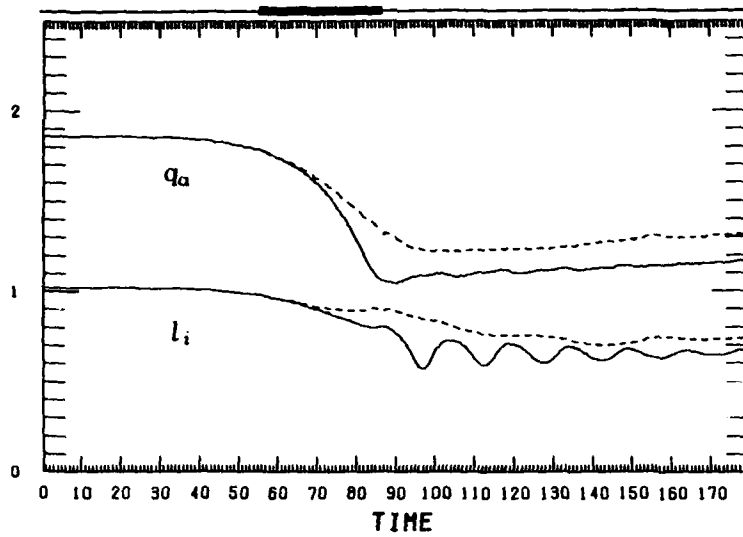


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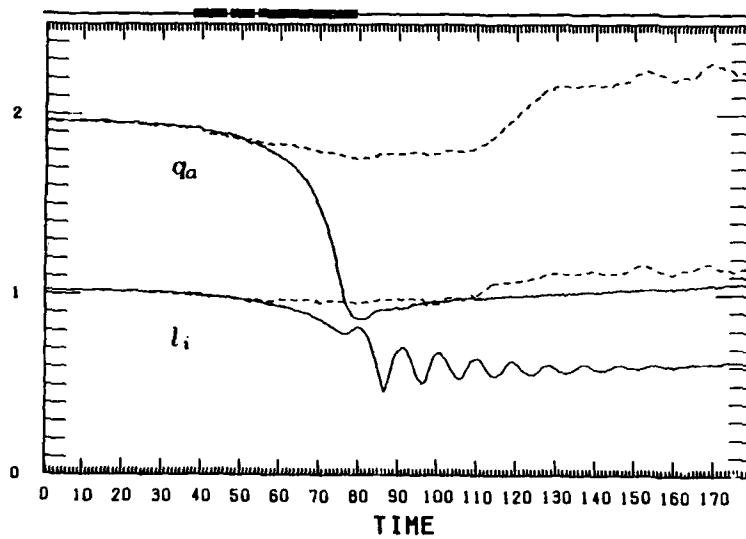


TIME = 685.00

FIG.6 Time evolutions of  $\Psi$ -contours and plasma surface for  $q_{a0}=2.5$ . For this sufficiently high  $q_a$  value, usual tearing mode saturation with fixed plasma surface is observed.



(a)



(b)

FIG.7 Time evolutions of  $q_a$  and internal inductance  $l_i$  for (a)  $q_{a0}=1.85$  and (b)  $q_{a0}=1.95$ . Results of calculation with and without limiter are shown by solid and broken curves, respectively. Bold line, shown upper side of figure, denotes the time duration when plasma contacts with limiter.

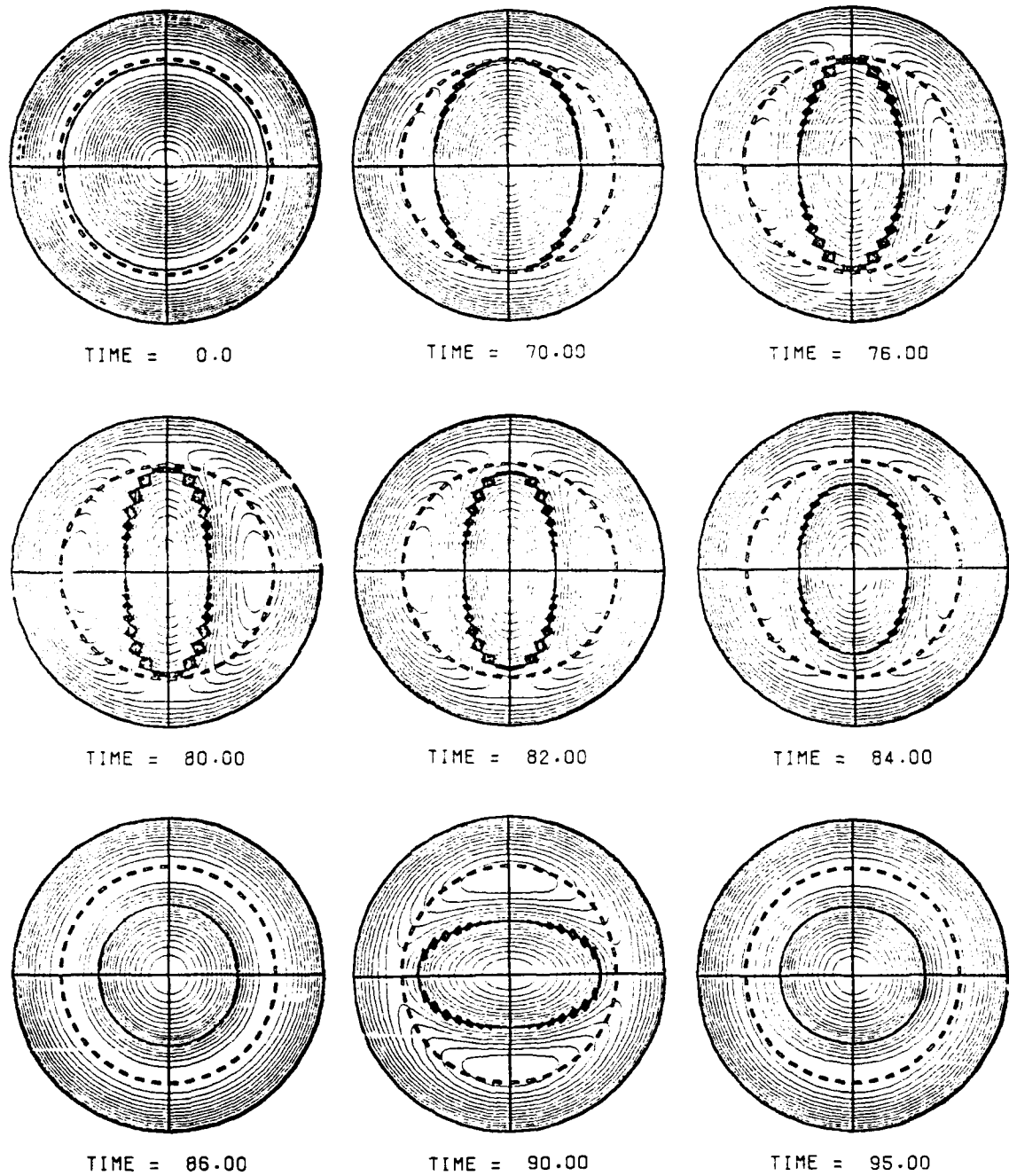


FIG.8 Time evolutions of  $\psi$ -contour and plasma surface for  $q_{a0}=1.95$ . Limiter is placed at  $r=1.03a_0$ . The plasma touches limiter at  $t\approx 38$  and is detached from it at  $t\approx 79$ .

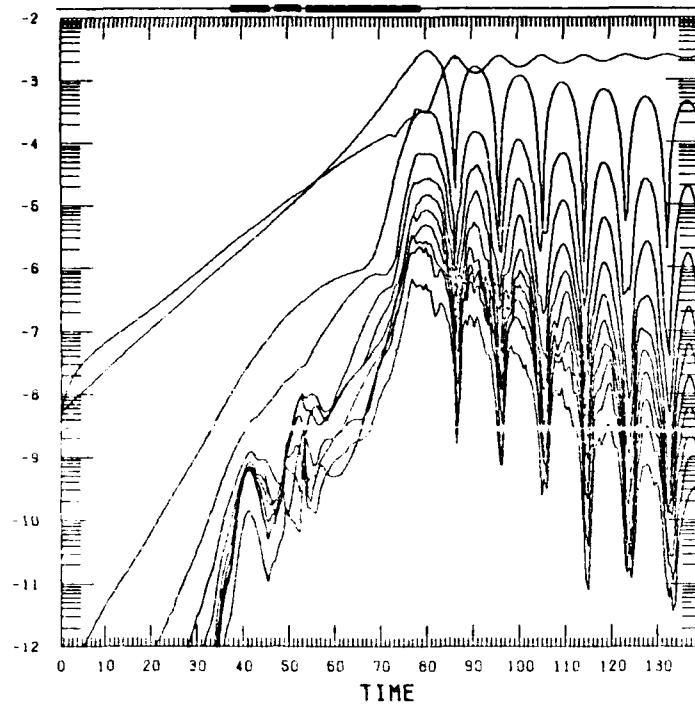


FIG.9 Time evolutions of magnetic energy of each mode for  $q_0 = 1.95$  with limiter. Bold line, shown upper side of figure, denotes the time duration when the plasma contacts with limiter.

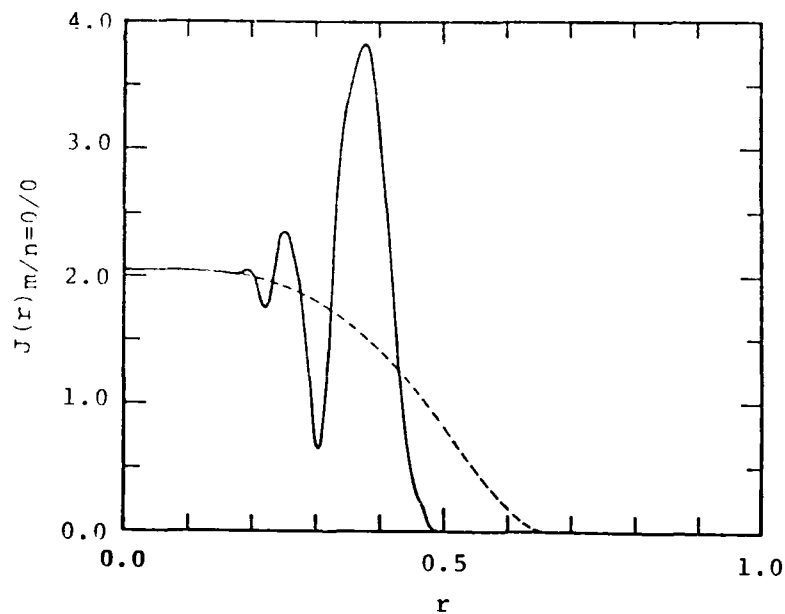


FIG.10 Radial current profiles of  $m/n=0/0$  mode before ( $t=0$ ; broken line) and after ( $t=187.5$ ; solid line) plasma shrinkage for  $q_{a0}=1.95$ . Plasma radius,  $a/b=0.66$  initially, becomes  $a/b=0.485$  through this shrinkage.

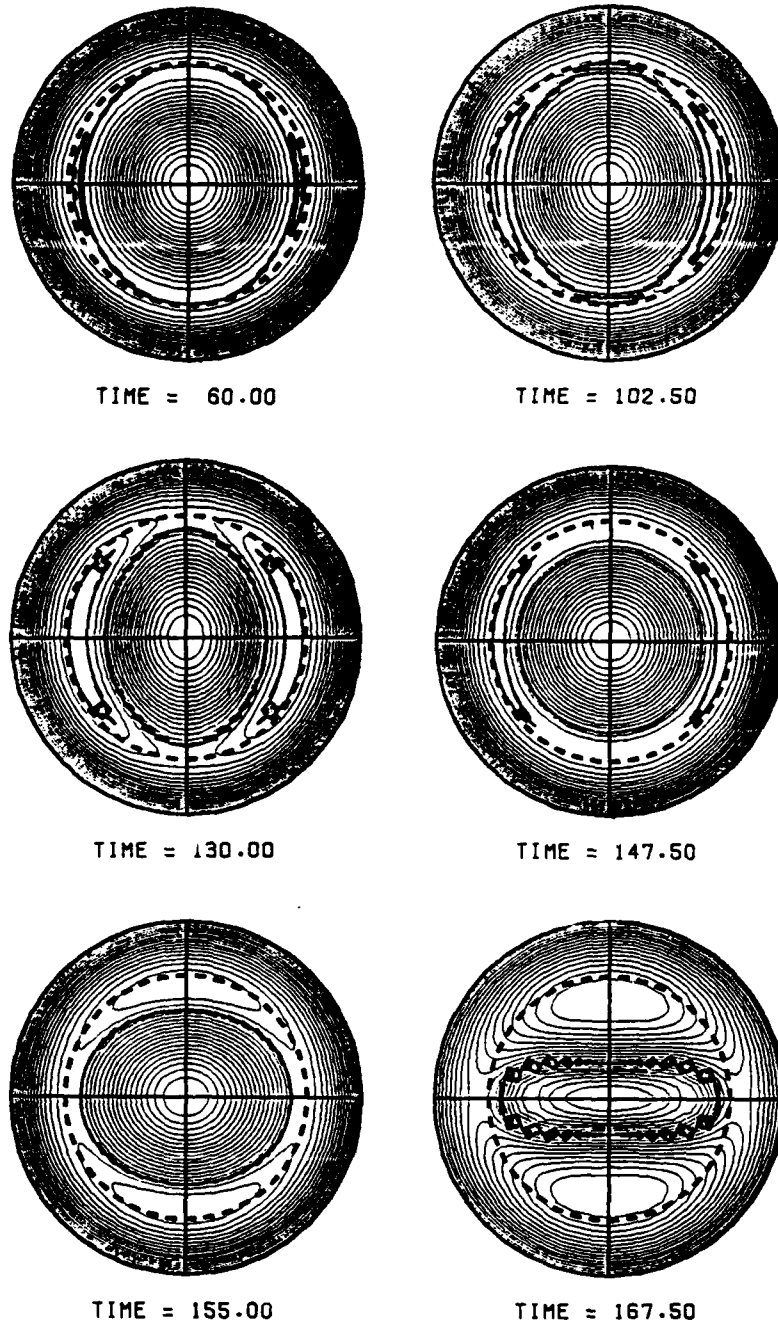


FIG.11 Time evolutions of  $\psi$ -contour and plasma surface for surface tearing mode with  $q_{a0}=2.05$ . After helical current disappears due to interaction with limiter, the same phenomenon occurs as that in Fig.8.



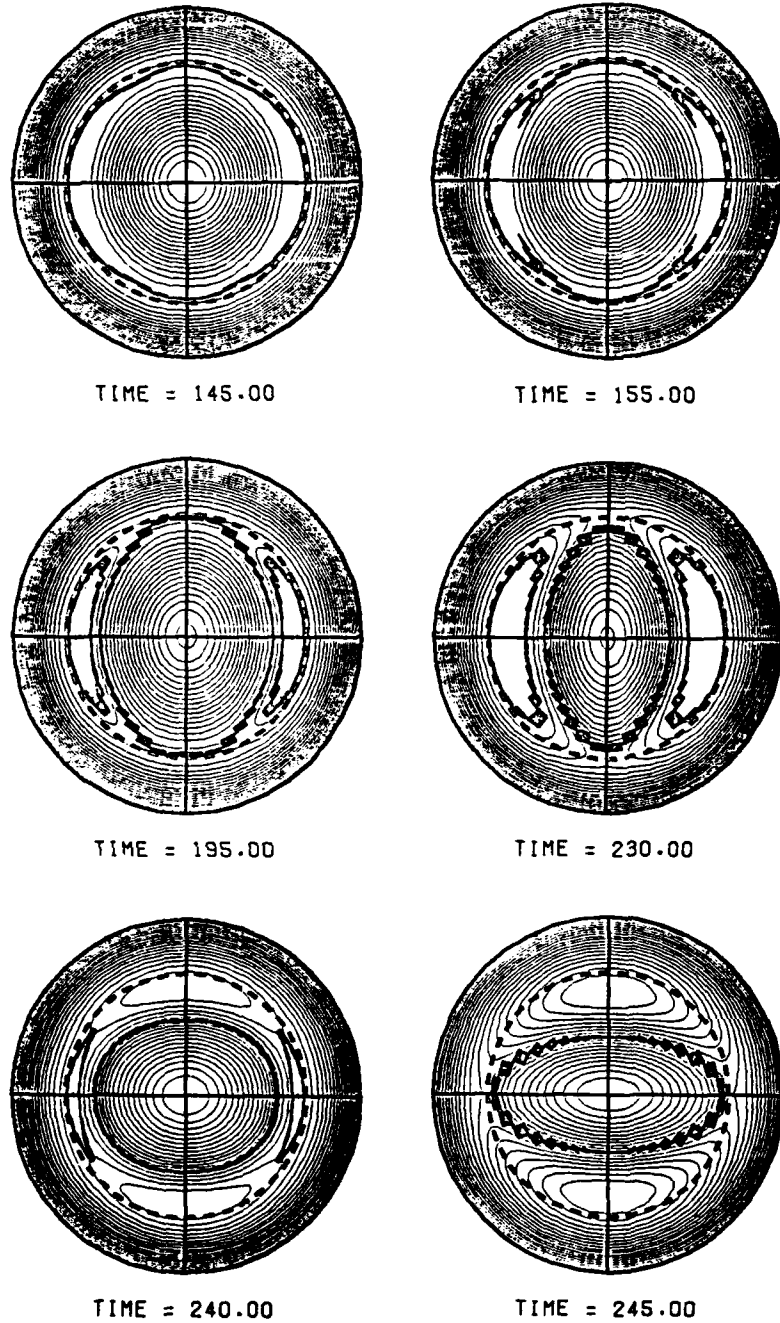


FIG.12 Time evolutions of  $\Psi$ -contour and plasma surface for surface tearing mode with  $q_{a0}=2.15$ . Almost the same phenomenon occurs as that in Fig.11.

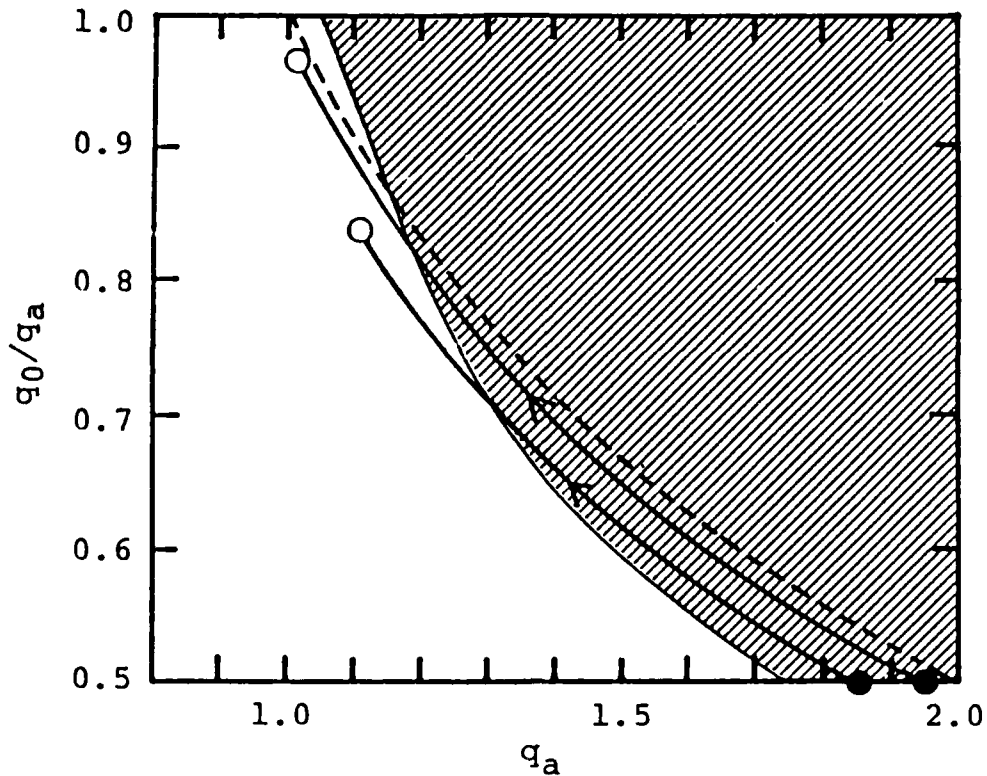


FIG.13 Stability diagram of  $m/n=2/1$  free-boundary kink mode for approximated current density profile to that realized in nonlinear calculations. Hatched region denotes unstable one, and two broken lines of trajectory correspond to nonlinear calculations with limiter for  $q_{a0}=1.85$  and  $q_{a0}=1.95$ . Black and open squares denote start and final positions, respectively. Trajectory of  $q_{a0}=2$  ( $q_0=1$ ) is shown by dotted line. Plasma radius is determined from condition of constant total current, i.e.,  $a=a_0\sqrt{q_a/q_{a0}}$  with  $a_0/b=0.66$ .

Current density profiles are determined from following formula,

$$j(r)=j_0[(1-(r/a_0)^{3.56})^2+\lambda\exp(-2((r-a+\mu)/v)^2)]\{1-(r/a)^v\}^2.$$

Height of skin current,  $\lambda$ , is determined from condition of constant total current for fixed position of skin current,  $\mu=0.1a_0$ , and width of that,  $v=0.05a_0$ . Value of  $v$ , which denotes current gradient near plasma surface, is chosen to be several ten.

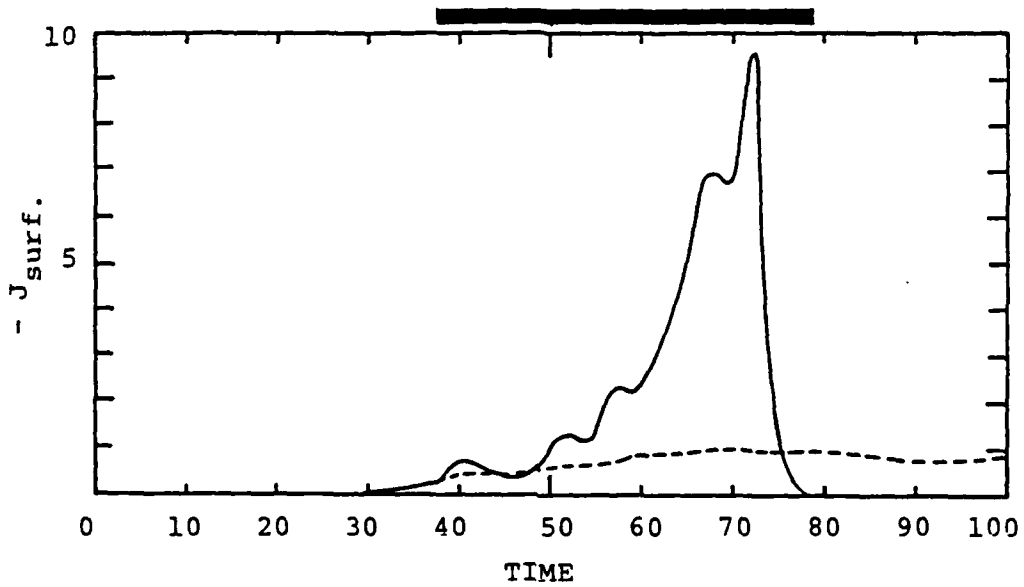


FIG.14 Time evolutions of maximum value of negative surface current near top or bottom of plasma poloidal plane in Fig.8. This is the same calculation as in Fig.7(b). Bold line, shown upper side of figure, denote the time duration when the plasma contacts with limiter. Broken line denotes result without limiter.

**A Simulation of Driven Reconnection  
by a High Precision MHD Code**

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A high precision MHD code, which has the fourth-order accuracy for both the spatial and time steps, is developed, and is applied to the simulation studies of two dimensional driven reconnection. It is confirmed that the numerical dissipation of this new scheme is much less than that of two-step Lax-Wendroff scheme. The effect of the plasma compressibility on the reconnection dynamics is investigated by means of this high precision code.

## §1. Introduction

The magnetohydrodynamic (MHD) simulations have given a great contribution to the researches of the nuclear fusion and astrophysical plasmas. The widely used numerical schemes of the MHD simulation codes are categorized to two types of schemes, i.e. Fourier and finite difference schemes. The former is useful for the problems that have a periodic boundary condition, and the latter is favorable for the free boundary problems, the typical example being space plasma phenomena. Two-step Lax-Wendroff scheme<sup>1</sup> is one of the most widely used finite difference schemes. This scheme time-centres the integration by defining temporary or intermediate values of the dependent variables at the half time steps, and this is an explicit method that has the second-order accuracy in the spatial and time steps. Because of the stability and the convenience for the implementation, two-step Lax-Wendroff scheme is much powerful for analyzing the global MHD dynamics. In this scheme, however, large numerical diffusion occurs in short wavelength components, and hence this method is not so appropriate for the problem in which short wavelength components are important, for example, turbulence and shock problems.

In this research, we develop a high precision MHD code that has the fourth-order accuracy in both the spatial and time steps, and apply that to the simulation of two dimensional driven reconnections. It is widely known that there are two types of reconnections, one being tearing mode reconnection and the other being driven reconnection. Sato and Hayashi in detail investigated the dynamics of driven reconnection by means of two-step Lax-Wendroff simulation code, and they found that driven reconnection leads to acceleration of plasma as high as the local Alfvén speed, and that slow MHD shocks are formed just downstream of the separatrix. They also revealed that once reconnection proceeds, its ultimate fate no longer seems to be dependent on the resistivity, but is largely controlled by the boundary conditions.

The first objective of this paper is to reconfirm the above conclusions by means of high precision code. The second one is to show the effectiveness of the high precision code by quantitatively comparing the simulation results by two different codes. And the another objective is to investigate the influence of the plasma compressibility on the reconnection dynamics by using of the high precision code.

## §2. Numerical Scheme and Simulation Model

We employ the fourth-order finite difference approximation to the spatial derivative

$$\left(\frac{\partial f}{\partial x}\right)_j = \left\{8(f_{j+1}-f_{j-1}) - (f_{j+2}-f_{j-2})\right\}/12\Delta x, \quad j = 3, 4, \dots, N_x-2$$

where the simulation region,  $x = -L_x/2 \sim L_x/2$ , is implemented on a set of  $N_x$  mesh points  $x_j$ ;  $x_j = -L_x/2 + (j-1)\Delta x$ ,  $\Delta x = L_x/(N_x-1)$ . For the other spatial dimensions, the same fashion is employed. And the time integral is carried out by means of the fourth-order Runge-Kutta-Gill method. The time integral scheme for eq.  $\partial f/\partial t = \mathbf{F}(\mathbf{f})$  is given as follows,

$$\Delta \mathbf{f}^0 = \mathbf{F}(\mathbf{f}_n^0) \cdot \Delta t$$

$$\mathbf{f}_*^1 = \mathbf{f}_n^0 + c_1 \cdot \Delta \mathbf{f}^0$$

$$\Delta \mathbf{f}^1 = \mathbf{F}(\mathbf{f}_*^1) \cdot \Delta t$$

$$\mathbf{f}_*^2 = \mathbf{f}_n^1 + c_2 \cdot (\Delta \mathbf{f}^1 - \Delta \mathbf{f}^0)$$

$$\Delta \mathbf{f}_*^1 = Q_2 \cdot \Delta \mathbf{f}_n^0 + S_2 \cdot \Delta \mathbf{f}^1$$

$$\Delta \mathbf{f}^2 = \mathbf{F}(\mathbf{f}_*^2) \cdot \Delta t$$

$$\mathbf{f}_*^3 = \mathbf{f}_n^2 + c_3 \cdot (\Delta \mathbf{f}^2 - \Delta \mathbf{f}^1)$$

$$\Delta f.^2 = Q_3 \cdot \Delta f_n^1 + S_3 \cdot \Delta f^2$$

$$\Delta f^3 = F(f.^3) \cdot \Delta t$$

$$f_{n+1}^0 = f_n^3 + c_4 \cdot (\Delta f^3 - 2 \cdot \Delta f.^2)$$

where  $c_1=1/2$ ,  $c_2=1-1/\sqrt{2}$ ,  $c_3=1+1/\sqrt{2}$ ,  $c_4=1/6$ ,  $Q_2=1-3c_2$ ,  $Q_3=1-3c_3$ ,  $S_2=2c_2$ ,  $S_3=2c_3$ , and  $n$  denotes the time step.

The boundary condition, the initial condition and the basic equations are just same as Sato and Hayashi's ones.<sup>2</sup> The initial distributions of the magnetic field  $B_x(z)$ , the current  $j(z)$ , and the pressure  $p(z)$ , are chosen to be

$$B_x(z) = B_{x0} \tanh(z), \quad j(z) = j_0 \operatorname{sech}^2(z), \quad (1)$$

$$p(z) = p_0 \operatorname{sech}^2(z) + P_0, \quad (2)$$

where  $p_0 = B_{x0}^2/2$ , and  $P_0$  is constant for space. (But  $P_0$  is usually zero, if not indicated differently.) The simulation region is a square box surrounded by four planes, two being parallel to the  $x$  axis and placed at  $z = \pm 2$  (normalized), and the other two being parallel to the  $z$  axis and placed at  $x = \pm 3$ . We inject the plasmas with a given mass flux, magnetic energy flux, and total energy flux symmetrically from the boundaries placed at  $z = \pm 2$  (input boundary). The boundaries at  $x = \pm 3$  (output boundary) are assumed to be free boundary. We presume that the resistivity  $\eta$  takes the form

$$\begin{aligned} \eta(j) &= \alpha(j-j_c)^2 && \text{for } j \geq j_c \\ &0 && \text{otherwise.} \end{aligned}$$

And the basic equations to be solved are the compressible, isotropic, one-fluid magnetohydrodynamic equations. The real calculation is carried out by using of the normalized set of these equations as same as in Ref.2.

### §3. Results

Figure 1 is the contour plot of the magnetic flux at three different times, where  $A_0$  is the maximum speed of the injected flow from the input boundaries. As same as Fig.1 in Ref.2, the X-type neutral point and the separatrix are formed before  $t=12$  Alfvén times. Afterwards, reconnection proceeds and plasma is accelerated as high as local Alfvén speed, the slow shock being generated. Figure 2 shows the cross section distributions of  $j$ ,  $B_x$  and  $p$  in the results by two-step Lax-Wendroff code and high precision code. All parameters in both calculations by two codes are same. Note that the global structures of these two simulations are almost same. This global agreement strongly supports the correctness of the main result in Ref.2, i.e. the slow shocks are formed just downstream of the separatrix. Furthermore, we can see that the high precision code more sharply reproduce the shock structure on about  $z=0.5$ . In the up-stream side of shock front, however, the current distribution by the high precision code has a wavy structure. These small differences in the local structure between two simulations are caused by the reduction of the numerical diffusion in the high precision code.

Figures 3, 4, and 5 show the temporal evolutions of the electric field by both two-step Lax-Wendroff and the high precision codes, when  $\alpha$ ,  $j_c$ , and  $A_0$  are changed. No remarkable change between two different calculations confirms Sato and Hayashi's conclusion that the crucial parameter for the electric field on the reconnection point, i.e. for the reconnection rate, is not the resistivity, but the plasma flow on the input boundary,  $A_0$ .<sup>2</sup> The interesting difference between two-step Lax-Wendroff code and the high precision code is that the pulsation of the electric field is more clearly observed in the high precision code than in two-step Lax-Wendroff code. The difference clearly appears in the curves of  $A_0=0.2$  in Fig.5. This pulsation is caused by the effect of magnetosonic wave, which is bouncing between two input boundaries. The disappearance or the reduction of magnetoson-



ic wave pulsations in two-step Lax-Wendroff code seems to be caused by large numerical diffusion in two-step Lax-wendroff code. These results show that the numerical diffusion of the high precision code is less than that in two-step Lax-Wendroff code.

Lastly, we investigate the effect of the plasma compressibility on the reconnection dynamics by this high precision code. Though driven reconnection have been studied numerically by many authors, the most of them employed the incompressible approximation.<sup>3-6</sup> Recently, however, it is revealed in the reversed-field pinch study that the rate of driven reconnection is reduced by the effect of the finite  $\beta$  of plasma.<sup>7</sup> The reason is that the converging flow into the X-point can lead to the peaking of the plasma pressure on the X-point by the influence of the adiabatic compression, and the peaked pressure on the X-point acts to suppress the converging flow and to delay the reconnection process. From this results, we can suspect that as the system is approaching to the incompressible system the driven reconnection is being reduced, since the incompressible approximation is corresponding to the large pressure limit or large magnetic field limit. In order to confirm this suspicion, we execute some calculations of several  $P_0$  in eq.(2). The results are shown in Fig.6, where the up- and down-side panels show the evolutions of the plasma density and electric field on the reconnection (X-)point, respectively. In this simulation, the plasma resistivity is settled to a constant value ( $\eta=0.01$ ), and the injected plasma flow is adjusted so that the electric field on the input boundaries is constant ( $E_0=0.2$ ). We can see that as  $P_0$  is increasing the change of the density on the reconnection point is reduced. If we remember that the uniform density profile is unchanged everywhere in the incompressible approximation, we can consider that the system is approaching to the incompressible system as  $P_0$  is increasing. And we can see also that the electric field, that is proportional to the reconnection rate, is saturated in lower level for larger  $P_0$ . Therefore, we can conclude that the

incompressible effect leads to the reduction of the rate of driven reconnection.

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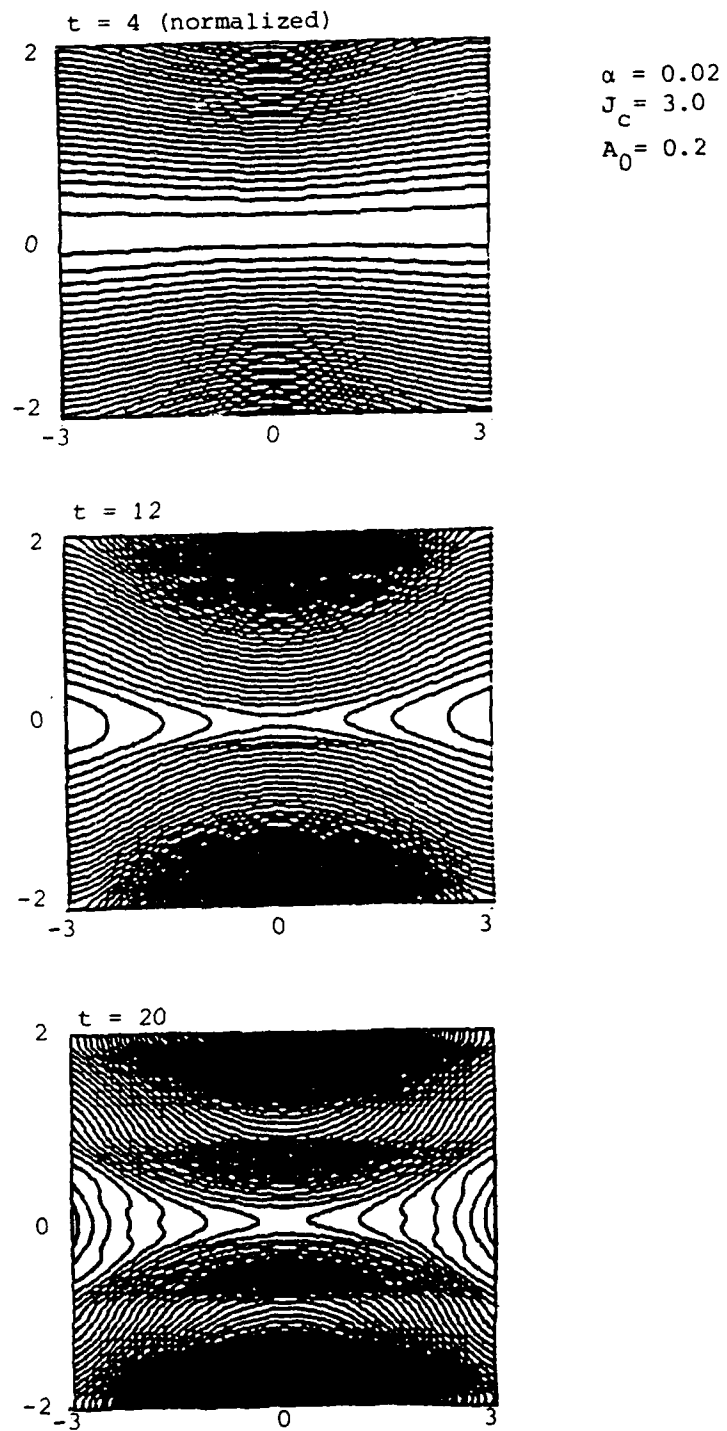


Fig.1. Contour plots of magnetic flux at three different times.

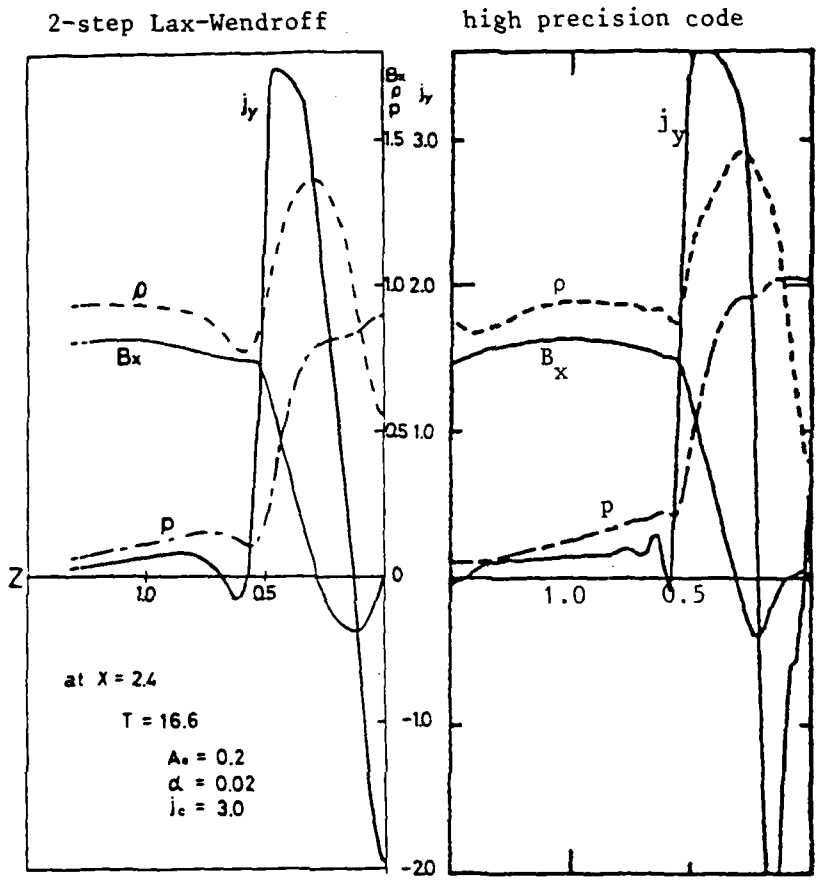


Fig.2. Cross sectional distributions of the current ( $j_y$ ),  $x$  component of the magnetic field ( $B_x$ ), pressure ( $p$ ), and density ( $\rho$ ) on a plane at  $x=2.4$ . Note the opposite changes of  $B_x$  and  $p$  (and  $\rho$ ) across the current layer, thus showing evidence of slow shocks.

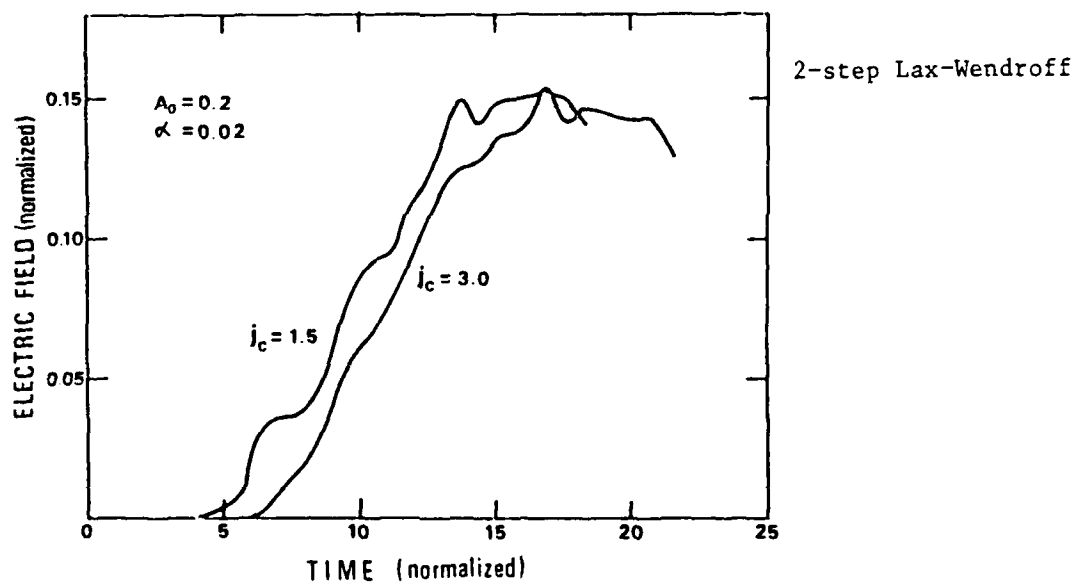
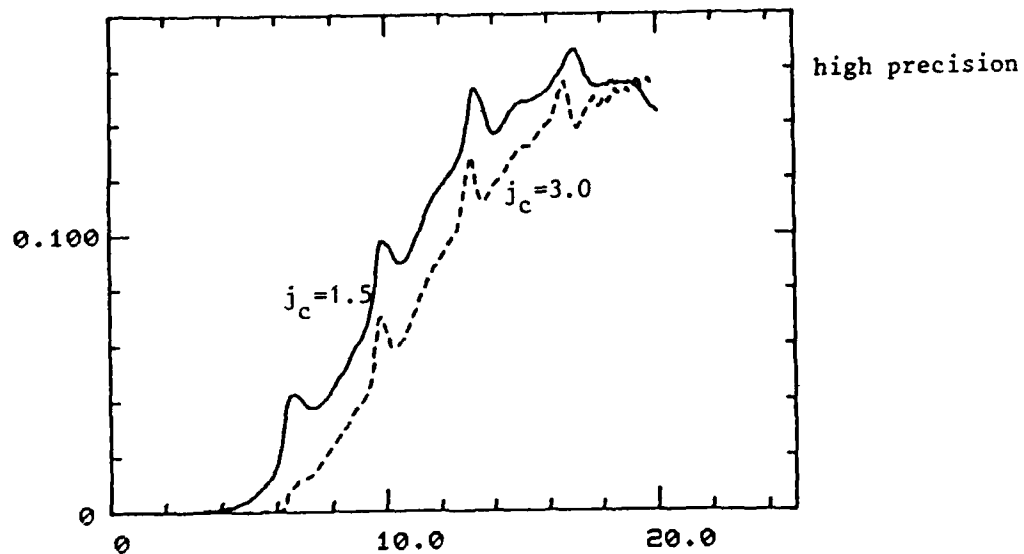


Fig.3. Developments of neutral point electric fields for two different threshold currents  $j_c$ . Note that there exists no marked difference.

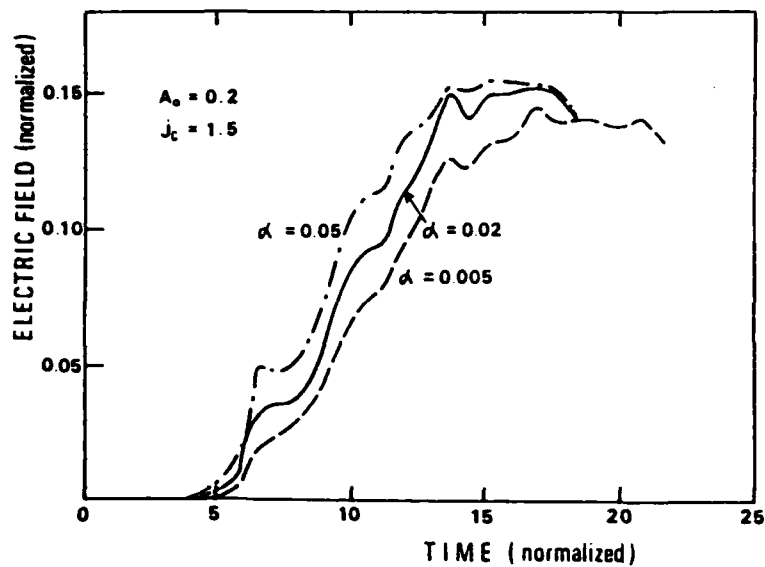
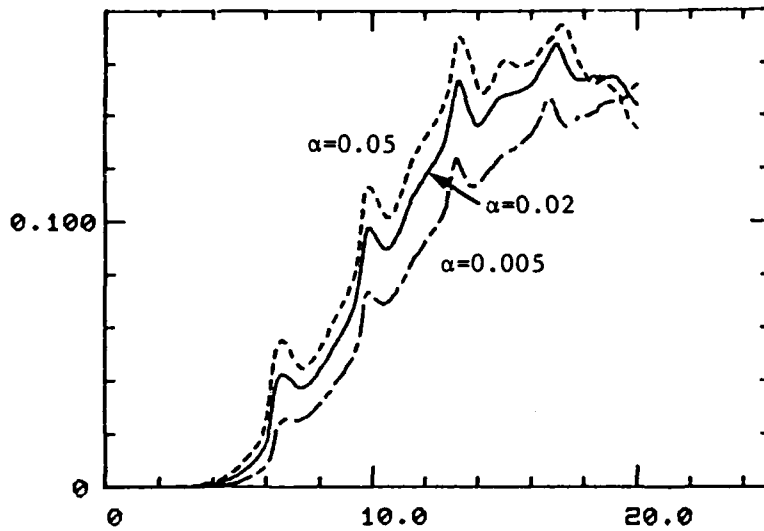


Fig.4. Developments of neutral point electric fields for three different resistivity coefficients  $\alpha$ . Note that there exists no marked difference.

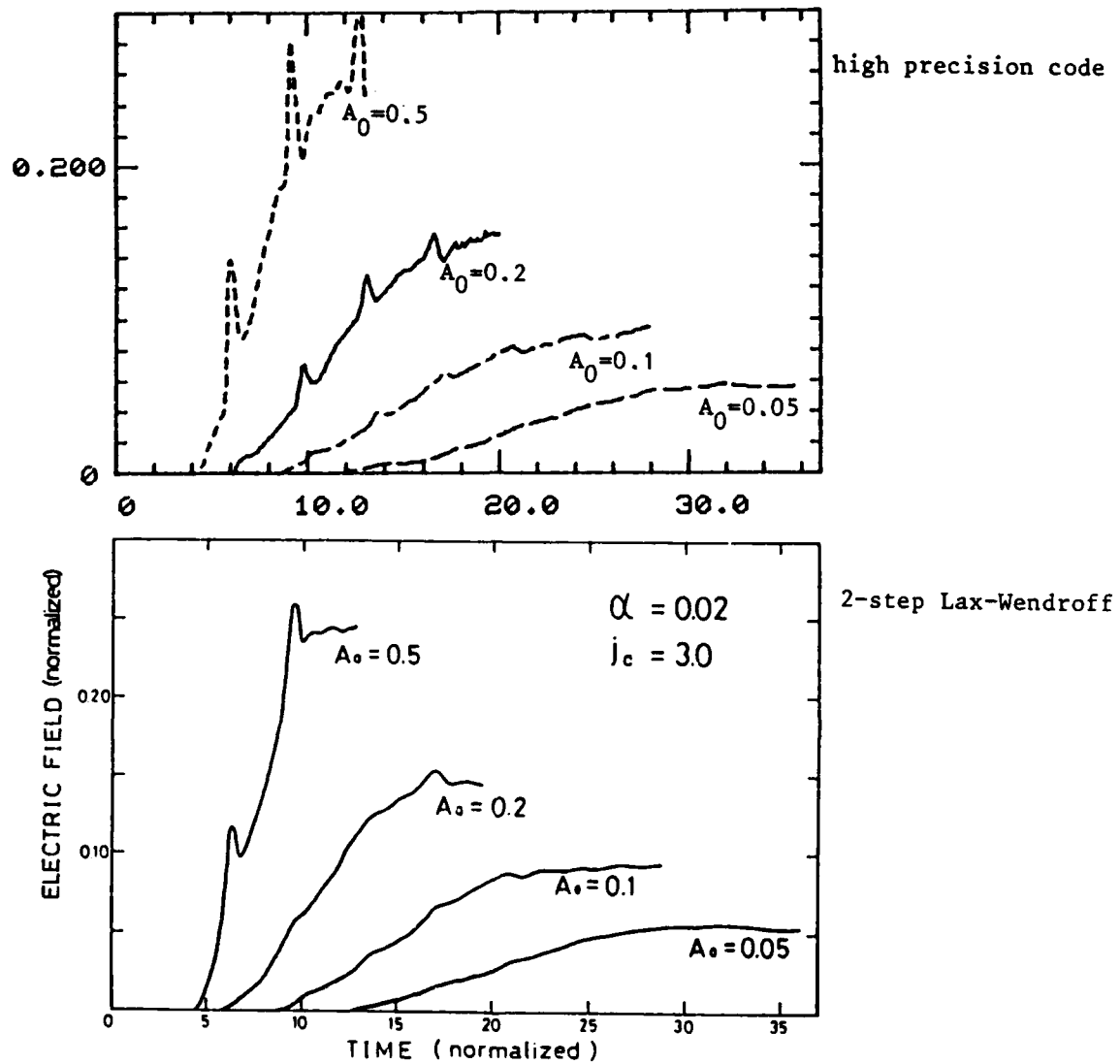


Fig.5. Developments of neutral point electric fields for four different input fluxes  $A_0$ . Note a marked dependence on the input flux.

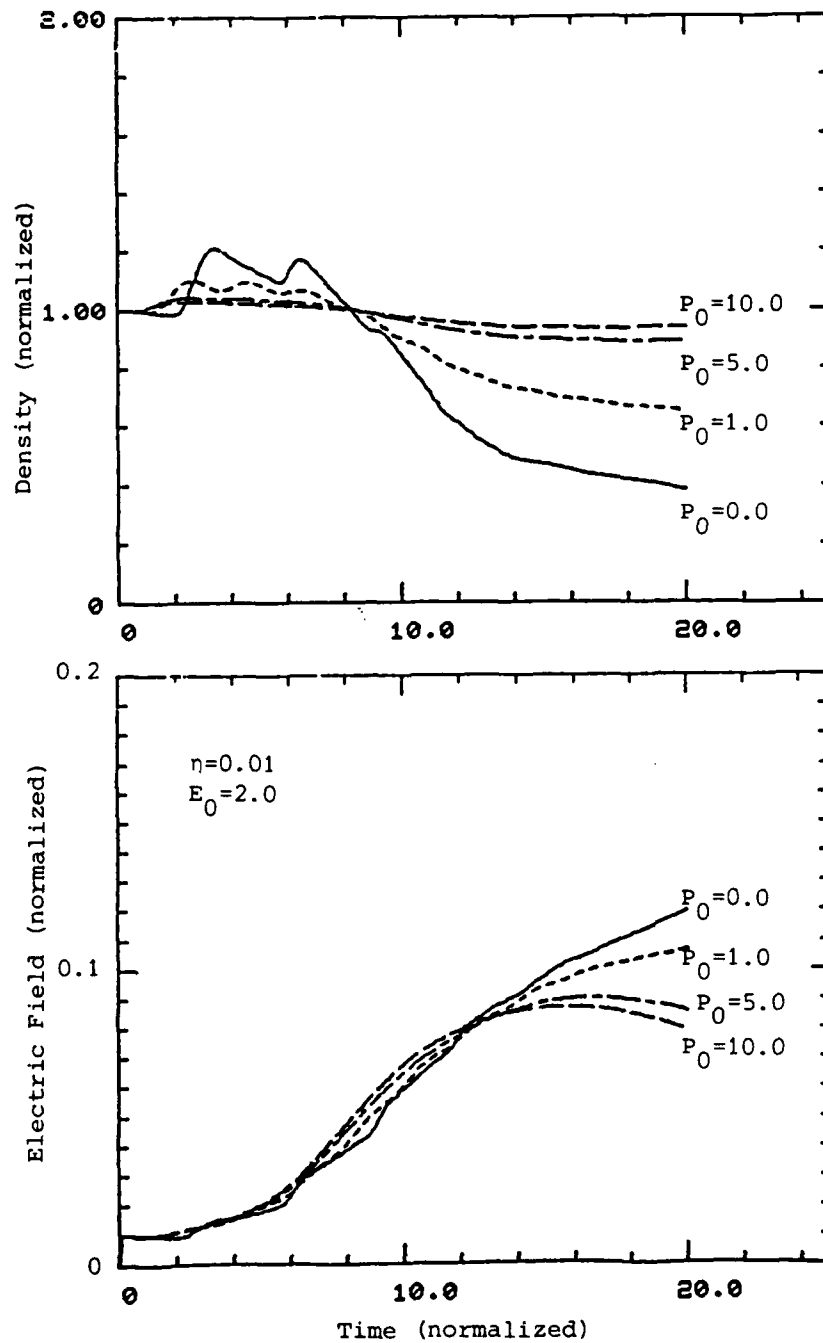


Fig.6. Developments of plasma density and electric field on X-point for four different  $P_0$ .



An MHD Simulation of the Solar Wind and Cometary Plasma  
(An MHD Model with Plasma Production)

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ABSTRACT

Interaction between the solar wind and the plasmas produced from cometary gases has been modeled by using a three-dimensional time-dependent magnetohydrodynamic (MHD) simulation with the cometary mass loading. The model reproduced several features observed by the recent missions to comet Halley. A weak bow shock was located at  $3.2 \times 10^5$  km in front of the comet. The magnetic field increased by a factor of 3.7 across this weak bow shock and continued to increase up to the contact surface ( $B_{\max}/B_{\text{IMF}}=6.8$ ). The plasma temperature increased across the bow shock and decreased nearer to the comet. IMF lines were hung up on the comet and formed a long plasma tail in which the lobe field was quite strong and  $B_{\text{lobe}}/B_{\text{IMF}} \sim 5$ . A cold dense plasma sheet formed in the tail and this thin plasma sheet was oriented normal to the IMF direction.

1. Introduction

Interaction between the solar wind and a comet is somewhat different from that between the solar wind and unmagnetized planets like Venus. The difference may occur because the comet has a very small mass or a small gravitational force. Therefore, a large amount of gas is evaporated from the cometary icy nucleus and extends into the solar wind where it is ionized by the photoionization process due to the solar ultra-violet ray and the charge exchange process. Thus a quite broad dayside interaction region, which is composed of three discontinuities, the outer shock, contact surface and inner

shock, can be formed around the comet [Brant and Mendis, 1979; Mendis and Ip, 1977; Mendis and Houpis, 1982; Niedner, 1984]. The cometary plasma tail frequently has a complicated structure with narrow rays, kinks and condensations. Moreover it sometimes shows a mysterious behaviour which is known as a disconnection event [Niedner and Brandt, 1978, 1979; Niedner et al., 1981]. That is, the cometary tail is disconnected and propagates down the tail from the comet.

In the international spacecraft missions to comet Halley, the Suisei probe got to a closest approach of  $1.51 \times 10^5$  km at 13:06 UT on 6 March 1986 [Itoh and Hirao, 1986]. The plasma flow vectors, the proton density and the estimated directions of draped magnetic field in the sun side strong interaction region were demonstrated during the Suisei encounter with comet Halley [Mukai et al., 1986a, b]. The transition corresponding to the bow shock was clearly shown and the shape of the bow shock was given by a parabola. The shock distance from the comet was estimated to be  $3.5 \times 10^5$  km on the sun-comet line at the time of the closest approach of the Suisei probe. The Geotail magnetometer experiment [Neubauer et al., 1986] demonstrated that the magnetic field was strongly enhanced ( $B=50-60$  nT) near the comet and suddenly disappears in the cometary center region or probably inside the contact surface. The many features of the strong interaction region have been made clear from the satellite observations. On the other hand, a disturbance of the plasma tail of comet Halley was also observed by hundreds of photographs and its dynamics was discussed in connection with the disconnection events of cometary plasma tail [Saito et al., 1986].

Schmidt and Wegmann [1982] solved the full set of MHD equations to model the interaction between the solar wind and the cometary ionosphere in the region exterior to a fixed contact surface by introducing the cometary gas production. Two surfaces of discontinuity, the shock front and the contact surface are reproduced and the embedded magnetic field induces an asymmetry in the plasma flow and in the density distribution of cometary tail. Fedder et al. [1986 a,b] also simulated the interaction processes to demonstrate the overall structure of the cometary tail. A spheroidal head and a long ribbon-like tail were formed for a steady solar wind and interplanetary magnetic field (IMF). Furthermore, predictions for comet Giacobini-Zinner were presented by the MHD simulation of comets. The interaction of the solar wind with the outflowing plasma from a comet was simulated by using a 2 dimensional MHD model to reproduce the contact surface and the cometary magnetotail [Ogino et al., 1986b]. For a constant IMF, tail magnetic

reconnection begins to occur at several points in a thin plasma sheet. A plasmoid with high plasma density appears in the cometary tail and propagates tailward with a local Alfvén speed. When the IMF orientation is reversed, dayside magnetic reconnection occurs at the subsolar point, and a larger disturbance occurs in the whole tail region and propagates down the tail.

In the present paper, the interaction processes between the solar wind and comet Halley have been successively studied by using a 3 dimensional time-dependent MHD model with a cometary plasma production. The model reproduced several features of the comet and solar wind interaction predicted by earlier theories and observed from the recent missions to comet Halley.

## 2. Simulation Model

The present purpose is to study the interaction between the solar wind and the cometary plasmas by using a 3 dimensional MHD simulation [Ogino, 1986a] with plasma production. Therefore, the plasma production rate is added to the MHD equations as it was given by Schmidt and Wegmann [1982]. The normalized MHD equations are written as follows,

$$\frac{\partial \rho}{\partial t} = - \vec{\nabla} \cdot (\vec{v} \rho) + D \nabla^2 \rho + A \quad (1a)$$

$$\frac{\partial \vec{v}}{\partial t} = - (\vec{v} \cdot \vec{\nabla}) \vec{v} - \frac{1}{\rho} \vec{\nabla} P + \frac{1}{\rho} \vec{J} \times \vec{B} + \frac{1}{\rho} \vec{\phi} - A \frac{(\vec{v} - \vec{v}_c)}{\rho} \quad (1b)$$

$$\frac{\partial P}{\partial t} = - (\vec{v} \cdot \vec{\nabla}) P - \gamma P \vec{\nabla} \cdot \vec{v} + D_p \nabla^2 P + \frac{(\gamma-1)}{2} A |\vec{v} - \vec{v}_c|^2 + \frac{P_c}{\tau_c} \quad (1c)$$

$$\frac{\partial \vec{B}}{\partial t} = \vec{\nabla} \times (\vec{v} \times \vec{B}) + \eta \nabla^2 \vec{B}, \quad (1d)$$

where,

$$A = \frac{m_c Q_0}{4\pi \lambda_c r^2} e^{-r/\lambda_c}$$

stands for the cometary plasma production,  $\vec{J} = \vec{\nabla} \times \vec{B}$  is the current density,  $\rho$  the plasma density,  $\vec{v}$  the flow velocity,  $P$  the plasma pressure,  $\vec{B}$  the magnetic field,  $\vec{\phi} = \mu \nabla^2 \vec{v}$  the viscosity,  $\gamma = 5/3$  the ratio of specific heats,  $\eta = \eta_0 (T/T_0)^{-3/2}$  the resistivity and  $T/T_0$  the normalized temperature. For the sake of convenience the units of distance, velocity and time are respectively defined by the earth's radius ( $R_e = 6.37 \times 10^6 \text{m}$ ), the Alfvén velocity ( $v_A = 6.80 \times 10^6 \text{m/s}$ ) and the Alfvén transit time ( $t_s = R_e/v_A = 0.937 \text{s}$ ). The other numerical parameters are  $\eta_0 = 0.01$ ,  $\mu/\rho_{sw} = D = D_p = 0.005$  where  $\rho_{sw}$  is the solar wind density, and the small diffusion terms in (1a), (1b) and (1c) are simply added in order to suppress numerical MHD fluctuations. For the present comet

simulation,  $v_c$  and  $p_c$  for the cometary plasma are neglected because  $|v_c| \ll |v|$  and  $p_c \ll p$ . The magnetic Reynold's number, which is the magnetic diffusion time divided by the Alfvén transit time,  $S$  is larger than 400.

The coordinate system used in the 3 dimensional MHD simulation is shown in Figure 1, where  $x_0=y_0=z_0=7.7 \times 10^5 \text{ km}$  and  $x_1=-1.54 \times 10^6 \text{ km}$ . The solar wind accompanying a uniform IMF in the  $z$ -direction flows into the simulation box through the boundary at  $x=x_0$  and begins to interact with the cometary plasma. The mirror boundary is used at  $z=0$  and  $y=0$ , on the other hand the free boundary, where the spatial derivative of the physical quantities is zero, is used at  $z=z_0$ ,  $y=y_0$  and  $x=x_1$ .

The typical parameters of the solar wind are  $v_{sw}=500 \text{ km/s}$ ,  $n_{sw}=15/\text{cc}$ ,  $T_{sw}=2 \times 10^5 \text{ K}$  and  $B_{IMF}=B_z=6 \text{ nT}$  for a closest approach of the Suisei probe to comet Halley [Itoh and Hirao, 1986; Mukai et al., 1986a, b]. Moreover, the parameters of the plasma production for comet Halley are as follows: the plasma production rate,  $Q_0=1.0 \times 10^{30} \text{ s}^{-1}$ , the ionization rate,  $\sigma=3.3 \times 10^{-6} \text{ s}^{-1}$ , the radial flow velocity,  $v_r=1 \text{ km/s}$ , the ionization distance,  $\lambda_c=v_r/\sigma=3.03 \times 10^5 \text{ km}$  and the effective cometary mass ratio of the water group to the proton,  $m_c=16$  [Mukai et al., 1986a, b]. The MHD equations are solved by the two step Lax-Wendroff method as an initial value problem on the grid points of  $(N_x, N_y, N_z)=(90, 30, 30)$ ,  $(150, 50, 50)$  or  $(120, 60, 60)$  except for the boundary. The mesh size is  $\Delta x=\Delta y=\Delta z=4R_e$ ,  $3R_e$  or  $2R_e$  and the time step,  $\Delta t$  is selected as  $8\Delta x$  ( $=30 \text{ s}$  for  $\Delta x=4R_e$ ) in order to assure the numerical stability.

### 3. Simulation Results

Interaction processes between the solar wind and comet Halley were simulated by using the observed parameters for the closest approach of the Suisei probe [Mukai et al., 1986a, b] as was previously mentioned. Starting from the initial conditions where a uniform solar wind only exists, the code was run until a quasi-steady state configuration resulted. This required about 1024 time steps or 8.5 hours in real time.

In Figure 2 is shown a quasi-steady state configuration of the interaction between the solar wind and comet Halley in the  $x$ - $z$  and  $x$ - $y$  planes for no uniform IMF where  $B_{IMF}=B_z=0 \text{ nT}$ ,  $v_{sw}=500 \text{ km/s}$  and  $n_{sw}=15/\text{cc}$ . The plasma density,  $\rho$  and the plasma pressure,  $p$  are depicted by the contours and the flow velocity by the arrows. A bow shock is formed at  $3.2 \times 10^5 \text{ km}$  as is shown in the plasma pressure and the velocity flow pattern, and a cometary dense

plasma extends toward the downstream. The plasma flow changes its direction toward the outside across the bow shock, however it is rather parallel to the sun-comet line in the further tail. The configuration of interaction is symmetric in the x-z and x-y planes because of no uniform IMF. When a uniform IMF of  $B_{IMF}=B_z=6nT$  is introduced in the z direction, the quasi-steady state configuration is modified as is shown in Figure 3. On the top panel the magnetic field is also depicted by the arrows. The position of the bow shock moves little and an asymmetry appears in the x-z and x-y planes. The plasma density and pressure are thinner in the z direction parallel to the uniform IMF, which comes from the draping effect of hanged IMF lines. The magnetic field has a strongly disturbed feature in the neighbourhood of the bow shock and is again well ordered in the tail region. The field magnitude is quite large even in the tail lobe ( $B=30nT$ ). It should be noted that a high density lump appears at  $x=-8.8 \times 10^5 km$  in the tail and propagates tailward. The tailward velocity is about 50km/s which is comparable with a local Alfvén velocity,  $v_A=40km/s$ .

In Figure 4 are shown the profiles of the magnetic field, B, temperature, T, x-component of velocity,  $v_x$  and density,  $\rho$  on the sun-comet line where M is an effective mass ratio to the proton. The weak bow shock is formed at  $x=3.2 \times 10^5 km$  and the Mach number of the fast magnetosonic wave is about  $M=2.0$  on the sun-comet line, which is quite reduced from the solar wind value,  $M=6.45$ . This is because that the plasma temperature increases whereas the velocity decreases in the upstream region of the bow shock due to the cometary plasma production processes. In fact, the simulated temperature, velocity and density are  $T/M=1.3 \times 10^6 K$ ,  $v=350km/s$  and  $\rho=Mn=22/cc$  in front of the bow shock. The temperature increases across the weak bow shock and then decreases nearer to the comet. The magnetic field increases by a factor of 3.7 across this weak shock and continued to increase up to the contact surface ( $B_{max}/B_{IMF} \sim 6$ ). A cold and dense plasma extends toward the tail and the high density lump is seen at  $x=-8.8 \times 10^5 km$ . The magnetic field also enhanced on the comet side of the high density lump at  $x=-7.6 \times 10^5 km$ .

In Figure 5 are shown the profiles of the magnetic field, B, temperature, T, density,  $\rho$ , velocity, v and the direction of velocity,  $\theta_v$  at  $x=1.7 \times 10^5 km$  in the dayside interaction region. The sharp gradients at  $z=y=5 \times 10^5 km$  for T/M, B, v and  $\rho$  correspond to the weak bow shock. The velocity somewhat decreases even in the outside of the weak shock from the solar wind value,  $v_{sw}=500km/s$  due to the plasma production. The flow velocity decreases from 460km/s to 260km/s across the weak shock and

gradually approaches a minimum value of 80km/s on the sun-comet line. The plasma density and the magnetic field increase about 2.7 times across the shock and continue to increase toward the sun-comet line. The flow angle,  $\theta_v$ , deviates about  $25^\circ$  from the sun-comet direction ( $\theta_v=180^\circ$ ) inside the bow shock. These features are very similar to the Suisei probe observation when they are compared with Figure 2 in the article of Mukai et al. (1986a). The plasma temperature increases about 6.8 times across the weak bow shock and decreases toward the sun-comet line. It is noted that the magnetic field strongly fluctuates along the z-direction as well as the y-direction inside the bow shock.

In Figure 6 are shown the profiles of the magnetic field, B, temperature, T, density,  $\rho$  and velocity, v at  $x=-8.1 \times 10^5$  km in the cometary tail. The magnetic field is enhanced up to about 5 times the IMF value in the tail lobe and is a little enhanced up to 10nT along the y-axis perpendicular to the uniform IMF. The magnetic field does not extremely decrease near the sun-comet line at  $x=-8.1 \times 10^5$  km, which means the intrusion of the magnetic field into the cold and dense cometary plasma tail, however it becomes very small in further tail for  $x < -1.0 \times 10^6$  km (see Figure 4). The temperature and the velocity decrease at the center of tail and the plasma density increases there. The high plasma density in the tail is thin in the z-direction or in the uniform IMF direction and expands in the y-direction, which is consistent with the draping picture of hanged IMF lines.

In Figure 7 are shown the cross sectional patterns of the plasma pressure, p, density,  $\rho$  and the x-component of magnetic field,  $B_x$  in the tail for  $B_z=6$ nT and 0nT. Tail lobes and a thin cold plasma sheet are clearly formed by draping effect of IMF lines (see  $B_x$  component). The cold plasma sheet is thin in the parallel direction to the uniform IMF, and the tendency becomes remarkable in the distant tail. On the other hand, the plasma sheet is naturally symmetric for no uniform IMF,  $B_z=0$ nT as are shown in the lower two panels.

In Figure 8 are shown the three dimensional configuration of the magnetic field lines and their projection onto the three planes for the interaction between the solar wind and comet Halley, where considerably draped IMF lines are only depicted and the colour means a measure of the field draping. It is clearly seen on the figures that the IMF lines hang up to the cometary plasma as was suggested by Alfvén [1957] and slip away toward the tail. The slipped magnetic field lines gradually come close toward the sun-comet meridian (plane at  $y=0$ ) in the further tail.

#### 4. Conclusion

The interaction between the solar wind and the cometary plasmas has been simulated by using a three dimensional MHD model in order to compare with the recent satellite observations to comet Halley. As the result, several features of the interaction which are consistent with the Suisei probe and the Giotto observations have been reproduced when the parameters proposed from the satellite observations for the solar wind, the IMF and the plasma production of comet Halley were used.

The simulated position of the weak bow shock, and the spatial variation of the plasma density, velocity and temperature in the sun side interaction region are consistent with those of the observational results from the Suisei probe. The dense and cold cometary plasma sheet extends toward the downstream of the solar wind and becomes thinner down the tail in the parallel direction to the upstream IMF. The magnetic field is enhanced up to about 3 times the IMF value across the weak bow shock and continues to increase up to 6-8 times the IMF value near the comet. The field magnitude of the draped IMF is not small even in the tail lobes and keeps about 5 times the IMF value.

The MHD fluctuations were enhanced in the neighbourhood of the weak bow shock. The plasma production, which in turn captures the IMF lines into the cometary interaction region, as well as the Kelvin-Helmholts instability due to the velocity shear must have the responsibility to the excitation of the MHD waves. A further simulation is needed to study the excitation mechanism of MHD waves in the neighbourhood of bow shock and also cometary tail dynamics such as the magnetic reconnection and disconnection events.

#### Acknowledgements

We would like to acknowledge helpful discussions with Drs. D.A. Mendis, T. Mukai and T. Terasawa. This work was supported by a Grant-in Aid for Science Research from the Ministry of Education, Science and Cultrure and by the NASA Solar Terrestrial Theory Program Grant NAGW-78. The simulations were performed at the Computer Center of Nagoya University.

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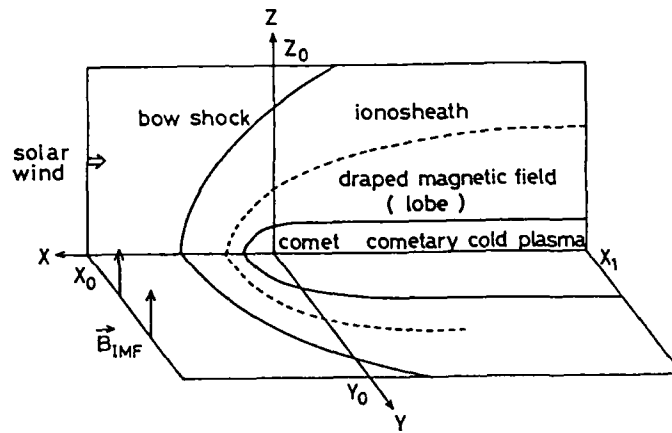


Figure 1. Coordinate system of the solar wind and comet Halley interaction in the 3 dimensional MHD simulation.

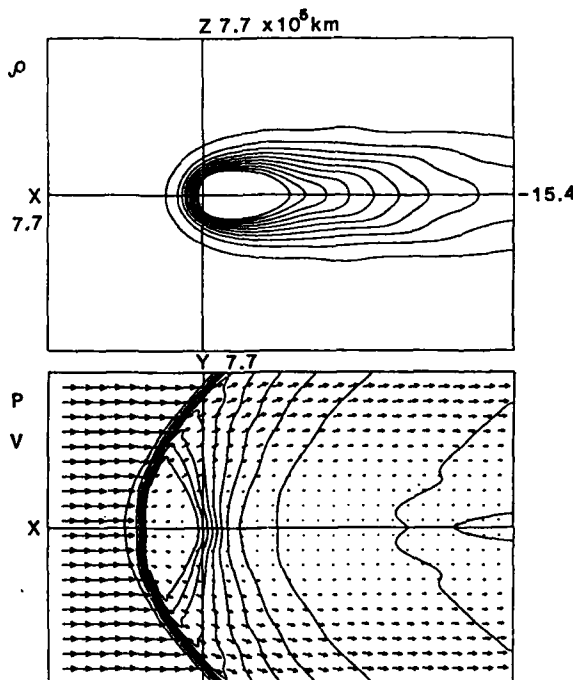


Figure 2. Configurations of the solar wind and comet Halley interaction in the x-z and x-y planes where  $B_{IMF}=0mT$ ,  $v_{sw}=500km/s$  and  $n_{sw}=15/cc$ .

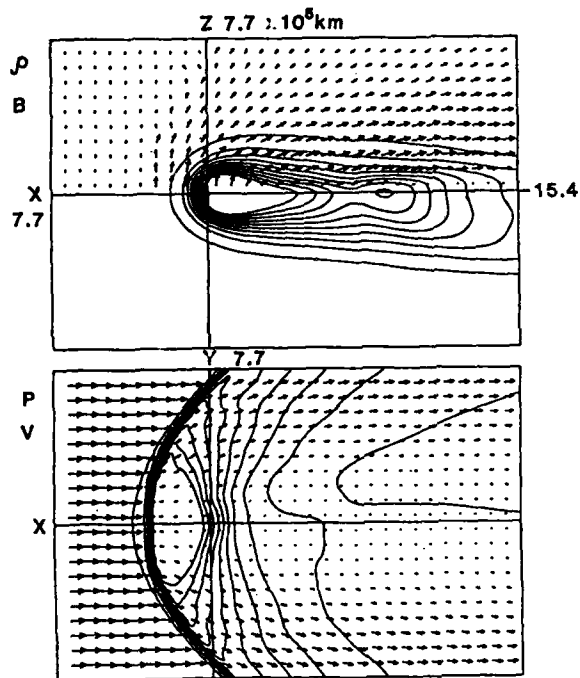


Figure 3. Configurations of the solar wind and comet Halley interaction in the x-z and x-y planes where  $B_{IMF} = 6nT$ ,  $v_{sw} = 500 \text{ km/s}$  and  $n_{sw} = 15/\text{cc}$ .

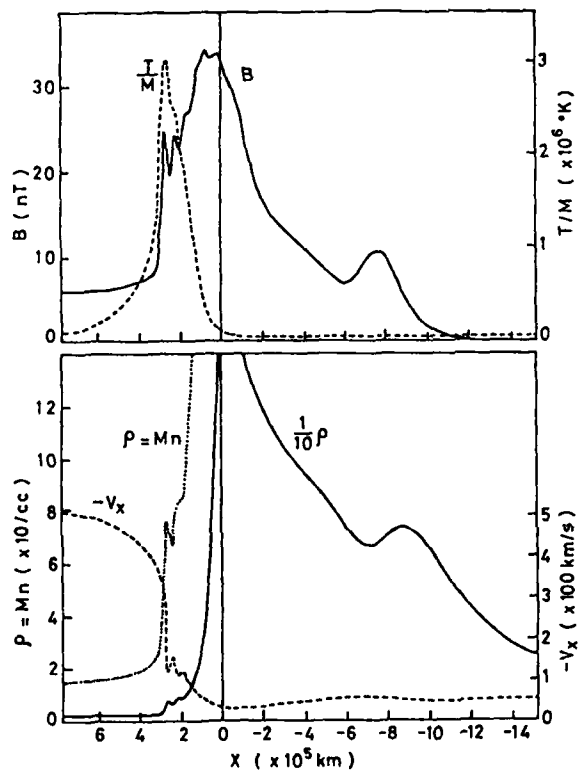


Figure 4. Profiles of the magnetic field,  $B$ , temperature,  $T$ , x-component of velocity,  $v_x$  and density,  $\rho$  on the sun-comet Halley line where  $M$  is an effective mass ratio to the proton.

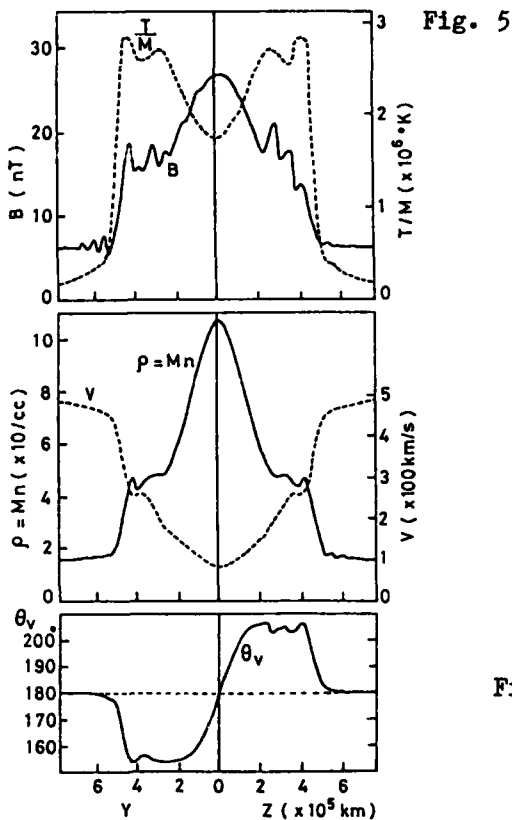


Fig. 5

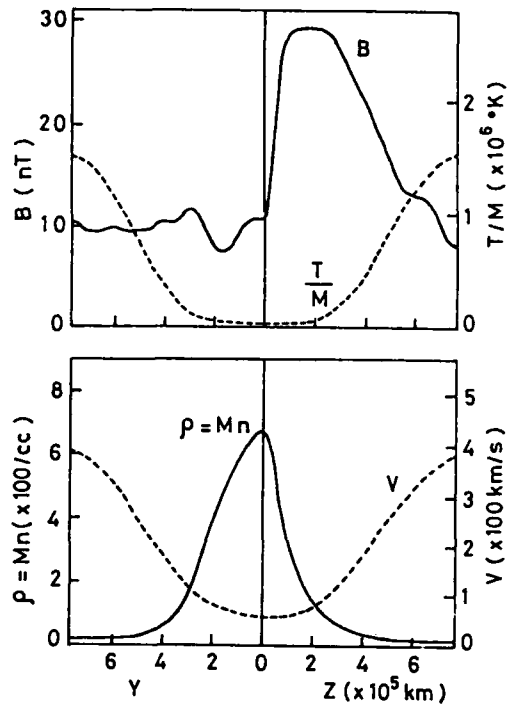


Fig. 6

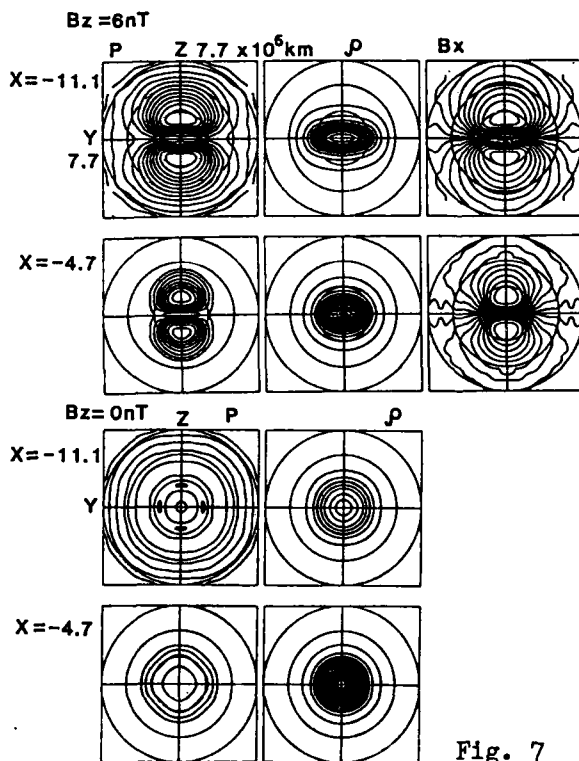


Fig. 7

Figure 5. Profiles of the magnetic field,  $B$ , temperature,  $T$ , density,  $\rho$ , velocity,  $v$  and direction of velocity,  $\theta_v$  at  $x=1.7 \times 10^5$  km in the dayside interaction region.

Figure 6. Profiles of the magnetic field,  $B$ , temperature,  $T$ , density,  $\rho$  and velocity,  $v$  at  $x=-8.1 \times 10^5$  km in the tail region.

Figure 7. Cross sectional patterns of the plasma pressure,  $p$ , density,  $\rho$  and x-component of magnetic field,  $B_x$  in the tail region for  $B_z=6nT$  and  $0nT$ . The tail lobes and thin plasma sheet are formed by draping effects of IMF lines.

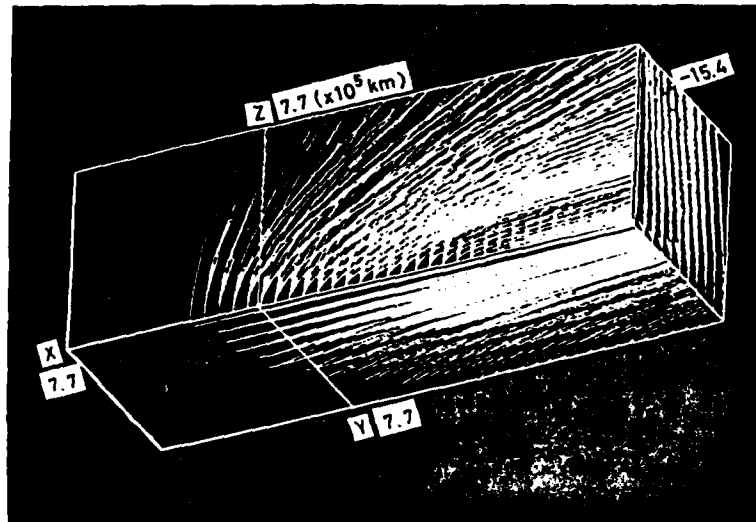
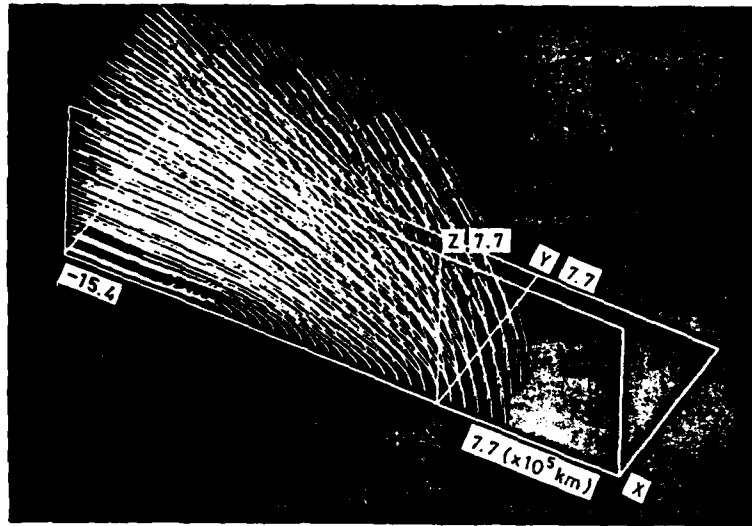


Figure 8. Three dimensional configuration and projection of the magnetic field lines in the interaction regions of the solar wind and comet Halley, where the colour means a measure of the field draping.

NOVA-2: A Kinetic-MHD Stability Code

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To study the effect of energetic particles on MHD instabilities, a kinetic-MHD stability code (NOVA-2) has been developed. A low-frequency drift kinetic model is employed to describe energetic particle dynamics and the MHD fluid model for the bulk plasma. NOVA-2 is an extension of the previously developed nonvariational ideal MHD stability code (NOVA) for computing stability of low  $-n$  modes in toroidal geometry. Some numerical examples of the energetic particle driven toroidicity-induced shear Alfvén waves and fishbone modes will be presented.

A HYBRID VLASOV-FLUID MODEL WITH KINETIC IONS AND MASSLESS  
FLUID ELECTRONS\*

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We have developed two- and three-dimensional models with kinetic ions and massless fluid electrons. The use of fluid electrons allows us to eliminate the electric field so that it never has to be solved for. We are then able to derive a Vlasov equation for the particle ions and obtain the linear dispersion relation from it. We compare the results from this theory with simulation results from our model; excellent agreement is found. The model exhibits Alfvén waves, ion cyclotron waves, low frequency whistler waves and ion Bernstein waves. We have used the model to simulate instabilities such as might be caused by the injection of an energetic neutral beam into the plasma.

We have also implemented a two time step correction technique into code which improves energy conservation by a factor of 10(3) and allows us to use time steps which are an order of magnitude larger.

\*Work done in collaboration with F. Kazeminejad, J.N. Leboeuf, and R. Sydora.

## GYROKINETIC PARTICLE SIMULATION OF FINITE-BETA PLASMAS

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Gyrokinetic particle simulation for finite-beta plasmas will be discussed. The four main topics are: 1) the basic formulation of the nonlinear gyrokinetic equations including magnetic perturbations, 2) numerical properties of the simulation plasma such as time step, grid spacing and noise level, 3) special techniques for the evaluation of the generalized Ohm's law, and 4) the relationship between the gyrokinetic equations and the MHD equations.

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# MACROSCALE PARTICLE SIMULATION OF KINETIC ALFVEN WAVES

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## *Abstract*

Simulation of the kinetic Alfvén wave, which has many applications in space and fusion plasmas, has been performed by using macroscale (magnetohydrodynamic scale) particle simulation code. Dispersion properties and wave-particle interactions of the kinetic Alfvén wave (such as Landau damping and electron acceleration) are successfully obtained in the simulation.

## 1. Introduction

The kinetic Alfvén wave is the Alfvén wave for which wave-particle interactions are important<sup>1-3</sup>. This wave has received much attention recently in connection with particle acceleration along the auroral field lines<sup>4,5</sup> and plasma heating in fusion plasmas<sup>6</sup>. The kinetic Alfvén wave can also be an active agent to heat the plasma in the solar corona<sup>7</sup> and in Jupiter. Moreover, the structure of the auroral arcs seem to be determined partly by this wave<sup>8</sup> since the latitudinal scale of the arcs ( $\approx 100km$ ) is comparable to the ion Larmor radius.

The existence of the kinetic Alfvén wave has been suggested only for limited experimental observations in space and in the laboratory. The Pc 5 magnetic pulsations observed on geostationary satellites<sup>9</sup> show small azimuthal wavelength  $\lambda \approx 300-1000km$  and an azimuthal (westward) propagation speed of the order of  $10km/sec$ . The wavelength and azimuthal phase speed of the kinetic Alfvén wave ( $k_z/k_x \ll 1$ ) are theoretically scaled by  $2\pi\rho_i$  and  $(k_z/k_x)v_A$ , respectively, where the typical proton Larmor radius  $\rho_i$  on the geostationary orbit is  $100km$  and the Alfvén speed  $v_A \approx 1000km/sec$ . In a small tokamak experiment at Lausanne<sup>10</sup>, they observed low frequency density fluctuations and spa-



tial damping of the wave which could be the kinetic Alfvén wave.

Numerical simulation of Alfvén waves were restricted to a scale length comparable to the Debye length  $\lambda_e$  when the conventional particle code was employed. This is because the parallel electric field of the wave, which appears as a consequence of the finite ion Larmor radius, must be solved properly; but the space and time scales of the kinetic Alfvén waves are in the magnetohydrodynamic scales. So far, simulation of plasma heating by the antenna-launched Alfvén wave was made using a long time scale but Debye length scale Darwin particle code<sup>11</sup>.

## 2. Simulation model and common parameters

Two types of simulations of the kinetic Alfvén wave<sup>12</sup> are presented here by using a newly developed macroscale particle simulation code<sup>13</sup> which enables us to follow individual particle dynamics in the MHD scales, namely, in the scale length much larger than the Debye length. In this code, low frequency electromagnetic electric and magnetic fields are solved by eliminating high frequency oscillations such as the light modes; the scalar potential electric field is solved by eliminating Langmuir oscillations. This is achieved by using the decentered time differential scheme which can be coded in semi-implicit algorithm<sup>13</sup> or in full-implicit algorithm. Both ions and electrons are treated as particles with finite mass whose motions are governed by the relativistic version of the equations of motion. The electron motion along the magnetic field line is, therefore, properly treated in this code.

The simulation system is assumed doubly periodic in the  $x$  and  $z$  directions. An ambient magnetic field is applied in the  $z$  direction. The velocity is normalized by the speed of light  $c$ , the time by  $\omega_{pe}^{-1}$ , and the electric and magnetic fields normalized as  $eE/m_e c \omega_{pe}$  and  $eB/m_e c \omega_{pe}$ , respectively. The number of the grids is  $32 \times 64$  with the system length  $L_x = 50c/\omega_{pe}$  and  $L_z = 400c/\omega_{pe}$  (except  $L_x = 100c/\omega_{pe}$  for the run in the next section and run A in Table 1). This system size corresponds to nearly  $250\lambda_e \times 2000\lambda_e$ . 32768 particles are used for each ion and electron species with the particle size of  $a = 3c/\omega_{pe}$ . The parameters used for the background thermal plasma are the ion beta  $\beta = 0.36$ , the ratio of the electron cyclotron frequency to the plasma frequency  $\omega_{ce}/\omega_{pe} = 1$  (i.e.,  $eB_0/m_e c \omega_{pe} = 1$ ), the ion to electron temperature

ratio  $T_i/T_e=4$ , and the mass ratio  $m_i/m_e=50$ . Then the Alfvén speed becomes  $v_A=0.14c$  and the ion Larmor radius  $\rho_i=v_i/\omega_{ci}\approx 3c/\omega_{pe}$  where  $v_i=(T_i/m_i)^{1/2}$  is the ion thermal speed.

### 3. Simulation results: Kinetic Alfvén wave eigenmode in a thermally near equilibrium plasma

Let us first describe the simulation which successfully detects the kinetic Alfvén wave eigenmode in a thermally near-equilibrium plasma by means of power spectrum analysis. As the initial condition, the plasma particles are loaded as quietly as possible without giving any drifts and density perturbations. Figure 1 shows the measured power spectrum for the  $y$  component of the magnetic field as functions of the perpendicular wavenumber  $k_x$  and the frequency  $\omega$  for fixed  $k_z=2\pi/(400c/\omega_{pe})$ . The amplitude of the spectral power is plotted in a logarithmic scale above (to the right of) each baseline for discrete  $k_x$  values. Here for the spectral analysis, the maximum entropy method has been employed. The peaks in Figure 1 show the presence of the eigenmode in the low frequency range, *i.e.*,  $\omega/\omega_{ci}<0.1$ . The measured frequency increases with the perpendicular wavenumber when  $k_x\rho_i$  becomes comparable to unity. The other small peaks at the higher frequencies  $\omega\approx\omega_{ci}$  and  $2\omega_{ci}$  are noises due to ion gyromotion. The line in Figure 1 corresponds to the theoretically derived wave frequency for the kinetic Alfvén wave. The dependence of this measured eigenmode frequency on the perpendicular wavenumber shows good agreement with the theory.

### 4. Simulation results: Finite amplitude kinetic Alfvén wave and associated particle acceleration

As the second type simulation, the propagation of the finite amplitude kinetic Alfvén wave and associated nonlinear effects are presented. Four runs are made by systematically changing the perpendicular wavenumber  $k_x$  with the parallel wavenumber  $k_z$  being fixed (Table 1). To set up a monochromatic plane wave initially, the density and current perturbations are calculated using an electromagnetic kinetic dispersion

solver. The perturbation assumes the form of  $\delta n \sim \sin\psi$ ,  $\delta v_x \sim \sin\psi$ ,  $\delta v_y \sim \cos\psi$ , and  $\delta v_z \sim \sin\psi$  where  $\psi = k_x x + k_z z$ . The amplitudes of these initial perturbations are given in Table 1. To represent the density perturbation which is characteristic of the kinetic Alfvén wave, the particle spacing is modified in the particle loading. The drift velocities of the aforementioned forms are given to the particles in superposition to the random thermal spread.

The scalar potential field  $\phi$ , the  $x$  and  $z$  components of the electric field ( $E_x, E_z$ ) and the magnetic field  $B_y$  are shown, respectively, in Figure 2(a), (b) and (c) for  $t/\tau_A = 1.8$  of run B where  $\tau_A = 2\pi/k_z v_A$  is the Alfvén wave period. It is seen that the loaded wave propagates in the direction almost perpendicular to the ambient magnetic field keeping well the initial wave pattern in the two-dimensional magnetic plasma. The major fields are the  $x$ -component of the electric field  $E_x$  and the  $y$ -component of the magnetic field  $B_y$ . A small but finite parallel electric field, a unique property of the kinetic Alfvén wave, exists as seen in Figure 2(b) owing to the finite Larmor radius effect (this will be discussed below).

The  $y$ -component of the magnetic field  $B_y$  sliced at constant  $x$  positions for runs A, B and C are shown against the positions  $z$  and time  $t$  in the bird's-eye view plots of Figure 3(a), (b) and (c), respectively. For run A with  $k_x \rho_i = 0.19$ , the loaded wave propagates with the parallel phase velocity close to the Alfvén speed (see also Table 1). As the perpendicular wavenumber  $k_x$  increases for run C, the parallel phase velocity becomes appreciably large, as seen in Figure 3(c).

The phase velocity of the waves in the direction parallel to the ambient magnetic field,  $\omega/k_z$ , is plotted in Figure 4 against the perpendicular wavenumber which is normalized with the ion Larmor radius,  $k_x \rho_i$ . As  $k_x \rho_i$  increases, the parallel phase velocity increases parabolically as shown by solid circles in the figure. The solid line shows the theoretical dispersion relation for the kinetic Alfvén wave.

Shown with the open circles in Figure 4 are the damping increments of the loaded waves. The numerical damping rate of the wave is estimated to be  $\gamma_N/k_z v_A < 3 \times 10^{-3}$  at most, which is negligibly small except for run A. For run D with  $k_x \rho_i \approx 1.1$ , the damping increment is so large that the loaded wave decays substantially in a few wave periods [ $(2\pi\gamma/\omega)_{obs} \approx 0.5$ ]. The dashed line in the figure shows the theoretical

damping increment of the kinetic Alfvén wave. Both the measured parallel phase velocity and the damping increment of the loaded waves are in excellent agreement with the theory.

The damping of the kinetic Alfvén wave occurs due to the Landau damping. Under the parameters used in this study, the damping is primarily due to the electrons. The initial Maxwellian distribution of the electrons parallel to the ambient magnetic field in Figure 5(a) evolves into a non-symmetric one with respect to  $v_z=0$ , which has a plateau on the positive side around  $v_z \approx \omega/k_z (\approx v_e)$  as shown in Figure 5(b). However, the locations of both edges of the velocity distribution remained unchanged. The parallel electron effective temperature continues to increase, especially when the wave amplitude is large. On the other hand, ion parallel temperature stays almost the same. The electron heating rate is found to be proportional to the damping increment of the wave for runs A to D, which is scaled as  $\partial T_{e\parallel}/\partial t \approx 16\gamma\epsilon_B$  where  $\epsilon_B = \delta B_y^2/16\pi$  is the magnetic field energy.

The electrons are monotonically accelerated towards the direction of the wave propagation. The acceleration is not appreciable for run A. For runs B, C and D, the acceleration occurs in the early time when the electric field energy  $\epsilon_E = \delta E_x^2/16\pi$  is large, *i.e.*,  $\epsilon_E/T_e > 2 \times 10^{-3}$ . At the end of run D, the total increase in the electron parallel velocity amounts to nearly 10% of the initial electron thermal speed. The increase in the parallel momentum density of electrons is found, with an accuracy of 10%, to be in agreement with the decrease in the total wave momentum density,  $(\delta B_y^2/8\pi)(k_z/\omega)$ . This fact supports the validity of nonlinear results obtained by using the macroscale particle simulation code.

The electron acceleration and heating are attributed to the small but non-vanishing parallel electric field. In order to estimate the torque on the electrons, the parallel electric field is averaged with the electron density as a weight, *i.e.*,  $\langle (-e)E_z \delta n_e \rangle / \langle n_e \rangle$ . (The normal average field  $\langle E_z \rangle$  vanishes exactly due to the periodicity.) The weighted average electric field becomes  $\approx 1 \times 10^{-5} eB_0$  for run C when the electron acceleration is occurring and is consistent with the time rate of increase in the parallel electron momentum (note the initial wave amplitude  $E_{xk}(t=0) \approx 3 \times 10^{-2} B_0$ ). This results from the occurrence of a positive correlation between the electron motion and the electric field, *i.e.*, the phase difference between the electron density perturbation and the

electric field becomes within 90 degrees. Using the measured values,  $\langle (-e)E_z \delta n_e \rangle / \langle n_e \rangle \approx 1 \times 10^{-5} e B_0$  and  $(\langle E_z^2 \rangle)^{1/2} \approx 1 \times 10^{-4} B_0$ , the phase angle difference is estimated to be 78 degrees. (Note that  $\langle \sin \psi \sin(\psi + \delta) \rangle = \cos \delta / 2$  where the average is made over  $\psi$ .) Similarly, the energy transfer rate to the electrons from the wave is estimated by measuring  $\langle (-e) n_e v_{ez} E_z \rangle / \langle n_e \rangle$ . This quantity becomes positive definite, *i.e.*,  $4 \times 10^{-6} c e B_0$  for run C, only during the electron heating. These facts quantitatively verify the electron acceleration and heating observed in the simulation.

## 5. Conclusion

A successful application of the newly developed macroscale particle simulation code was shown for the kinetic Alfvén wave, which has many applications in space and astrophysical plasmas. The detailed wave characteristics, the dependences of the frequency and the Landau damping on the perpendicular wavenumber, were examined and excellent agreements were found between the simulation and theoretical prediction. Some fundamental nonlinear interactions of the kinetic Alfvén wave with the particles (parallel acceleration of the electrons) were also found.

The present simulation work assumed a periodic (closed) system. In the space environment, however, particle acceleration (heating) occurs in an open geometry. Moreover, the Alfvén speed changes its magnitude in an inhomogeneous plasma, for example, along the magnetic field line of the earth. In that case, particles resonating with the wave may be accelerated and convected toward the direction of the wave propagation. Consequently, the distribution function of the particles may evolve into a bi-Maxwellian one rather than formation of the plateau. These specific problems of the kinetic Alfvén wave will be reported in the future.

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Table 1

The initial perturbation of density,  $\delta n$ , and the drift velocities,  $\delta v_x, \delta v_y, \delta v_z$ , for the ions (electrons) in the left (right) column (upper part). The measured phase velocity (frequency) ( $\omega/k_z$ ) and the damping increment  $\gamma$  of the wave (lower part).  $k_x$  and  $k_z$  are, respectively, the perpendicular and parallel wavenumber;  $\rho_i$  is the ion gyroradius;  $v_A$  is the Alfvén speed.

	Run A	Run B	Run C	Run D
$\delta n/n_0$	(0.069, 0.071)	(0.126, 0.129)	(0.219, 0.227)	(0.275, 0.288)
$\delta v_x/c$	( $2.5 \times 10^{-3}, -5.4 \times 10^{-4}$ )	( $2.4 \times 10^{-3}, -6.4 \times 10^{-4}$ )	( $2.3 \times 10^{-3}, -6.7 \times 10^{-4}$ )	( $2.2 \times 10^{-3}, -6.7 \times 10^{-4}$ )
$\delta v_y/c$	( $-2.7 \times 10^{-2}, -2.8 \times 10^{-2}$ )	( $-2.4 \times 10^{-2}, -2.8 \times 10^{-2}$ )	( $-1.6 \times 10^{-2}, -3.1 \times 10^{-2}$ )	( $-8.2 \times 10^{-3}, -3.6 \times 10^{-2}$ )
$\delta v_z/c$	( $\approx 0, 1.2 \times 10^{-2}$ )	( $\approx 0, 2.4 \times 10^{-2}$ )	( $\approx 0, 4.7 \times 10^{-2}$ )	( $\approx 0, 6.9 \times 10^{-2}$ )
$\tan^{-1}(k_x/k_z)$	76.0	82.9	86.4	87.6
$k_x \rho_i$	0.19	0.38	0.76	1.13
$\omega/k_z v_A$	1.03	1.07	1.41	1.98
$\gamma/k_z v_A$	$(7.2 \pm 3.6) \times 10^{-3}$	$(1.3 \pm 0.7) \times 10^{-2}$	$(5.9 \pm 0.9) \times 10^{-2}$	$(1.6 \pm 0.2) \times 10^{-1}$

## Figure captions

Fig. 1 The power spectrum for the  $y$ -component of the magnetic field in a thermally near-equilibrium plasma. The abscissa is the perpendicular wavenumber normalized with the ion Larmor radius, and the ordinate is the frequency  $\omega/\omega_{pe}$ . The power amplitudes are plotted in a logarithmic scale above (to the right of) each baseline with the  $10^4$  range between two adjacent baselines.

Fig. 2 The scalar potential field  $\phi$ , the  $x$  and  $z$ -components of the electric field ( $E_x, E_z$ ), and the  $y$ -component of the magnetic field  $B_y$  for  $t/\tau_A = 1.8$  of run B. The maximum amplitudes are (a)  $e\phi/m_e c^2 \approx 0.22$ , (b)  $eE_x/m_e c \omega_{pe} \approx 3.5 \times 10^{-2}$ , and (c)  $eB_y/m_e c \omega_{pe} \approx 0.17$ .

Fig. 3 The  $y$ -component of the magnetic field sliced at constant  $x$  positions plotted against position  $z$  and time  $t$  for runs A, B and C from top to bottom, respectively.

Fig. 4 The parallel phase velocity (solid circles) and the damping increment (open circles) of the initially loaded waves of runs A to D. The solid and dashed lines, respectively, show the theoretical parallel phase velocity and the damping increment.

Fig. 5 The electron velocity distribution function parallel to the ambient magnetic field at (a)  $t=0$  and (b)  $t=1.8\tau_A$  of run C. The scale in the ordinates is the same in (a) and (b).



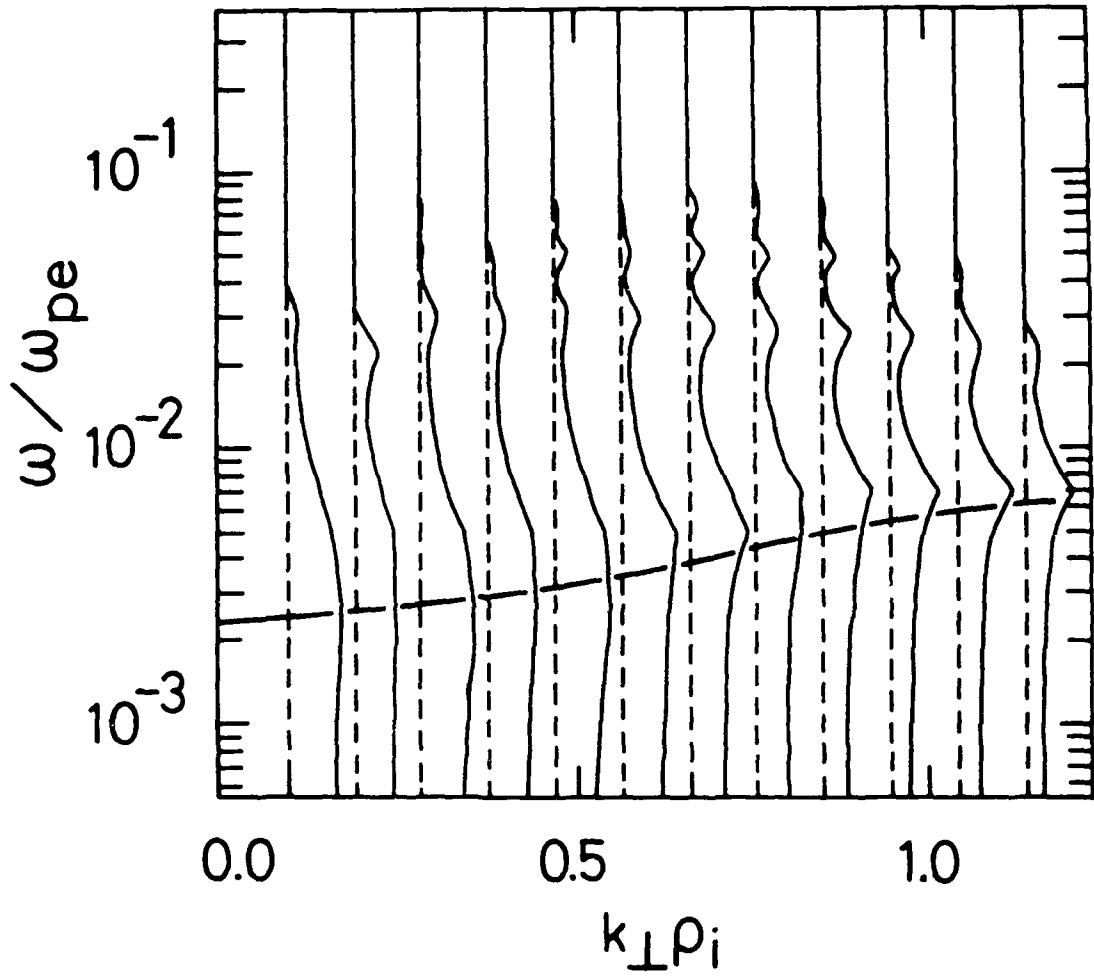


FIG. 1

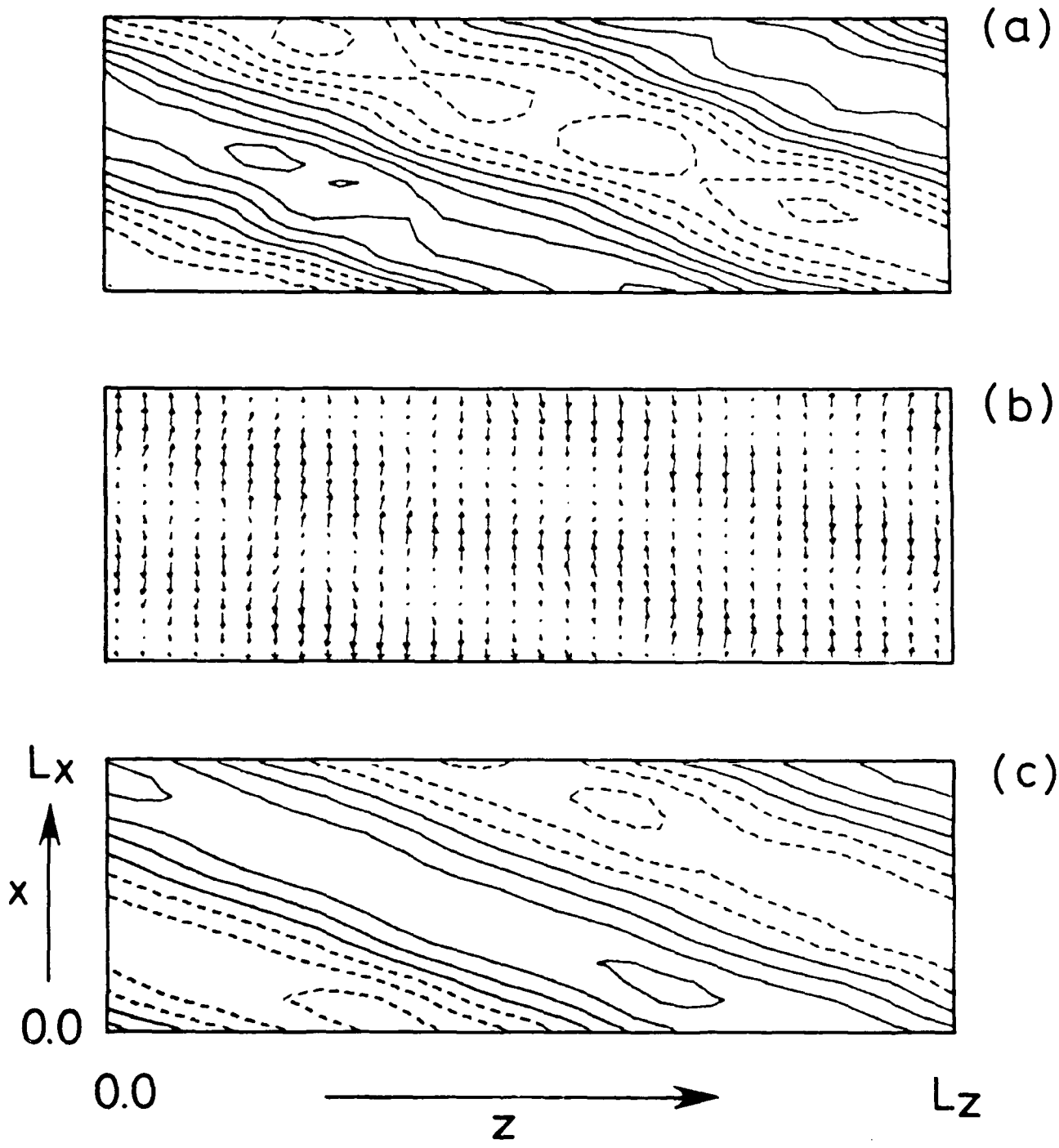


FIG. 2

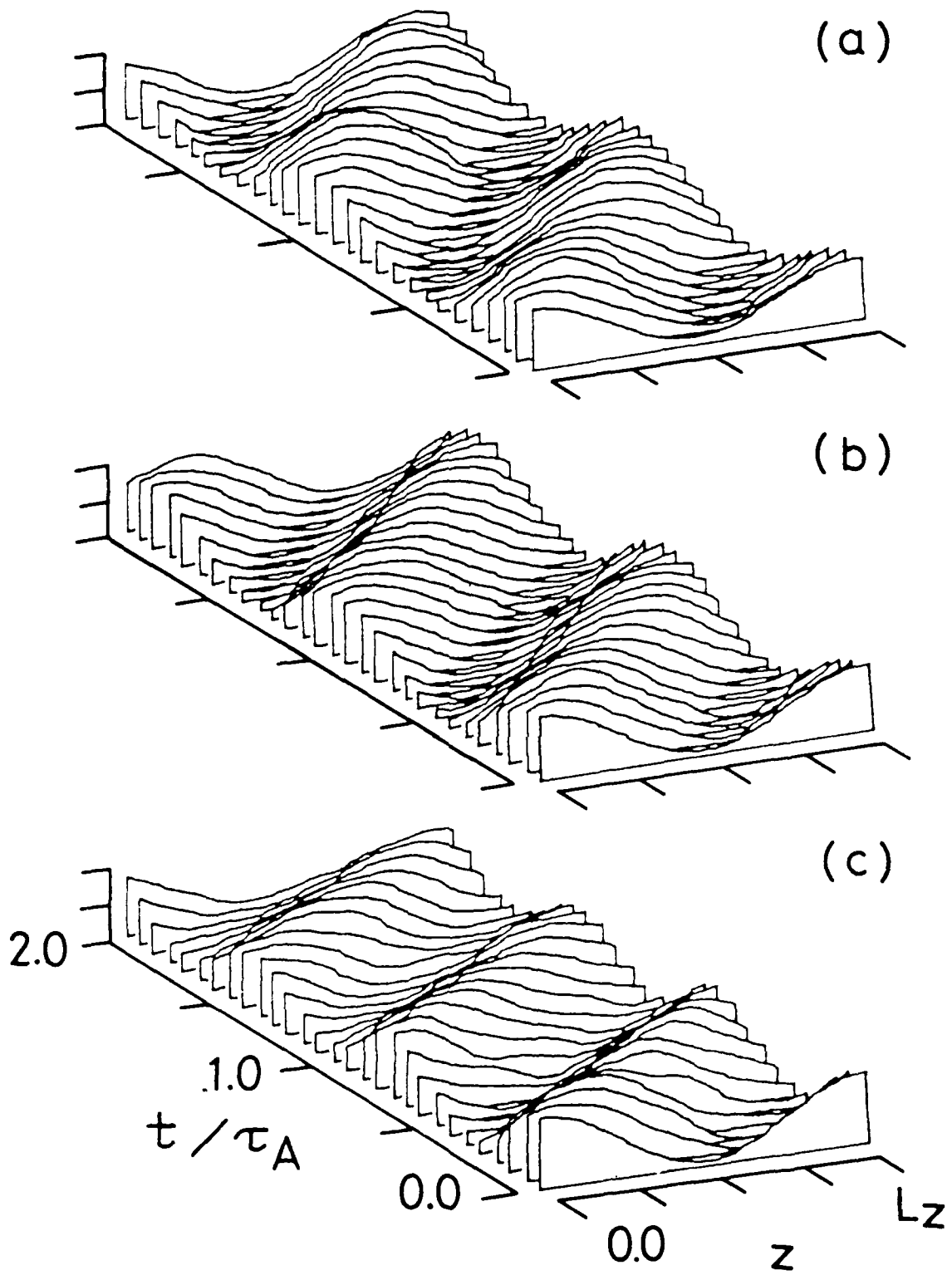


FIG. 3

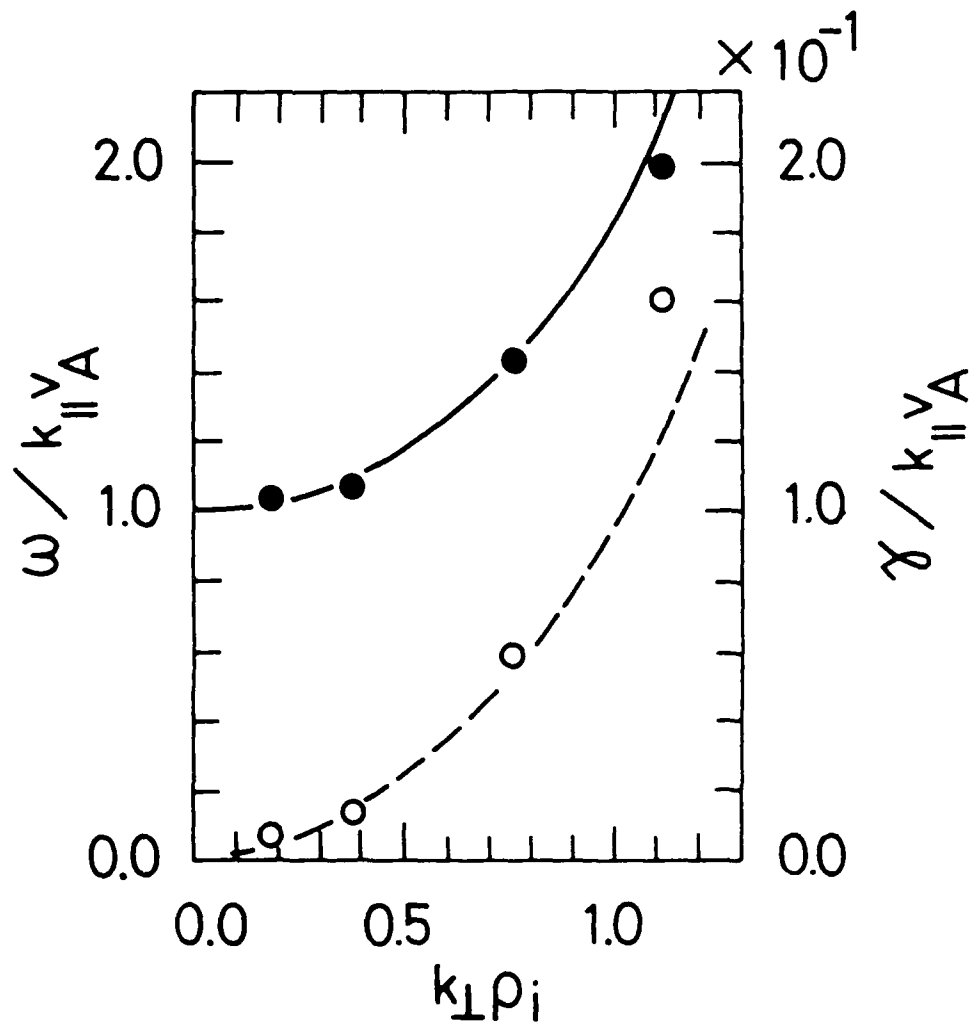


FIG. 4

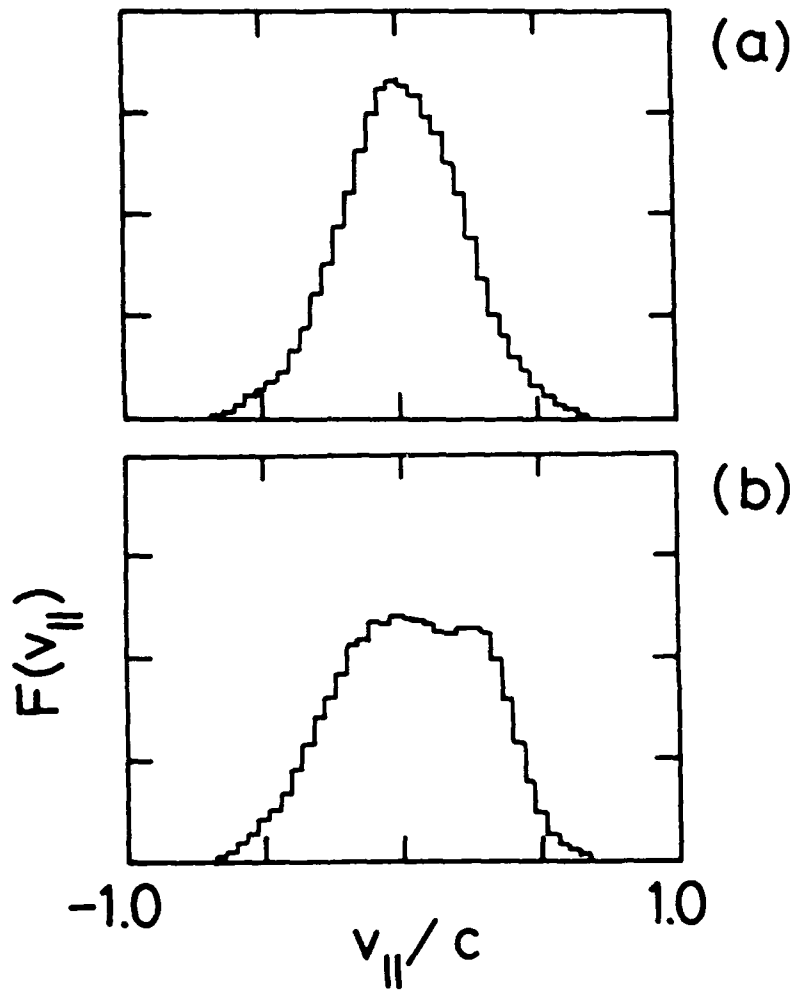


FIG. 5

PARTICLE-PARTICLE PARTICLE-MESH CODE FOR NONIDEAL HIGH DENSITY  
PLASMA AND ITS APPLICATION ON RAYLEIGH-TAYLOR INSTABILITY  
IN ICF PLASMA\*

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In an inertial confinement fusion plasma, the Coulomb coupling constant,  $\Gamma \equiv (Ze)^2/ak_B T$ , becomes of the order of one, where  $a$  is the Wigner-Seitz radius defined as  $a = (3/4\pi n_i)^{1/3}$ . In such a strongly coupled plasma ( $\Gamma \geq 1$ ), the Coulomb interaction can not be treated as perturbations and the system begins to exhibit features qualitatively different from those in an ideal collisionless plasma. To study dynamics of a strongly coupled plasma, we have developed three-dimensional two-component particle-particle particle-mesh code. The code calculates exact Coulomb forces among particles within a short distance, while the PIC method is used for long distance forces. We have observed the pair distribution functions and the result has been found to agree quite well with the hypernetted chain theory and Thomas-Fermi model.

We use the code to study effect of a contact potential on the Rayleigh-Taylor instability between two plasmas with different charge state. The preliminary results will be discussed.

\*Work done in collaboration with H. Furukawa and M. Kawaguchi

# TRIPIC: Triangular-Mesh Particle-in-Cell Code for LIB Diode

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A particle-in-cell code using triangular meshes (TRIPIC code) is described to simulate the motion of charged particle in an electromagnetic field self-consistently in some complicated computational space region. TRIPIC is fitted for the simulation of LIB (Light Ion Beam) diode, for example.

## 1. Introduction

Up to now many particle simulations have been done [1-5] and many particle-in-cell (PIC) codes [2,6,7] have been developed to study a self-consistent interaction between charged particles and electromagnetic field. In many codes usually rather regular space meshes are employed to describe the computational regions. On the other hand, recently, we have a problem of, for example, intense LIB diode simulation in which the computational space region has a nonuniform or curved boundary. TRIPIC code employs triangular space meshes to simulate such the problem. The paper presents the description for TRIPIC code.

In order to solve the electromagnetic field on triangular meshes, we have a finite element method and finite differential one which is, for example, developed by Winslow [8]. Here we focus on the latter method. Winslow developed the numerical method in nonuniform triangular meshes to solve the Poisson type of equation. SUPERFISH code [9] was also developed by the similar method. A descendant of these two codes is TRIDIF code [10] which is a time-dependent code to solve a magnetic

diffusion. Based on this method the Poisson equation and the equation of magnetic vector potential are solved in TRIPIC code.

In TRIPIC code a particle pusher is solved by the Buneman scheme. The interaction between particles and space meshes are accomplished by a simple weighting method.

## 2. Structure of TRIPIC code

At first space meshes are generated in the x-y or r-z plane. In the space-mesh generator two Poisson type of equations are solved [8], because of the 2.5 dimensional code of TRIPIC. The logical meshes are composed of two kinds of lines with labels K and L, shown in Fig. 1. We have to do the mapping to the real space region. In the real space mapped lines are considered to be like equi-potential lines. If the two Poisson equations are solved inversely in the logical space with the appropriate boundary conditions, the numbering of the space meshes can be accomplished. Figure 4-a) shows an example for the generated meshes.

The following basic equations are used in TRIPIC code:

$$dP/dt = (q/m) ( E + VxB ),$$

$$\nabla \times E = - \partial B / \partial t, \quad \nabla \times B = \mu ( J + \partial D / \partial t ), \quad D = \epsilon E$$

$$\nabla \cdot D = \rho$$

TRIPIC code employs the Coulomb gauge and, the Poisson equation for the static electric potential and the equation of the magnetic vector one are solved on triangular meshes. These equations can be solved on the cartesian or cylindrical coordinate in TRIPIC code. Following Winslow the discretization method is described for the generalized Poisson equation:



$$\partial\phi/\partial t = \nabla(\lambda\nabla\phi) + s,$$

$$\nabla\phi = [(\phi_i - \phi_{i+1})S_{i+1}^+ - (\phi_{i+1} - \phi_i)S_i^+]/(S_i S_{i+1}^+).$$

The computational region covered by triangular meshes has sets of six triangles surrounding a vertex, that is called the primary mesh. The area of the secondary mesh is one third of the primary one, shown in Fig. 2. Over the secondary mesh the generalized Poisson equation is integrated, assuming the gradient of physical quantities constant in each triangles. The Gauss law is adapted to the first term at the right hand side of the above equation. Then the sum of the flux is computed. Finally we obtain the discretized equation of the generalized Poisson one on the triangular meshes:

$$(\phi^{n+1} - \phi^n)/\partial t = [(\sum w_i (\phi_i - \phi) + S)/G]^{n+1/2}$$

$$w_i = (\lambda_{i+1/2} \cot\theta_{i+1/2} + \lambda_{i-1/2} \cot\theta_{i-1/2}),$$

$$G = \sum a, \quad \sum = \sum_{i=1}^6, \quad a: \text{area of the secondary mesh}$$

$$S = \sum_{i+1/2} s_{i+1/2} a_{i+1/2}.$$

By using this method basic equations are also discretized for the electromagnetic field. The resulting matrixes of the basic equations are solved by the direct Gaussian forward- and backward-subtraction method [9].

By using electric and magnetic fields particles are pushed. In order to find the electric and magnetic fields on a particle we should find the particle position in the logical space. If the logical meshes are numbered in series, we remember the position at the former time step and the time step is enough small, to find the new particle position is

accomplished by searching the several meshes just surrounding the mesh in which the particle was located at the former time step. To accomplish the interaction between the particles and the space meshes each particle has a finite radius and meshes locating in this circle interact with the particle. In the weighting method the weight is defined by the inverse of the distance between the particle and the mesh points(see Fig. 6). By this method the new current and charge densities are computed.

In addition TRIPIC has an additional subroutine for the particle generation at the specified region as an option. The generation rule is the space-charge limited condition. For example electrons and ions generated at the electrodes in an intense-ion diode[11].

As a boundary condition the Dirichlet-boundary condition is used for the static Poisson equation. For example at the anode surface in an intense-ion diode the applied voltage is imposed at the anode surface. At the surface of the perfect conductor the condition that a parallel electric field is zero is imposed. This is done even at the curved boundary surface by using the coupled equation of the vector potential which is the summed-up equation of parallel component of equations for  $A_x$  (or  $A_r$ ) and  $A_z$  in the  $x-z$  (or  $r-z$ ) plane.

As a summarization of this section we present the time chart of TRIPIC.

	n		n+1		n+2	
	-----I-----		-----I-----		-----I-----	-> time step
v	x	v	x	v		
J	Rho	J	Rho	J		
	Phy		Phy			
	Es		Es			
A		A		A		
B	Et	B	Et	B		

Here  $v$  is the velocity,  $x$  the space coordinate,  $\rho$  the charge density,  $\phi$  the static electric potential,  $E_s$  the static electric field,  $J$  the current density,  $A$  the vector potential,  $B$  the magnetic field and  $E_t$  the transeverse electric field.

### 3. Example of Computation

In this section examples of numerical computations are presented.

#### 3.1 Mesh generation

The mapping is done from the uniform mesh in the logical space (K-L space, see Fig. 1) to the nonuniform real space mesh. Figure 4-a) shows an example of mapped meshes. In Fig. 1 the computational region is the non-hatched region and the hatched outer region is used for the boundary treatment of particles and the fields. Therefore the mesh size of most outer region has no need to be same with the mesh size in the computational region.

#### 3.2 Potential

A computed electric static potential in the region of Fig. 4-a) is presented in Fig. 4-b). The equi-potential lines are plotted by dotted lines. In this case curved electrodes has constant voltages in time, respectively and the system is cylindrically symmetric.

Figure 5 shows the propagation of an electromagnetic single-mode wave. The propagation of vector potential is presented in Fig. 5-a) and Fig. 5-b) shows the electric and magnetic fields. In the direction of the wave vector the cyclic boundary condition is used.

#### 3.3 Particle

In order to check TRIPIC code we simulate the Child-Langmuir current in a diode gap. Figure 7 shows an example of electron map in the diode gap. In this case a gap distance is 0.5 cm and the applied

voltage is 1 volt because of the avoidance of the particle bending by the self-magnetic field. The simulated current coincides well with the analytical value which is obtained only in the case of no magnetic field. The particle emission is controlled by the space-charge limit condition.

#### 4. Conclusion

A particle-in-cell code TRIPIC was developed to do the particle simulation in the irregular boundary by using the nonuniform triangle meshes. The electromagnetic fields are solved by the finite differential method in the nonuniform triangle meshes. The particles are pushed by solving the relativistic equation of motion. The interaction between the particles and the meshes can be accomplished by the simple weighting method. The weight is proportional inversely to the distance between the particle and the mesh. Our particle has a shape of circle in 2 dimensional space. The radius is comparable with the mesh size. The meshes inside of the circle can interact with the particle. This weighting method can be easily switched to the other weighting one.

TRIPIC code can simulate the space region with the irregular boundary. Therefore, for example, TRIPIC is fitted for the simulation of an ion or electron diode [11] which has a curved electrodes to focus the beam.

The technique in TRIPIC code can be also used in other type of PIC code, that is fluid PIC code.

#### Acknowledgement

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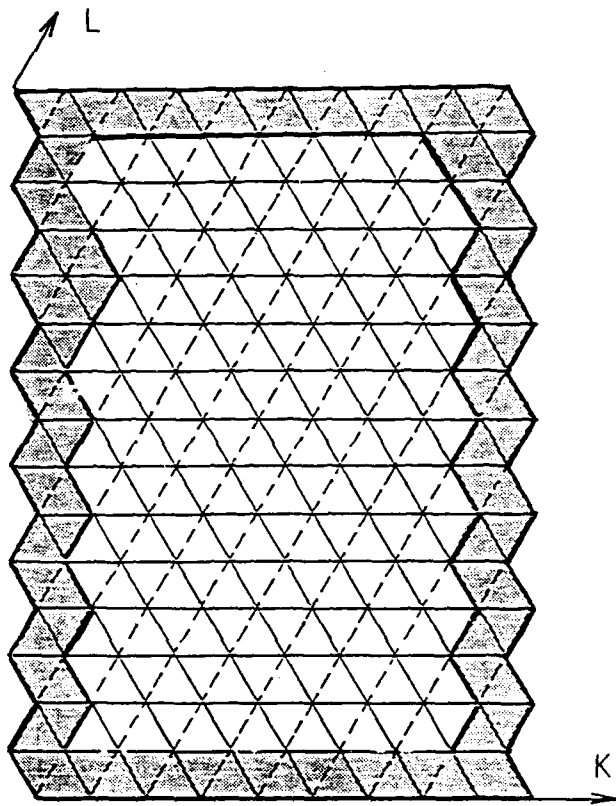


Fig. 1 Mesh in Logical Space

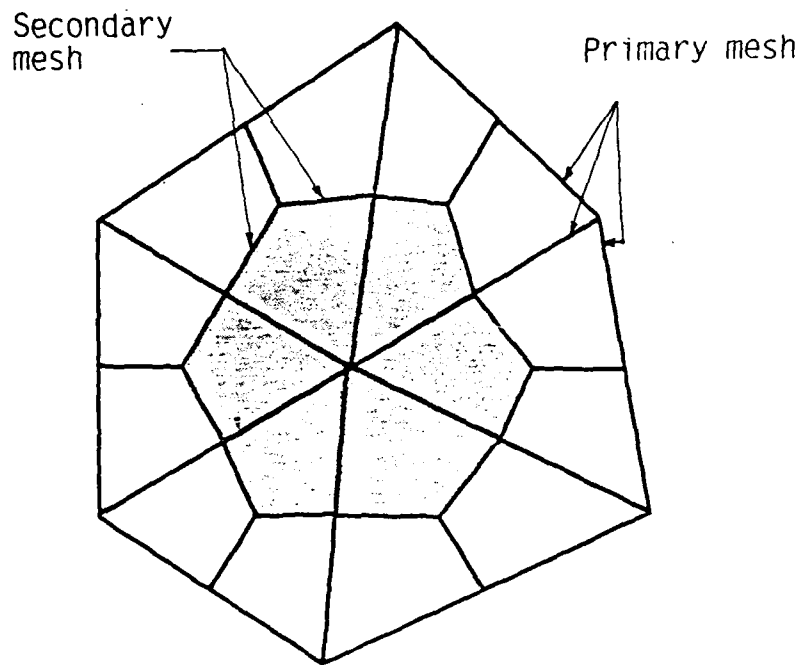


Fig. 2 The primary and secondary meshes in real space

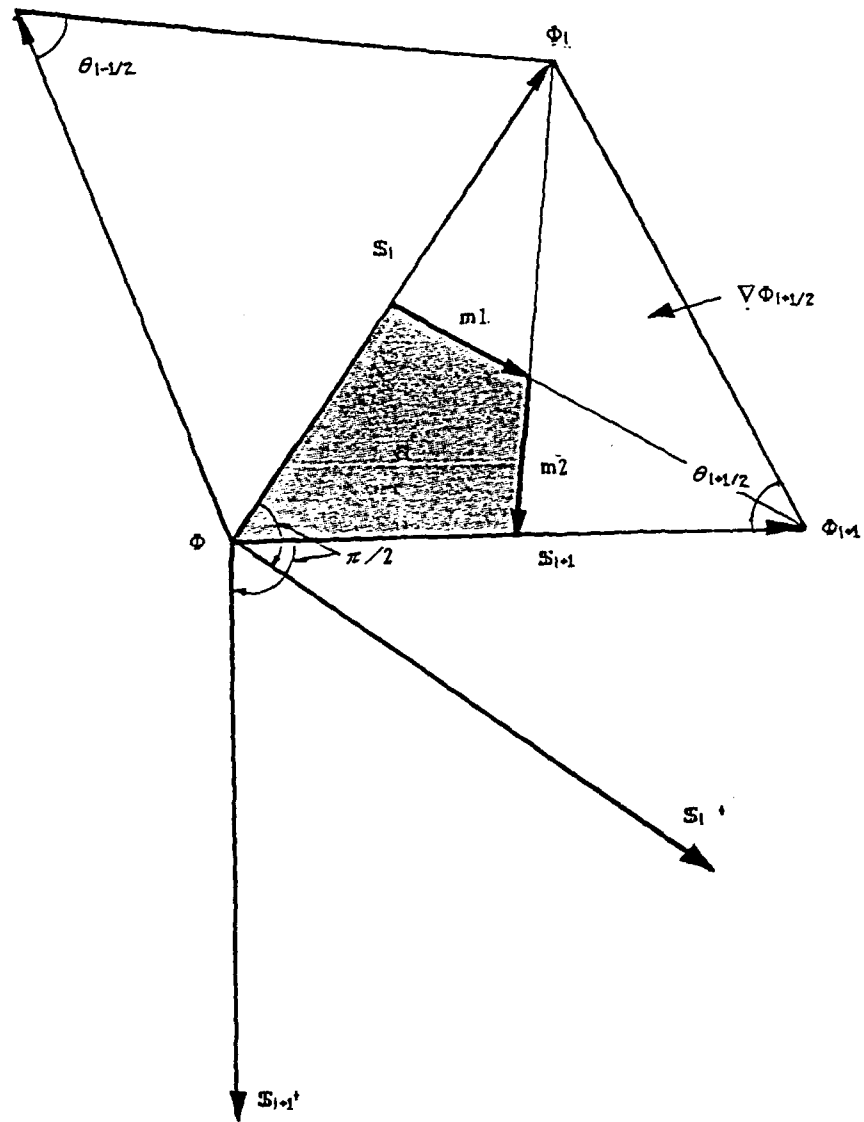


Fig. 3 Notations. A notation of  $a$  is the area of the secondary mesh.

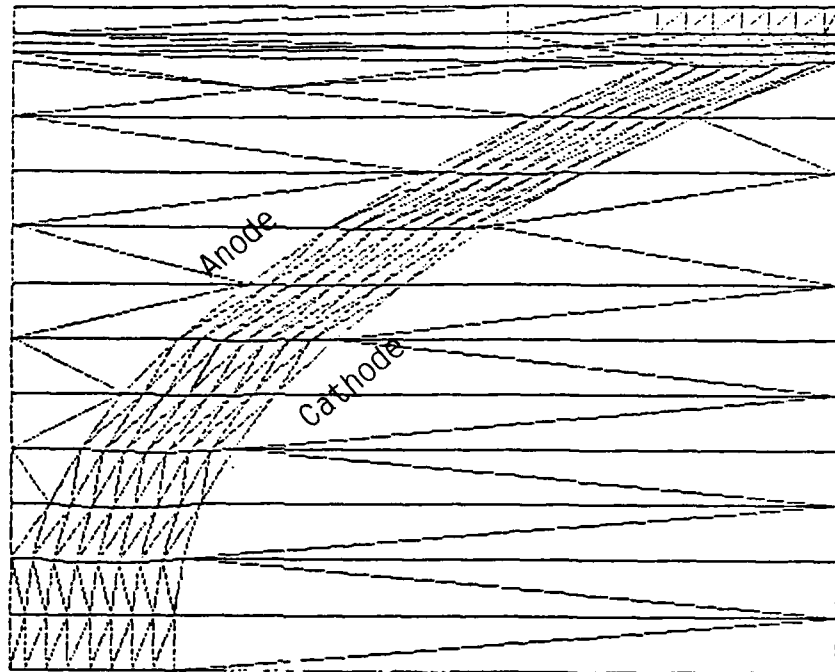


Fig. 4-a) Generated mesh. The computation region is covered by fine meshes.

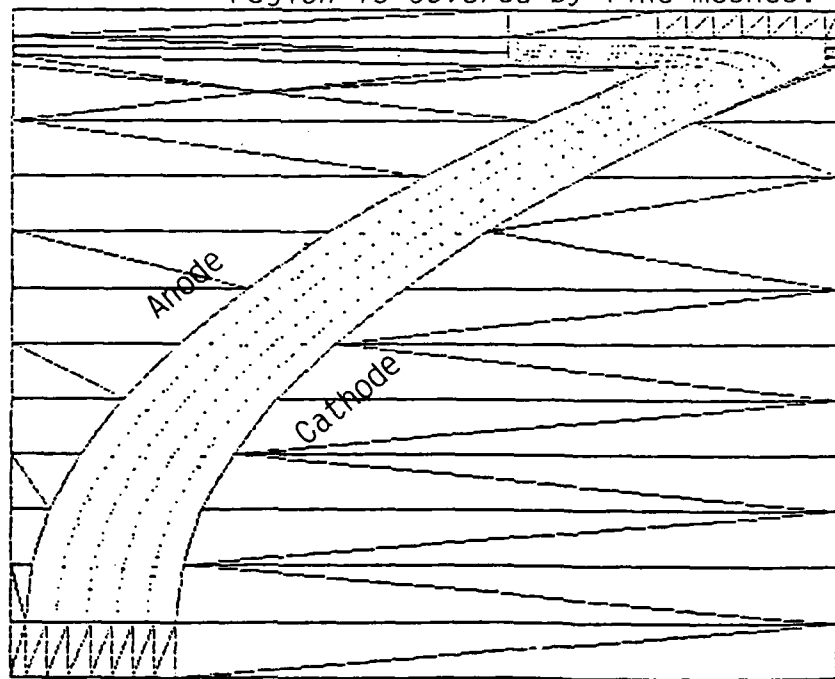


Fig. 4-b) Equi-potential line of static electric field in a diode anode-cathode gap.



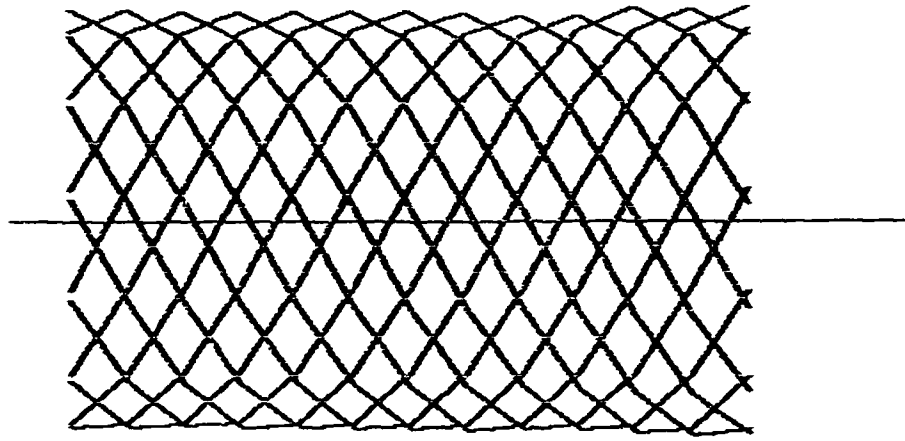


Fig. 5-a) Vector potential in the case of single-em-wave propagation

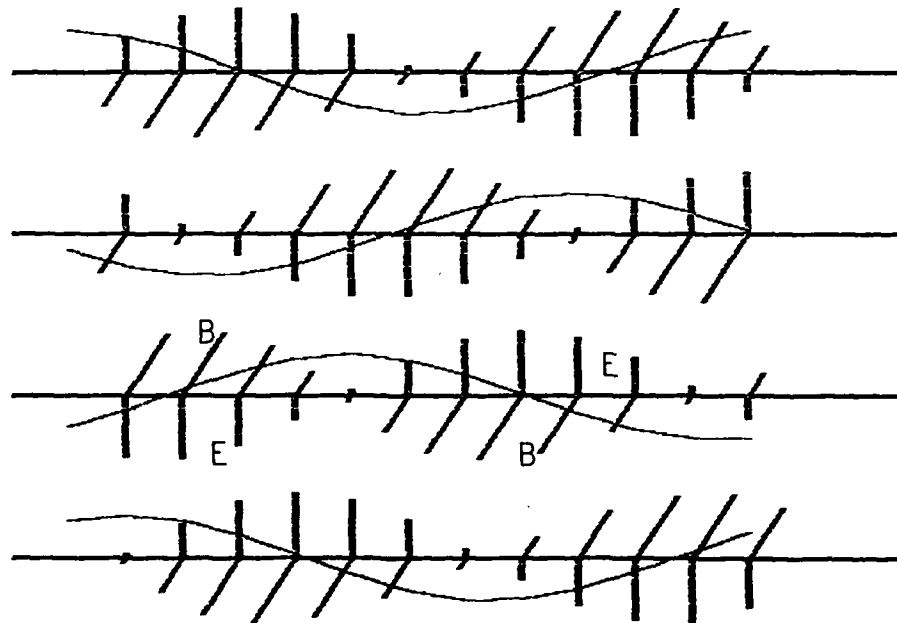


Fig. 5-b) Electromagnetic wave propagation

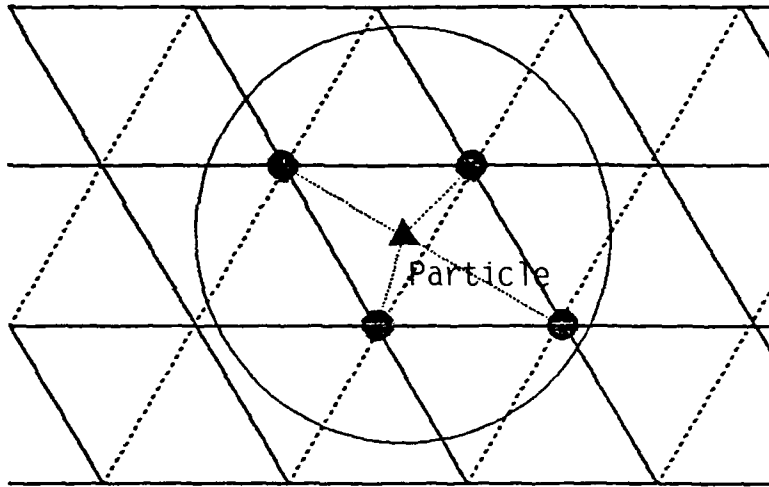


Fig. 6 A particle has a finite radius  
In TRIPIC code and interacts with  
grids inside of the circle.

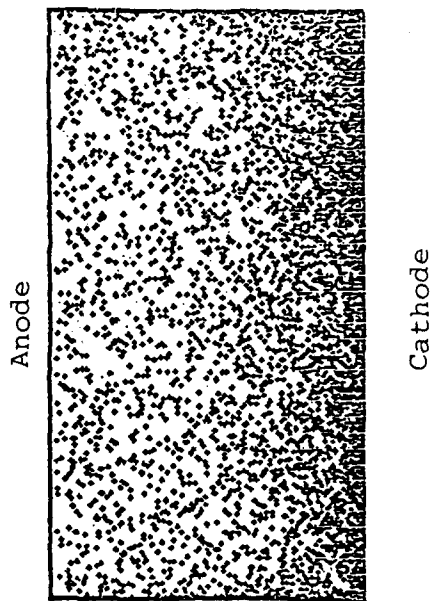


Fig. 7 Electron map in the anode-cathode  
gap. Applied voltage is 1 volt and  
the gap is 0.5 cm. This is done for  
the program check.

A DOMAIN DECOMPOSITION AND OVERLAPPING TECHNIQUE  
METHOD FOR 3D LARGE SCALE NUMERICAL SIMULATION

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A direct-solution scheme for numerically solving the 3-dimensional Poisson's problem with arbitrarily shaped boundaries  $\nabla \cdot (\lambda \nabla \phi) = S$  on  $\Omega$ ,  $C_1 \phi + C_2 n \cdot (\lambda \nabla \phi) = C_3$  on  $\partial \Omega$ , has been developed by using a boundary-fitted coordinate transformation. The scheme also used the technique of decomposing the closed domain  $\Omega$  into several hexahedron subdomains and then overlapping neighboring hexahedrons to deal with complicated geometries. A large system of linear equations derived from discretizing the Poisson's equation was solved by using a biconjugate gradient method with incomplete LU factorization of the nonsymmetric coefficient matrix as preconditioning. The convergence behavior of the different domain decompositions was demonstrated for a numerical experiment. Application to the electrostatic field problem in the electron gun of a color picture tube confirms that the present numerical scheme should provide an efficient and convenient tool for solving many important large-scale engineering problems.

SUBTRACTION TECHNIQUE FOR PLASMA PHYSICS AND EVALUATING NUMERICAL  
EFFECTS IN CODES

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( Presented by R.Sydora )

We have developed a technique for simulation of subtle effects in plasmas. This technique consists of performing the simulation twice. The first time one simulates the background plasma, and the second time one uses exactly the same initial conditions plus some small perturbation, such as an extra test charge, or test wave, etc. One then subtracts the results from each of the simulations, to see only the effect of the perturbation. This method has been used to measure precisely the wake field excited by a single electron out of millions. This technique can be applied to new codes in several ways. It can be used as an extremely demanding test to verify that new codes are behaving correctly. It can also be used to explore the physical behavior of new models, where the physical properties are not well understood because of numerical approximations used, such as in implicit codes.

NUMERICALLY INDUCED STOCHASTICITY  
and  
LONG-TIME BEHAVIOR OF NUMERICAL  
TRAJECTORIES - SMALL  $\Delta T$  ANALYSIS

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Lawrence Livermore National Laboratory  
and  
Steven P. Auerbach  
Berkeley Research Associates

Presented at the  
Second US-Japan Workshop on Advanced Plasma Modeling  
Nagoya, Japan, March 23-26, 1987.

Numerically Induced Stochasticity

In a one-dimensional anharmonic potential well  $\phi(x)$ , the period of an orbit is a function of its energy. The true motion in such a well is regular, since energy conservation constrains the velocity  $v$  at each value of the coordinate  $x$ . Nonetheless, when the orbit is computed numerically, stochastic behavior can result.

We consider simple integrators as *mappings* from  $(x,v)$  at one time level to  $(x,v)$  at the next. With timestep size  $\Delta$  and  $\phi' = \partial\phi/\partial x$ , the leapfrog mover is:

$$v^{n+1/2} = v^{n-1/2} - \Delta \phi'(x^n) ; \quad x^{n+1} = x^n + \Delta v^{n+1/2} .$$

We linearly interpolate  $v$  to time level  $n$ , then plot the pair  $(x^n, v^n)$ . Equivalently, we can write a variant with  $x, v$  defined at integer time levels:

$$\bar{v} = v^n - \frac{\Delta}{2} \phi'(x^n) ; \quad x^{n+1} = x^n + \Delta \bar{v} ; \quad v^{n+1} = \bar{v} - \frac{\Delta}{2} \phi'(x^{n+1}) .$$

In a harmonic well with natural frequency  $\omega_0$ , the classical leapfrog "dispersion relation" is:  $\sin \omega\Delta/2 = \pm \omega_0\Delta/2$ , with stability limit  $\Delta_c = 2/\omega_0 = (\text{period})/\pi$ .

We first noted the phenomenon of numerically induced stochasticity in the potential:

$$\phi(x) = x^2 + \frac{\alpha}{0.25+x^2} .$$

For  $\alpha > 0.0625$ , this well has a central "bump" but is harmonic with natural frequency  $\omega_0 = \sqrt{2}$  at large  $x$ . We have concentrated on the case  $\alpha = 1$  (fig. 1) and begin with a description of the behavior in this double-well potential.

The physical phase plane (fig. 2) consists of closed curves with one separatrix. For small enough  $\Delta$  ( $\leq 0.2$ ), stochastic behavior is limited to orbits near the separatrix which are (analytically) barely trapped in one

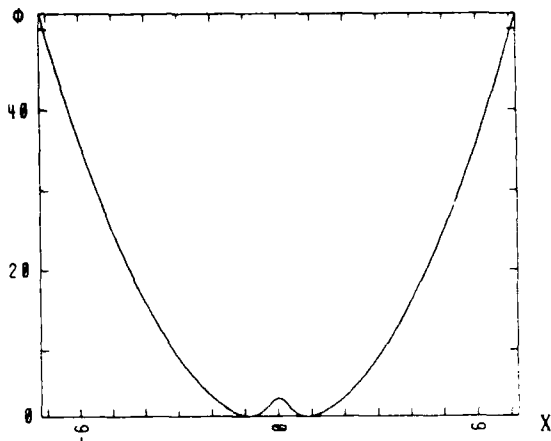


Fig. 1. The double-well potential  $\phi(x)$  obtained when  $\alpha = 1$ .

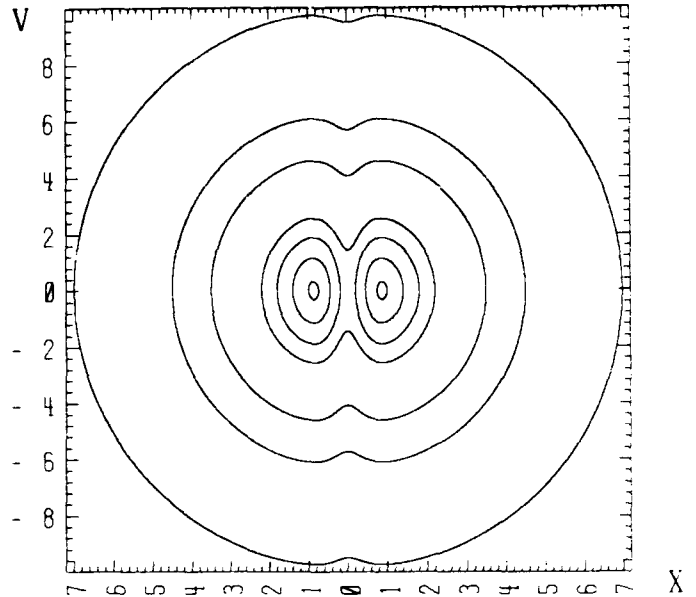


Fig. 2. The  $(x,v)$  trajectories of a set of orbits in the potential of fig. 1.

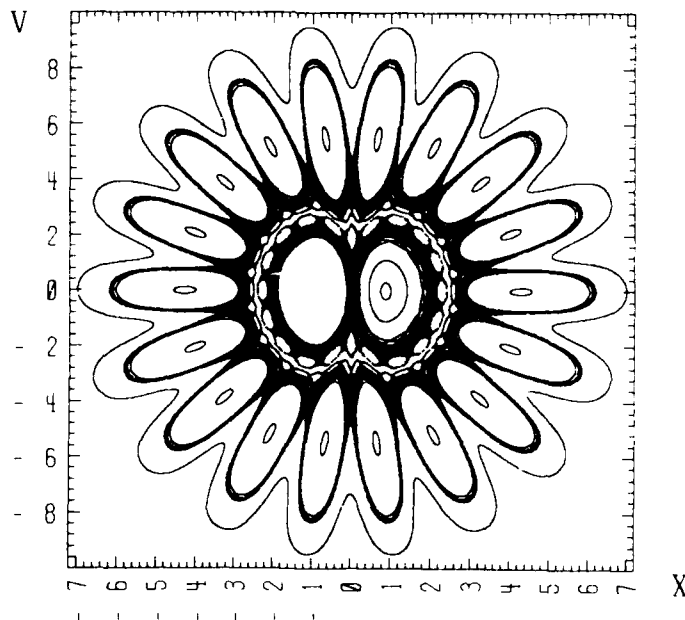


Fig. 3. The  $(x,v)$  trajectories of a set of numerically computed orbits in the potential of fig. 1;  $\Delta t = 0.25$ .

sub-well, or are barely untrapped. There are in addition large trajectory errors (without stochasticity) for orbits which do not carefully sample the central bump.

For larger  $\Delta$ , a wide range of initial conditions yield a rich stochastic behavior, with a complicated island structure. The situation for  $\Delta = 0.25$  is depicted in fig. 3. For small enough energy the motion is confined to a single sub-well and is regular; for intermediate values there is stochasticity; for large values there are large trajectory errors without stochasticity; and for very large energy the central bump is negligible and the motion harmonic (frequency independent of amplitude). These effects occur at timesteps well less than the classical leapfrog stability threshold for the harmonic part of the potential.

Over a large part of phase space (including the region corresponding to "large trajectory errors") we often observe a phase-locking phenomenon; the number of radial oscillations in the locus of points corresponding to an orbit is the nearest integer to the number of steps needed to complete one orbit in the harmonic part of the well. In the run depicted in fig. 3, the harmonic-well period (about 4.44) is 17.77 times the timestep (0.25), and we observe 18 major excursions. When  $\Delta$  is 0.2, the harmonic period is 22.2 steps, and we observe 22 major excursions. However, while oscillations are still evident at  $\Delta = 0.3$ , their number is 44, while the harmonic period is 14.8 steps, or a third as long.

Effects of "cantori" are evident in our runs; an orbit often remains trapped in one sub-region of a large chaotic "sea" for a long time before it breaks through to another part of the sea. Runs using single and double precision (Cray-1) arithmetic have been compared; the varying machine roundoff makes the number of steps required to enter the various sub-regions of a large sea differ, confirming that the gaps in the cantori are indeed "found" by the orbit in a random manner. In addition, the island structure is somewhat different, since smaller islands can be resolved in double precision.

We can write the leapfrog mover in a third form most suitable for analysis:

$$x^{n+1} = x^n + \Delta v^n - \Delta^2/2 \phi'(x^n); \quad v^{n+1} = v^n - \Delta/2 [\phi'(x^n) + \phi'(x^{n+1})].$$

The Jacobian of the mapping  $(x^n, v^n) \rightarrow (x^{n+1}, v^{n+1})$  is  $J \equiv \partial(x^{n+1}, v^{n+1})/\partial(x^n, v^n) = 1$ , and thus the leapfrog integrator is an area-preserving map. In fact, the leapfrog motion in the potential well  $\phi(x) = A \cos x$  is equivalent<sup>1</sup> to Chirikov's "Standard Map"<sup>2</sup>:  $\theta^{n+1} = \theta^n + I^{n+1}; \quad I^{n+1} = I^n + K \sin \theta^n$ . In the leapfrog scheme as usually written, we redefine  $\Delta v^{n+1/2} = V^{n+1}$ ; then  $\Delta v^{n-1/2} = V^n$ , and we obtain:  $x^{n+1} = x^n + V^{n+1}; \quad V^{n+1} = V^n + A\Delta^2 \sin x^n$ . Thus, we make the correspondence:  $x \leftrightarrow \theta$ ;  $V \leftrightarrow I$ ;  $A\Delta^2 \leftrightarrow K$ , and so the Standard Map theory is directly applicable to leapfrog motion in a sinusoidal potential.

The usual Standard Map orbits (fig. 4) are symmetric about neither  $\theta = 0$  nor  $I = 0$  (i.e.,  $x = 0$  nor  $v = 0$ ). By replacing the usual leapfrog mover with our variant that defines  $v$  at integral time levels, we have synthesized a "Symmetrized Standard Map" (fig. 5) which possesses both of these symmetries and may prove useful in other contexts. In our experience with small- $\Delta$  analyses of various movers we have found that simpler power-series expansions result from symmetrized schemes.

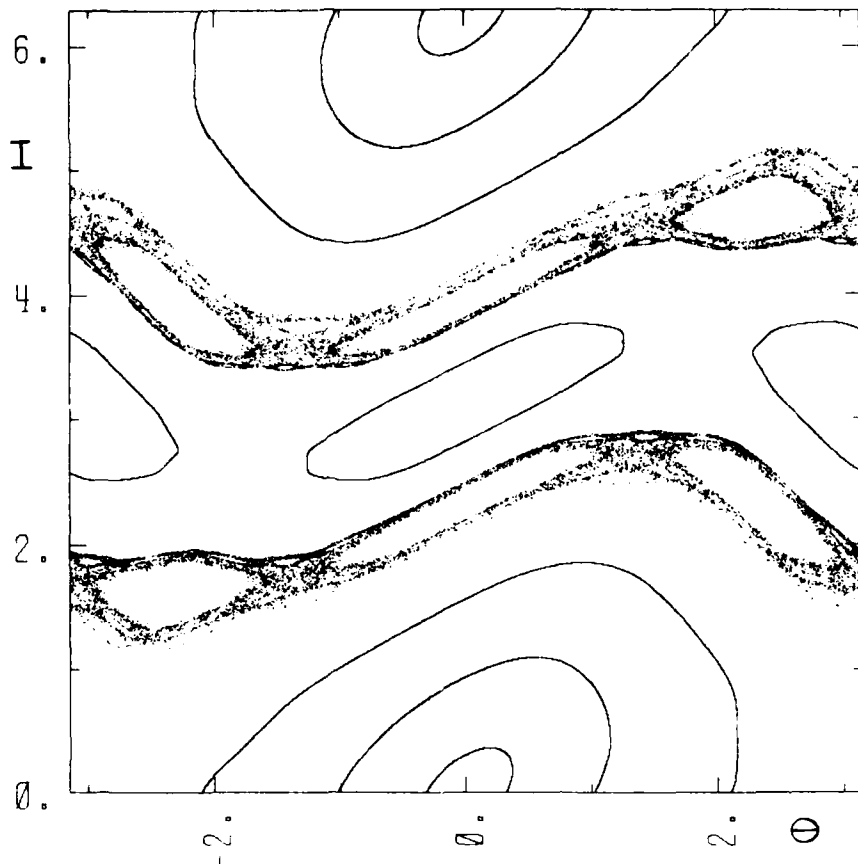


Fig. 4. These Standard Map orbits with  $K = 1.0$  are symmetric about neither  $\theta = 0$  nor  $I = 0$  (i.e.,  $x = 0$  nor  $v = 0$ ).

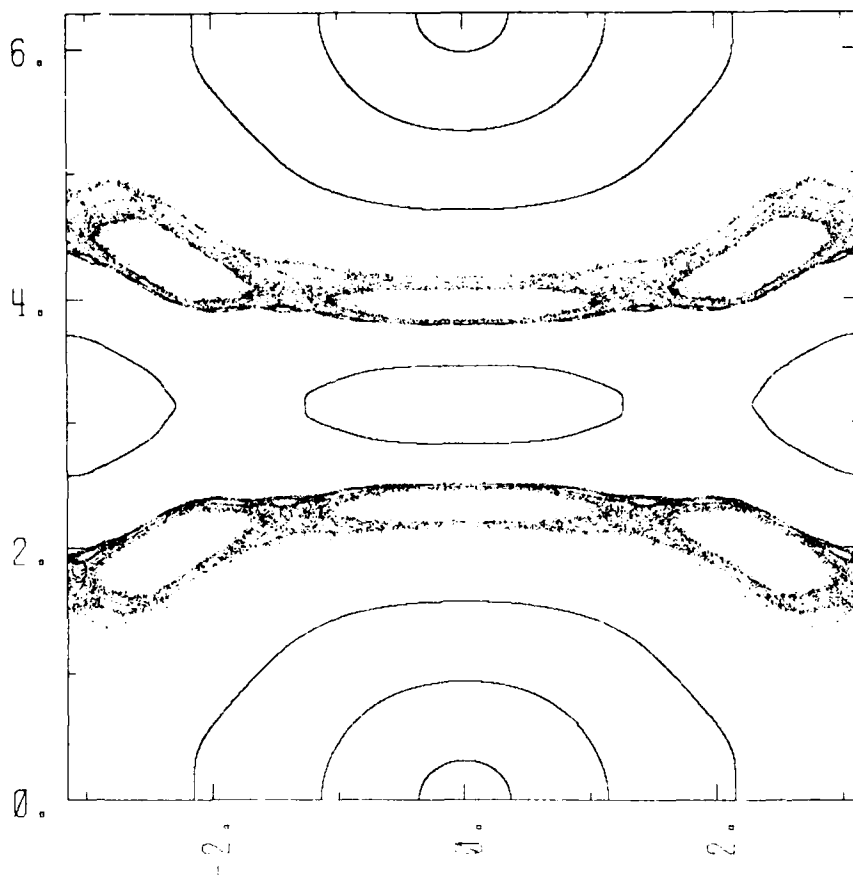


Fig. 5. These "Symmetrized Standard Map" orbits exhibit symmetry about  $\theta = 0$  and  $I = 0$ .



We have examined other wells, and find that stochasticity is not limited to double wells. For example, the single-well potential  $\alpha = 0.0625$  admits a chaotic leapfrog orbit for  $\Delta = 0.75$ . Chaotic motion is more pervasive in double-wells; it sets in at infinitesimal  $\Delta$  because the phase plane entails a separatrix even in the absence of finite-timestep perturbations.

We have also examined other particle moving algorithms; the second mover we consider is an implicit, time-centered mover which is stable for all  $\Delta$ :

$$v^{n+1} = v^n - \Delta/2 \{ \phi'(x^{n+1}) + \phi'(x^n) \} ; \quad x^{n+1} = x^n + \Delta/2 (v^{n+1} + v^n) .$$

In a harmonic well with natural frequency  $\omega_0$ , the dispersion relation is:  $\tan \omega\Delta/2 = \pm \omega_0\Delta/2$ . With  $\alpha = 1$  and  $\Delta = 0.25$ , this mover exhibits behavior similar to that of the leapfrog. In the "stiff spring" potential  $\phi = x^4$  it yields stochasticity for large enough energy and  $\Delta$ .

The implicit mover is not (locally) an area-preserving map. The Jacobian of the mapping from  $(x^n, v^n)$  to  $(x^{n+1}, v^{n+1})$  is:  $J = [1 + (\Delta^2/4)\phi''(x^n)] / [1 + (\Delta^2/4)\phi''(x^{n+1})]$ . Note that interchanging  $x^n$  and  $x^{n+1}$  amounts to changing  $J$  into  $1/J$ , as it must for any "reversible" scheme which retraces its steps if the sign of  $\Delta$  is reversed. Any change in phase space area due to motion in one direction is made up for when the particle moves in the other direction. Thus, we can expect no net change in phase space area after integration over a complete orbit. For this scheme, the fact that  $J \neq 1$  has no global consequences.

Our third mover is a second-order predictor-corrector algorithm:

$$\begin{aligned} \tilde{x} &= x^n + \Delta v^n ; & \tilde{v} &= v^n - \Delta \phi'(x^n) ; \\ x^{n+1} &= x^n + \frac{\Delta}{2}(v^n + \tilde{v}) ; & v^{n+1} &= v^n - \frac{\Delta}{2}(\phi'(x^n) + \phi'(\tilde{x})) . \end{aligned}$$

This mover yields orbits that don't lie on closed curves even for small timestep. It is not an area-preserving map, and does poorly because the Jacobian of the transformation is always greater than unity:  $J \approx 1 + \Delta^4(\phi'')^2/4$ . This can't integrate to zero around an orbit, and so the phase-space area of a given set of initial conditions will grow continuously. Since only  $(\phi'')^2$  enters, the orbit will diverge even in a purely harmonic well, with a dispersion relation:  $\omega = \omega_0 + (\Delta^2\omega_0^3/6) [1 + i\Delta\omega_0]$ .

The fourth difference scheme<sup>3</sup> we consider conserves energy identically; it derives from a symmetrized discretization of Hamilton's equations. Let a subscript \* denote the advanced time level; the scheme is, for any Hamiltonian  $H(q,p)$ ,

$$\begin{aligned} \frac{q_* - q}{\Delta} &= \frac{1}{2} \left\{ \frac{H(q_*, p_*) - H(q_*, p)}{p_* - p} + \frac{H(q, p_*) - H(q, p)}{p_* - p} \right\} ; \\ \frac{p_* - p}{\Delta} &= -\frac{1}{2} \left\{ \frac{H(q_*, p_*) - H(q, p_*)}{q_* - q} + \frac{H(q_*, p) - H(q, p)}{q_* - q} \right\} . \end{aligned}$$

Using such a scheme, the points of a numerically computed orbit will fall on the exact phase plane curves (for a one dimensional Hamiltonian). In a one-dimensional potential well, the Hamiltonian is:  $H = v^2/2 + \phi(x)$ , and the difference scheme becomes:

$$\frac{x^{n+1} - x^n}{\Delta} = \frac{v^{n+1} + v^n}{2}; \quad \frac{v^{n+1} - v^n}{\Delta} = - \frac{\phi(x^{n+1}) - \phi(x^n)}{x^{n+1} - x^n}.$$

By energy conservation, the latter equation can be replaced by:

$$(v^{n+1})^2/2 - (v^n)^2/2 = \phi(x^n) - \phi(x^{n+1}).$$

For most potentials either form must be solved iteratively; for the harmonic oscillator potential the scheme reduces to the centered-implicit one described above. For  $\phi(x) = x^2/2$ , the orbits are exactly the circles  $x^2 + v^2 = 2H$ , but there are phase errors which grow, in a single step, by an amount  $\approx -\Delta^3/12$ . The Jacobian of the mapping is:  $J = (1-\Delta^2Q/2)/(1+\Delta^2Q_*/2)$ , where  $Q \equiv (\phi' - D)/(x_* - x)$ ,  $Q_* \equiv (\phi_*' - D)/(x_* - x)$ , and  $D \equiv (\phi_* - \phi)/(x_* - x)$ . As was the case for the centered-implicit scheme above, interchanging  $x$  and  $x_*$  changes  $J$  into  $1/J$ ; the scheme is reversible and thus area preserving on the average.

Other researchers have advocated the use of both energy conserving<sup>3</sup> and symplectic<sup>4</sup> (in 1d, area-preserving) schemes. However, at least in 1d these desirable attributes seem to be incompatible, since the only scheme which satisfies both criteria yields a series of points along the true orbit, within a uniform (same for all orbits) rescaling of the time parameter. Thus, it seems one would need to know the solution in order to devise such a scheme. The energy conserving scheme in 1d has the advantage of making only phase errors, and is at least area preserving on the average, so it has real merit for at least a restricted class of problems; it is still an open question which property is the more useful in higher dimensions.

The phenomenon of numerically induced stochasticity has significance in several contexts. Firstly, a numerical investigation of the regions of phase space accessible to an orbit may lead to erroneous results, if the timestep is too large or the mover inappropriate. Furthermore, conclusions about orbital stability based on numerical integrations may be erroneous, since neighboring chaotic orbits diverge exponentially, even if the chaos is numerically induced. When studying the dynamics of a physical system, one should demonstrate that any chaos observed is not numerically induced. Also, linearized simulations of collective phenomena must avoid numerically induced stochasticity, since the zero-order and perturbed trajectories are "neighboring". Finally, trajectory crossings in PIC simulations can lead to enhanced noise and other errors.<sup>5</sup>

### Long-Time Behavior of Numerical Trajectories - Small $\Delta t$ Analysis

One can construct a "pseudo-energy" which is conserved along the numerical trajectory. We define:

$$\Psi(x, v) = v^2/2 + \phi(x) + \Delta\Psi_1(x, v) + \Delta^2\Psi_2(x, v) ,$$

and expand  $\Psi(x_*, v_*)$  in a Taylor series using the leapfrog expressions for  $x_*$  and  $v_*$ . Defining  $f = -\phi'$  and keeping terms through  $\Psi_1$ ,

$$\Psi(x_*, v_*) = \Psi(x, v) + \Delta^2[v\Psi_1' + f\partial\Psi_1/\partial v] + \dots$$

Thus  $\Psi$  is conserved if the derivative of  $\Psi_1$  along the unperturbed orbit is zero :

$$\frac{d\Psi_1}{dt} = v\frac{\partial\Psi_1}{\partial x} + f\frac{\partial\Psi_1}{\partial v} = 0.$$

This differential equation has solution  $\Psi_1 = \text{constant}$ , so the conserved quantity is just the usual  $v^2/2 + \phi$ .

Through the next order we find:

$$\Psi(x_*, v_*) = \Psi(x, v) + \Delta^3\left[\frac{d\Psi_2}{dt} + \frac{vff'}{4} + \frac{v^3f''}{12}\right] ,$$

and thus the equation for  $\Psi_2$  is:

$$d\Psi_2/dt = -vff'/4 - v^3f''/12.$$

With  $x, v$  at interlaced times, the first-order term  $\Delta\Psi_1$  does not drop out.  $\Psi_1$  satisfies the equation:

$$\frac{d\Psi_1}{dt} = \frac{1}{2}\left(\frac{\partial\phi}{\partial x}\right)^2 - \frac{v^2}{2}\left(\frac{\partial^2\phi}{\partial x^2}\right) .$$

For the harmonic oscillator potential  $\phi(x) = x^2/2$ , we find that:

$$\Psi(x, v) = \frac{1}{2}(x^2 + v^2 - \Delta xv) + \dots .$$

This amounts to a skewing of the constant-energy curve in the (numerical)  $x, v$  plane due to the leapfrog points being defined at "different times on the orbit".

One can explicitly solve for the  $O(\Delta^2)$  correction to the conserved energy. From before,  $\Psi_2 = -\int dt [v\phi'\phi''/4 - v^3\phi'''/12]$ . We use:

$$dt = dx/v ; \quad v^2 = 2(\epsilon - \phi) ; \quad \frac{d}{dx} \frac{\phi'^2}{2} = \phi'\phi''$$

to write:

$$\begin{aligned} \Psi_2 &= -\int dx [ \phi'\phi''/4 - v^2\phi'''/12 ] \\ &= -\int dx [ d(\phi'^2/8)/dx - \epsilon\phi'''/6 + \phi\phi'''/6 ] . \end{aligned}$$

We then use:  $d(\phi\phi'')/dx = \phi\phi''' + d(\phi'^2/2)/dx$  to write:

$$\begin{aligned}\Psi_2 &= - \int dx \frac{d}{dx} \left\{ \frac{\phi'^2}{8} - \frac{\epsilon\phi''}{6} + \frac{1}{6}(\phi\phi'' - \phi'^2/2) \right\} \\ &= - \frac{\phi'^2}{24} + \frac{\epsilon\phi''}{6} \\ &= \frac{v^2\phi''}{12} - \frac{\phi'^2}{24}.\end{aligned}$$

Thus, the conserved quantity is:

$$\Psi = \frac{v^2}{2} + \phi + \Delta^2 \left[ \frac{v^2\phi''}{12} - \frac{\phi'^2}{24} \right] + \dots$$

Along a numerical orbit, we do indeed find it to be better conserved than  $v^2/2 + \phi$ .

Using this "Hamiltonian," we can derive an equation of motion which puts the particle on the leapfrog points at integer times, but is smooth in between. Consider  $x$  as position,  $v$  as canonical momentum:

$$dx/dt = \partial\Psi/\partial v ; \quad dv/dt = - \partial\Psi/\partial x.$$

This clearly conserves  $\Psi$  on a trajectory:

$$d\Psi/dt = (\partial\Psi/\partial x) dx/dt + (\partial\Psi/\partial v) dv/dt = 0.$$

It also conserves phase space volume; the volume after a time  $\Delta = n\delta$  is unchanged (we take  $n$  infinitesimal steps each of size  $\delta$  to get there, then let  $n \rightarrow \infty$ ):

$$x(\delta) = x + \dot{x}\delta + \dots ; \quad v(\delta) = v + \dot{v}\delta + \dots$$

$$\begin{aligned}J(\delta) &= \frac{\partial[x(\delta), v(\delta)]}{\partial[x, v]} = \begin{vmatrix} 1 + \delta\partial\dot{x}/\partial x + \dots & \delta\partial\dot{v}/\partial x + \dots \\ \delta\partial\dot{x}/\partial x + \dots & 1 + \delta\partial\dot{v}/\partial v + \dots \end{vmatrix} \\ &= 1 + \delta(\partial\dot{x}/\partial x + \partial\dot{v}/\partial v) + O(\delta^2) \\ &= 1 + \delta(\Psi_{vx} - \Psi_{xv}) + O(\delta^2) \\ &= 1 + O(\delta^2)\end{aligned}$$

Thus, after a time  $\Delta$ ,

$$\begin{aligned}J(\Delta) &= \frac{\partial[x(\delta), v(\delta)]}{\partial[x, v]} \times \frac{\partial[x(2\delta), v(2\delta)]}{\partial[x(\delta), v(\delta)]} \times \dots \\ &= [1 + O(\delta^2)] \times [1 + O(\delta^2)] \times \dots\end{aligned}$$

There are  $n$  terms, so

$$J(\Delta) = 1 + O(n\delta^2) = 1 + O(\Delta^2/n) \rightarrow 1 \text{ as } n \rightarrow \infty.$$

The equation of motion along this "dynamical" trajectory is explicitly expressible (through order  $\Delta^2$ ) as:

$$dx/dt = v (1 + \Delta^2\phi''/6)$$

$$dv/dt = -\phi' + \Delta^2/12 (\phi'\phi'' - v^2\phi''')$$

Note that we have given up on  $dx/dt = v$ .

For a second-order equation for  $x$ , we find:

$$\begin{aligned} d^2x/dt^2 &= dv/dt (1 + \Delta^2\phi''/6) + v\Delta^2/6 v\phi''' \\ &= -\phi' + \Delta^2/12 (v^2\phi''' - \phi'\phi''). \end{aligned}$$

We compare this with the harmonic oscillator, for which the analytic solution is:

$$d^2x/dt^2 = -\phi' (1 + \Delta^2/12 \phi'' + \dots).$$

Thus,  $x$  and  $v$  will agree, to order  $\Delta^2$ , with the leapfrog solution. The "dynamical" trajectory is synchronized with the numerical one.

It is, in fact, true in general that the motion along the "dynamical" trajectory is synchronized with the leapfrog motion through at least order  $\Delta^3$ . Consider the position as a function of time:

$$\begin{aligned} x(\Delta) &= x + \Delta\dot{x} + \Delta^2\ddot{x}/2 + \Delta^3\dot{\ddot{x}}/6 + \dots \\ &= x + \Delta v(1 + \Delta^2\phi''/6) - \Delta^2\phi'/2 - \Delta^3v\phi''/6 \\ &= x + \Delta v - \Delta^2\phi'/2 + O(\Delta^4). \end{aligned}$$

This is the same expression as that for leapfrog. The derivation for  $v$  is similar.

#### ACKNOWLEDGMENTS

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REDUCED ATOMIC MODEL FOR CALCULATION OF CHARGE STATE  
DISTRIBUTION IN MULTIPLY-CHARGED PLASMAS

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ABSTRACT

A method to treat particle production and annihilation in particle code is proposed. This method does not directly produce particles and hence can be used with less noise. An example is given for a beat wave accelerator. The density change of 0.06 % during one plasma period can seriously affect the excitation of plasma waves. In addition, a new method to economically solve atomic processes is proposed, which can be coupled with particle codes. Finally, the coupling between non-LTE free and bound electrons is analyzed with a simple analytical model and its importance in real systems is pointed out.

I. INTRODUCTION

In fusion plasmas, there exist many application

fields in which bound electrons inside an atom is not in LTE(Local Thermodynamic Equilibrium). The non-LTE treatment needs a solution of coupled rate equations and hence is extremely difficult in high-Z plasmas. Recently, we have developed a new atomic model<sup>1)</sup> in which both charge state distribution and level populations are economically calculated. This model enables us to incorporate the non-LTE calculation into the hydrodynamic processes and has been applied to the X-ray production from laser-heated Au plasmas<sup>2)</sup>.

Although the non-LTE treatment of bound electrons has been addressed in many studies, free electrons have been assumed to be in LTE-Maxwell-Boltzmann distribution. However, we find that there exist a wide parameter range in which the cross section of free-free binary collision is much less than that of the electron impact ionization. In such a case, even free electrons should be described in non-LTE. There have been an attempt to do this<sup>3)</sup>, but the electron distribution function was given outside and the modification of the distribution owing to the atomic process was not considered.

In this paper, we discuss the possibility to establish a new particle model to describe non-LTE free electrons incorporating interactions with non-LTE bound electrons : ionization and recombination. In section II, we propose a simple algorithm to treat an-

nihilation and production of particles. In section III, this scheme coupled with a relativistic electromagnetic particle code, which is essentially the same as ZOHAR<sup>4)</sup>, is applied to a beat wave accelerator<sup>5,6)</sup>. In section IV, the review of recent atomic models are given. In section V, the coupling between the atomic process and the particle distribution is treated by a simple model and the model is applied to a problem on heat flux in laser-produced plasmas.

## II. PARTICLE CODES WITH ANNIHILATION AND PRODUCTION

Particle codes have been used in many areas ; electromagnetic particles in plasma physics, gas particle in astrophysics, fluid particles in fluid mechanics and so forth. The code can be easily implemented and is tolerable in large distortion or disturbance. In these applications, however, there have been little attempt to incorporate the annihilation and production of particles in the codes. This is because the annihilation and production of particles require inefficient and tedious calculations and sometimes cause a serious noise. In this section, we propose a simple algorithm to incorporate the above process into particle codes.

In most cases, a particle is produced at some



point having a velocity distribution. Let us define the production rate of this distribution by  $df(x,v)/dt$ . In order to replicate this production, a number of particles must be generated; velocity distribution must be replicated. Furthermore, if  $df/dt$  is quite small, a particle will be generated very rarely or discontinuously leading to noise because mass of generated particle cannot be small; if the particle mass is taken to be small, number of particles generated will be extremely large. In the present scheme, the particle is not directly generated. Instead of generating directly the particles, the produced particles are stored into a cell as in a form of a velocity distribution  $f_i(v)$ , which is advanced in time as

$$f_i^{n+1}(v) = f_i^n(v) + df(x_i, v)/dt \cdot \Delta t, \quad (\text{II-1})$$

where  $x_i$  is the location of the  $i$ -th cell center and  $n$  denotes the time step, and  $\Delta t$  is the increment of the time step. The distribution does not propagate in space and has no response to forces generated or imposed. In our algorithm, new particles will not be produced unless the severe condition described later is met. Instead of generating new particles, the mass of existing particles which are in the  $i$ -th cell are increased by the following procedure;

$$N_p^{new}(x_p, v_p) = N_p^{old}(x_p, v_p) + \alpha f_i^{n+1}(v_p) \Delta v, \quad (\text{II-2})$$

where  $x_p$  and  $v_p$  are the location and velocity of the

particle, and  $\Delta v$  is the velocity volume ;  $f_i$  is divided into many cells in velocity space. In turn, the stored particle decreases according to

$$f_i^{n+1}(v_p) = (1-\alpha) f_i^n(v_p), \quad (\text{II-3})$$

in one time step. In the example given in section III,  $\alpha$  is set to 1 or 0 (see also Eq.(II-4)).

We should impose the maximum and minimum size,  $N_{max}$  and  $N_{min}$  on the particles.  $N_{max}$  avoids too large particle which may become a source of noise. Then

$$\alpha = 0 \quad \text{if } N_p^{n+1} > N_{max} \quad (\text{II-4})$$

Once the mass increase stops as in Eq.(II-4),  $f_i(v_p)$  corresponding to this particle may increase intolerably. This situation is unavoidable, particularly for cold streaming electrons in uniform ion background ; some of these beam electrons collide with bound electrons inside ions and a new "non-drifting" electron should appear. Since there is no electron in this velocity range, a new particle must be generated. However, if  $f_i$  is used, this procedure can be simply performed. Thus, if  $f_i(v_p)\Delta v \geq N_{min}$ , a particle having  $v_p$  and  $N_{min}$  is generated inside the  $i$ -th cell.

Annihilation of particles is very simple in this procedure. It should be noticed that a particle will not disappear even if  $N_p < N_{min}$ .

The only problem is how to store this distribution with less computation memory ; space times velocity dimensions is required.

### III. APPLICATION TO BEAT WAVE ACCELERATOR

In this section, we give a very simple application of the procedure given in the previous section. That is a beat wave accelerator<sup>5,6</sup>). There have been a number of particle simulations on this problem, while none has incorporated ionization effects into the particle code. In some of experiments on the beat wave accelerator, medium-Z materials are used as a plasma source. Even in this medium-Z plasmas, ions may not be fully ionized. During the heating of plasmas, the ion density gradually increases in time. Although the rate of density change is very small, it can affect the excitation of plasma waves. This was first pointed out by J.P.Matte *et al.*<sup>7</sup>).

It is well known that plasma waves excited by beat wave saturate because of a relativistic detuning<sup>6</sup>). This is due to the increase of  $\gamma = [1 - (v/c)^2]^{-1/2}$ , where  $v$  and  $c$  are the speeds of a particle and light, respectively. The idea by J.P.Matte *et al.* is to compensate this reduction of plasma frequency by increasing the ion density through ionization. This change in  $\delta \omega_p$  is approximately written<sup>8</sup>) as

$$\delta \omega_p / \omega_p = -1/2 (9a_1 a_2 / 8)^{2/3} \quad (\text{III-1})$$

where  $a_1, a_2 \ll 1$  are the normalized quiver

velocities  $eE_1/(m\omega_1c)$ ,  $eE_2/(m\omega_2c)$ . Hence the density change  $\delta n$  which compensates for the change given by Eq.(III-1) should be

$$\delta n/n = (9a_1a_2/8)^{2/3} \quad (\text{III-2})$$

Since the time to saturate is

$$\omega_p \tau_s = (4/3^{1/3})(a_1a_2/4)^{-2/3}, \quad (\text{III-3})$$

the rate of density change is

$$\delta n/n\omega_p \tau_s = (3^{1/3}/4)(9/32)^{2/3}(a_1a_2)^{4/3}. \quad (\text{III-4})$$

For CO<sub>2</sub> laser (10.6 and 9.6  $\mu\text{m}$ ) at  $10^{14}\text{W}/\text{cm}^2$ ,  $a_1a_2 = 0.0074$  and hence Eq.(III-2) gives  $\delta n/n = 0.04$ . This indicates that this small change of density can affect the excitation of plasma waves.

Since  $\omega_p \tau_s = 184$  and  $\delta n/n\omega_p \tau_s = 2.2 \times 10^{-4}$ , the density changes by only 0.022% in one plasma period ( $\omega_p^{-1}$ ). However, even this small change can be successfully described with the algorithm given in section II.

Let us give an example ;  $a_1=a_2=0.1$ ,  $\omega_1/\omega_p=10.9$ ,  $\omega_2/\omega_p=9.9$ , the system size is  $6.35c/\omega_p$ , total spatial meshes 256. The initial electron temperature is  $m_e c^2/25$ . The ejected electrons through ionization are stored into  $f_i(v)$  as in Eq.(II-1) ; the velocity space is divided into  $10 \times 10$  meshes for the range  $-1 \leq v_x/c$ ,  $v_y/c \leq 1$ .  $N_{\text{max}}$  and  $N_{\text{min}}$  are set to  $2.0N_0$  and  $0.5N_0$ ,  $N_0$  being the initial particle mass.

Figure III-1 shows the time evolution of particles in phase space  $(x, u_x)$  ; this is the case without ionization. Figure III-2 shows the time

evolution of the maximum electrostatic potential induced by beat wave ; III-2a and 2b correspond to the cases without and with ionization, respectively. In the latter case, ionization rate  $\delta n/n\omega_p\tau$  is  $6.25 \times 10^{-4}$ , whereas the value given by Eq.(III-4) is  $3.33 \times 10^{-4}$ . As clearly seen from these figures, even this small change of the density can seriously modify the beat wave acceleration. This may also be true for the induced Raman process in laser-produced plasmas.

#### IV. ATOMIC PROCESS

In this section, the method to solve atomic processes is briefly reviewed. The basic equations for those processes are the rate equation for the population  $N_{z,n}$  of an ion in charge state  $Z$  with an excited electron in the  $n$ -th level;

$$dN_{z,n}/dt = -\sum_m I_{z,n;z+1,m} N_{z,n} N_e - \sum_k R_{z,n;z-1,k} N_{z,n} N_e + \sum_{m'} I_{z-1,m';z,n} N_{z-1,m'} N_e + \sum_k R_{z+1,k';z,n} N_{z+1,k'} N_e - \sum_l E_{z,n;z,l} N_{z,n} N_e - \sum_l D_{z,n;z,l} N_{z,n} + \sum_l E_{z,l;z,n} N_{z,l} N_e + \sum_l D_{z,l;z,n} N_{z,l}, \quad (\text{IV-1})$$

This equation is not economical when it is coupled to other equations which determine the plasma parameters.

In practice, it is better to use an approximation to this equation and to make it realistic. There exist three approaches at hand. The first one is the average ion model<sup>9, 10</sup>, where  $N_{z,n}$  is averaged over  $Z$  and then it is sufficient to solve only the equations for the level populations of an average atom. The equation to be solved in this case is

$$d(NP_n)/dt = R_n N_e N Q_n - I_n N_e N P_n + N \left[ \sum_{m>n} A_{nm} P_m Q_n - \sum_{m'<n} A_{m'n} P_n Q_{m'} \right] + \sum_{m>n} C_{nm}^D N_e N P_m Q_n - \sum_{m'<n} C_{m'n}^D N_e N P_n Q_{m'} + \sum_{m<n} C_{nm}^U N_e N P_m Q_n - \sum_{m'>n} C_{m'n}^U N_e N P_n Q_{m'}. \quad (\text{IV-2})$$

The derivation of this equation is described in Ref.1 in detail.

As an alternative approach, if a suitable assumption for the populations of the excited level can be

made, the equations required to be solved are those for  $N_z$  only;  $N_z$  being the abundance of the ion Z. The equation for this is

$$\begin{aligned} dN_z/dt = & -I_z N_z N_e - R_z N_z N_e + I_{z-1} N_{z-1} N_e \\ & + R_{z+1} N_{z+1} N_e, \end{aligned} \quad (\text{IV-3})$$

In this direction, Salzmann and Krumbein<sup>11)</sup> proposed a form of the excited-level population such as

$$N_{z,n}/N_z = N_0 A \exp(-E_{z,n}/kT) \quad \text{for excited levels}$$

$$N_{z,n}/N_z = N_0 \quad \text{for a ground level}$$

where A is so chosen that the population becomes close to the value calculated by a detailed rate equation. Here,  $N_0$  is determined from  $\sum_n N_{z,n}/N_z = 1$  and  $E_{z,n}$  is the level energy. However, this is not always possible for high-Z plasmas, since we have no method to determine the adjustable parameter A. Busquet proposed a mixed model where only relatively lower excited levels are calculated by the rate equation whereas highly excited levels are assumed to be of the Boltzmann type. However, he needed a further approximation in the coronal limit.

The third approach is the hybrid atom model<sup>11)</sup> developed recently, which is a combination of the former two methods. The basic principle of this approximation comes from the fact that transitions between bound states proceed faster than those between free and bound states. Hence, the level populations

of the excited levels can be approximately estimated by quasisteady equations of bound-bound transitions for an ion of charge Z:

$$\begin{aligned} N_{z,n}/N_{z,m} &\simeq (A_{nm} + C_{nm}^D N_e) / (C_{mn}^U N_e) \\ &= (g_n/g_m) F(E_m, E_n, N_e), \end{aligned} \quad (\text{IV-4})$$

Equation (IV-4) shows that the normalized population  $N_{z,n}/g_n$  can be estimated if only the level energy  $E_n$  is known. Although there exist transition processes which may destroy the above characteristics, Eq.(IV-4) motivates us to use an energy-functional form of the normalized population  $N_{z,n}/g_{z,n}$ : if  $N_{z,n}/g_{z,n}$  is only a function of level energies and does not depend explicitly on Z, the normalized populations constructed from the average ion can be rescaled and used for other ions in a different charge state. It should be noticed that we do not use Eq.(IV-4) but use Eq.(IV-2) in the hybrid atom model.

In order to clarify the idea in detail, let us examine the level dynamics of various ions by solving the full rate equation (IV-1) for an aluminum plasma; it is possible to solve (IV-1) in such a low-Z plasma. In Fig.IV-1, the reduced population probability  $W_{z,n}/g_{z,n}$  ( $= N_{z,n}/g_{z,n} N_z$ ,  $\sum_n W_{z,n} = 1$ ) is plotted versus the excitation energy, which means the level energy measured from the ground-state level. For  $N_1 = 10^{20} \text{ cm}^{-3}$ , the probability is close to the Boltzmann type



$W_{z,n} = g_{z,n} \exp(-E_n/kT_e)$ . Although for  $N_i = 10^{18} \text{ cm}^{-3}$  it is also close to the Boltzmann type, the temperature of the bound electrons is much lower than the free electron temperature  $T_e$ . In each case, the reduced probability for various ions of +3 to +10 can be well characterized universally by one curve, although this curve depends on the physical parameters as shown by two curves in Fig.(IV-1a).

If this characteristic is taken into account, the common behavior appearing in Fig.(IV-1a) can be satisfactorily described by the average-ion model. Actually, the reduced electron population probability defined later shows the same characteristics as shown in Fig.(IV-1b). Accordingly, once the electron population  $P_n$  of the average ion is obtained from Eq.(IV-2), the function  $Y_n(E)$  (the reduced electron-population probability) is constructed from  $P_n$  as follows ; at some discrete points

$$Y_n(E_{n^*}) = P_n / g_n \sum_n P_n \quad (n=1,2, \dots, n_{max}) \quad (IV-5)$$

and in other regions  $Y_n(E)$  is exponentially interpolated from Eq.(IV-5) : this exponential interpolation is justified by the characteristics appearing in Fig.(IV-1). In Eq.(IV-5)  $E_{n^*}$  is the excitation energy of the average ion and the summation is taken over the ionizing shells. This energy-functional form can be used to generate the population probability even for the ion in a charge state  $Z$  different from  $Z^*$  of the

average ion :

$$W'_{z,n} = Y_a(E) g_{z,n}. \quad (IV-6)$$

It should be noticed that the physical meaning of  $W'_{z,n}$  in Eq.(IV-6) differs from  $W_{z,n}$  in Fig.(IV-1a) : the former is derived from the electron population but the latter from the ion population  $N_{z,n}$ . However, both characteristics are similar as seen from Figs.(IV-1a) and (IV-1b). This can be justified by remembering the nature of the average ion model and CR (Collisional-Radiative) model. In the CR model, only one electron is excited in some level. Accordingly, if ions in various charge states are collected together into a fictitious ion, the electron population  $P_n$  in the n-th level of this ion will reflect the ion population of the original "real" ions  $N_{z,n}$  with an electron in the n-th level.

In the next step, the ionization and recombination rate coefficients are summed up with respect to  $n$  using  $N_z$  and  $W_{z,n} = W'_{z,n}$ . Then Eq.(IV-3) for  $N_z$  is solved. With this model, all regions including LTE, corona, and those intermediate to them can be described without any adjustable parameter.

Since Eq.(IV-3) is in a tridiagonal form, the numerical procedure to solve it is quite easy. In the present model the main computation time becomes the time to solve only Eq.(IV-2) and is computationally as fast as the average-ion model. If the ionic charge

becomes large, then the validity of the present model is much improved because the range of  $Z$  that satisfies  $\epsilon = |Z-Z^*|/Z^* \ll 1$  becomes wider and the fractional energy change ( $\Delta E/E$ ) for these ions is on the order of  $\epsilon$ ; this small change of energy justifies the interpolation in constructing the energy function  $Y_n$ .

In order to justify the model, let us give an example. Figure (IV-2) shows the relative abundance of the charge state of aluminum at a steady state for  $N_i = 10^{20} \text{ cm}^{-3}$  and various electron temperatures. Here, the rate equation [Eq.(IV-3)] for  $N_z$  includes radiative, three-body, and dielectric recombinations, and collisional ionization. In addition, collisional excitations and deexcitations, and radiative deexcitations, are also included in the average-ion model [Eq.(IV-2)]. The results predicted by the hybrid atom model [Eqs.(IV-2) and (IV-3)](solid line) agree quite well with those given by Duston et al.<sup>13)</sup> (CR model shown by the dashed line) for  $Z \geq 10$ . In Ref.13, only levels for  $Z \geq 10$  were calculated by the rate equation, whereas for  $Z < 10$  ions only the ground-state levels were taken into account. The small difference (about 40% at most) between the CR model and our hybrid-atom model is within difference caused by the different rate coefficients.

The superiority of the hybrid atom over the average atom appears in the calculation of radiation

transport in high-Z plasmas. Figure IV-3 illustrates the main feature of this process. Let us consider x-ray emission at some spatial point  $x_1$  and absorption at  $x_2$ . In the average-ion model, only one fictitious ion having  $Z^*$  exists at each space point, so that the level energy and hence the x-ray spectral energy changes from space point to space point depending on the average charge  $Z^*$  which follows physical parameters, the density and the temperature. Consequently, the line radiation emitted in one region can not be absorbed by the same spectral line in another region as shown in Fig.IV-3(a). In actual plasmas, however, there exists an ion having the same charge  $Z$  in all regions although the abundance  $N_z$  may change from space point to space point. This means that a spectral line emitted in one region is always absorbed by the same line in any other region of space as shown in Fig.IV-3(b). The hybrid-atom model can describe this process.

The line shift due to change of  $Z$  is significant in high-Z material because the energy difference between  $Z$  and  $Z+1$  ions is about  $2I_B Z/n^2$  and hence is about 50 eV for  $Z=30$  and  $n=4$ ,  $I_B$  being 13.6 eV. This value is far beyond the line width broadening. The result<sup>1)</sup> with the hybrid-atom model indicates that the charge state of Au plasmas distributes over a relatively large width in  $Z$  ( $\Delta Z=5$ ). This result also

agrees with the experimental data<sup>14)</sup>. The real lines may spread over 300-400 eV ( $n=4$ ) in spectral range ; the line of the average ion may locate at the center of this group of lines.

As a simple example, we solve line transfer equation as well as the atomic process. We use exponential profiles for the density and temperature in space making the pressure uniform : the density and temperature ranges from  $16 \times 10^{20}$  to  $1 \times 10^{20}$   $\text{cm}^{-3}$  and 100 to 1600 eV. The scale length of these plasmas is 10  $\mu\text{m}$ . Figures IV-4(a) and 4(b) are obtained from the average-ion model and the hybrid-atom model, respectively. In addition to the atomic processes given above, photoexcitations and radiation transport are included<sup>1)</sup>. In the figure, the solid curves are the results in which the energy space is divided into groups of 5 eV width and line broadenings by various processes are neglected for simplicity : one curve is the direct data and another is further averaged over 50 eV for an illustrative purpose. In Fig.IV-4(a), in addition to the above curves, we draw the dashed curve that is the result using the groups of 50 eV width broadened artificially. The comparison between the solid curves in Fig.IV-4(a) and 4(b) shows that the emission intensities and hence opacities with the hybrid-atom model are larger than those with the average-ion model. The difference is concluded to

arise from the charge-state distribution illustrated in Fig.IV-3. It is possible to approximately incorporate this effect into the average-ion model. If the line width is artificially broadened over the range determined from the charge state distribution as in Fig.IV-4(a) (dashed curve), the result comes closer to that with the hybrid-atom model.

## V. COUPLING BETWEEN NON-LTE FREE AND BOUND ELECTRONS

In this section, we will describe an example of coupling between free and bound electrons both in non-LTE state. In order to clarify the physics, the model is largely simplified. However, its basic principle can be used in the particle code described in section II.

In the formulation of section IV and Ref.1, free electrons are assumed to be in LTE. This assumption appears in the rate coefficient  $R$  as follows;

$$R = \langle \sigma v \rangle = \int \sigma v f v^2 dv, \quad (V-1)$$

where  $\sigma$  is the cross-section and  $f$  the velocity distribution function of free electrons ;  $f$  is normalized to satisfy

$$\int f v^2 dv = 1, \quad (V-2)$$

and is taken to be the Maxwell distribution in LTE. In this section, however, we determine this distribution function.

It is easy to derive the rate of change in the number of free electrons owing to radiative capture ; the number of free electrons captured within a velocity width  $\Delta v$  at  $v$  is given by  $(\delta R / \delta v) \Delta v N_e = \sigma_{rf} v^3 \Delta v N_e$ . On the contrary, the expression for the impact ionization is more complicated because there are three terms in this process ; 1) velocity change

from  $v$  to  $v'$  of a projectile electron , 2) from  $v'$  to  $v$  of the same electron, and 3) the birth of a free electron with  $v$  (ionization). If we denote the initial and final velocities of the free electron, and an emerging electron velocity by  $v_i$ ,  $v_f$ , and  $v_b$ , respectively, the energy conservation requires

$$v_i^2 = 2I/m_e + v_f^2 + v_b^2, \quad (V-3)$$

where  $I$  is the ionization energy. Since the cross section of binary collision between free electrons is much larger than that of impact ionization at low energy (close to ionization energy  $I$ , see Fig.V-1), we need not to take into account the third process (birth of an electron) ; the ejected electron may quickly thermalize through binary collision which can be described as  $-\nu(f-f_M)$ , where  $f_M$  is the equilibrium Maxwell distribution.  $\nu$  is given by  $\sigma_{ee}vN_e$  where  $\sigma_{ee}$  is the cross section of free-free collision.

Furthermore, we can also assume  $I/m_e \ll v_i^2, v_f^2$ . Thus, we get an approximate equation ;

$$\begin{aligned} df/dt = & -\sigma_{iv}N_e f(v) + \sigma_{iv'}N_e f(v') - \sigma_{iv}N_e f(v) \\ & -\nu(v)(f-f_M) \end{aligned} \quad (V-4)$$

where  $v'$  is the initial velocity of a projectile electron. If we set  $v' = v + \langle \Delta v \rangle$  and expand Eq.(V-4) in  $\langle \Delta v \rangle \ll v, v'$ , then we get

$$\begin{aligned} df/dt = & -\sigma_{iv}N_e f + \sigma_{iv} \langle \Delta v \rangle N_e \partial(fv)/\partial v \\ & -\sigma_{ee}vN_e(f-f_M). \end{aligned} \quad (V-5)$$

where  $\langle \Delta v \rangle$  can be approximately given by  $I/m_e v$ .



As inferred from the relative magnitude of  $\sigma$  in Fig.V-1, the electrons having high energy may be much affected by ionization  $\sigma_i$ . Figure V-2 shows the nearly quasi-steady solution of Eq.(V-5) for Au plasmas at  $T=1\text{keV}$ ,  $Z^*=41$ . This change of velocity distribution is quite serious in calculating the thermal flux and other transport coefficients.

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**FIGURE CAPTIONS**

**Fig.III-1** : Phase space plot of particles at  $\omega_p t = 0$ (top), 100(middle), and 200(bottom).

**Fig.III-2** : Time evolution of electrostatic potential (a) without and (b) with ionization.

**Fig.IV-1** : The reduced population probability vs the excitation energy for  $T=50$  eV and (i)  $N=10^{20}$   $\text{cm}^{-3}$  and (ii)  $N=10^{18}$   $\text{cm}^{-3}$ . (a) The reduced ion population probability vs the excitation energy with CR model for different charge states of ions. (b) The reduced electron population probability vs the excitation energy with the average-ion model.

**Fig.IV-2** : The dependence of aluminum abundance in various charge states on electron temperature for an ion density of  $10^{20}$   $\text{cm}^{-3}$ . The solid and dashed lines are the results from the hybrid-atom model and collisional-radiative model, respectively.

**Fig.IV-3** : The difference between the radiation transport in (a) average-ion model and (b) hybrid-atom

model.

Fig.IV-4 : The emitted x-ray intensity vs photon energy from a typical laser-produced Au plasma.

(a) average-ion model, (b) hybrid-atom model.

Fig.V-1 : Comparison between free-free and bound-free collisions ; here  $T=I$  is assumed. When  $T < I$ , free-free cross section becomes steeper and shifts towards left.  $\zeta$  is the number of bound electrons.

Fig.V-2 : Quasi-steady solution of Eq.(V-5) for Au plasmas at  $T=1$  keV,  $Z^*=41$ . Dashed line shows the Maxwell distribution at  $T=1$  keV.

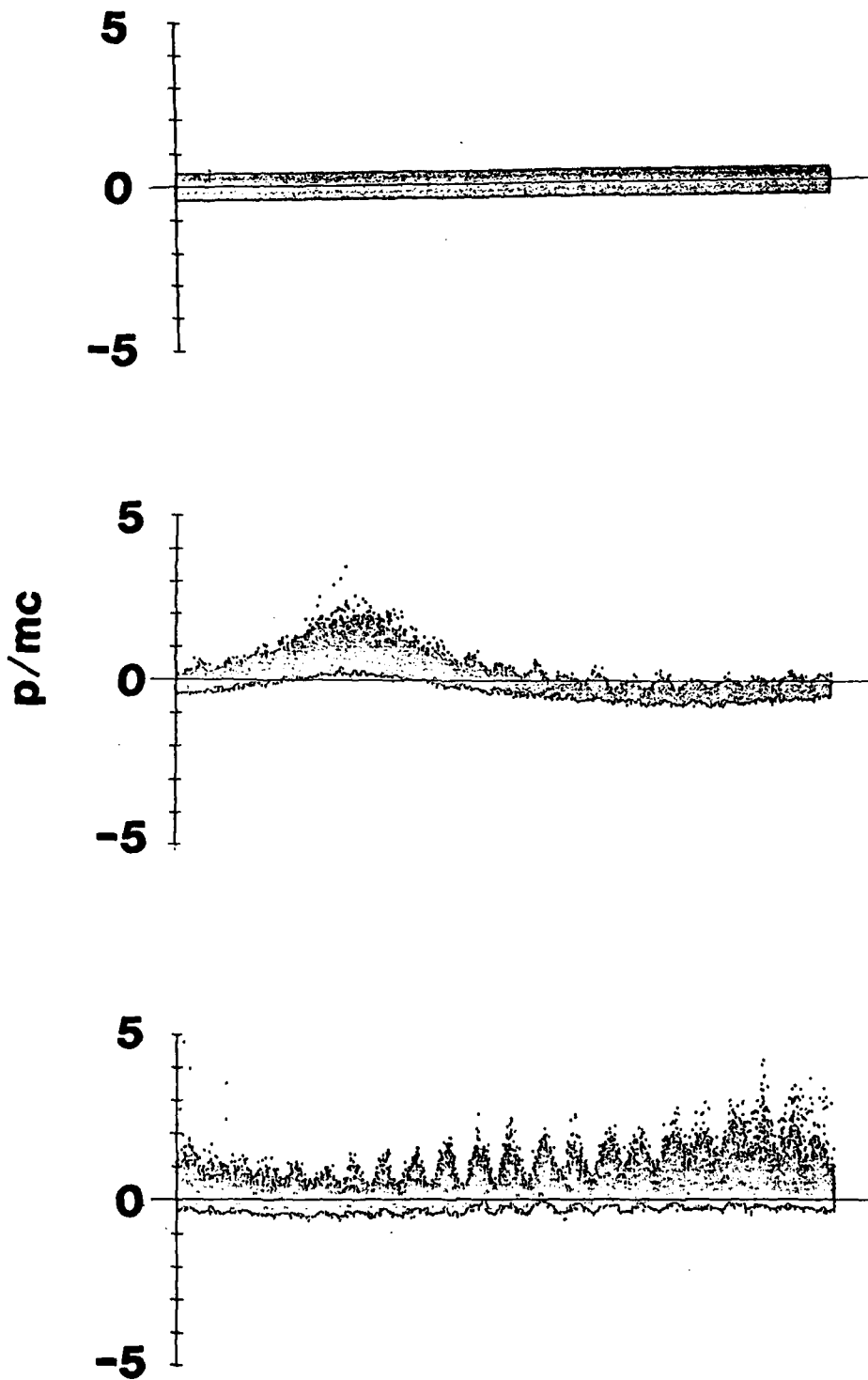


Fig. III-1

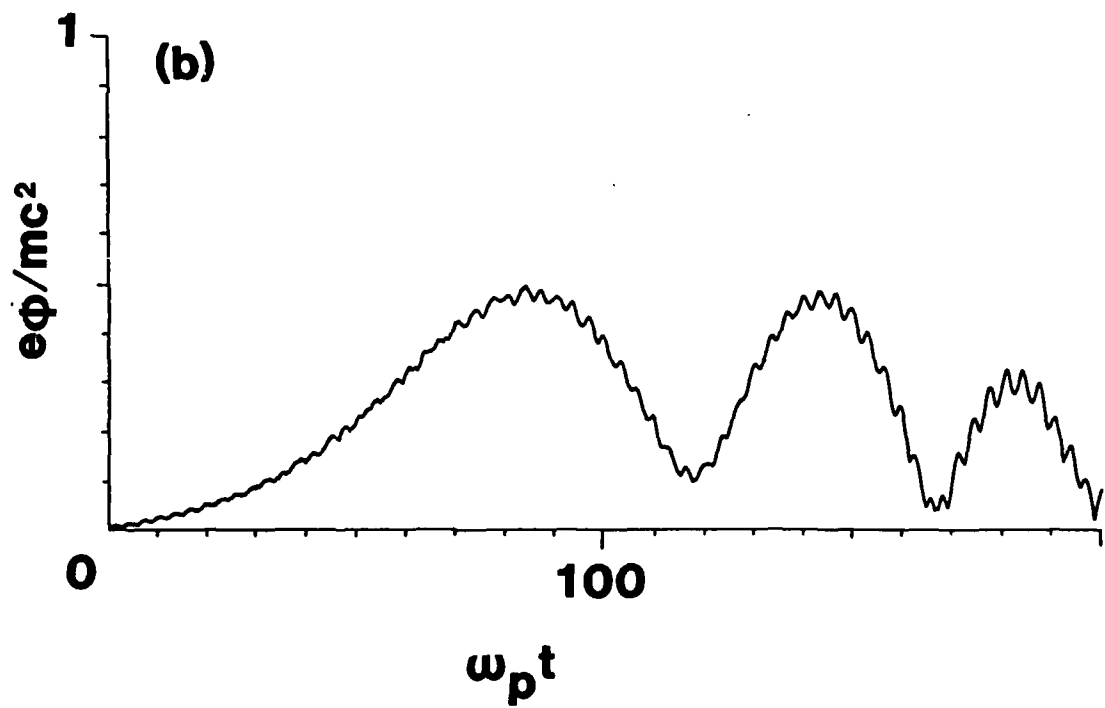
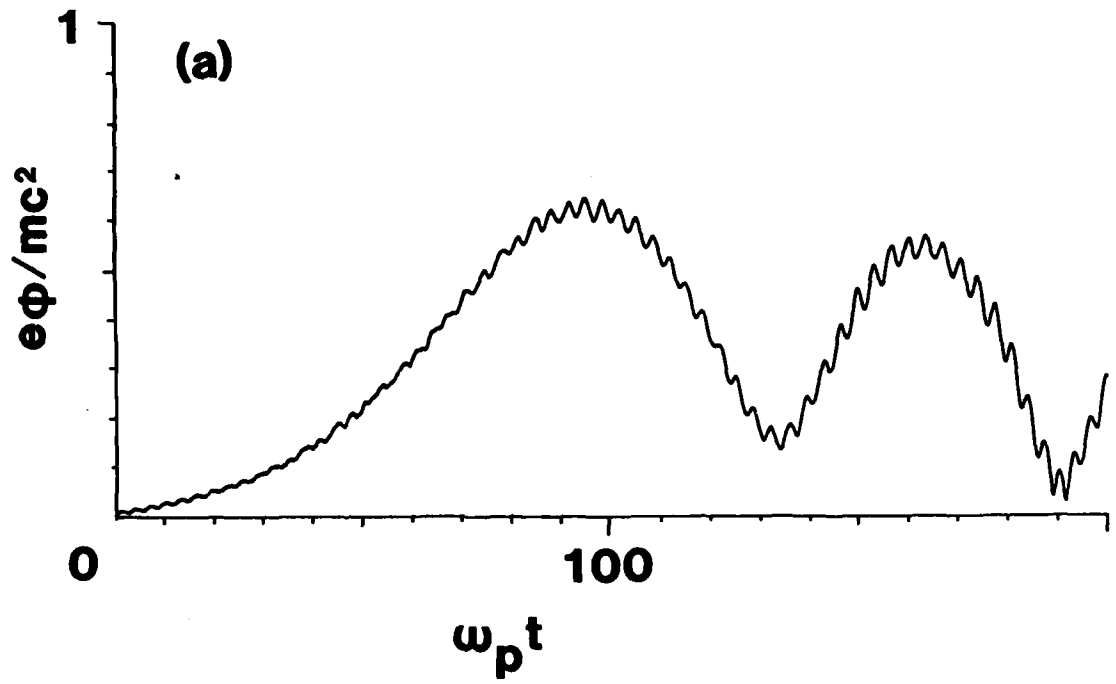


Fig. III-2

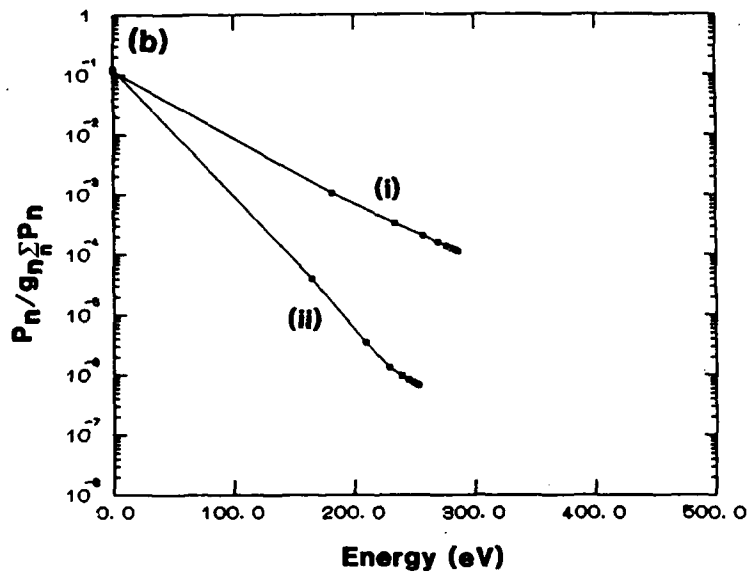
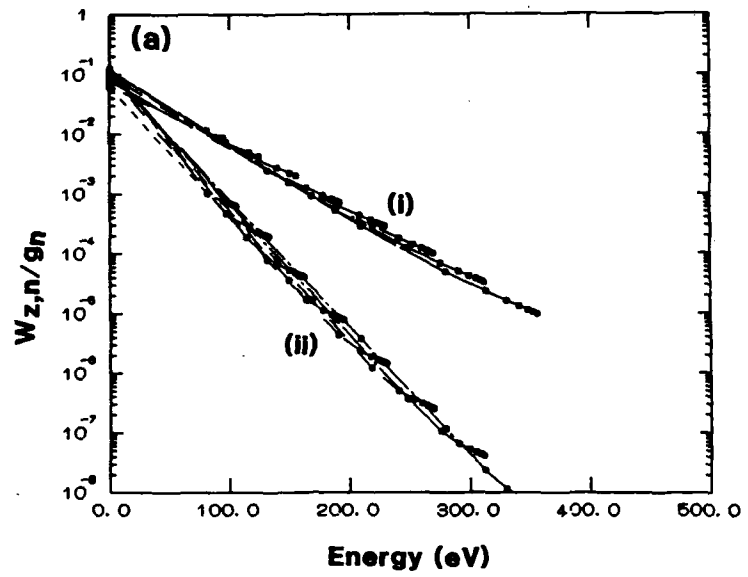


Fig. IV-1

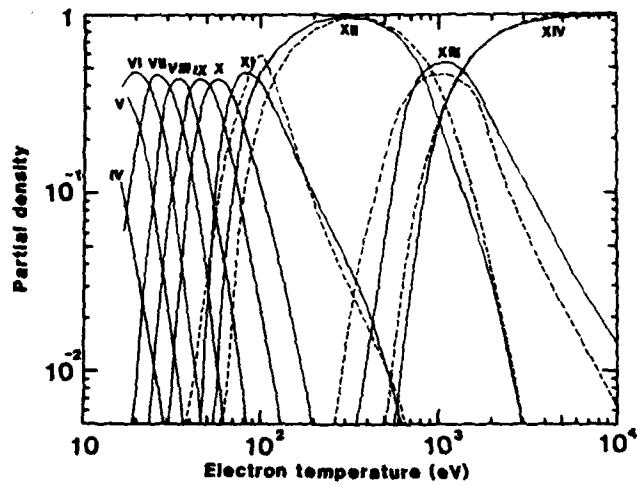


Fig. IV-2

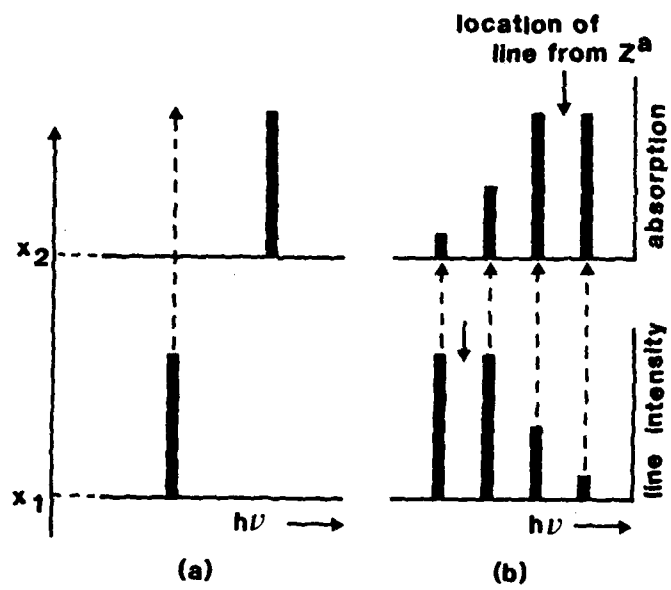


Fig. IV-3



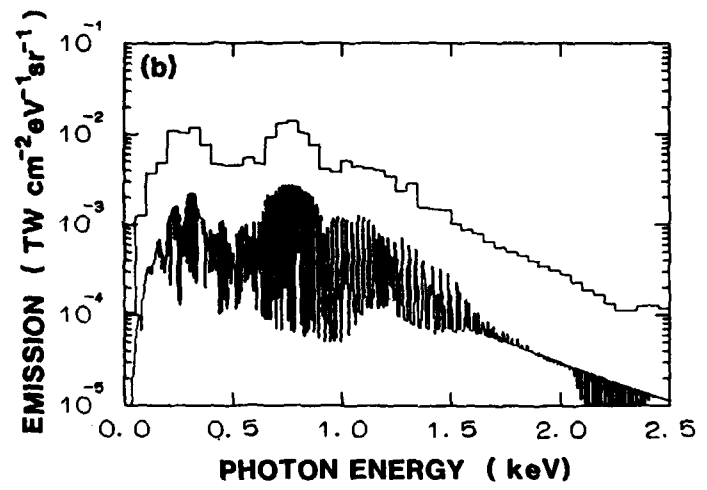
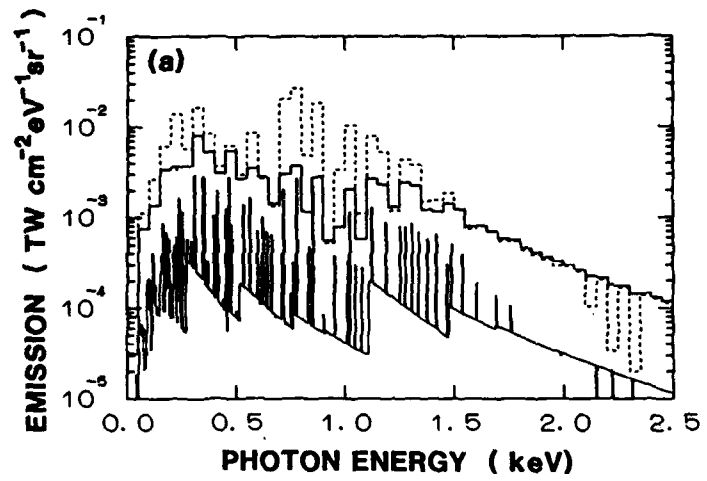


Fig.IV-4

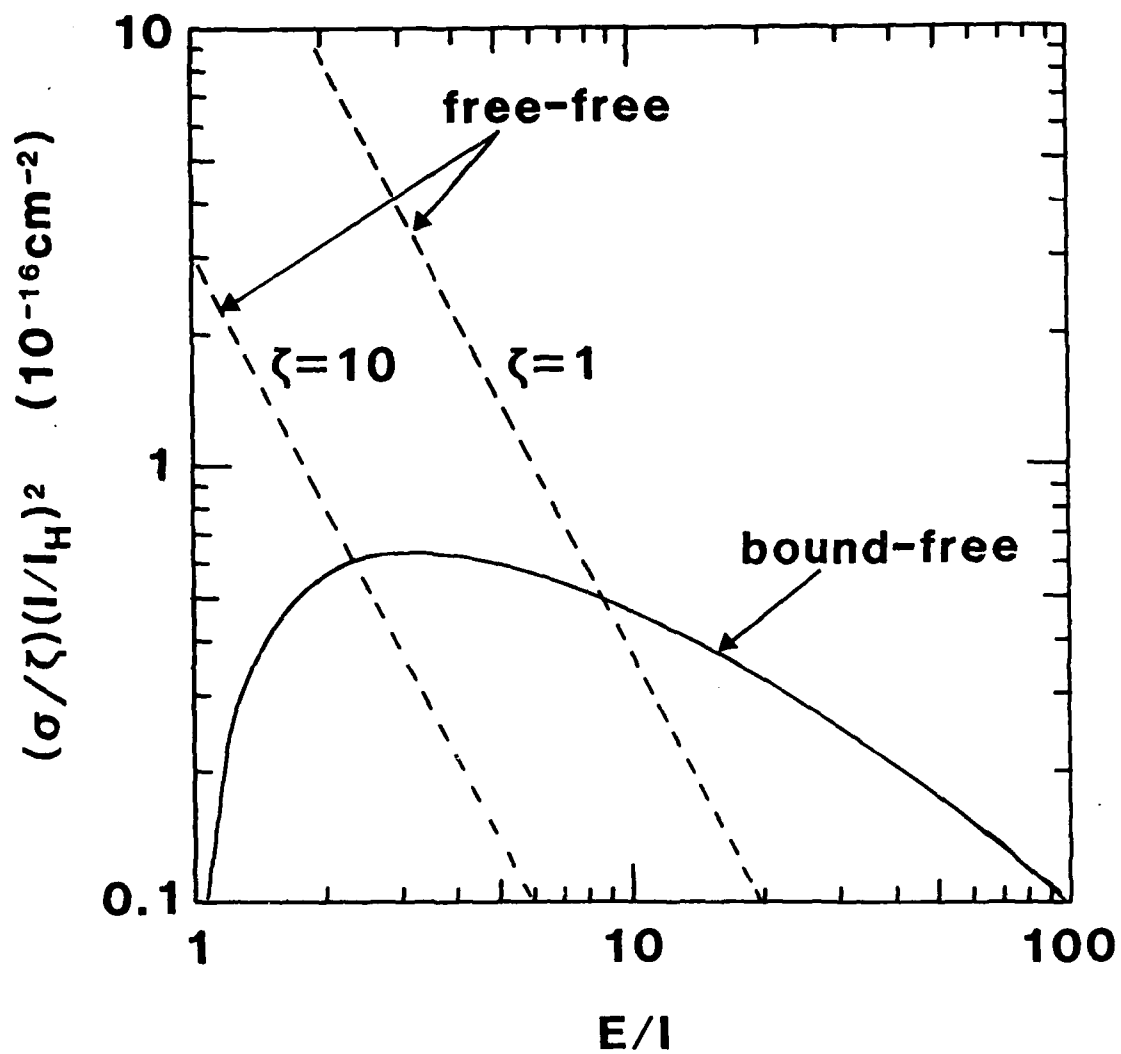


Fig.V-1

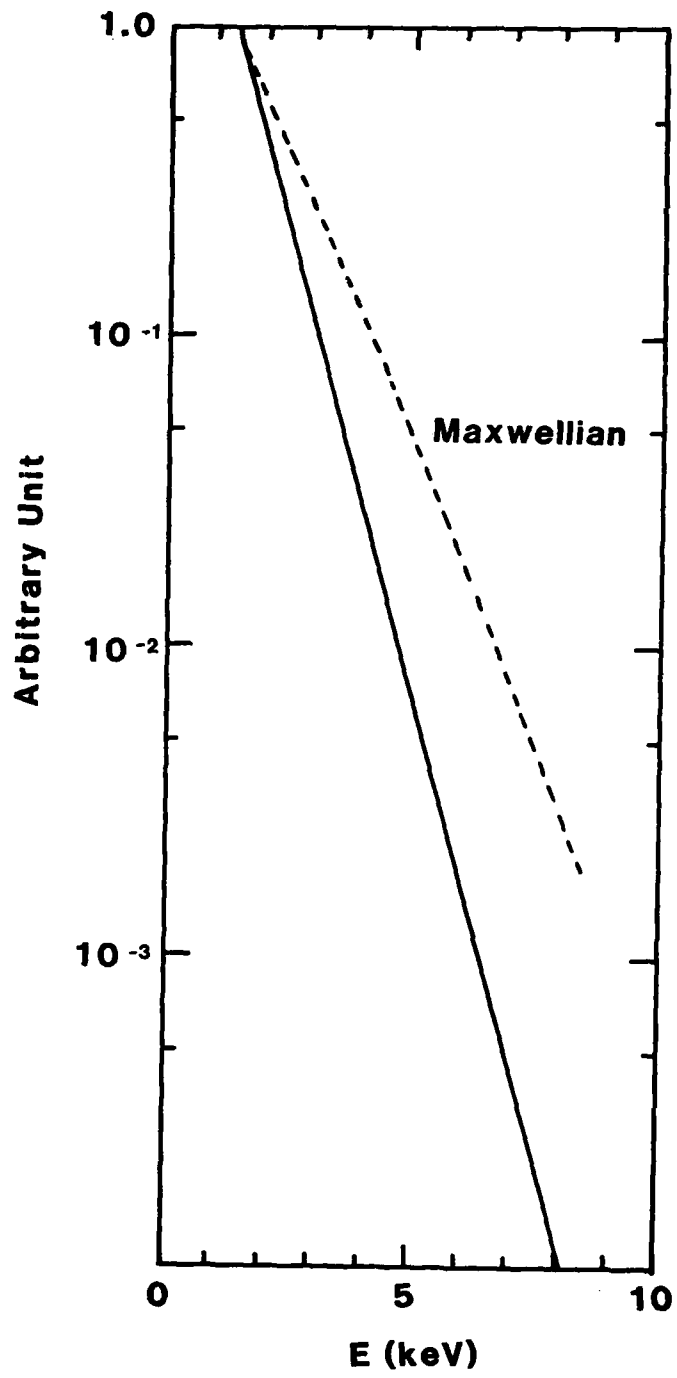


Fig.V-2

## INDEPENDENT PARTICLE TIMESTEPS

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Second US-Japan Workshop on Advanced Plasma Modeling,  
Nagoya, Japan, March 23-26, 1987.

A long-standing goal in plasma simulation has been a method which could treat both detailed kinetic physics and smooth large-scale physics in an efficient and natural way. Until recently, particle simulations were applied almost exclusively to problems of "microscopic" physics, where only a small part of the plasma was modeled. With the advent of implicit particle simulation techniques,<sup>1-5</sup> one can now treat systems of many Debye lengths and follow them for many plasma oscillation times. However, the price paid for this capability is a restriction on the allowed spatial resolution (an accuracy constraint). Thus, at the current state-of-the-art (e.g., in codes such as TESS<sup>6</sup> or AVANTI<sup>7</sup>) a small timestep is still necessary whenever the system incorporates a physically-important small spatial or temporal scale anywhere within its domain.

We are working to develop a new particle-in-cell plasma simulation technique which relaxes these restrictions and would be suitable for strongly inhomogeneous problems involving a wide range of space and time scales. The plasma in any part of phase space would be advanced on its own natural scales. Of course, it seems only natural to advance each particle with its own, independent, series of timesteps; one could imagine using (for example) an ODE solver such as LSODE. The major difficulty in doing this has been the necessity of processing the particles in synchrony due to the requirement of a self-consistent field. We have developed in outline an algorithm which may overcome this difficulty. The code would advance the particles in blocks  $k$ , each with an associated timestep  $\Delta t_k$ . For each region of phase space, the nominal timestep (and possibly the mesh spacing) could be chosen in an adaptive manner. A major improvement in economy comes about because the majority of the particles are not processed during any given step; for suitable problems this gain may be two orders of magnitude.

There are many areas of plasma physics where such a capability would be highly desirable, including sheaths at the interface of a plasma with a probe or wall, collisionless shocks, double layers, and a wide variety of astrophysical problems. Even in a relatively tractable problem such as the bump-on-tail instability, significant gains might be realized by pushing only the fast particles with a small timestep; the more numerous bulk particles would be advanced less frequently. Similar methods might prove applicable to problems of gravitating systems and to particle-in-cell fluid modeling.

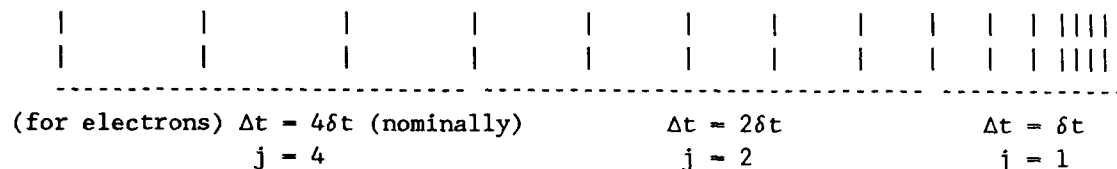
In the scheme we are testing, particles are advanced only every  $j = 2^m$  steps (for some integer  $m > 0$ ) if they reside in a region of phase space where there are no large-amplitude short-wavelength fields ( $k v \Delta t$  and  $\omega_{\text{trap}} \Delta t$  are moderate). In such a region we may additionally employ a coarse mesh or strong

spatial filtering. The large timestep used in such a region should help avoid the finite-grid instability common to explicit codes with coarse meshes.

The direct-implicit field equation is:  $\nabla \cdot (1+\chi)\nabla\phi = \rho$ , with  $\chi(x) = \frac{1}{2}\omega_p^2\Delta t^2$  and  $\rho(x)$  a free-streaming density. It is solved over the entire domain every step. In this way, the deposition of charge occurs *implicitly*, one step earlier than in an explicit code. We allow a block to deposit its information  $j$  time levels ahead of the current one; this information is then interpolated backward in time to yield the data needed to produce the field a single time level ahead.

As particles move about in phase space, it is necessary to change their timesteps (move them from block to block). We allow changes in  $\Delta t$  by no more than a factor of 2, no matter where the particle is; we'll catch up on the next step anyhow, and the logic is simpler this way. To facilitate doubling or halving the step size "between steps", we employ a variant of the "dl" implicit particle advance with all key quantities defined at integral (not staggered) time levels. This should allow us to preserve second order accuracy in time.

A typical grid might look like:



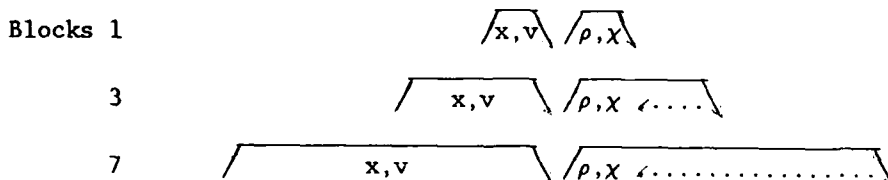
For each value of  $j$  there are  $j$  blocks:

- Block e1: Electrons: push every step
- Block e2: Electrons: push on even-numbered steps
- Block e3: Electrons: push on odd-numbered steps
- Block e4: Electrons: push on steps with (step number mod 4) = 0
- Block e5: Electrons: push on steps with (step number mod 4) = 1
- Block e6: Electrons: push on steps with (step number mod 4) = 2
- Block e7: Electrons: push on steps with (step number mod 4) = 3

Blocks i1-i7 are similar, but contain ions.

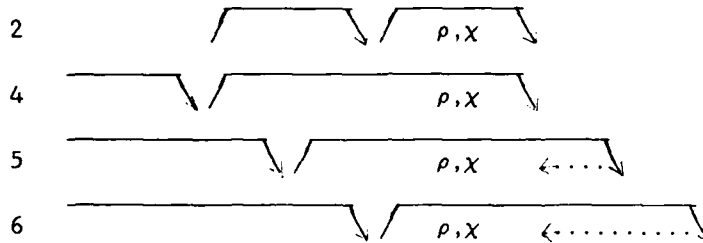
Let us abbreviate "time-level" by "tl;" then the code at timestep 7 processes the active blocks (dots denote interpolation in time of  $\rho$  and  $\chi$ ):

Time level -- 3      4      5      6      7      8      9      10      11



The other blocks were advanced on earlier steps, and we need only interpolate their contributions to  $\rho, \chi$  back to tl 8 before the field-solve:

Time level -- 3      4      5      6      7      8      9      10      11



After the active particles have been pushed to tl 7 (and before their  $\chi$ -contributions have been accumulated), they are moved if their  $(x, v)$  so dictate into new blocks. The re-distribution moves particles only into blocks that will be "pre-pushed" on the current step. Then the pre-push to tl 8 (or beyond) is performed. Finally,  $\rho$  and  $\chi$  are interpolated to tl 8 (for all blocks, both active and inactive, pre-pushed beyond 8), and the field equation is solved for  $E_8$ .

We also must specify what  $\Delta t$  to use in the definition of the contribution to  $\chi$  from a block; assuming linear interpolation of both  $\rho$  and  $\chi$ , it is correct to use the  $\Delta t$  associated with that block (roughly, also associated with a region of (phase) space or a grid spacing). Thus the  $\Delta t$  that goes into the formula for  $\chi$  is not a constant.

The algorithm may subsume explicit ion-subcycling schemes; ions would normally be processed only at the longest interval. However, initialization is simplified if all particles are placed in blocks e1 or i1 at the start, to avoid referencing a negative time-level. During the first few steps some delay in "promoting" particles into higher-number blocks must be imposed, so that (e.g.) blocks i4-7 are uniformly populated. After a few steps, blocks i1-3 will be empty.

#### The Revised D1 Particle-Advance Algorithm

We seek a variant of the D1 scheme with  $x, v$  defined at the same (integer) time level, to facilitate changing the timestep size. We start with the scheme as it is usually written. The "final push" is:

$$\tilde{a}_{n-1} = \frac{1}{2} [\tilde{a}_{n-2} + (q/m)E_n(\tilde{x}_n)]$$

$$v_{n-1/2} = \tilde{v}_{n-1/2} + (\Delta t/2)(q/m)E_n(\tilde{x}_n)$$

$$x_n = \tilde{x}_n + (\Delta t^2/2)(q/m)E_n(\tilde{x}_n)$$

Then, the "pre-push" is:

$$\tilde{v}_{n+1/2} = v_{n-1/2} + (\Delta t/2)\tilde{a}_{n-1}$$

$$\tilde{x}_{n+1} = x_n + \Delta t\tilde{v}_{n+1/2}$$

We move the computation of  $\bar{x}_{n+1}$  to the beginning of the "final push", where it becomes:  $\bar{x}_n = x_{n-1} + \Delta t \bar{v}_{n-1/2}$ . We then relabel  $\bar{v}_{n+1/2}$ , calling it  $v_n$  (it's actually centered at  $t_1 n$ , formally, so this is a notational improvement). Then, we can write:

$$\begin{aligned} v_n &= v_{n-1/2} + (\Delta t/2) \bar{a}_{n-1} \\ &= v_{n-1} + (\Delta t/2) \bar{a}_{n-1} + (\Delta t/2)(q/m) E_n(\bar{x}_n) . \end{aligned}$$

### The Algorithm in its Entirety

We enter a timestep with the particle data  $x_{n-1}$ ,  $v_{n-1}$ , and  $\bar{a}_{n-2}$ , and with  $E_n$  on the mesh. Strictly speaking, we should write a trivial generalization of the following, with incoming  $x$  defined at time level  $n-j$ , etc., but we write the algorithm as if  $j$  were unity for clarity. In the following, time-subscripted quantities are stored in the particle arrays, while unsubscripted quantities are used only as scratch within the particle loops. Explicitly, the algorithm is:

BEGIN THE "FINAL-PUSH" LOOP OVER BLOCKS AND PARTICLES:

- 0)  $\Delta t = \Delta t$  (current block)
- 1)  $\bar{x} = x_{n-1} + \Delta t v_{n-1}$
- 2)  $\bar{a}_{old} = \bar{a}_{n-2}$
- 3)  $a = (q/m) E_n(\bar{x})$  (interpolation of field from mesh)
- 4)  $\bar{a}_{n-1} = \frac{1}{2} [a + \bar{a}_{n-2}]$
- 5)  $v_n = v_{n-1} + (\Delta t/2) \bar{a}_{n-1} + (\Delta t/2) a$
- 6)  $x_n = \bar{x} + (\Delta t^2/2) a$
- 7) Enforce particle b.c.'s; reflect or absorb or shift by one period.
- 8) If particle has moved to a point in phase space where  $\Delta t(x_n, v_n) \leq \Delta t_{block}/2$ , set  $\bar{a}_{n-1} = \frac{1}{2} [a + \bar{a}_{n-1}]$ , and set "new block" flag.
- 9) If particle has moved to a point in phase space where  $\Delta t(x_n, v_n) \geq 2\Delta t_{block}$ , set  $\bar{a}_{n-1} = \bar{a}_{old}$ , and set "new block" flag.

At this point we EXIT THE "FINAL-PUSH" LOOP.

We then treat the special cases:

- 10) Sort flagged particles into new blocks.
- 11) Inject any new particles by adding them to the appropriate blocks.

At this point we BEGIN THE "PRE-PUSH" LOOP OVER BLOCKS AND PARTICLES:

- 12) Update  $\rho$ ,  $\chi$  arrays associated with the current block at  $t_l n$ , using data from the individual particles which change blocks.
- 13)  $\bar{x} = x_n + \Delta t_{\text{block}} v_n$
- 14) Using  $\bar{x}$ , compute  $\rho$ ,  $\chi$  arrays associated with the current block at  $t_l n+1$ .

At this point we EXIT THE "PRE-PUSH" LOOP. We then:

- 15) Interpolate  $\rho, \chi$  from all necessary blocks to  $t_l n+1$ .
- 16) Execute the field-solve to obtain  $E_{n+1}$ .

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