## The Bounded Approximation Property in Fréchet Spaces

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The purpose of this seminar is to explain several results concerning the bounded approximation property for Fréchet spaces. We give a full detailed proof of an important result due to Pełczyński [Pel71] (see also [Mat77]) that asserts that every separable Fréchet space with the bounded approximation property is isomorphic to a complemented subspace of a Fréchet space with a Schauder basis. We also explain Vogt's example (cf. [Vog83]) of a nuclear Fréchet space without the bounded approximation property. This example was much simpler than the original counterexample due to Dubinski. These examples solved a long standing problem of Grothendieck. Vogt [Vog10] obtained another simple example of a nuclear Fréchet function space without the bounded approximation property. The relation of the bounded approximation property for Fréchet spaces with a continuous norm and the countably normable spaces, including several results due to Dubinski and Vogt [DuV85], is also explained.

### 1 Introduction

A topological vector space E is a Fréchet space if it is metrizable, complete and locally convex. We use below the abbreviation "lcs" for "locally convex space". The topology of E is defined by a fundamental system of seminorms  $p_1 \leq p_2 \leq \ldots \leq p_n \leq \ldots$  satisfying that for each  $x \in E, x \neq 0$ , there exists  $n \in \mathbb{N}$  such that  $p_n(x) > 0$ . Recall that for every neighbourhood of the origin  $U \in U_0(E)$ , there exist  $n \in \mathbb{N}$  and  $\varepsilon > 0$  such that  $\{x \in U : p_n(x) < \varepsilon\} \subset U$ . We may assume that a basis of neighborhoods of the origin is given by  $U_n := \{x \in E : p_n(x) < 1\}$ ,  $n \in \mathbb{N}$ . We say that E is a bounded set in E, and we write E if E is E if E is E if E if E if E if E is E if E if E if E if E is a bounded set in E.

Let  $(p_n)_n$  and  $(q_m)_m$  be fundamental system of seminorms in E and F respectively. A linear operator  $T: E \to F$  is continuous operator if and only if for every  $m \in N$  there exists  $n \in \mathbb{N}$  and C > 0 such that  $q_m(T(x)) \le p_n(x)$  for every  $x \in E$ . We denote L(E, F) the space of linear and continuous operators from E to F. A set  $H \subset L(E, F)$  is equicontinuous if for every  $m \in \mathbb{N}$  there exists  $n \in \mathbb{N}$  and C > 0 such that  $q_m(T(x)) \le p_n(x)$  for every  $x \in E$  and for every  $T \in H$ . Note that this condition is equivalent to the fact that  $\bigcap_{T \in H} T^{-1}(V) \in U_0(E)$  for every  $V \in U_0(F)$ . It is also important to recall Banach-Steinhaus' theorem for Fréchet spaces: Let E be a Fréchet space.  $H \subset L(E, F)$  is equicontinuous if and only if for every  $x \in E$ ,  $H(x) := \{T(x) : T \in H\}$  is a bounded set of F.

**Definition 1.1** We say that E admits a continuous norm if there exists a norm  $\|\cdot\|: E \to \mathbb{R}$ 

that is continuous for the topology of E; that is there exists a norm  $\|\cdot\|: E \to \mathbb{R}$  such that there exists  $n \in \mathbb{N}$  and C > 0 with  $\|x\| \le Cp_n(x)$  for every  $x \in E$ . If E has a continuous norm, we can choose a fundamental system of seminorms  $p_1 \le p_2 \le \ldots \le p_n \le \ldots$  in E such that  $p_k$  is a norm for every  $k \in \mathbb{N}$ .

- **Example 1.2** 1. The space  $H(\Omega)$  with  $\Omega \subset \mathbb{C}$  an connected open set in the complex plane endowed with the topology of uniform convergence on the compact subsets of  $\Omega$  is a Fréchet space that admits a continuous norm and is not normable.
  - 2. The space Fréchet  $C^{\infty}([0,1])$  endowed by the topology given by the seminorms

$$p_n(f) := \max_{1 \le \alpha \le n} \sup_{x \in [0,1]} \left| f^{(\alpha)}(x) \right|,$$

also admits a continuous norm.

3. The space  $\omega := \mathbb{K}^{\mathbb{N}}$  endowed by the topology given by the seminorms

$$p_n(x) := \max_{1 \le j \le n} |x_j|, \text{ with } x = (x_j)_j,$$

does not admit a continuous norm.

4. The space  $C^{\infty}(\Omega)$  endowed by the topology given by the seminorms

$$p_n\left(f\right) := \max_{1 \le x \le n} \sup_{x \in K_n} \left| f^{(\alpha)}\left(x\right) \right|,$$

where  $K_1 \subset K_2 \subset \ldots \subset K_n \subset \ldots$  is a fundamental sequence of compact subsets in  $\Omega$ , does not admit a continuous norm.

There are two important results concerning Fréchet spaces with does not have a continuous norm.

**Theorem 1.3** (Bessaga, Pełczyński) A Fréchet space does not have a continuous norm if and only if  $\omega$  is isomorphic to a complemented subspace of E.

**Theorem 1.4** (Eidelheit) If E is a Fréchet space that is not normable then E have a isomorphic quotient in  $\omega$ .

**Example 1.5** Here is a concrete example of a not normable Fréchet space with a quotient isomorphic to  $\omega$ : Consider the Fréchet space  $H(\mathbb{C})$  of entire functions endowed with the compact open topology. Select a sequence  $(z_n)$  in  $\mathbb{C}$  such that  $|z_{n+1}| > |z_n|$  for each  $n \in \mathbb{N}$  and  $\lim_{n\to\infty} |z_n| = \infty$ . The linear map  $T: H(\mathbb{C}) \to \omega$  defined by  $f \mapsto f(z_n)$  is surjective by Weierstrass interpolation Theorem. The map T is clearly continuous and it is open by the open mapping theorem for Fréchet spaces.

**Definition 1.6** A lcs E has the bounded approximation property (BAP) if there exists an equicontinuous net  $(A_j)_{j\in J}\subset L(E)$  with  $\dim(A_j(E))<\infty$  for every  $j\in J$  and  $\lim_{j\in J}A_j(x)=x$  for every  $x\in E$ . In other words, the net  $(A_j)_{j\in J}$  converges to the identity in the space  $L_s(E)$ , i.e. for the topology of pointwise or simple convergence.

Remark 1.7 Let  $H \subset L(E, F)$  be equicontinuous. If  $N \subset E$  is a total subset of E (i.e.  $\operatorname{span}(N) = E$ ), then the topologies of simple convergence on E ( $\mathfrak{T}_s(E)$ ) and on N ( $\mathfrak{T}_s(N)$ ) coincide in H ([Köt79, 39.4.(1)]). In particular, if E is separable and F is metrizable, then the topology  $\mathfrak{T}_s(E)$  of simple convergence on E is metrizable on every equicontinuous subset E of E (Köt79, 39.4.(7)]).

**Consequence 1.8** If E is a separable, metrizable lcs then E has the BAP if and only if there exists  $(A_n)_n \subset L(E)$  (a sequence) which is equicontinuous,  $\dim(A_n(E)) < \infty$  for every  $n \in \mathbb{N}$  and  $\lim_{n\to\infty} A_n(x) = x$  for each  $x \in E$ .

In case that E is barrelled metrizable and separable, E has BAP if and only if there exists  $(A_n)_n \subset L(E)$  with  $\dim(A_n(E)) < \infty$  for every  $n \in \mathbb{N}$  and  $\lim_{n\to\infty} A_n(x) = x$  for each  $x \in E$ . This is a consequence of Banach-Steinhaus Theorem.

**Remark 1.9** Let H be an equicontinuous subset of L(E, F). By [Köt79, 39.4.(2)] the topology  $\mathfrak{T}_s(E)$  and the topology  $\mathfrak{T}_c(E)$  of uniform convergence of precompact subsets of E coincide on H.

**Consequence 1.10** If E has the BAP,  $A_j \to I$  with  $j \in J$  uniformly on the precompact subsets of E. Accordingly, the BAP implies approximation property.

In what follows E is a separable Fréchet space, and  $p_1 \leq p_2 \leq \ldots \leq p_k \leq p_{k+1} \leq \ldots$  is a fundamental system of seminorms in E.

We assume, without loss of generality, that  $U_k := \{x \in E : p_k(x) \leq 1\}$ , with  $k \in \mathbb{N}$ , form a basis of 0-neighborhoods in E.

In case E is a Fréchet space with a continuous norm, we assume without loss of generality that all the elements of the fundamental system of seminorms  $p_1 \leq p_2 \leq \ldots \leq p_k \leq p_{k+1} \leq \ldots$  are in fact norms.

**Remark 1.11** If E is a separable Fréchet space with a fundamental system of seminorms  $(p_k)_k$  has the BAP, we can find  $(A_n)_n \subset L(E)$ , with  $\dim(A_n(E)) < \infty$  for every  $n \in \mathbb{N}$  and  $\lim_{n\to\infty} A_n(x) = x$  for each  $x \in E$ . By the Banach-Steinhaus Theorem,  $(A_n)_n$  is equicontinuous. Therefore, for every  $k \in \mathbb{N}$  there exists  $l \geq k$  and  $C_k > 0$  with  $p_k(A_n(x)) = C_k p_{l(k)}(x)$  for every  $x \in E$  and for every  $n \in \mathbb{N}$ .

**Proposition 1.12** Let E be a separable Fréchet space, then the following conditions are equivalent:

- 1. The BAP holds in E,
- 2. There exists  $(A_n)_n \subset L(E)$ , with  $dim(A_n(E)) < \infty$  for every  $n \in \mathbb{N}$  and  $\lim_{n \to \infty} A_n(x) = x$  for each  $x \in E$ ,
- 3. There exists  $(B_n)_n \subset L(E)$ , with  $dim(B_n(E)) < \infty$  for every  $n \in \mathbb{N}$  and  $\sum_{n=1}^{\infty} B_n(x) = x$  for each  $x \in E$ .

**Proof.** (1)  $\Rightarrow$  (2) Since E is a separable space, there exists a countable dense subset F of E Accordingly, the following topologies coincide on the equicontinuous subsets of L(E):

• Uniform convergence over the compact sets of E,

- Pointwise convergence on E,
- Pointwise convergence on F.

As the topology of pointwise convergence on F is metrizable, the results holds.

- $(2) \Rightarrow (1)$  Since  $A_n(x)$  converges to x when n tends to infinity, then  $\{A_n(x)\}_n$  is bounded in E for every  $x \in E$ . By Banach-Steinhaus' theorem,  $\{A_n\}_n$  is equicontinuous.
  - $(2) \Rightarrow (3)$  Take  $B_1 := A_1$  and  $B_{n+1} := A_{n+1} A_n$ , for every  $n \in \mathbb{N}$ , to get the result.

 $(3) \Rightarrow (2)$  Now set  $A_n := B_1 + \ldots + B_n$  for every  $n \in \mathbb{N}$ .

**Remark 1.13** Let  $(p_k)_k$  be a fundamental system of seminorms in E. Define  $q_k(x) := \sup_{n \in \mathbb{N}} p_k\left(\sum_{i=1}^n B_i(x)\right)$ , for every  $x \in E$  and for every  $k \in \mathbb{N}$ .

Since  $x = \lim_{n \to \infty} \sum_{i=1}^{n} B_i(x)$ , we have  $p_k(x) = \lim_{n \to \infty} p_k(\sum_{i=1}^{n} B_i(x))$  and this implies that  $p_k(x) \leq q_k(x)$  for every  $x \in E$  and for every  $k \in \mathbb{N}$ .

Observe that  $\sum_{i=1}^{n} B_i = A_n$  for each  $n \in \mathbb{N}$ . Hence  $p_k\left(\sum_{i=1}^{n} B_i\left(x\right)\right) = p_k\left(A_n\left(x\right)\right) \le C_k p_{l(k)}\left(x\right)$  for each  $n \in \mathbb{N}$ . Thus  $q_k\left(x\right) \le C_k p_{l(k)}\left(x\right)$  for every  $x \in E$  and for every  $k \in \mathbb{N}$ . And the sequence of seminorms  $(q_k)_k$  is a fundamental system of seminorms in E.

**Definition 1.14** We say that  $\{x_n\} \subset E$  is a Schauder basis in E with coefficient functionals  $\{x'_n\}$  if:

- For every  $k, n \in \mathbb{N}, \langle x'_k, x_n \rangle = \delta_{n,k}$
- For every  $x \in E$ ,  $x = \sum_{n=1}^{\infty} \langle x'_n, x \rangle x_n$ , the series converging in E.

**Example 1.15** Some spaces with Schauder basis are Köthe echelon spaces, and the Banach sequence spaces  $\ell_p, 1 \leq p < \infty$ , and  $c_0$ .

**Proposition 1.16** The following results holds:

- (1) If E is a lcs with the BAP and  $F \subset E$  is complemented, then F has the BAP, too.
- (2) If E is a barrelled lcs with a Schauder basis, then E has the BAP.

**Proof.** (1) Let  $(A_{\tau})_{\tau \in T} \subset L(E)$  be an equicontinuous net such that  $\dim A_{\tau}(E) < \infty$  for every  $\tau \in T$  and  $\lim_{\tau \in T} A_{\tau}(x) = x$  for each  $x \in E$ . Let  $F \subset E$  be complemented. Denote by  $J: F \to E$  the canonical inclusion and by  $P: E \to F$  the projection. For each  $\tau \in T$ , define  $B_{\tau}: F \to F$  by  $B_{\tau}:=PA_{\tau}J$ . Clearly,  $\dim B_{\tau}(F) \leq \dim A_{\tau}(F) < \infty$  and for every  $q \in \operatorname{cs}(E)$  there exists  $q' \in \operatorname{cs}(E)$  such that  $q(A_{\tau}(x)) \leq q'(x)$  for every  $x \in E$  and for every  $\tau \in E$ . Moreover, as  $P: E \to F$  is continuous, given  $p \in \operatorname{cs}(E)$  there exists  $q \in \operatorname{cs}(E)$  such that  $p(Px) \leq q(x)$  for every  $x \in E$ . Then

$$p(B_{\tau}(x)) = p(PA_{\tau}J(x)) = p(PA_{\tau}(x)) \le q(A_{\tau}(x)) \le q'(x)$$

for every  $x \in F$  and for every  $\tau \in T$ . Thus  $(B_{\tau})_{\tau \in T}$  is equicontinuous in L(F). Finally, for  $x \in F$ ,

$$\lim_{\tau \in T} B_{\tau}\left(x\right) = \lim_{\tau \in T} PA_{\tau}\left(x\right) = P\left(\lim_{\tau \in T} A_{\tau}\left(x\right)\right) = P\left(x\right) = x.$$

(2) Let  $(x_n)_n \subset E$  be a Schauder basis with coefficient functionals  $(x'_n)_n \subset E'$ . That is  $\langle x'_k, x_n \rangle = \delta_{k,n}$  and  $x = \sum_{n=1}^{\infty} x'_n(x) x_n$  converges in E for each  $x \in E$ . Denote by  $P_n : E \to E$ 

the map  $P_n(x) := \sum_{k=1}^n x_k'(x) x_k$ , which is a continuous projection onto span $(x_1, \ldots, x_n)$ . Since E is barrelled,  $(P_n)_n$  is equicontinuous. As  $\lim_{n\to\infty} P_n(x) = x$  for every  $x\in E$ , we conclude that E has the BAP.

**Theorem 1.17** (Pełczyński. 1971) Every separable Fréchet space E with the BAP is isomorphic to a complemented subspace of a Fréchet space  $E_0$  with a Schauder basis. If E has a continuous norm,  $E_0$  can be chosen with a continuous norm.

**Proof.** Fix a fundamental sequence of seminorms,  $|\cdot|_1 \leq |\cdot|_2 \leq \ldots \leq |\cdot|_k \leq |\cdot|_{k+1} \leq \ldots$  in E. By assumption there is  $(A_n)_n \subset L(E)$ ,  $\dim(A_n(E)) < \infty$  for each  $n \in \mathbb{N}$ , such that,  $A_n \neq 0$  for each  $n \in \mathbb{N}$ , and  $\lim_{n \to \infty} \sum_{p=1}^n A_p(x) = x$  in E for every  $x \in E$ .

We first select another (more suitable) fundamental sequence of seminorms. Set  $E_p := A_p(E), \ p = 1, 2, \ldots$  and  $m_p := \dim(E_p), \ p = 1, 2, \ldots$  with  $m_0 := 0$ . Since  $\dim(E_p) < \infty$ , for each p there is  $k(p) \in \mathbb{N}$  such that k(p-1) < k(p) and  $|\cdot|_{k(p)}$  is a norm in  $E_p$ . We set  $\|\cdot\|_n := |\cdot|_{k(n)}$ . Clearly,  $\|\cdot\|_n \le \|\cdot\|_{n+1}$  for each n and  $(\|\cdot\|_n)_n$  is a fundamental sequence of seminorms in E. Fix  $n \in \mathbb{N}$  and for j < n, set  $F_j^n := \left( \text{Ker } \|\cdot\|_j \right) \cap E_n$ . As  $\|\cdot\|_j \le \|\cdot\|_{j+1}$  for each j, we have  $F_{n-1}^n \subset F_{n-2}^n \subset \ldots \subset F_1^n \subset E_n$ . They are all closed in  $E_n$  and, since they are finite dimensional, each one is complemented in the previous one.

We select a complement in each step  $F_{n-2}^n = F_{n-1}^n \oplus H_{n-2}^n$ ,  $F_{n-3}^n = (F_{n-1}^n \oplus H_{n-2}^n) \oplus H_{n-3}^n$  and  $E_n = F_1^n \oplus H_0^n$ . We can write  $E_n = H_0^n \oplus H_1^n \oplus \ldots \oplus H_{n-2}^n \oplus F_{n-1}^n$ . Selecting a element in each component and writing the projections, each  $x \in E_n$  can be uniquely written as  $x = \sum_{l=0}^{n-1} \sum_{k \text{(finite)}} x_k^l$ .

Fix a seminorm  $\|\cdot\|_j$  with j < n and consider each projection  $x_k^l$  of x. If  $l \ge j$ ,  $x_k^l \in H_l^n \subset F_l^n = (\text{Ker } \|\cdot\|_l) \cap E_n$  then  $x_k^l \in \text{Ker } (\|\cdot\|_j)$  (i.e.  $\|x_k^l\|_j = 0$ ); therefore  $\left\|\sum_{l=j}^{n-1} \sum_{k \text{(finite)}} x_k^l\right\|_j = 0$ . On the other hand,  $\|\cdot\|_j$  is a norm on  $H_0^n \oplus \ldots \oplus H_{j-1}^n$ . This implies that the projection  $\sum_{r=0}^{j-1} \sum_{k \text{(finite)}} x_k^r \to x_k^l$  is continuous for  $0 \le l < j$  and each k. So we can find  $C_j > 0$  such that

$$\left\|x_k^l\right\|_j \le C_j \left\|\sum_{r=0}^{j-1} \sum_{k \text{(finite)}} x_k^r\right\|_j = C_j \left\|\sum_{r=0}^{n-1} \sum_{k \text{(finite)}} x_k^r\right\|_j, \text{ for } 0 \le l < j \text{ and each } k.$$

Accordingly, we have found for  $E_p$  and  $m_p$  a family of 1-dimensional operators  $B_j^p: E_p \to E_p$  with  $j = 1, \ldots, m_p$  such that

$$e = \sum_{j=1}^{m_p} B_j^p e$$
 for every  $e \in E_p$ ,

and

$$\max_{1 \leq j \leq m_p} \left\| B_j^p e \right\|_k \leq R_p \left\| e \right\|_k \text{ for every } e \in E_p \text{ and for every } k = 1, \dots, p.$$

In fact,  $R_p = \max(C_1, \dots, C_p)$ , selected as above.

Now, since  $\lim_{n\to\infty} \sum_{p=1}^n A_p(x) = x$  for every  $x \in X$ , the sequence  $\left(\sum_{p=1}^n A_p\right)_{n=1}^{\infty}$  is equicontinuous in L(E), this means that, for every  $k \in \mathbb{N}$ , there exists  $M_k > 0$  and  $l(k) \geq k$ 

such that  $\left\|\sum_{p=1}^{n} A_p(x)\right\|_k \le M_k \|x\|_{l(k)}$  for every  $x \in X$  and for every  $n \in \mathbb{N}$ . This implies

$$\|A_n(x)\|_k \le \left\|\sum_{p=1}^n A_p(x)\right\|_k + \left\|\sum_{p=1}^{n-1} A_p(x)\right\|_k \le 2M_k \|x\|_{l(k)} \text{ for each } n \in \mathbb{N} \text{ and } x \in E.$$

For each  $p \in \mathbb{N}$  select  $N_p \in \mathbb{N}$  with  $m_p R_p \leq N_p$  and set  $N_0 = 0$ . Set  $C_i^p := N_p^{-1} B_j^p$  with  $i = r m_p + j$ ,  $r = 0, 1, \ldots, N_p - 1$  and  $j = 1, \ldots, m_p$ . Observe that there are  $N_p m_p$  rank-1 operators.

$$r = 0, \frac{1}{N_p} B_1^p \dots \frac{1}{N_p} B_{m_p}^p$$

$$r = 1, \frac{1}{N_p} B_1^p \dots \frac{1}{N_p} B_{m_p}^p$$

$$\dots \dots$$

$$r = N_p - 1, \frac{1}{N_p} B_1^p \dots \frac{1}{N_p} B_{m_p}^p$$

If  $e \in E_p$ , we get

$$\sum_{i=1}^{m_p N_p} C_i^p e = \sum_{r=0}^{N_p-1} \sum_{j=1}^{m_p} \frac{1}{N_p} B_j^p e = \frac{1}{N_p} \sum_{r=0}^{N_p-1} e = e \text{ for every } p \in \mathbb{N}.$$

Moreover, for  $k=1,2,\ldots,p$ ,  $e\in E_p$ , and  $1\leq q\leq m_pN_p$ , we get r and w with  $0\leq r\leq N_p-1,\ 1\leq w\leq m_p$  such that

$$\sum_{i=1}^{q} C_i^p = r \sum_{j=1}^{m_p} N_p^{-1} B_j^p + \sum_{j=1}^{w} N_p^{-1} B_j^p.$$

Thus, for  $k = 1, 2, \ldots, p$  we have

$$\begin{split} \left\| \sum_{j=1}^{q} C_{i}^{p} e \right\|_{k} & \leq & \frac{r}{N_{p}} \left\| \sum_{j=1}^{m_{p}} B_{j}^{p} e \right\|_{k} + \frac{1}{N_{p}} \left\| \sum_{j=1}^{w} B_{j}^{p} e \right\|_{k} \leq \frac{r}{N_{p}} \left\| e \right\|_{k} \cdot \frac{1}{N_{p}} \sum_{j=1}^{w} \left\| B_{j}^{p} e \right\|_{k} \leq \\ & \leq & \left\| e \right\|_{k} + \frac{1}{N_{p}} \sum_{j=1}^{w} R_{p} \left\| e \right\|_{k} \leq \left( 1 + \frac{w R_{p}}{N_{p}} \right) \left\| e \right\|_{k} \leq 2 \left\| e \right\|_{k}, \end{split}$$

where  $\frac{wR_p}{N_p} \leq 1$  since  $1 \leq w \leq m_p$  and  $m_pR_p \leq N_p$ . We then obtain

$$\max_{1 \le q \le m_p N_p} \left\| \sum_{i=1}^q C_i^p e \right\|_k \le 2 \|e\|_k \text{ for every } e \in E_p \text{ and } k = 1, \dots, p.$$

Define now  $\widetilde{A_s}:=C_i^pA_p$  for  $s=m_0N_0+\ldots+m_{p-1}N_{p-1}+i,\ p=1,2,\ldots$  and  $i=1,2,\ldots,m_pN_p$ . Observe that  $\widetilde{A_s}\in L\left(E\right)$  since  $E\stackrel{A_p}{\to}E_p\stackrel{C_p^p}{\to}E_p\hookrightarrow E$ .

Claim 1.18  $\left(\sum_{s=1}^{n} \widetilde{A_s}\right)_{n=1}^{\infty}$  is equicontinuous in L(E).

If  $n \geq m_1 N_1$  there are t, q with  $1 \leq q \leq m_{t+1} N_{t+1}$  such that

$$\sum_{s=1}^{n} \widetilde{A_s} = \sum_{p=1}^{t} \sum_{i=1}^{m_p N_p} C_i^p A_p(x) + \sum_{i=1}^{q} C_i^{t+1} A_{t+1}.$$

Fix  $k \in \mathbb{N}$ ; for  $x \in E$  we have, if  $k \leq t + 1$ ,

$$\left\| \sum_{s=1}^{n} \widetilde{A_{s}}(x) \right\|_{k} \leq \left\| \sum_{p=1}^{t} \sum_{i=1}^{m_{p}N_{p}} C_{i}^{p} A_{p}(x) \right\|_{k} + \left\| \sum_{i=1}^{q} C_{i}^{t+1} A_{t+1}(x) \right\|_{k} = \left\| \sum_{p=1}^{t} A_{p}(x) \right\|_{k} + 2 \left\| A_{t+1}(x) \right\|_{k} \leq \left\| M_{k} \left\| x \right\|_{l(k)} + 4 M_{k} \left\| x \right\|_{l(k)} = 5 M_{k} \left\| x \right\|_{l(k)}.$$

And the claim follows, since this estimates holds for all n such that  $k \leq t + 1$ , hence for all except a finite number. Consequently, we have

$$\forall k \in \mathbb{N}, \exists \omega(k), K_k > 0 : \sup_{n} \left\| \sum_{s=1}^{n} \widetilde{A_s}(x) \right\|_{k} \le K_k \|x\|_{\omega(k)} \text{ for every } x \in E.$$

Claim 1.19  $\lim_{n\to\infty}\sum_{s=1}^n\widetilde{A_s}(x)=x$  for every  $x\in E$ .

First, select  $t \in \mathbb{N}$  and q with  $1 \leq q \leq m_{t+1}N_{t+1}$ , for  $n \geq m_1N_1$  then

$$\left\| \sum_{s=1}^{n} \widetilde{A_{s}}(x) - x \right\|_{k} \leq \left\| \sum_{p=1}^{t} \sum_{i=1}^{m_{p}N_{p}} C_{i}^{p} A_{p}(x) - x \right\|_{k} + \left\| \sum_{i=1}^{q} C_{i}^{t+1} A_{t+1}(x) \right\|_{k} \leq \left\| \sum_{p=1}^{t} A_{p}(x) - x \right\|_{k} + 2 \left\| A_{t+1}(x) \right\|_{k} \text{ if } k \leq t+1.$$

Now,

$$A_{t+1}(x) = \left(\sum_{r=1}^{t+1} A_r(x) - x\right) - \left(\sum_{r=1}^{t} A_r(x) - x\right),$$

where the two expressions tends to 0 as t tends to infinity. Then,  $\lim_{t\to\infty} ||A_{t+1}(x)||_k = 0$ . As n tends to infinity, then t tends also to infinity, therefore, there exists

$$\lim_{n \to \infty} \left\| \sum_{s=1}^{n} A_s\left(x\right) - x \right\|_{k} = \lim_{t \to \infty} \left\| \sum_{p=1}^{t} A_p\left(x\right) - x \right\|_{t} + \lim_{t \to \infty} \left\| A_t\left(x\right) \right\|_{k} = 0$$

Denote by  $E_0 := \{y = (y(s))_{s \in \mathbb{N}} : y(s) \in \widetilde{A_s}(E) \text{ and } \sum_{s=1}^{\infty} y(s) \text{ converges in } E\}$ , endowed with the fundamental system of seminorms

$$\| (y(s))_s \|_k := \sup_n \left\| \sum_{s=1}^n y(s) \right\|, y = (y(s))_s \in E_0.$$

It is not difficult to prove that  $E_0$  is a Fréchet space. We prove that  $E_0$  has a Schauder basis. Since  $\dim\left(\widetilde{A}_s\left(E\right)\right)=1$  for each  $s\in\mathbb{N}$ , we choose  $y_s\in\widetilde{A}_s\left(E\right),\ y_s\neq0$ , for each  $s\in\mathbb{N}$ . For each  $y\in\widetilde{A}_s\left(E\right)$  there is  $c\in\mathbb{K}$  such that  $y=cy_s$ . Given  $s\in\mathbb{N}$ , define  $e_s:=(\widehat{y}\left(t\right))_{t\in\mathbb{N}}$  by  $\widehat{y}(t) = 0$  if  $t \neq s$  and  $\widehat{y}_s(s) = y_s$ . It is easy to see that  $\overline{\operatorname{span}(e_s, s \in \mathbb{N})} = E_0$ . Moreover,  $\|\sum_{s=1}^n c_s e_s\|_k \leq \|\sum_{s=1}^{n+1} c_s e_s\|_k$ , for each  $n, k \in \mathbb{N}$  and each  $c_1, \ldots, c_{n+1} \in \mathbb{K}$ . Then Theorem 14.3.6 in [Jar81, p. 298] implies that  $\{e_s\}_{s \in \mathbb{N}}$  is a Schauder basis of  $X_0$ .

Now define  $I: E \to E_0$  by  $I(x) := \left(\widetilde{A_s}(x)\right)_{s \in \mathbb{N}}$ . Since  $x = \sum_{s=1}^{\infty} \widetilde{A_s}(x)$  in E, it follows that I(x) is well-defined. Moreover, I and  $I^{-1}: I(E) \to E$  are continuous by the estimates  $||I(x)||_{k} \le K_k ||x||_{w_k}$  (that were proved when we showed the equicontinuity of  $\left(\sum_{s=1}^n \widetilde{A_s}\right)_n$ ) and

$$\|x\|_{k} = \left\| \lim_{n \to \infty} \sum_{s=1}^{n} \widetilde{A_{s}}(x) \right\|_{k} \le \sup_{n} \left\| \sum_{s=1}^{n} \widetilde{A_{s}}(x) \right\|_{k} = \|I(x)\|_{k}.$$

Observe that  $I^{-1}\left(\left(y\left(s\right)\right)_{s}\right)=\sum_{s}y\left(s\right),$  if  $\left(y\left(s\right)\right)_{s}\in I\left(E\right).$ 

Finally, we define a projection  $L: E_0 \to I(E)$  by  $L((y(s))_s) := (\widetilde{A}_s(\sum_{t=1}^{\infty} y(t)))_s$ . To check that L is continuous, if  $\sum_{s=1}^{\infty} y(s)$  converges in E, using

$$\left\| \sum_{s=1}^{\infty} y\left(s\right) \right\|_{l} = \lim_{n \to \infty} \left\| \sum_{s=1}^{n} y\left(s\right) \right\|_{l} \le \sup_{n} \left\| \sum_{s=1}^{n} y\left(s\right) \right\|_{l} = \left\| \left(y\left(s\right)\right)_{s} \right\|_{l},$$

for each  $l \in \mathbb{N}$  and for each  $y \in E_0$ , then

$$\|\left(A_{s}\left(\sum_{t=1}^{\infty}y\left(t\right)\right)\right)_{s}\|_{k}=\sup_{n}\left\|\sum_{s=1}^{n}\widetilde{A_{s}}\left(\sum_{t=1}^{\infty}y\left(t\right)\right)\right\|_{k}\leq K_{k}\left\|\sum_{t=1}^{\infty}y\left(t\right)\right\|_{\omega_{k}}\leq K_{k}\|\left(y\left(s\right)\right)_{s}\|_{\omega_{k}}.$$

Finally, 
$$L^2 = L$$
, since  $\sum_{n=1}^{\infty} \widetilde{A}_n(z) = z$  for every  $z \in E$ .

## 2 Extension of injective maps. Vogt's Example of a nuclear Fréchet space without the BAP

In this section we present Vogt's counterexample [Vog83] of a nuclear Fréchet space which does not satisfy the bounded approximation property. Some results on the extension of injective continuous linear maps between normed spaces are needed first.

Let E, F be two normed spaces and let  $T \in L(E, F)$ . We denote  $\widehat{E}, \widehat{F}$  the completion of E, F respectively. We know that  $T: E \to \widehat{F}$  is a continuous map. There exists a unique continuous linear map  $\widehat{T}: \widehat{E} \to \widehat{F}$  such that the restriction  $\widehat{T} \mid E$  of  $\widehat{T}$  to E coincides T. It is defined as  $\widehat{T}(x) := \lim_{j \to \infty} T(x_j)$ , with  $(x_j)_j \subset E$  and  $x_j \to x$  in  $\widehat{E}$  as  $j \to \infty$ . In general,  $\widehat{T}$  need not to be injective.

**Example 2.1** Let  $(X, \|\cdot\|)$  be an infinite dimensional Banach space. Take  $u \in X^* \backslash X'$  (i.e.  $u: X \to \mathbb{K}$  is a non-continuous linear form ), and define ||x|| := |u(x)| + ||x|| (observe that  $||\cdot|| \le ||\cdot||$  in X). Clearly the identity  $T: (X, ||\cdot||) \to (X, ||\cdot||)$  is continuous and injective. Then, there exists a unique continuous linear map  $\widehat{T}: (X, ||\cdot||) \to (X, ||\cdot||)$  such that  $\widehat{T} \mid X = T$ . Clearly T is surjective since  $\widehat{T}(X) = T(X) = X \subset \widehat{T}(X, ||\cdot||)$ . Assume that T is injective. By the closed graph theorem,  $(\widehat{T})^{-1}$  would be continuous. This would imply  $||x|| = |U(x)| + ||x|| \le C|x|$ , for every  $x \in X$ , then  $u \in X'$ , a contradiction.

**Proposition 2.2** Let X, Y be normed spaces and let  $A: (X, \|\cdot\|) \to (Y, \|\cdot\|)$  be a continuous injective linear operator. The unique continuous linear extension  $\widehat{A}: (\widehat{X}, \|\cdot\|) \to (\widehat{Y}, \|\cdot\|)$  of A is injective if and only if for every  $(x_j)_j \subset X$ , which is  $\|\cdot\|$ -Cauchy in X such that  $\lim_{j\to\infty} \|Ax_j\| = 0$  we have  $\lim_{j\to\infty} \|x_j\| = 0$ .

**Proof.** Let  $\widehat{x} \in (\widehat{X}, \|\cdot\|)$  such that  $\widehat{A}\widehat{x} = 0$  in  $(\widehat{Y}, \|\cdot\|)$ . Then, there exists a  $(x_j)_j \subset X$ , where  $(x_j)_j$  is  $\|\cdot\|$ -Cauchy in X, such that  $x_j \to \widehat{x}$  in  $(\widehat{X}, \|\cdot\|)$ . Using that  $\widehat{A}$  is continuous  $\widehat{A}x_j = Ax_j$  converges  $\widehat{A}\widehat{x} = 0$  in  $(\widehat{Y}, \|\cdot\|)$ , hence  $Ax_j \to 0$  in  $(Y, \|\cdot\|)$  as  $j \to \infty$ . By assumption  $x_j \to 0$  in X, hence  $\widehat{x} = 0$ . And  $\widehat{A}$  is injective.

In order to show the converse, let  $(x_j)_j$  be a Cauchy sequence in X such that  $\lim_{j\to\infty} \|Ax_j\| = 0$ . There is  $\widehat{x}\in\widehat{X}$  such that  $x_j\to\widehat{x}$  in  $(\widehat{X},\|\cdot\|)$ , thus  $Ax_j\to\widehat{A}\widehat{x}$  in  $(\widehat{Y},\|\cdot\|)$ . This implies  $\widehat{A}\widehat{x}=0$  and, since  $\widehat{A}$  is injective,  $\widehat{x}=0$ . Therefore  $\lim_{j\to\infty} \|x_j\|=0$ .

**Lemma 2.3** (Vogt's main Lemma) Let E be a Fréchet space with a fundamental system of seminorms  $(\|\cdot\|_k)_k$ . Assume that E has a continuous norm and the BAP. Then, there exists k(0) such that for every  $k \geq k(0)$  exists  $l \geq k$  such that for every  $(x_j)_j \subset E$  that is  $\|\cdot\|_l$ -Cauchy such that  $\lim_{j\to\infty} \|x_j\|_{k(0)} = 0$  we have  $\lim_{j\to\infty} \|x_j\|_k = 0$ .

**Proof.** Let  $(A_{\tau})_{\tau \in T}$  be an equicontinuous net in L(E) such that  $A_{\tau}(E)$  is finite dimensional for every  $\tau \in T$  and  $A_{\tau}x$  converges to x for each  $x \in E$ .

Let  $\|\cdot\|_{k(1)}$  be a norm. Select  $k(0) \ge k(1)$  and C > 0 such that  $\|A_{\tau}x\|_{k(1)} \le C \|x\|_{k(0)}$  for each  $x \in E$  and each  $\tau \in T$ .

Since  $\|\cdot\|_{k(1)}$  is a norm and  $A_{\tau}(E)$  is finite dimensional, for each  $\tau \in T$  and  $k \in \mathbb{N}$  there is  $C_{\tau,k} > 0$  such that

$$||A_{\tau}x||_{k} \le C_{\tau,k} ||A_{\tau}x||_{k(1)} \le C_{\tau,k}C ||x||_{k(0)}.$$

Fix now  $k \geq k$  (0) and select  $l \geq k$  and D > 0 such that  $||A_{\tau}x||_k \leq D ||x||_l$  for every  $x \in E$  and for every  $\tau \in T$ . Let  $(x_j)_j \subset E$  be a  $||\cdot||_l$ -Cauchy sequence such that  $\lim_{j \to \infty} ||x_j||_{k(0)} = 0$ . Given  $\varepsilon > 0$ , choose j (0)  $\in \mathbb{N}$  such that  $||x_j - x_{j(0)}||_l < \varepsilon$  if  $j \geq j$  (0). Given  $x_{j(0)} \in E$ , select  $\tau \in T$  such that  $||x_{j(0)} - A_{\tau}x_{j(0)}||_k < \varepsilon$ . For  $j \geq j$  (0), we have

$$||x_{j}||_{k} \leq ||x_{j} - x_{j(0)}||_{k} + ||x_{j(0)} - A_{\tau}x_{j(0)}|| + ||A_{\tau}(x_{j(0)} - x_{j})|| + ||A_{\tau}x_{j}|| \leq \leq ||x_{j} - x_{j(0)}||_{l} + \varepsilon + C_{\tau,k}C ||x_{j(0)} - x_{j}||_{k(0)} + C_{\tau,k}C ||x_{j}||_{k(0)} \leq \leq (2\varepsilon + C_{\tau,k}C\varepsilon) + C_{\tau,k}C ||x_{j}||_{k(0)}.$$

Selecting j(1) > j(0) with  $||x_j||_{k(0)} < \varepsilon$ , we get  $||x_j||_k \le (2 + 2C_{\tau,k})\varepsilon$  for all  $j \ge j(1)$ .

**Example 2.4 (Vogt's example)** Take  $0 < \rho_{\mu,\nu} \le 1$ ,  $\mu,\nu \in \mathbb{N}$ , with  $\lim_{\mu\to 0} \rho_{\mu,\nu} = 0$  for every  $\nu \in \mathbb{N}$ . Denote  $x = \left(x_{\mu,\nu}^n\right)_{n,\mu,\nu} \in \mathbb{K}^{\mathbb{N}\times\mathbb{N}\times\mathbb{N}}$ . For  $p \in \mathbb{N}$ , define

$$||x||_p := \sum_{n,\mu,\nu \leq p} \left| x_{\mu,\nu}^n \right| p^{n+\mu+\nu} + \sum_{n,\mu,\nu > p} \left| \rho_{\mu,\nu} x_{\mu,\nu}^n - x_{\mu,\nu}^{n+1} \right| p^{n+\mu+\nu}.$$

Set  $E := \left\{ x = \left( x_{\mu,\nu}^n \right)_{n,\mu,\nu \in \mathbb{N}^3} : \|x\|_p < \infty \text{ for every } p \in \mathbb{N} \right\}$ . It is a Fréchet space and

$$||x||_{p} \leq 2 \left( \sum_{n,\mu,\nu \leq p+1} |x_{\mu,\nu}^{n}| p^{n+\mu+\nu} + \sum_{n,\mu,\nu > p+1} |\rho_{\mu,\nu} x_{\mu,\nu}^{n} - x_{\mu,\nu}^{n+1}| p^{n+\mu+\nu} \right) =: ||x||_{p}'.$$

To see this, use the inequality

$$\sum_{n,\mu,\nu=p+1} \left| \rho_{\mu,\nu} x_{\mu,\nu}^n - x_{\mu,\nu}^{n+1} \right| p^{n+\mu+(p+1)} \leq \sum_{n,\mu} \left( \left| x_{\mu,p+1}^n \right| + \left| x_{\mu,p+1}^{n+1} \right| \right) p^{n+\mu+(p+1)}.$$

The canonical map  $\left(E,\left\|\cdot\right\|_{p+1}\right)\mapsto\left(E,\left\|\cdot\right\|_{p}'\right)$  is nuclear, then E is a nuclear space. Indeed,

$$x = \sum_{n,\mu,\nu} (e_{n,\mu,\nu} \otimes u_{n,\mu,\nu})(x),$$

where  $e_{n,\mu,\nu}$  are the canonical unit vectors in  $E \subset \mathbb{K}^{\mathbb{N}^3}$  and  $u_{n,\mu,\nu}$  are the canonical unit vectors in the dual. Exactly in the same positions we obtain

$$\|e_{n,\mu,\nu}\|'_{p} = \begin{cases} p^{n+\mu+\nu}, & \text{or} \\ \rho_{\mu,\nu}p^{n+\mu+\nu} \\ \|u_{n,\mu,\nu}\|_{p+1} \end{cases} = \begin{cases} \frac{1}{(p+1)^{n+\mu+\nu}}, & \text{or} \\ \frac{1}{\rho_{\mu,\nu}(p+1)^{n+\mu+\nu}} \end{cases} \text{ then } \sum_{n,\mu,\nu} \|e_{n,\mu,\nu}\|'_{p} \|u_{n,\mu,\nu}\|_{p+1} < \infty.$$

Now, observe that  $\|\cdot\|_p$  is a norm in E for all p. Indeed, assume  $x=\left(x_{\mu,\nu}^n\right)\in E$  satisfies  $\|x\|_p=0$  then  $x_{\mu,\nu}^n=0$  for all  $n,\mu$  if  $\nu\leq p$ . In that case,  $\rho_{\mu,\nu}x_{\mu,\nu}^{n+1}$  with  $\nu>p$  for every  $n,\mu$  then  $x_{\mu,\nu}^n=\rho_{\mu,\nu}^nx_{\mu,\nu}^{n+1}$  with  $\nu>p$  for every  $\mu\in\mathbb{N}$ . Suppose there are  $\mu\in\mathbb{N}$  and  $\nu>p$  such that  $x_{\mu,\nu}^1\neq 0$ . Select  $q\in\mathbb{N}$  with  $q\rho_{\mu,\nu}>1$  and  $q>\nu$ . Then, since  $x\in E$ ,

$$\infty \stackrel{q \ge \nu}{\ge} \|x\|_q \le \sum_n |x_{\mu,\nu}^n| q^{n+\mu+\nu} = \sum_n (\rho_{\mu,\nu})^{n-1} |x_{\mu,\nu}^1| q^{n+\mu,\nu} = |x_{\mu,\nu}^1| \sum_n (\rho_{\mu,\nu}q)^{n-1} q^{\mu+\nu+1} = \infty,$$

and this is a contradiction. Then  $x_{\mu,\nu}^1 = 0$  for each  $\mu$  and each  $\nu > p$  implies that  $x_{\mu,\nu}^n = 0$  for every  $\mu, n \in \mathbb{N}$  and for every  $\nu > p$ . Therefore x = 0.

To prove that E does not have the BAP, we use Vogt's main lemma and we will prove for every  $p_0$  and for every  $q \geq p = p_0 + 1$  there exists  $(x_m)_m \subset E$ , which is  $\|\cdot\|_q$ -Cauchy, with  $\|x_m\|_{p_0}$  converging 0 as m tends to infinity, but  $\|x_m\|_p$  does not converge to 0. To prove this fact, given  $q \geq p_0 + 1$ , select  $\mu \in \mathbb{N}$  such that  $\rho_{\mu,p}q < 1$ . Define  $x_m := \sum_{n=1}^m (\rho_{\mu,p})^n e_{n,\mu,p}$ , where  $e_{n,\mu,\nu}$  are the canonical unit vectors in E. For l < m we get

$$||x_m - x_l||_q = \left| \left| \sum_{n=l+1}^m (\rho_{\mu,p})^n e_{n,\mu,p} \right| \right|_q \stackrel{q \ge p}{=} \sum_{l+1}^m \rho_{\mu,p}^n q^{n+\mu+p} = q^{\mu+p} \sum_{l+1}^m (\rho_{\mu,p} q)^n.$$

And  $(x_m)_m$  is  $\|\cdot\|_q$ -Cauchy, since  $\rho_{\mu,p}q < 1$ . On the other hand,

$$||x_m||_{p_0} = \sum_{n=1}^m \left| \rho_{\mu,p} x_{\mu,p}^n - x_{\mu,p}^{n+1} \right| p_0^{n+\mu+p},$$

where, for 
$$n = 1, ..., m - 1$$
,  $\rho_{\mu,p} x_{\mu,p}^n - x_{\mu,p}^{n+1} = \rho_{\mu,p} \rho_{\mu,p}^n - \rho_{\mu,p}^{n+1} = 0$ , therefore

$$||x_m||_{p_0} = \rho_{\mu,p}^{m+1} p_0^{m+\mu+p} = p_0^{\mu+p-1} (\rho_{\mu,p} p_0)^{m+1} \to 0 \text{ as } m \to \infty,$$

since  $\rho_{\mu,p}p_0 < \rho_{\mu,p}q < 1$ .

Finally,

$$||x_m||_p = \sum_{n=1}^m \rho_{\mu,p}^n p^{n+\mu+p} = p^{\mu+p} \sum_{n=1}^m (\rho_{\mu,p} p)^n \ge p^{\mu+p+1} \rho_{\mu,p} \text{ for every } m \in \mathbb{N}.$$

Therefore  $||x_m||_n$  does not converge to 0 as m tends to infinity.

### 3 Countably normable Fréchet Spaces

**Definition 3.1** A Fréchet space E is countably normable (or countably normad) if there exists a fundamental sequence of norms  $(\|\cdot\|_k)_k$  defining the topology of E such that the inclusions  $i_k: (E, \|\cdot\|_{k+1}) \to (E, \|\cdot\|_k)$  can be extended (uniquely) to an injection  $\varphi_k: (E, \|\cdot\|_{k+1}) \to (E, \|\cdot\|_k)$  (i.e. E is an intersection of Banach spaces).

The following result is a consequence of proposition 2.2.

**Lemma 3.2** Let X be a vector space with two norms  $\|\cdot\| \leq \|\cdot\|$ . The inclusion  $i:(X, \|\cdot\|) \to (X, \|\cdot\|)$  extends uniquely to an injective continuous map if and only if for every  $(x_n)_n \subset X$ , which is  $\|\cdot\|$ -Cauchy, such that  $\|x_n\| \to 0$  as n tends to infinity then  $\|x_n\| \to 0$ .

**Remark 3.3** A Fréchet space E with a continuous norm is countably normable if and only if there exists a fundamental system  $(\|\cdot\|_k)_k$  of norms on E such that for every  $k \in \mathbb{N}$  there exists j > k such that if  $(x_n)_n \subset X$  is  $\|\cdot\|_j$ -Cauchy and  $\lim_n \|x_n\|_k = 0$ , then  $\lim_n \|x_n\|_j = 0$ .

Indeed, if we suppose that E is countably normable then it is enough to take j = k + 1. If the condition is satisfied, it is enough to pass to a subsequence.

As a consequence, if E is a Fréchet space which is countably normable and  $F \subset E$  is a closed subspace, then F is a countably normable Fréchet space. To prove it we just take the restriction to F of the norms given by the remark on E.

**Proposition 3.4** Every Fréchet space E with a Schauder basis and a continuous norm is countably normable. Consequently, every separable Fréchet space with a continuous norm and the bounded approximation property is countably normable.

**Proof.** Let  $(x_n)_n$  be a Schauder basis in E with coefficient functionals  $(x'_n)_n$ . We write

$$A_n: E \longrightarrow E$$

$$x \longrightarrow \langle x'_n, x \rangle x_n.$$

We have  $\dim(A_n(E)) = 1$ ,  $A_n A_m = \delta_{n,m} A_n$  if  $n \neq m$  and  $x = \sum_{n=1}^{\infty} A_n(x) = \sum_{n=1}^{\infty} \langle x'_n, x \rangle x_n$  converging in E for every  $x \in E$ .

Given a fundamental sequence of norms  $(\|\cdot\|_k)_{k\in\mathbb{N}}$  in E, define  $\|y\|_k := \sup_n \|\sum_{i=1}^n A_i y\|_k$  for every  $y \in E$  and  $k \in \mathbb{N}$ . Then  $(|\cdot|_k)_k$  is a fundamental sequence of seminorms in E. Indeed,

$$||x||_{k} = \lim_{n \to \infty} \left\| \sum_{i=1}^{n} A_{i}(x) \right\|_{k} \le \sup_{n} \left\| \sum_{i=1}^{n} A_{i}(y) \right\|_{k} = |x|_{k},$$

for every  $k \in \mathbb{N}$  and for every  $x \in E$ . In particular  $|\cdot|_k$  is a norm for each k. On the other hand, since  $(\sum_{i=1}^{n} A_i)_n$  is equicontinuous in L(E) by Banach-Steinhaus' Theorem, for every  $k \in \mathbb{N}$ , there exists l(k) > k and  $C_k > 0$  such that  $\|\sum_{i=1}^n A_i(x)\|_k \le C_k \|x\|_{l(k)}$  for every  $n \in \mathbb{N}$  and for every  $x \in E$ . This implies that for every  $k \in \mathbb{N}$  there exists l(k) > k and  $C_k > 0$  such that  $|x|_k \le C_k ||x||_{l(k)}$ . Consider that map  $A_j : (E, |\cdot|_k) \to (E, |\cdot|_k)$  between these normed spaces. It is continuous

$$\left|A_{j}\left(y\right)\right|_{k} = \sup_{n} \left\|\sum_{i=1}^{n} A_{i} A_{j}\left(y\right)\right\|_{k} = \left\|A_{j}\left(y\right)\right\|_{k} \le \left\|\sum_{i=1}^{j} A_{j}\left(y\right) - \sum_{i=1}^{j-1} A_{j}\left(y\right)\right\|_{k} \le 2\left|y\right|_{k},$$

and if j=1 then  $|A_1(y)|_k \leq |y|_k$ . Then there exists a unique continuous extension  $A_j^k$ :  $(\widehat{E,|\cdot|_k}) \to (\widehat{E,|\cdot|_k})$  such that  $A_j^k \mid E = A_j$  for each  $j \in \mathbb{N}$ . Moreover, since  $A_j^k(E)$  is finite dimensional (in fact 1-dimensional), it is closed and  $A_{j}^{k}\left[\widehat{(E,|\cdot|_{k})}\right]\subset A_{j}\left(E\right)=\operatorname{span}(x_{j}).$ Since  $A_iA_j = \delta_{i,j}A_j$  on E, by density  $A_i^kA_j^k = \delta_{i,j}A_i^k$ . We show now that  $(\sum_{i=1}^n A_j)_n$  is equicontinuous on  $L(E, |\cdot|_k)$ . If  $y \in E$ ,  $m \in \mathbb{N}$ ,

$$\left\| \sum_{i=1}^{m} A_i \left( \sum_{j=1}^{n} A_j \right) (y) \right\|_{k} \stackrel{m \ge n}{=} \left\| \sum_{j=1}^{n} A_j (y) \right\|_{k} \le |y|_{k},$$

since

$$\left\| \sum_{j=1}^{n} A_{j}(y) \right\|_{k} = \sup_{m} \left\| \sum_{i=1}^{m} \left( \sum_{j=1}^{n} A_{j} \right) (y) \right\|_{k} =$$

$$= \sup_{m \ge n} \left\| \sum_{i=1}^{m} A_{i} \left( \sum_{j=1}^{m} A_{j} \right) (y) \right\|_{k} + \sup_{1 \le m < n} \left\| \sum_{i=1}^{m} A_{i} \left( \sum_{j=1}^{m} A_{j} \right) (y) \right\|_{k} \le 2 |y|_{k}.$$

This implies that the extensions  $\left(\sum_{j=1}^n A_j^k\right)_n$  form also an equicontinuous set in  $L\left(\widehat{(E,|\cdot|_k)}\right)$ . Since  $\sum_{j=1}^n A_j^k \to I$  pointwise in E and  $\left(\sum_{j=1}^n A_j^k\right)_n$  is equicontinuous in  $L\left(\widehat{E,|\cdot|_k}\right)$  then, for every  $x \in (\widehat{E, |\cdot|_k})$ ,  $\sum_{j=1}^n A_j^k \widehat{x} \xrightarrow{n} \widehat{x}$  in  $(\widehat{E, |\cdot|_k})$ .

We finally prove that the unique extension  $\varphi_k: (\widehat{E,|\cdot|_{k+1}}) \to (\widehat{E,|\cdot|_k})$  of the identity  $(E, |\cdot|_{k+1}) \to (E, |\cdot|_k)$  is injective. Fix  $\widehat{y} \in (E, |\cdot|_{k+1})$  such that  $\varphi_k \widehat{y} = 0$  in  $(E, |\cdot|_k)$ . We know  $\widehat{y} = \sum_{n=1}^{\infty} A_n^{k+1} \widehat{y}$  the series converging in  $(E, |\cdot|_{k+1})$  and the decomposition is unique, since  $A_i^{k+1} A_j^{k+1} = \delta_{i,j} A_i^{k+1}$  if  $i \neq j$ . Moreover,  $A_n^{k+1} (\widehat{y}) \in E$ , since  $A_n(E)$  is finite dimensional in E, hence closed in  $(E, |\cdot|_{k+1})$ .

Now  $0 = \varphi_k\left(\widehat{y}\right) = \sum_{n=1}^{\infty} A_n^k\left(\varphi_k\left(\widehat{y}\right)\right)$ , the series converging in  $(\widehat{E,|\cdot|_k})$ . Since the decomposition is unique, we have  $A_n^k\left(\varphi_k\left(\widehat{y}\right)\right) = 0$  for each  $n \in \mathbb{N}$ . We are done if we show that  $A_n^{k+1}\widehat{y} = A_n^k\left(\varphi_k\left(\widehat{y}\right)\right) (=0)$ , because this will imply  $\widehat{y} = \sum_{n=1}^{\infty} A_n^{k+1}\widehat{y} = 0$ .

To prove  $A_n^{k+1} = A_n^k(\varphi_k(\widehat{y}))$ , select  $(y_s) \subset E$  such that  $y_s \to \widehat{y}$  in  $(E, |\cdot|_{k+1})$ .  $y_s$  converges to  $\varphi_k\left(\widehat{y}\right)$  in  $(\widehat{E,|\cdot|_k})$ . Now  $A_n^k$  is the extension of  $A_n$  and  $A_n^{k+1}$  of  $A_n$ . Thus  $A_n^{k+1}\left(\widehat{y}\right) = \lim_{s \to \infty} A_n\left(y_s\right) = A_n^k\left(\varphi_k\left(\widehat{y}\right)\right)$ . The advantage of the next characterization is that it is formulated in terms of an arbitrary fundamental sequence of seminorms.

**Theorem 3.5** (Dubinski, Vogt, 1985) Let E be a Fréchet space with a continuous norm. Let  $(\|\cdot\|_k)$  be a increasing sequence of norms which define the topology of E. Denote  $E_k = (\widehat{E}, \|\cdot\|_k)$  and  $\varphi_k : E_{k+1} \to E_k$  the unique extension of the identity  $i : (E, \|\cdot\|_{k+1}) \to (E, \|\cdot\|_k)$ . Then, the following are equivalent:

- 1. E is countably normable
- 2. There exists  $k_0 \in \mathbb{N}$  such that for every  $k \geq k_0$  there exists j > k such that if  $(x_n)_n \subset E$  is  $\|\cdot\|_j$ -Cauchy and  $\|x_n\|_{k_0} \to 0$ , then  $\|x_n\|_k \to 0$ .

**Proof.** In order to show  $(1) \Rightarrow (2)$ , let  $(|\cdot|_k)_{k \in \mathbb{N}}$  be a fundamental sequence of norms in E such that the extensions  $\varphi_k : (\widehat{E, |\cdot|_{k+1}}) \to (\widehat{E, |\cdot|_k})$  of the identity  $i : (E, |\cdot|_{k+1}) \to (E, |\cdot|_k)$  are injective for each k.

Select  $k_0 \in \mathbb{N}$  such that  $|\cdot|_1 \leq C \|\cdot\|_{k_0}$  for some C > 0 (recall that both  $(|\cdot|_k)$  and  $(\|\cdot\|_k)_k$  are fundamental systems of seminorms of E). Fix  $k \geq k_0$  and choose k' such that  $\|\cdot\|_k \leq D \|\cdot\|_{k'}$  for some D > 0. Now choose j such that  $\|\cdot\|_k \leq E \|\cdot\|_j$ .

Take  $(x_n)_n \subset E$ , which is  $\|\cdot\|_j$ -Cauchy and satisfies  $\|x_n\|_{k_0} \to 0$  as n tends to infinity. Since  $\|\cdot\|_1 \leq C \|\cdot\|_{k_0}$ , we get  $\|x_n\|_1 \to 0$  as  $n \to \infty$ . Moreover  $(x_n)_n$  is  $\|\cdot\|_{k'}$ -Cauchy in E and the unique extension  $\varphi_1 \circ \ldots \circ \varphi_{k'-1} : (\widehat{E}, |\cdot|_{k'}) \to (\widehat{E}, |\cdot|_1)$  of the identity  $i : (E, \|\cdot\|_{k'}) \to (E, \|\cdot\|_1)$  is injective. By the lemma,  $\|x_n\|_{k'} \to 0$  as  $n \to \infty$ . Since  $\|\cdot\|_k \leq D \|\cdot\|_{k'}$ , we conclude  $\|x_n\|_k \overset{n}{\to} 0$ . Then, the proof of (2) is complete.

Now, in order to show  $(2) \Rightarrow (1)$  we first prove the following

Claim 3.6 Condition (2) implies that there exists  $k_0 \in \mathbb{N}$  such that, for every element  $x \in \bigcap_{k=k_0}^{\infty} \varphi_{k_0} \dots \varphi_k(E_{k+1})$ , there exist  $x_k \in E_k, k \in \mathbb{N}$ , such that  $x_{k_0} = x$  and  $x_k = \varphi_k x_{k+1}$  for every  $k \geq k_0$  (i.e. x belongs to  $Proj_{k \geq k_0}((E_k)_k, \varphi_k : E_{k+1} \to E_k)$ ).

**Proof.** For each  $k \geq k_0$ , we choose  $j_k > k$  satisfying (2), we may select it satisfying  $j_{k+1} > j_k$  for each  $k \in \mathbb{N}$ . Given  $x \in \bigcap_{k=k_0}^{\infty} \varphi_{k_0} \dots \varphi_k \left( E_{k+1} \right)$ , we can find for each  $k > k_0$ ,  $y_k \in E_k$  such that  $x = \varphi_{k_0} \dots \varphi_{k-1} y_k$ . By (2),  $\varphi_{k_0} \dots \varphi_{k-1}$  is injective on  $\varphi_k \dots \varphi_{j_k-1} \left( E_{j_k} \right)$ . Indeed,  $\varphi_{k_0} \dots \varphi_{k-1} : E_k \to E_{k_0}$  and  $\varphi_k \dots \varphi_{j_k-1} : E_{j_k} \to E_k$  and  $(\varphi_{k_0} \dots \varphi_{k-1}) \left( \varphi_k \dots \varphi_{j_k-1} \right) : E_j \to E_{k_0}$  is the unique continuous extension of the identity  $i : \left( E, \| \cdot \|_{j_k} \right) \to \left( E, \| \cdot \|_{k_0} \right)$ . By (2) if  $(x_n)_n$  is  $\| \cdot \|_{j_k}$ -Cauchy and  $\| x_n \|_{k_0} \to 0$ , therefore  $\| x_n \|_k \to 0$ .

Suppose  $(\varphi_{k_0} \dots \varphi_{k-1}) (\varphi_k \dots \varphi_{j_k-1}) (z) = 0$  with  $z \in E_{j_k}$ . Select  $(z_n)_n \subset E$ , which is  $\|\cdot\|_{j_k}$ -Cauchy with  $z_n \to z$  in  $E_{j_k}$ . We obtain

$$(\varphi_{k_0} \dots \varphi_{k-1}) (\varphi_k \dots \varphi_{j_k-1}) (z_n) = z_n \to (\varphi_{k_0} \dots \varphi_{k-1}) (\varphi_k \dots \varphi_{j_k-1}) (z) = 0 \text{ in } E_{k_0},$$

then  $||z_n||_{k_0} \stackrel{n}{\to} 0$ ; therefore,  $||z_n||_k \stackrel{n}{\to} 0$ .

On the other hand, as  $z_n \stackrel{n}{\to} z$  in  $E_{j_k}$  then  $z_n = (\varphi_k \dots \varphi_{j_k-1})(z_n) = \stackrel{n}{\to} (\varphi_k \dots \varphi_{j_k-1})(z)$  in  $E_k$ . Therefore  $z_n \stackrel{k}{\to} \varphi_k \dots \varphi_{j_k-1}(z)$  in  $E_k$  but  $||z_n||_k \stackrel{n}{\to} 0$  and this implies  $(\varphi_k \dots \varphi_{j_k-1})(z) = 0$ .

We define now  $x_{k_0} := x$  and  $x_k := \varphi_k \dots \varphi_{j_k-1}(y_{j_k})$  for each  $k \in \mathbb{N}$ . Observe first that the injectivity of  $\varphi_{k_0} \dots \varphi_{k-1}$  on  $\varphi_k \dots \varphi_{j_k-1}(E_{j_k})$  implies  $\varphi_k \dots \varphi_{j_k-1}(y_{j_k}) = \varphi_k \dots \varphi_{j-1}(y_j)$ 

for all  $j \geq j_k$ . Both belong to  $\varphi_k \dots \varphi_{j_k-1}(E_{j_k}) \subset E_k$  and both are mapped to x by  $\varphi_{k_0} \dots \varphi_{k-1}$ . In particular, for  $j = j_{k+1}$  we get  $\varphi_k \dots \varphi_{j_k-1}(y_{j_k}) = \varphi_k \dots \varphi_{j_k}(y_{j_{k+1}})$ . Now  $x_{k+1} = \varphi_{k+1} \dots \varphi_{j_k}(y_{j_{k+1}})$ , thus  $\varphi_k x_{k+1} = \varphi_k \varphi_{k+1} \dots \varphi_{j_k}(y_{j_{k+1}}) = \varphi_k \dots \varphi_{j_k-1}(y_{j_k}) = x_k$ . And  $x_{k_0+1} = \varphi_{k_0+1} \dots \varphi_{j_{k_0+1}-1}(y_{j_{k_0+1}})$  and  $\varphi_{k_0} x_{k_0+1} = \varphi_{k_0} \varphi_{k_0+1} \dots \varphi_{j_{k_0+1}}(y_{j_{k_0+1}}) = x = x_{k_0}$ . And the claim is proved.

We may assume that  $k_0 = 1$  in the claim. So, for every  $x \in \bigcap_{k=1}^{\infty} \varphi_1 \dots \varphi_k (E_{k+1})$ , there exists  $(x_k)_k$  such that  $x_k \in E_k$ , with  $x_1 = x$  and  $x_k = \varphi_k x_{k+1}$  for each  $k \geq 1$ . Set  $F_k := \varphi_1 \dots \varphi_k (E_{k+1})$  with the quotient norm induced by  $E_{k+1}$ . The space  $F = \bigcap_k F_k$  with the projective topology is a countably normed Fréchet space. Observe that  $F \subset E_1$  algebraically and the injection is continuous, since each map  $\varphi_1 \dots \varphi_k : E_{k+1} \to E_1$  is continuous.

We denote by  $P_k: E \to E_k$  the canonical inclusion. Recall that  $\|\cdot\|_1$  is a norm, hence  $P_k: E \to (\widehat{E,\|\cdot\|_k})$  is injective. We show that  $P_1E = F$  (in  $E_1$ ). By definition of E,  $P_1 = \varphi_1 \dots \varphi_k P_{k+1}$  for each  $k \in \mathbb{N}$  then  $P_1E = \varphi_1 \dots \varphi_k P_{k+1}E \subset \varphi_1 \dots \varphi_k (E_{k+1})$  for each k; therefore,  $P_1E = F$ . On the other hand, if  $y \in F \subset E_1$ ,  $y = \bigcap_{k=1}^{\infty} \varphi_1 \dots \varphi_k (E_{k+1})$  we apply the claim to find  $(x_k)_k$ ,  $x_k \in E_k$  for each k such that  $x_1 = y$  and  $\varphi_k x_{k+1} = x_k$  for each  $k \in \mathbb{N}$ . Since E is a Fréchet space and  $E = \operatorname{proj}_k(E_k, \varphi_k)$ , there is  $x \in E$  with  $P_k(x) = x_k$  for each k. In particular  $P_1(x) = y$  and  $F \subset P_1E$ . Thus  $P_1: E \to F \subset E_1$  is bijective. We know that  $P_1: E \to E_1$  is continuous and the inclusion  $F \subset E_1$  is also continuous. If we prove that  $P_1$  has closed graph as a map from E to F, the closed graph theorem implies that  $P_1$  is a continuous and (being bijective) by the open graph theorem an isomorphism. Suppose  $x_n \to x$  in E and  $P_1 x_n \to y$  in F, then  $P_1 x_n \to y$  in  $E_1$  (since  $F \hookrightarrow E_1$  is continuous) and  $P_1 x_n \to Px$  in  $E_1$  (since  $P_1: E \hookrightarrow E_1$  is continuous), therefore  $E_1$  is Banach/Hausdorff and then  $P_1 x_n \to Px$  in  $P_1: E \to E_1$  is continuous), therefore  $P_1: E \to E_1$  is continuous.

Consequence 3.7 Vogt's Example 2.4 is not countably normable.

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