

# Basic Concepts of Plasmas

**Fall, 2020**

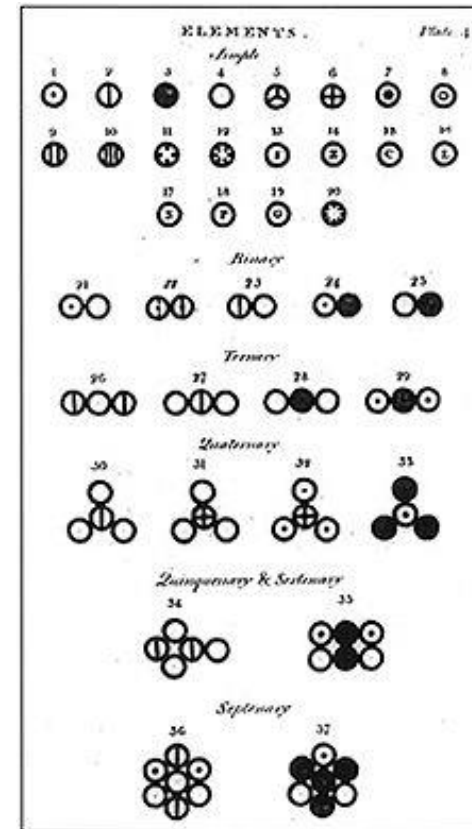
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**Seoul National University**

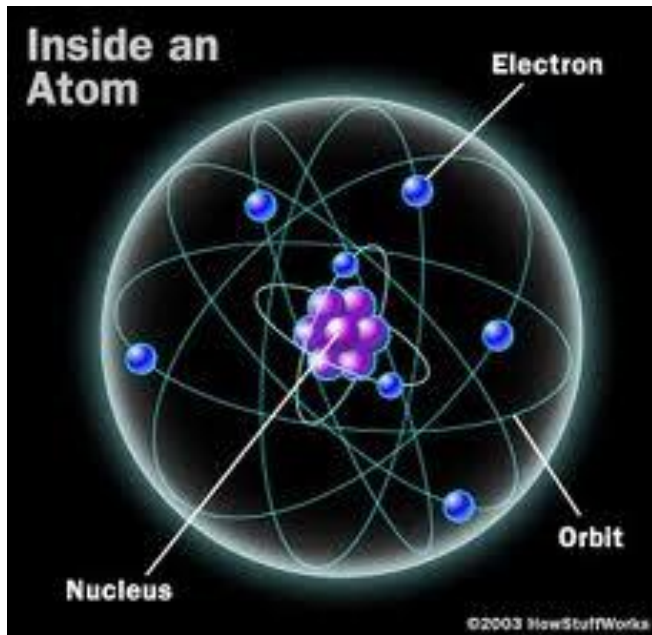
# Atom: scientific approach

- Dalton's atomic theory (John Dalton, 1808)
  1. Elements are made of extremely small particles called atoms.
  2. Atoms of a given element are identical in size, mass, and other properties; atoms of different elements differ in size, mass, and other properties.
  3. Atoms cannot be subdivided, created, or destroyed.
  4. Atoms of different elements combine in simple whole-number ratios to form chemical compounds.
  5. In chemical reactions, atoms are combined, separated, or rearranged.

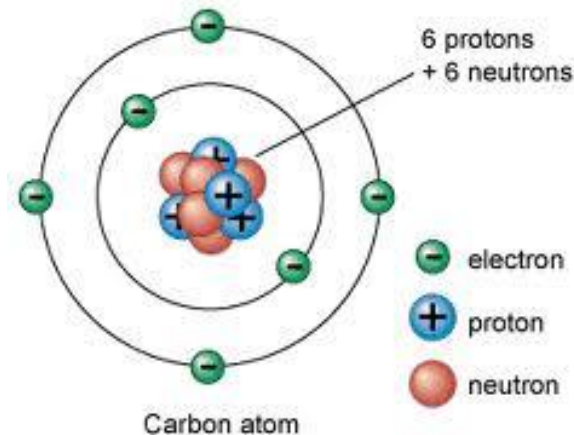


# The structure of atom

- Every atom is composed of a nucleus and one or more electrons bound to the nucleus. The nucleus is made of one or more protons and typically a similar number of neutrons. Protons and neutrons are called nucleons.
- More than 99.94% of an atom's mass is in the nucleus.



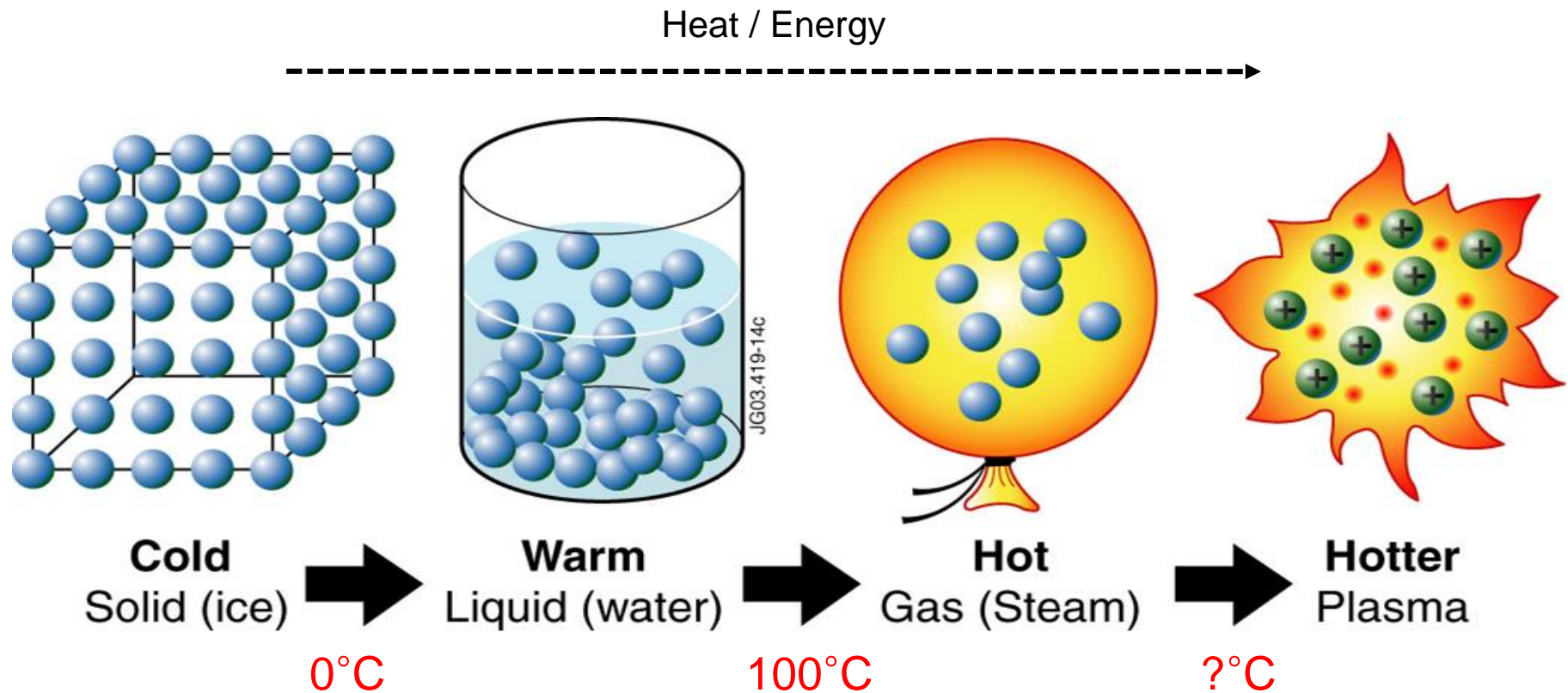
- Proton (p, H<sup>+</sup>)
  - mass ( $m_p$ ) =  $1.67 \times 10^{-27}$  kg
  - charge ( $q_p$ ) =  $+1.6 \times 10^{-19}$  C (+e)
- Electron (e)
  - mass ( $m_e$ ) =  $9.11 \times 10^{-31}$  kg
  - charge ( $q_e$ ) =  $-1.6 \times 10^{-19}$  C (-e)



$$\frac{m_p}{m_e} \approx 1837$$

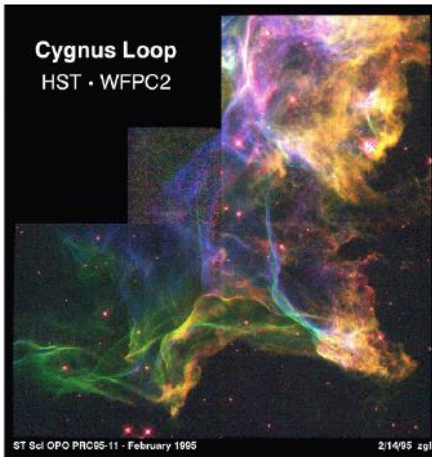
$$\left| \frac{q_p}{q_e} \right| = 1$$

# What is a plasma?

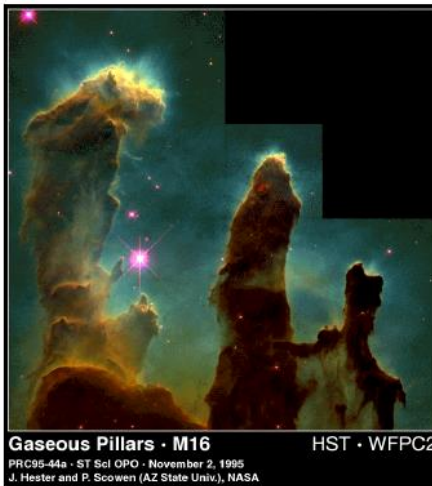


- A Plasma is **quasi-neutral** gas of **charged and neutral particles** which exhibits **collective behavior**. (Francis F. Chen)
- Plasma is a gas in which a certain portion of the particles are ionized. (Wikipedia)

# Space plasmas



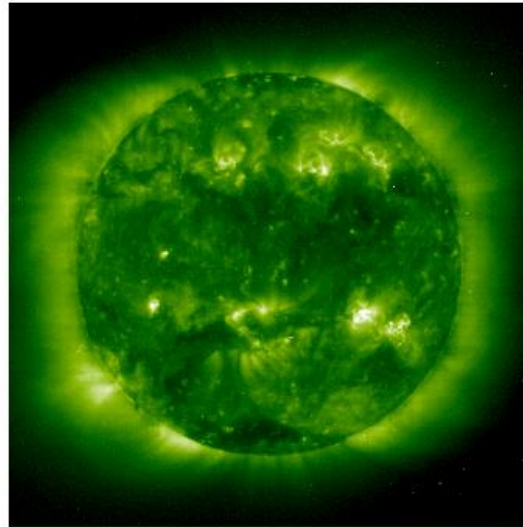
Gaseous nebulae are plasmas.



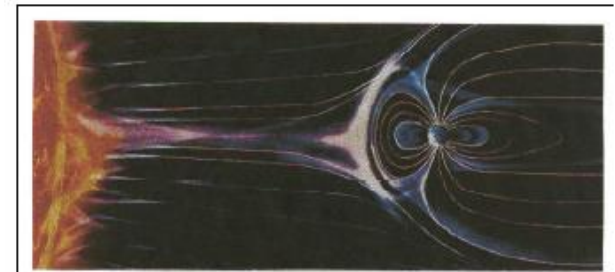
Plasmas at the birth of stars



A cooler plasma: the Aurora Borealis



Most of the sun is in a plasma state, especially the corona.

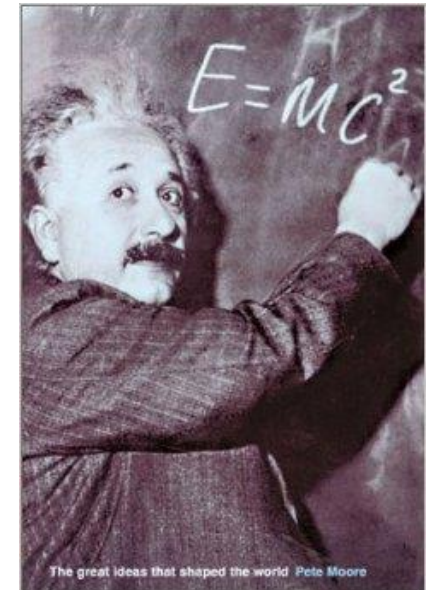
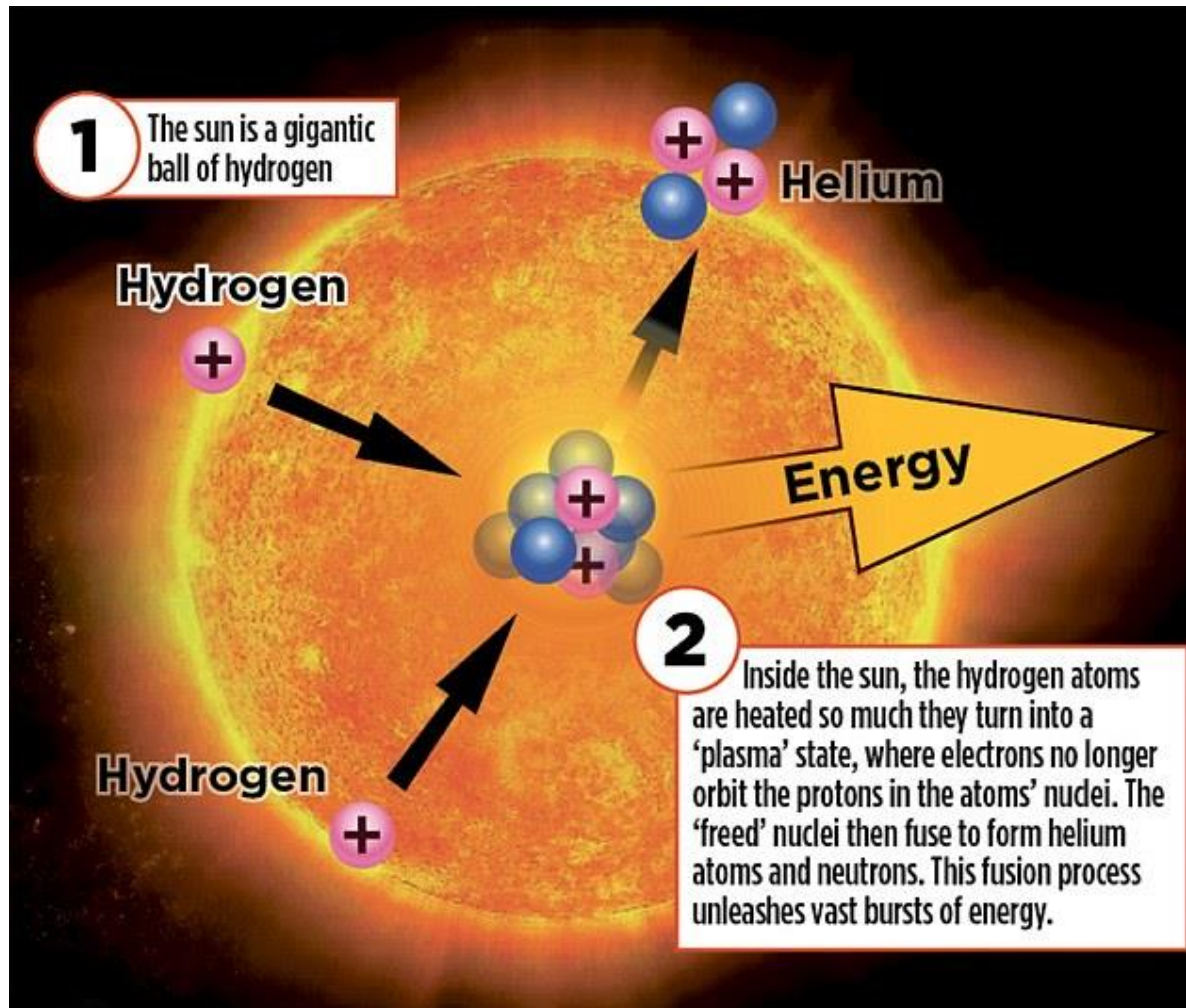


The earth plows through the magnetized interplanetary plasma created by the solar wind.

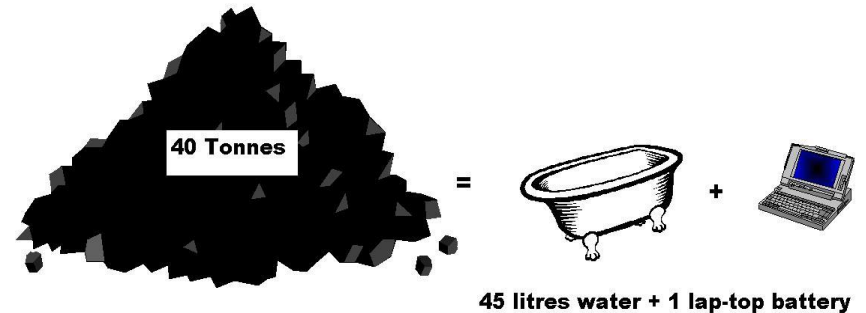
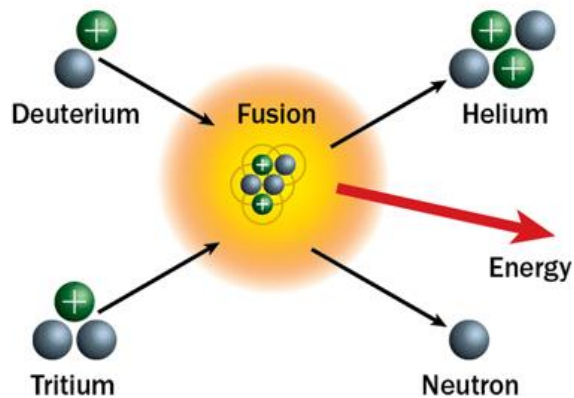
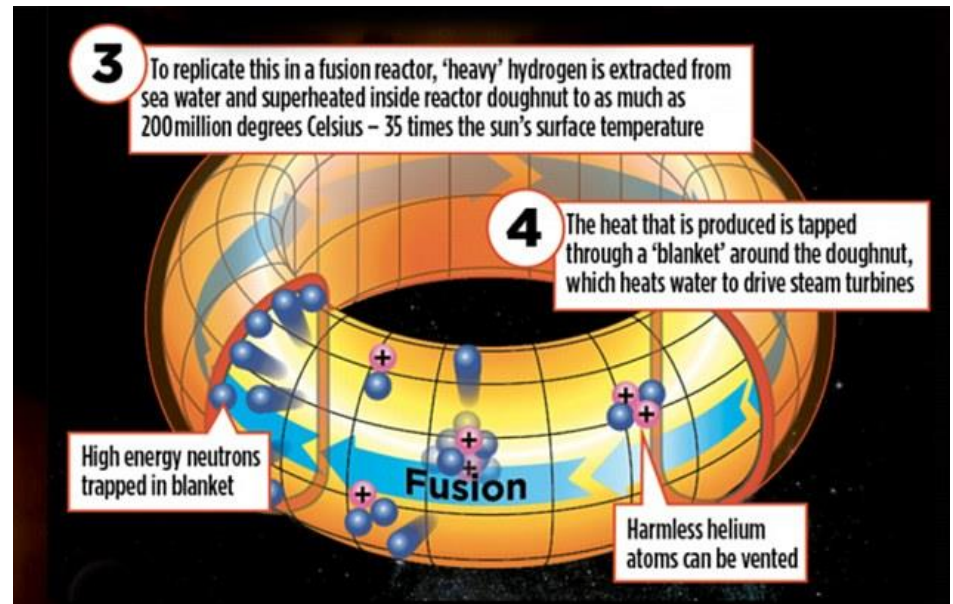
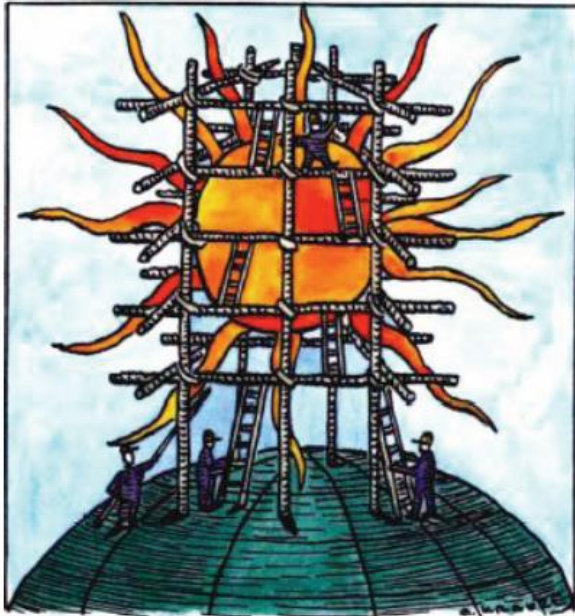


Comet tails are dusty plasmas.

# The Sun's energy



# Man-made fusion

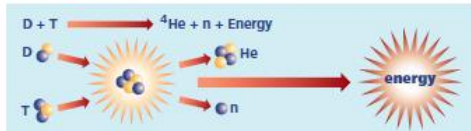


노트북 배터리 1개 + 옥조 절반 물  
 (≥ 달걀컵 1개 분량 중수) = 200 MW  
 → 55년 사용량

# ITER project

## What is fusion?

Fusion is the energy source of the sun and the stars. In the fusion process on Earth, two isotopes of hydrogen, deuterium and tritium, fuse together to form a helium atom and an energetic neutron. The energy potential of the fusion reaction is superior to all other energy sources that we know on Earth.

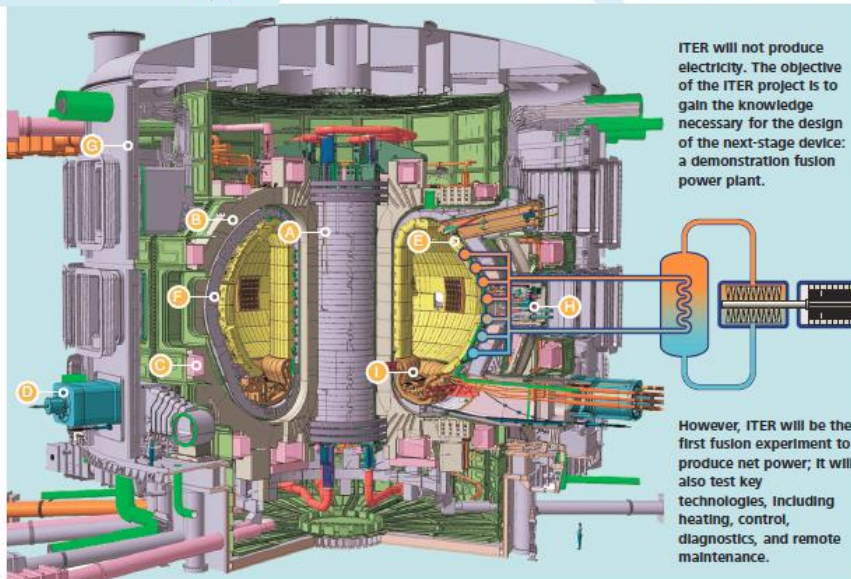


## The fusion machine

ITER is based on the 'tokamak' concept of magnetic confinement, in which the plasma is contained in a



doughnut-shaped vacuum vessel. The fusion fuels are heated to temperatures in excess of 150 million °C, forming a hot plasma. Strong magnetic fields are used to keep the plasma away from the walls; these are produced by the superconducting coils that surround the vessel and by an electrical current driven through the plasma.



- A Central solenoid
- B Toroidal field coil
- C Poloidal field coil
- D Diagnostics
- E Blanket module
- F Vacuum vessel
- G Cryostat
- H Heating system
- I Divertor

ITER will not produce electricity. The objective of the ITER project is to gain the knowledge necessary for the design of the next-stage device: a demonstration fusion power plant.

However, ITER will be the first fusion experiment to produce net power; it will also test key technologies, including heating, control, diagnostics, and remote maintenance.

## What is the goal of ITER?

ITER is a large-scale scientific experiment that aims to demonstrate that it is possible to produce commercial energy from fusion.

From 50 MW of input power, the ITER machine is designed to produce 500 MW of fusion power – the first of all fusion experiments to produce net energy.

During its operational lifetime, ITER will test key technologies necessary for the next step: the demonstration fusion power plant that will prove that it is possible to capture fusion energy for commercial use.

## Will ITER produce radioactive waste...?

Is ITER safe? What is the protection of ITER against external hazards? The FAQ section on our website, which is updated regularly, answers the questions that are most commonly asked by visitors to the ITER site and to our Facebook and Youtube pages. Please also visit our web pages specifically dedicated to safety issues: [www.iter.org/safety](http://www.iter.org/safety).

china eu india japan korea russia usa

## ITER and the environment

Fusion has the potential to play an important role as part of a future energy mix for our planet. It has the capacity to produce energy on a large scale, using plentiful fuels, and releasing no carbon dioxide or other greenhouse gases. ITER is an important step on the road to fusion power plants; in Cadarache, Southern France, the project is being planned with great respect for the local environment, in keeping with the aim of producing an environmentally benign form of energy. [For further information please visit our website [www.iter.org](http://www.iter.org)]

## International cooperation

With ITER, 34 nations – representing half of the world's population – have joined their forces and their knowledge to take fusion energy to the industrial level.



## Electricity



*"In our opinion, the use of fusion energy is a 'must' if we want to be serious about embarking on sustainable development for future generations".*

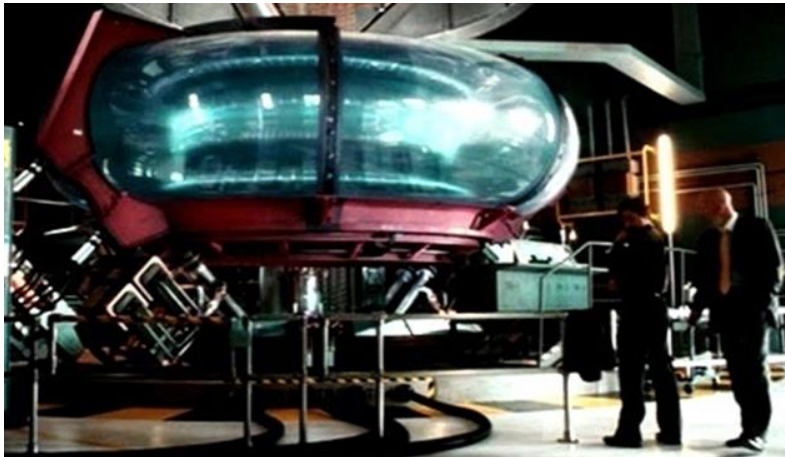
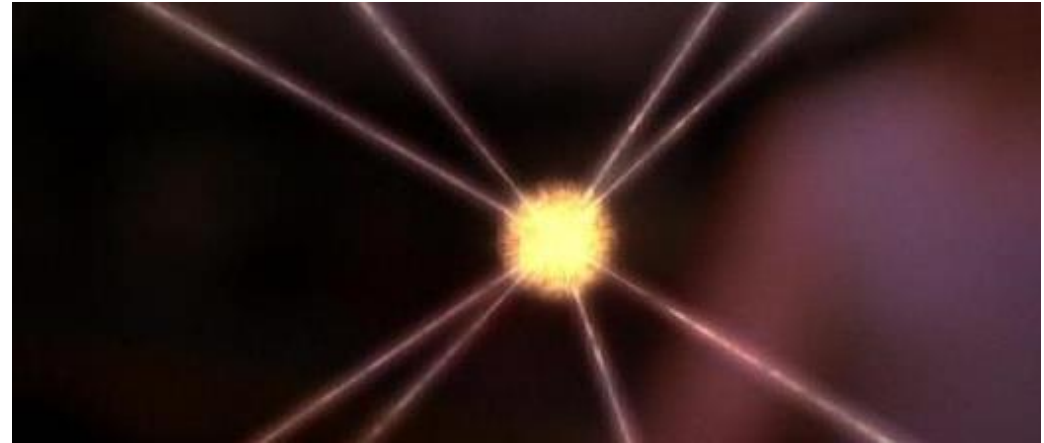
Osamu Motojima  
Director-General ITER



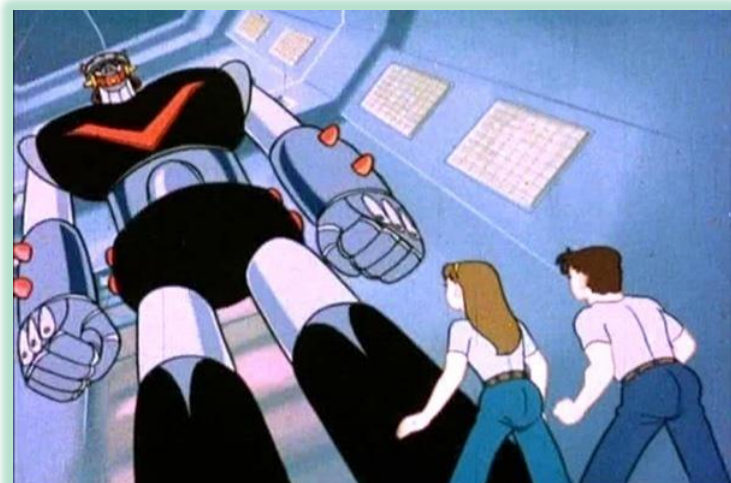
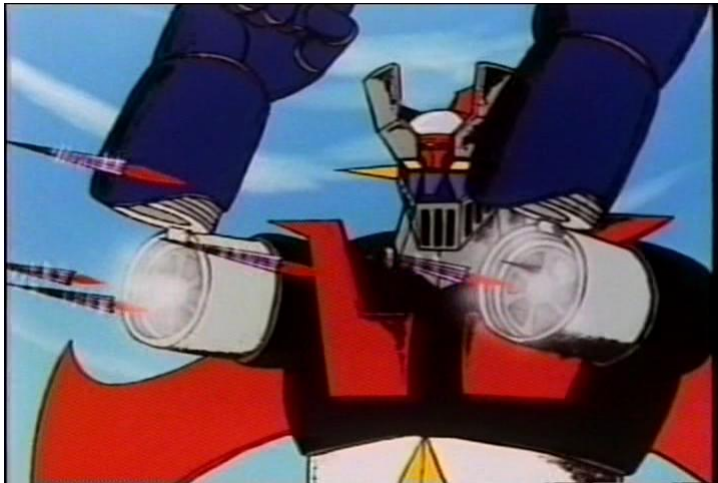


# Fusion in movies

- Magnetic confinement? Inertial confinement? Or, cold fusion?

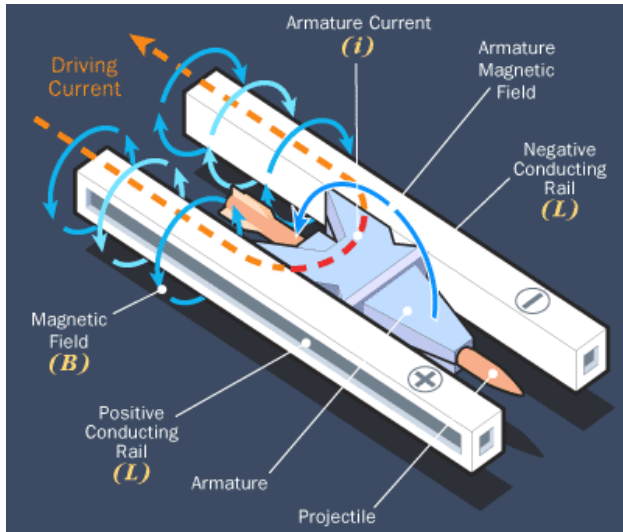


# What are energy sources?



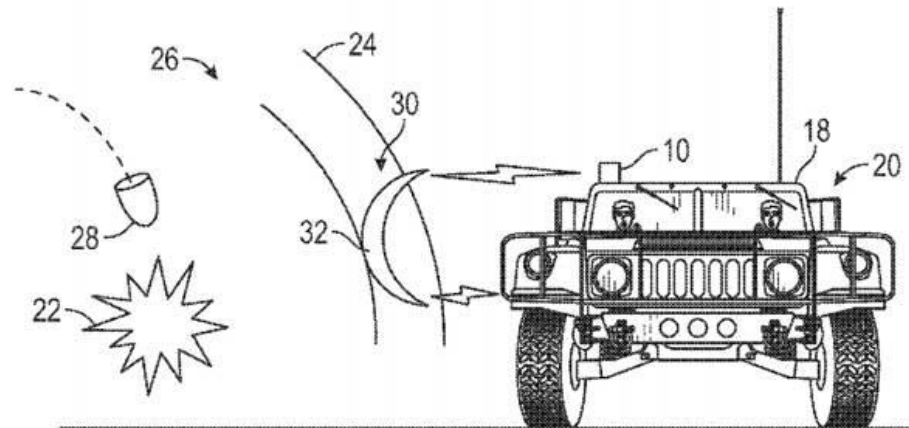
# Military plasmas

- Railgun



# Military plasmas

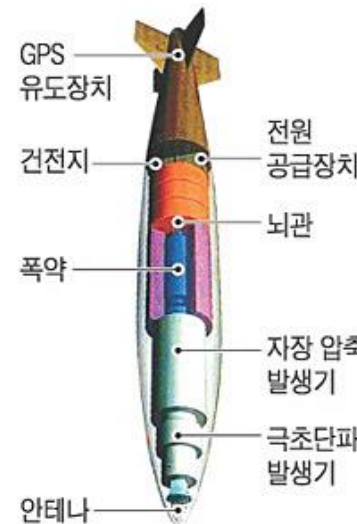
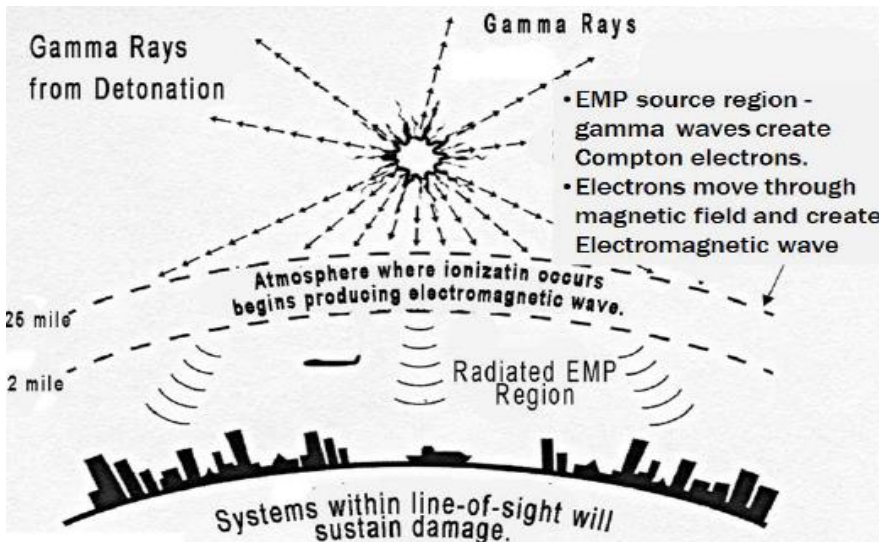
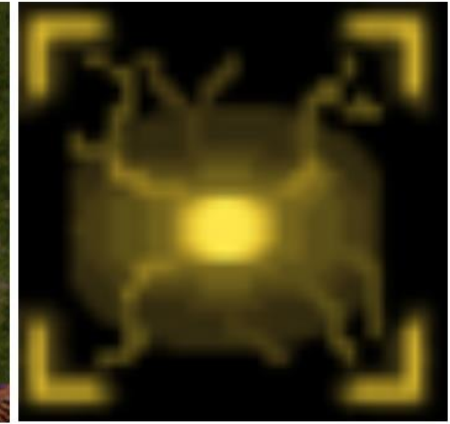
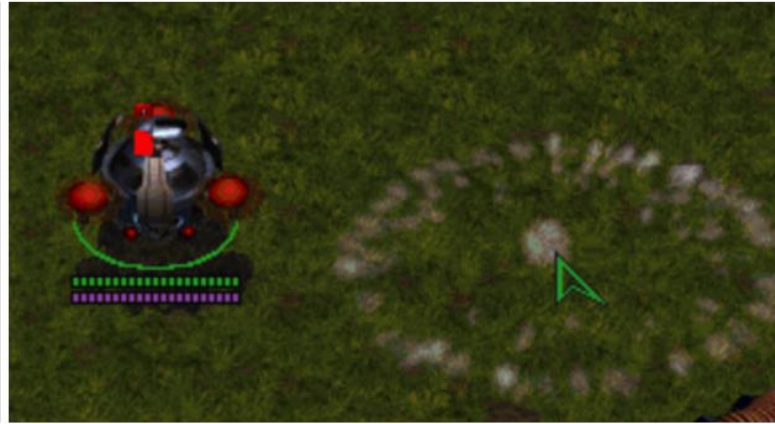
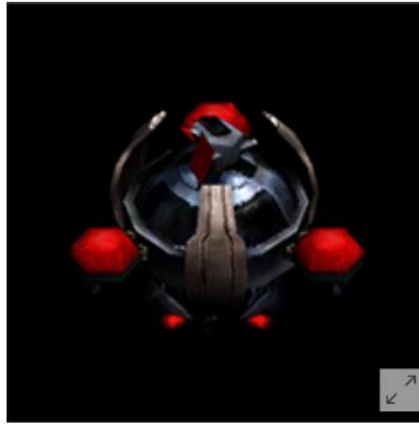
- Plasma shield



Boeing's patent: protection system from explosive shockwaves

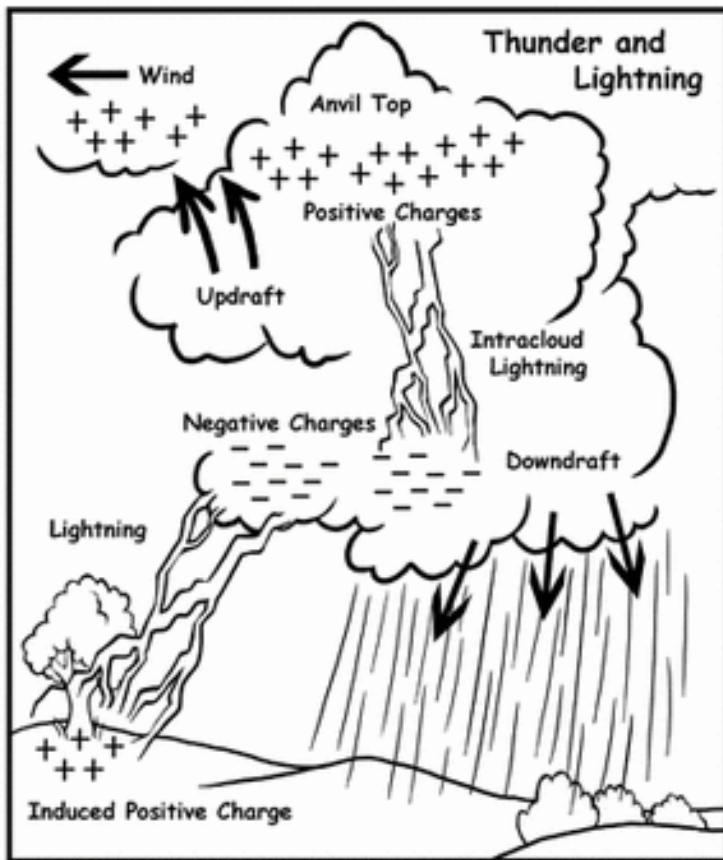
# Military plasmas

- EMP (electromagnetic pulse): nuclear-EMP or non-nuclear EMP



# Lightning

- Lightning is a sudden electrostatic discharge that occurs typically during a thunderstorm. This discharge occurs between electrically charged regions of a cloud (called intra-cloud lightning or IC), between two clouds (CC lightning), or between a cloud and the ground (CG lightning).



# Plasmas in everyday life



01—Plasma TV

02—Plasma-coated jet turbine blades

03—Plasma-manufactured LEDs in panel

04—Diamondlike plasma CVD  
eyeglass coating

05—Plasma ion-implanted artificial hip

06—Plasma laser-cut cloth

07—Plasma HID headlamps

08—Plasma-produced  $H_2$  in fuel cell

09—Plasma-aided combustion

10—Plasma muffler

11—Plasma ozone water purification

12—Plasma-deposited LCD screen

13—Plasma-deposited silicon for  
solar cells

14—Plasma-processed microelectronics

15—Plasma-sterilization in  
pharmaceutical production

16—Plasma-treated polymers

17—Plasma-treated textiles

18—Plasma-treated heart stent

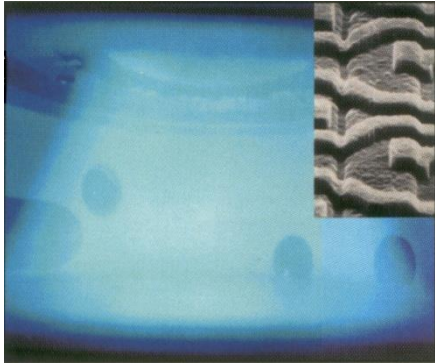
19—Plasma-deposited diffusion barriers  
for containers

20—Plasma-sputtered window glazing

21—Compact fluorescent plasma lamp

# Plasmas in industry

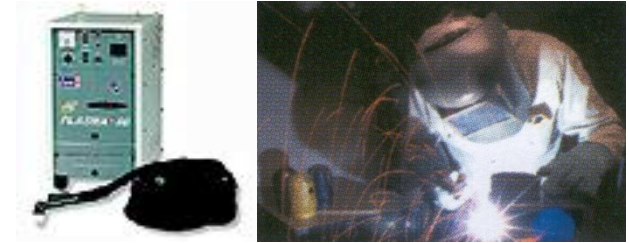
반도체 식각



플라즈마 디스플레이



플라즈마 용접



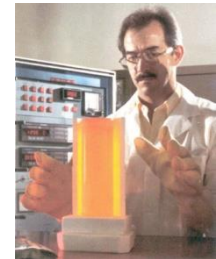
폐기물 소각



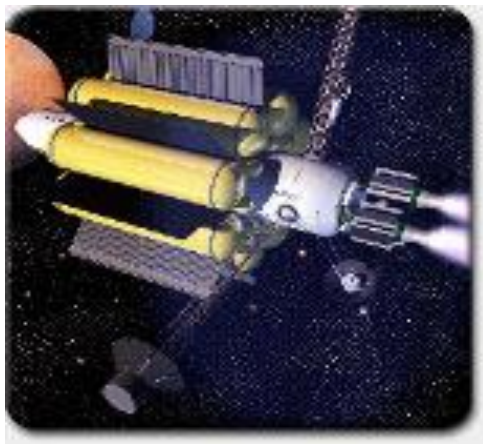
인조 다이아몬드



세라믹 가공



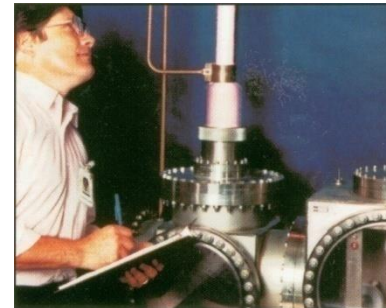
플라즈마 로켓



플라즈마 발파



플라즈마 코팅



플라즈마 전구



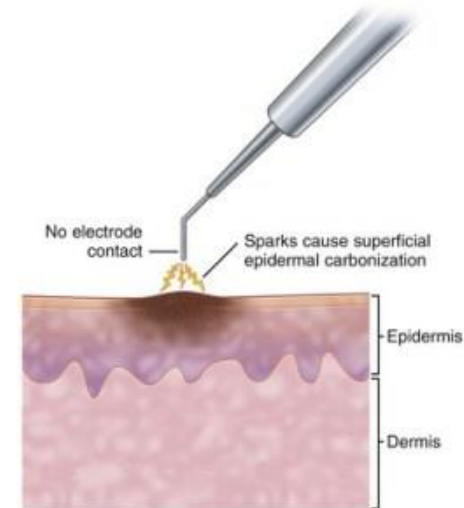


# Plasmas in biomedical application

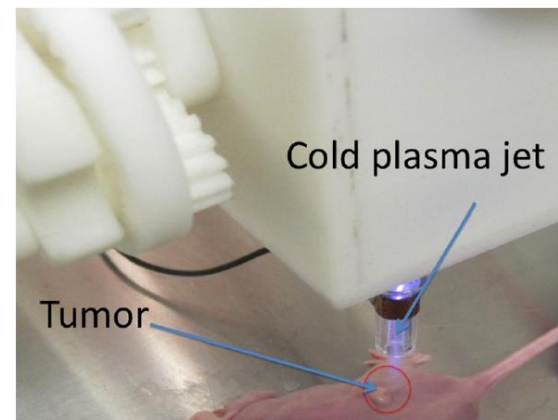
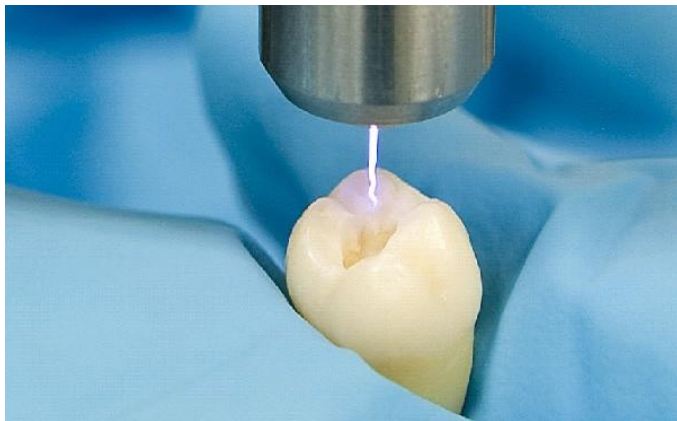
## Plasma surgery



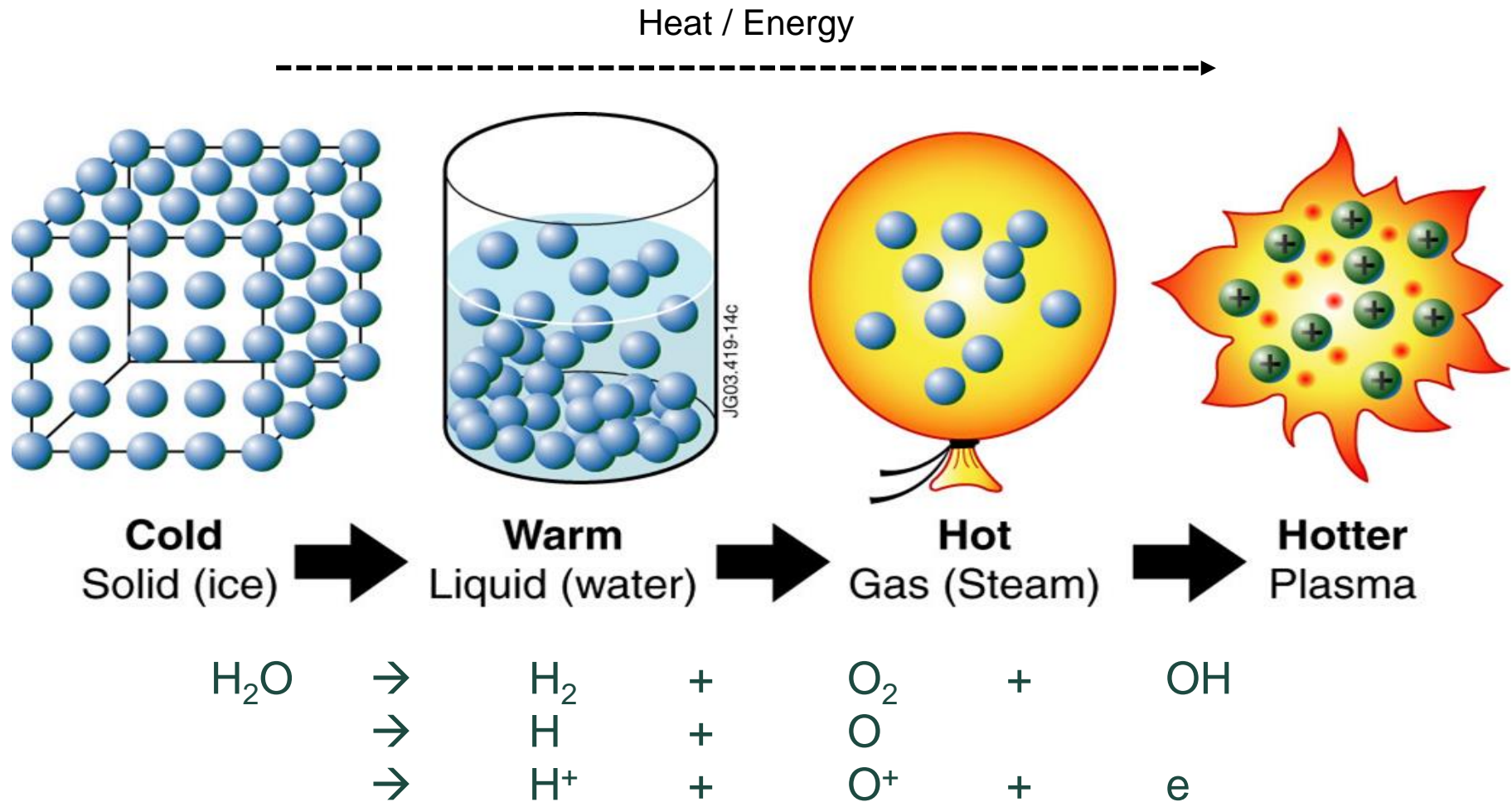
## Plasma therapy



## Plasma dentistry

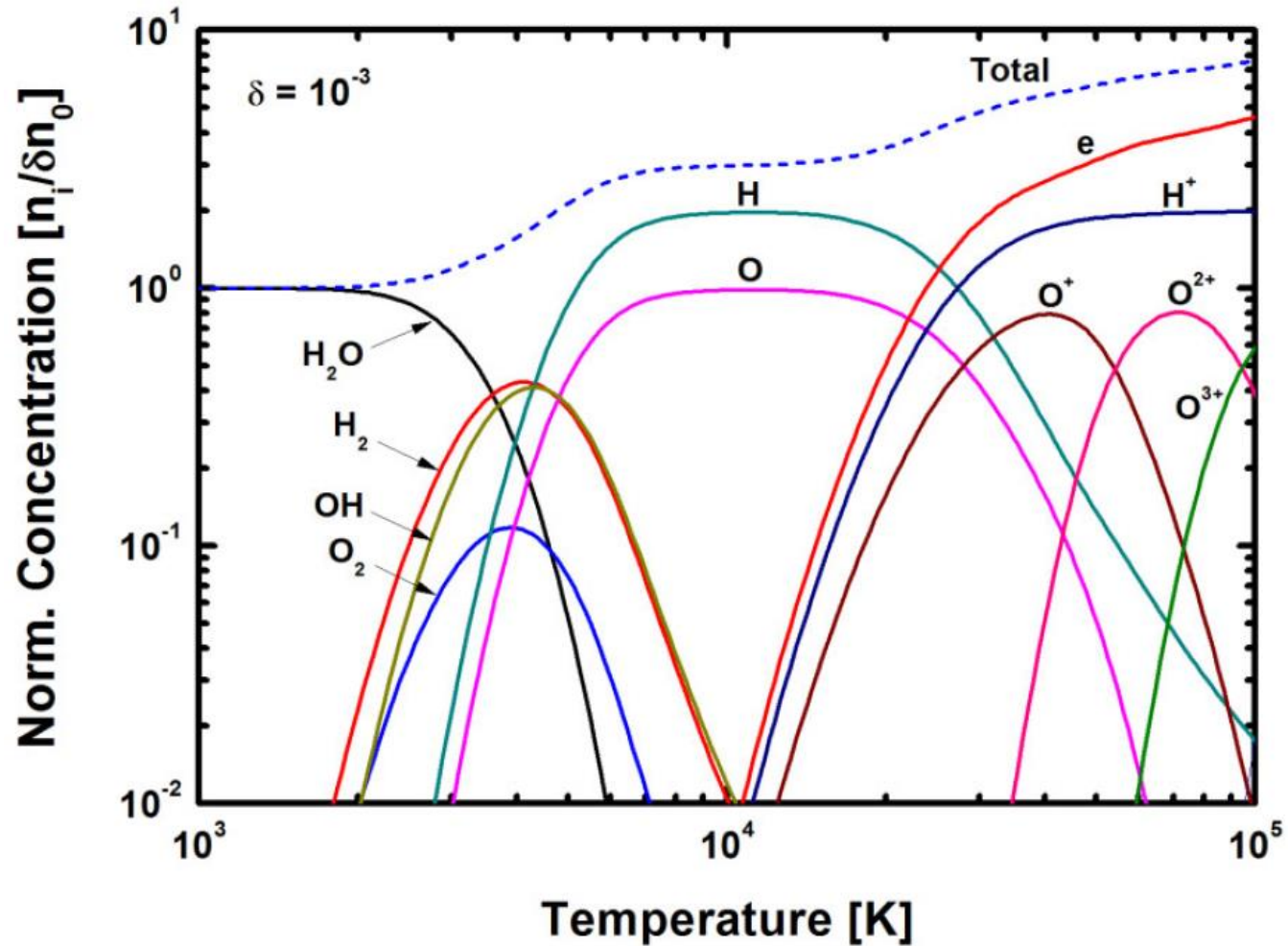


# What is a plasma?



- Plasma is a collection of charged particles (positive ions, negative ions, electrons) and neutral particles (molecules, atoms, radicals).

# Composition of plasmas: H<sub>2</sub>O example



- Thermal equilibrium is assumed.

K. J. Chung, Contrib. Plasma Phys. 53, 330 (2013)

# Saha equation: degree of ionization in thermal equilibrium

- The Saha ionization equation is an expression that relates **the ionization state of a gas in thermal equilibrium** to the temperature and pressure.

$$\frac{n_{i+1}n_e}{n_i} = \frac{2}{\lambda^3} \frac{g_{i+1}}{g_i} \exp\left[-\frac{(\epsilon_{i+1} - \epsilon_i)}{k_B T}\right]$$

where:

- $n_i$  is the density of atoms in the  $i$ -th state of ionization, that is with  $i$  electrons removed.
- $g_i$  is the **degeneracy** of states for the  $i$ -ions
- $\epsilon_i$  is the energy required to remove  $i$  electrons from a neutral atom, creating an  $i$ -level ion.
- $n_e$  is the **electron density**
- $\lambda$  is the **thermal de Broglie wavelength** of an electron

$$\lambda \stackrel{\text{def}}{=} \sqrt{\frac{h^2}{2\pi m_e k_B T}}$$

- $m_e$  is the **mass of an electron**
- $T$  is the **temperature** of the gas
- $h$  is **Planck's constant**

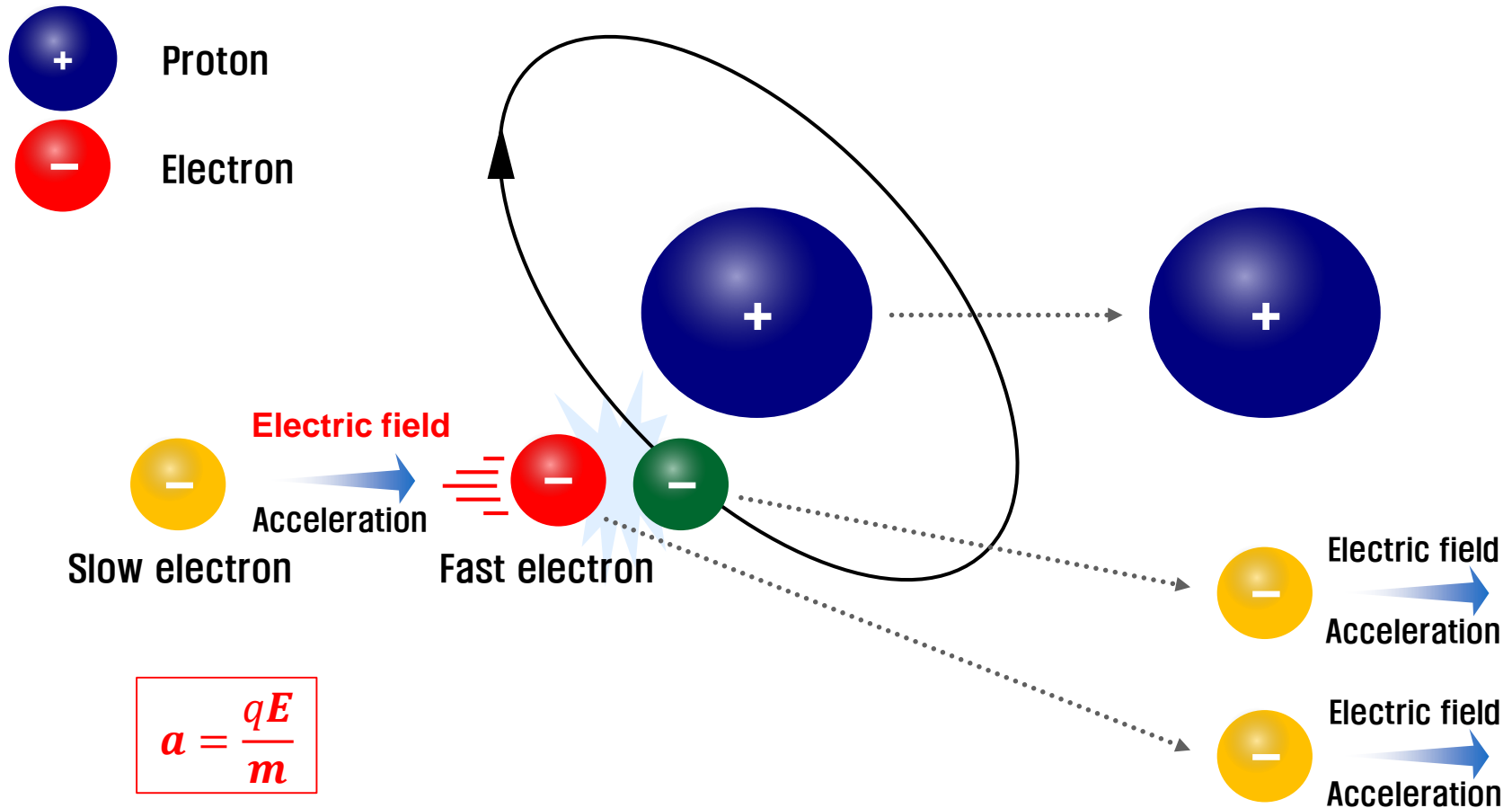
The expression  $(\epsilon_{i+1} - \epsilon_i)$  is the energy required to remove the  $(i + 1)^{th}$  electron. In the case where only one level of ionization is important, we have  $n_1 = n_e$  and defining the total density  $n$  as  $n = n_0 + n_1$ , the Saha equation simplifies to:

$$\frac{n_e^2}{n - n_e} = \frac{2}{\lambda^3} \frac{g_1}{g_0} \exp\left[\frac{-\epsilon}{k_B T}\right]$$

where  $\epsilon$  is the energy of ionization.

# Generation of charged particles: electron impact ionization

Ionization energy of hydrogen: 13.6 eV (~150,000K)



- Electrons are easily accelerated by electric field due to their smaller mass than ions.

# Classification of plasmas

## 플라즈마 온도

- 플라즈마는 기체와 달리 여러 온도가 존재
- $T_e$  (전자온도),  $T_i$  (이온온도),  $T_g$  (중성입자온도)
- **저온 플라즈마** :  $T_e (\sim 10,000^\circ\text{C}) \gg T_i \approx T_g (\sim 100^\circ\text{C}) \rightarrow$  비평형 플라즈마 (Non-equilibrium plasma)
- **고온(열) 플라즈마** :  $T_e \approx T_i \approx T_g (\sim 10,000^\circ\text{C}) \rightarrow$  평형 플라즈마 (Equilibrium plasma)

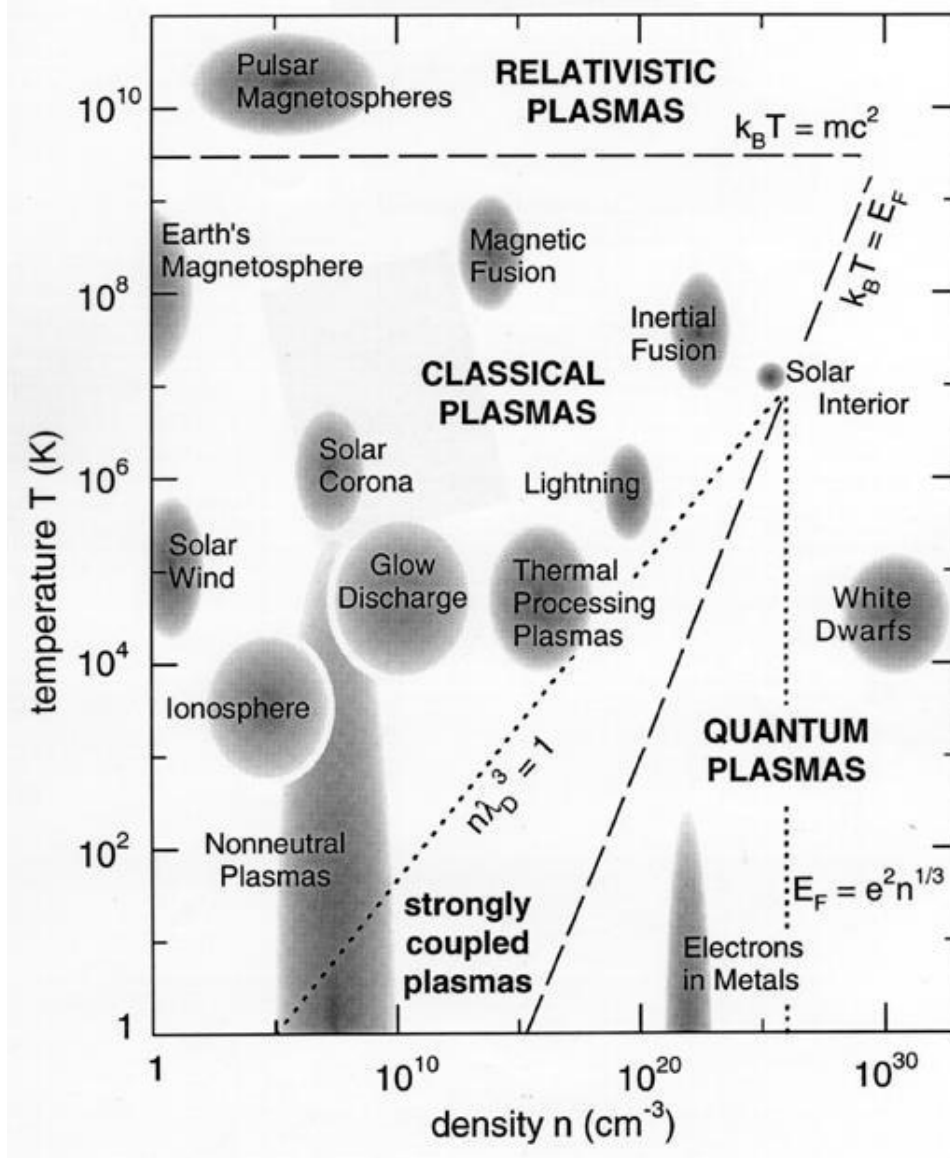
## 플라즈마 밀도

- 플라즈마는 여러 밀도가 존재
- $n_e$  (전자밀도),  $n_i$  (이온밀도),  $n_g$  (중성입자밀도)
- **저온 플라즈마** :  $n_g \gg n_i \approx n_e \rightarrow$  이온화 정도가 작고 대부분 기체상태(중성)로 존재
- **고온(열) 플라즈마** :  $n_g \approx n_i \approx n_e \rightarrow$  이온화 정도가 큼

## 얼마나 뜨거운가?

- **저온 플라즈마** : 전자의 온도는 높지만 밀도가 낮고 대부분 저온의 중성입자이므로 뜨겁지 않음.
- **고온(열) 플라즈마** : 전자, 이온, 중성입자 모두 온도가 높아 뜨거움.

# Classification of plasmas



# Classification of plasmas

Low-temperature thermal cold plasmas

$$T_e \approx T_i \approx T < 2 \times 10^4 \text{ K}$$

Arcs at 100 kPa

Low-temperature non-thermal cold plasmas

$$T_i \approx T \approx 300 \text{ K}$$

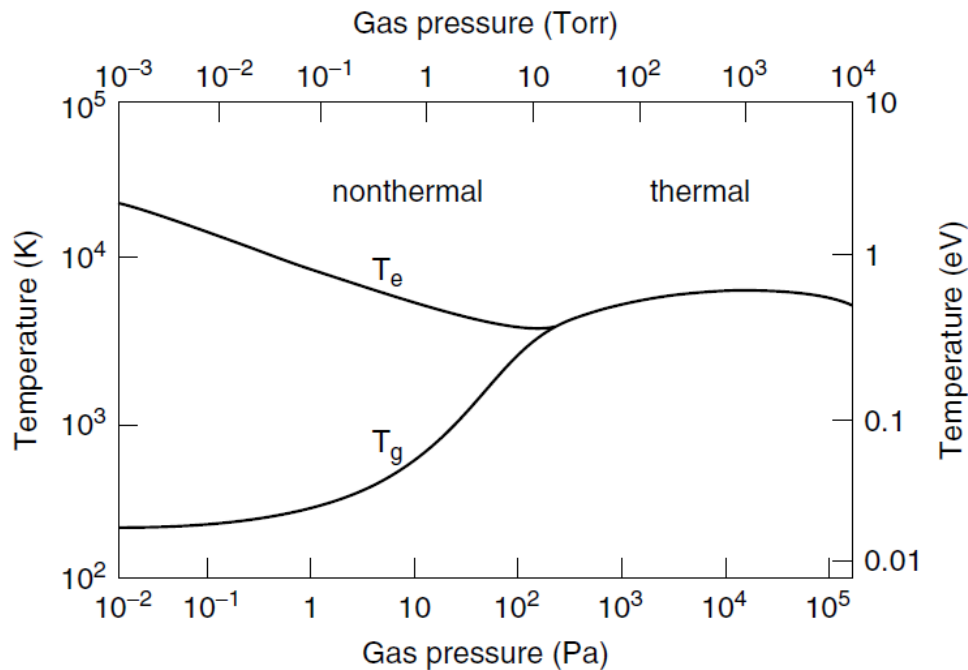
$$T_i \ll T_e \leq 10^5 \text{ K}$$

Low pressure  $\sim 100 \text{ Pa}$  glow and arc

High-temperature hot plasmas

$$T_i \approx T_e > 10^6 \text{ K}$$

Kinetic plasmas, fusion plasmas





# History of plasmas



Irving Langmuir  
(1881-1957)  
Nobel prize (1932)

Date	Contribution/Concept	Originator
Circa 1600	Electricity	W Gilbert
	Magnetic pole	W Gilbert
	Magnetic field line	W Gilbert
1742	Sparks	J T Desaguliers
1745	'Leyden' jar	E G Von Kleist
Circa 1750	Single fluid theory of electricity	B Franklin
Circa 1752	Identification of lightning as electricity	B Franklin
1808	Diffusion	J Dalton
	Arc (discharge)	H Davy
1817	Mobility	M Faraday
1836	Moving striations (unpublished)	M Faraday
1848	Moving striations (published)	A Abria
1860	Mean free path	J C Maxwell
1862	Toepler vacuum pump ( $\sim 10^{-3}$ Torr)	A Toepler
1876	Cathode rays	E Goldstein
1879	Fourth state of matter	W Crookes
1880	Paschen curve	W de la Rue, H Müller
1889	Maxwell-Boltzmann distribution	W Nernst
1895	X-rays	W C Rontgen
	Electron (particle)	J J Thomson
1897	Cyclotron frequency	O Lodge
1898	Ionization	W Crookes
1899	Transport equations	J S Townsend
	Energy gain conditions	H A Lorentz
	Townsend coefficients	J S Townsend
1901	Townsend coefficients	J S Townsend
	Diffusion of charged particles	A Einstein
1905	Mercury rotary pump ( $\sim 10^{-5}$ Torr)	W Gaede
	Plasma frequency	Lord Rayleigh
1911	Mercury diffusion pump ( $\sim 10^{-5}$ Torr)	W Gaede
1914	Ambipolar diffusion	H Von Seeliger
1921	Ramsauer effect	L W Ramsauer
1925	Sheath	I Langmuir
1928	Plasma	I Langmuir
1929	Debye length	P J W Debye
1935	Velocity distribution functions	W P Allis
	Rotary oil forepump	W Gaede
	Oil diffusion pump	W Gaede
1955	Oil diffusion pump	W Gaede
1965	Turbomolecular pump	W Gaede

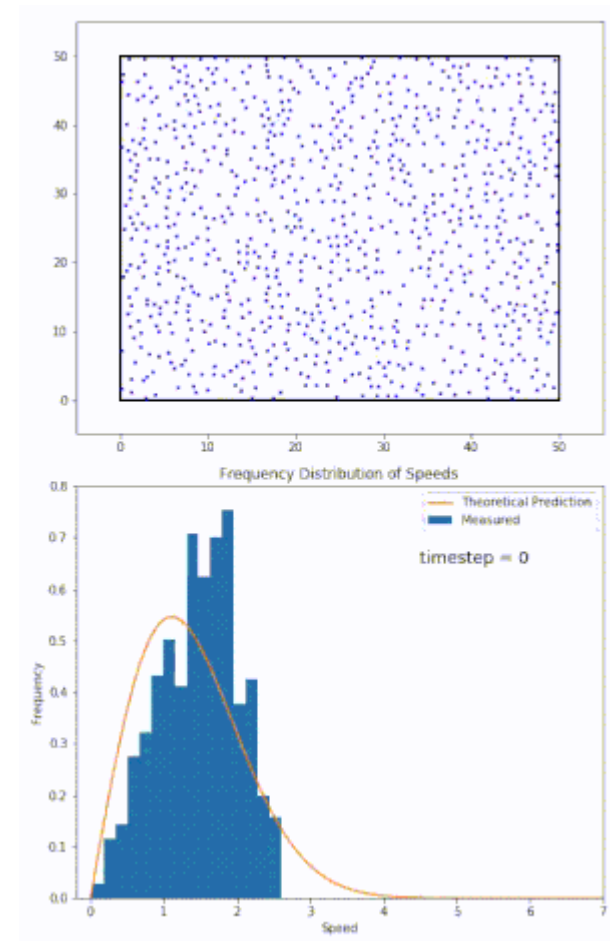
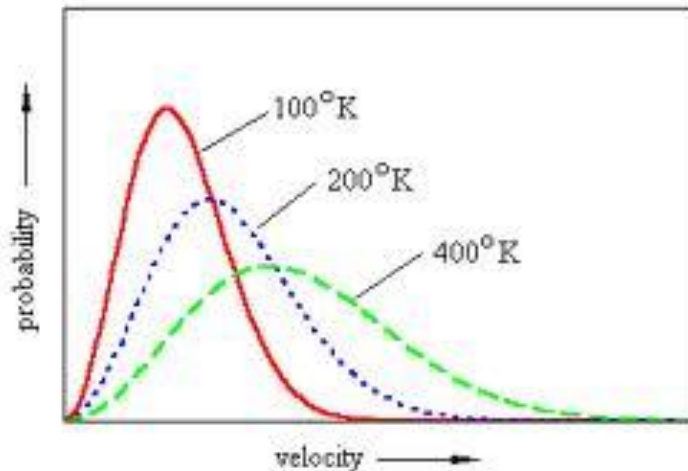
# Concept of temperature

- Maxwell-Boltzmann distribution describes particle speeds in gases, where the particles do not constantly interact with each other but move freely between short collisions.

$$f(v) = \left(\frac{m}{2\pi kT}\right)^{3/2} 4\pi v^2 \exp\left(-\frac{mv^2}{2kT}\right)$$

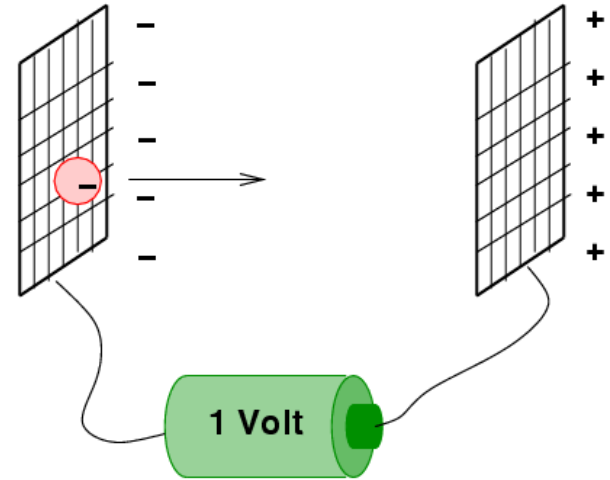
- Temperature: average kinetic energy at thermal equilibrium (K)

$$\frac{1}{2}mv^2 = \frac{3}{2}kT$$



# Electron-volt unit

- The electron-volt (eV) unit is widely used for presenting the plasma temperature instead of Kelvin.



- Unit conversion between K and eV

$$\begin{aligned} 1 \text{ eV} &= (1.6 \times 10^{-19} \text{ C}) \times (1 \text{ V}) = (1.6 \times 10^{-19} \text{ J}) / (1.38 \times 10^{-23} \text{ J/K}) \\ &= 11,600 \text{ K} \end{aligned}$$

- Fluorescent lamp: a few eV

➤ Too hot? How can we touch such a hot plasma?

- What is the difference between energy and temperature?

# Boltzmann constant k

- The Boltzmann constant is a bridge between macroscopic and microscopic physics.

$$PV = nRT \quad \longrightarrow \quad PV = NkT$$

$$R \text{ (gas constant)} = 8.31 \text{ JK}^{-1}\text{mol}^{-1}$$

$$k \text{ (Boltzmann constant)} = 1.38 \times 10^{-23} \text{ JK}^{-1}$$

$$k = \frac{R}{N_A}$$

- Entropy

$$S = k \ln W$$

Macroscopic state

No. of microscopic states

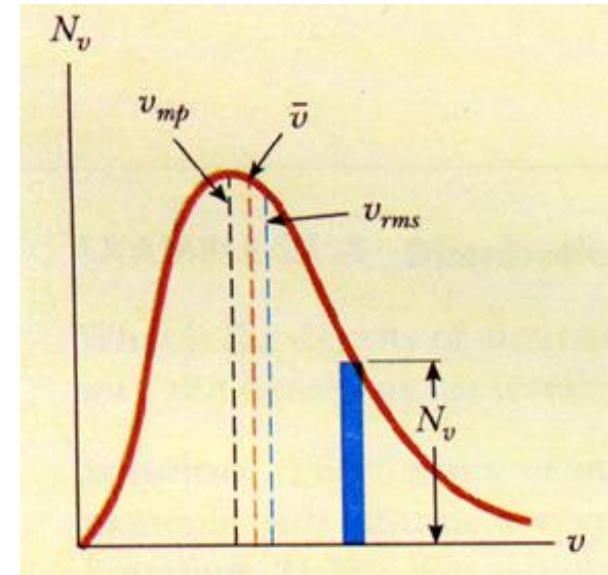
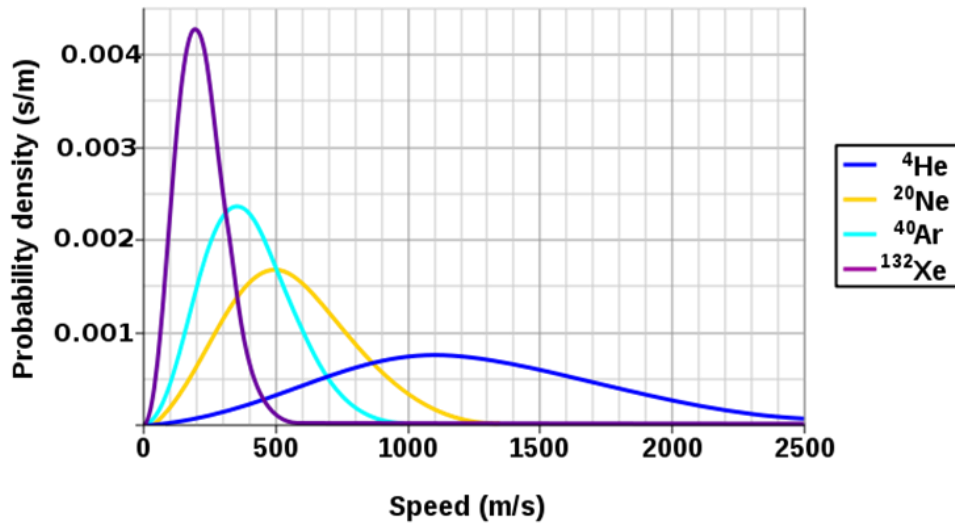


# Maxwell-Boltzmann distribution function

- The Maxwell-Boltzmann distribution describes the probability of a particle's speed (the magnitude of its velocity vector) being near a given value as a function of the temperature of the system, the mass of the particle, and that speed value.

$$f(v) = \left(\frac{m}{2\pi kT}\right)^{3/2} 4\pi v^2 \exp\left(-\frac{mv^2}{2kT}\right)$$

Maxwell-Boltzmann Molecular Speed Distribution for Noble Gases



- Most probable speed

$$v_p = \sqrt{\frac{2kT}{m}}$$

- Mean speed

$$\langle v \rangle = \sqrt{\frac{8kT}{\pi m}}$$

- RMS speed

$$v_{rms} = \sqrt{\frac{3kT}{m}}$$

# Pressure

- Pressure = the force per unit area applied in a direction perpendicular to the surface of an object (energy density).

$$p = nkT$$

- Units

- mmHg (millimeter of Hg, Torr)

$$1 \text{ atm} = 760 \text{ mmHg} = 760 \text{ Torr}$$

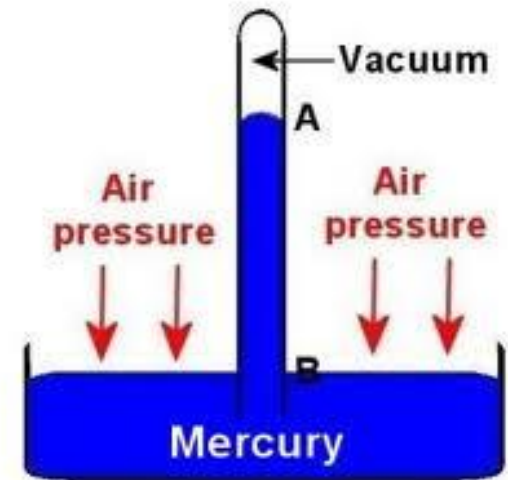
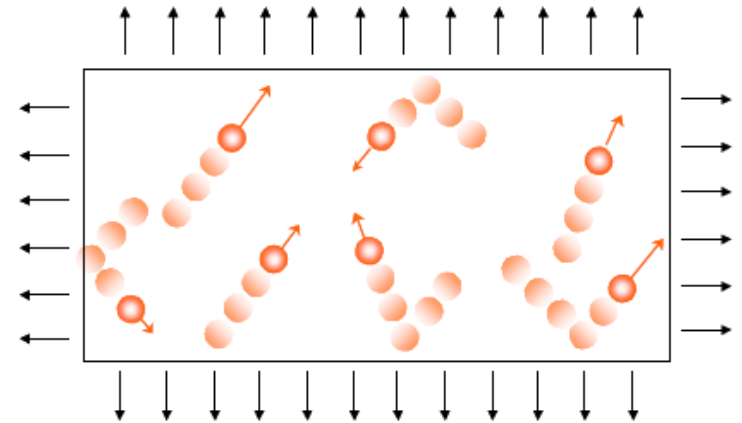
- Pa (SI) = N/m<sup>2</sup>

$$1 \text{ atm} = 101,325 \text{ Pa} = 1,013.25 \text{ hPa}$$

$$1 \text{ bar} = 10^5 \text{ Pa}$$

- 1 Pa = 7.5 mTorr

- Loschmidt number: No. of particles at 0°C and 1 atm:  $2.7 \times 10^{25} \text{ m}^{-3}$



# Vacuum

- A volume of space that is essentially empty of matter, such that its gaseous pressure is much less than atmospheric pressure.

Pressure ranges of each quality of vacuum in different units

Vacuum quality	Torr	Pa	Atmosphere
Atmospheric pressure	760	$1.013 \times 10^5$	1
Low vacuum	760 to 25	$1 \times 10^5$ to $3 \times 10^3$	$9.87 \times 10^{-1}$ to $3 \times 10^{-2}$
Medium vacuum	25 to $1 \times 10^{-3}$	$3 \times 10^3$ to $1 \times 10^{-1}$	$3 \times 10^{-2}$ to $9.87 \times 10^{-7}$
High vacuum	$1 \times 10^{-3}$ to $1 \times 10^{-9}$	$1 \times 10^{-1}$ to $1 \times 10^{-7}$	$9.87 \times 10^{-7}$ to $9.87 \times 10^{-13}$
Ultra high vacuum	$1 \times 10^{-9}$ to $1 \times 10^{-12}$	$1 \times 10^{-7}$ to $1 \times 10^{-10}$	$9.87 \times 10^{-13}$ to $9.87 \times 10^{-16}$
Extremely high vacuum	$< 1 \times 10^{-12}$	$< 1 \times 10^{-10}$	$< 9.87 \times 10^{-16}$
Outer space	$1 \times 10^{-6}$ to $< 1 \times 10^{-17}$	$1 \times 10^{-4}$ to $< 3 \times 10^{-15}$	$9.87 \times 10^{-10}$ to $< 2.96 \times 10^{-20}$
Perfect vacuum	0	0	0



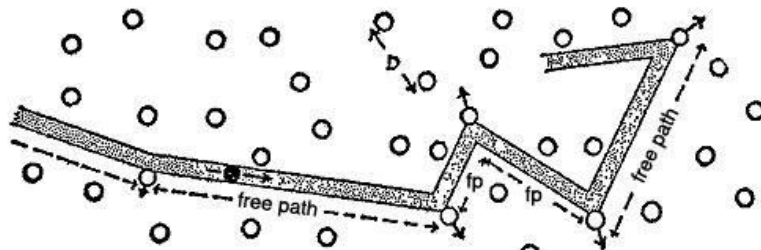
Turbomolecular pump (TMP)

- No. of particles

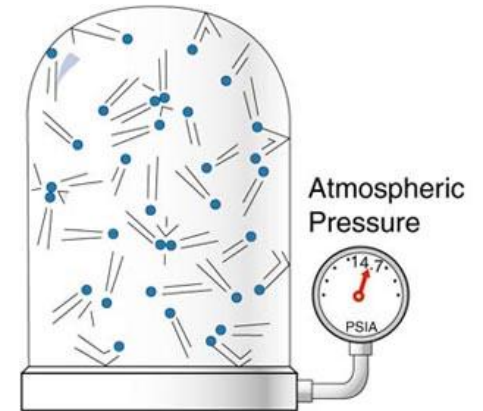
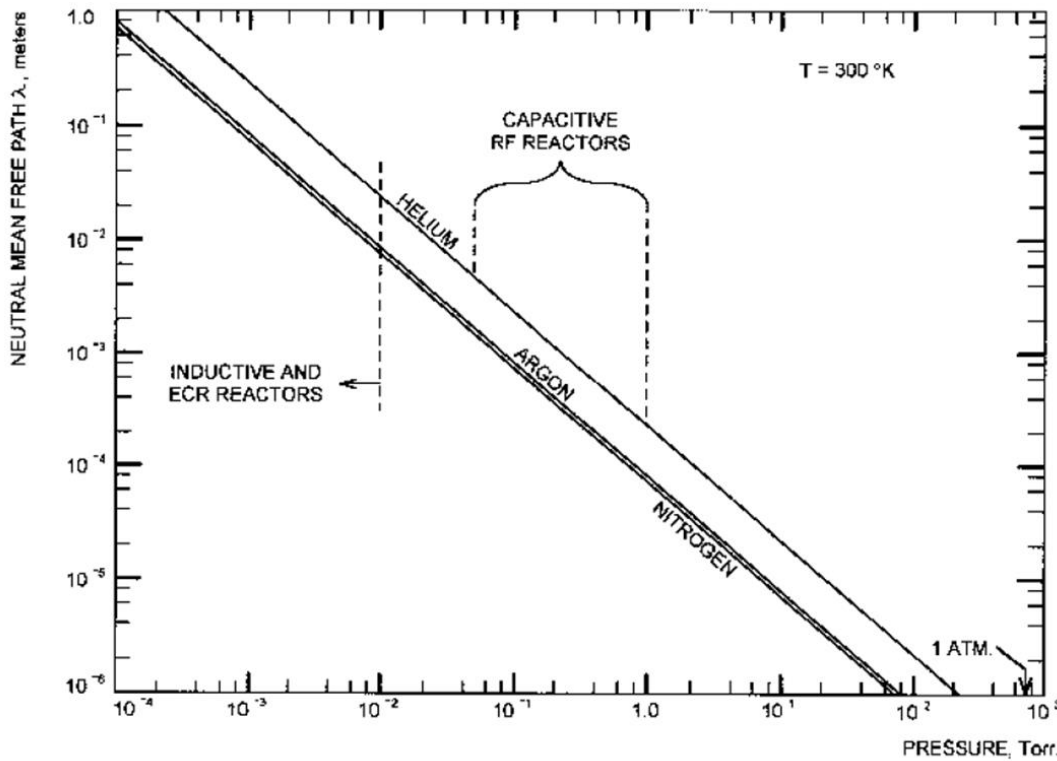
$$n = p/kT$$

- Calculate the number of particles at 1 mTorr.

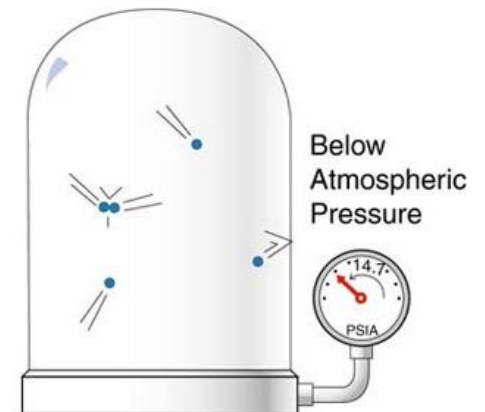
# Neutral mean free path



Mean free path of a gas molecule



Short Mean Free Path (Atmospheric Pressure)



Long Mean Free Path (Low Pressure)

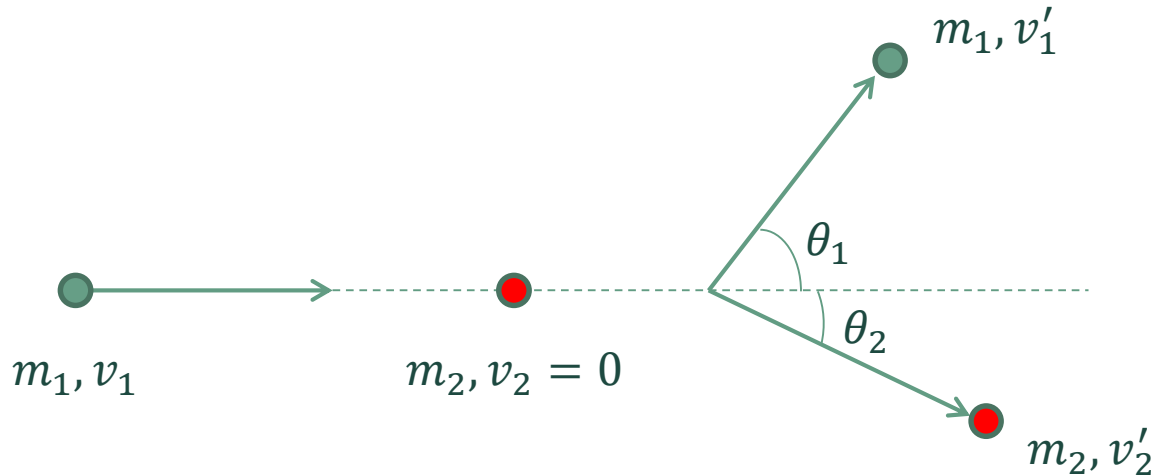


# Collision

- **Collisions conserve momentum and energy:** the total momentum and energy of the colliding particles after collision are equal to that before collision.
  - Electrons and fully stripped ions possess only kinetic energy. Atoms and partially stripped ions have internal energy level structures and can be excited, de-excited, or ionized, corresponding to changes in potential energy.
  - It is the total energy, which is the sum of the kinetic and potential energy, that is conserved in a collision.
- 
- **Elastic:** the sum of kinetic energies of the collision partners are conserved.
  - **Inelastic:** the sum of kinetic energies are not conserved. ionization and excitation. the sum of kinetic energies after collision is less than that before collision.
  - **Super-elastic:** the sum of kinetic energies are increased after collision. de-excitation.

# Elastic collision

- Conservation of momentum and energy



- Energy transfer rate

$$\zeta_L = \frac{\frac{1}{2} m_2 v_2'^2}{\frac{1}{2} m_1 v_1^2} = \frac{4m_1 m_2}{(m_1 + m_2)^2} \cos^2 \theta_2$$

Energy transfer rate by a single collision

$$\bar{\zeta}_L = \frac{4m_1 m_2}{(m_1 + m_2)^2} \overline{\cos^2 \theta_2} = \frac{2m_1 m_2}{(m_1 + m_2)^2}$$

Average energy transfer rate by many collisions

# Average energy transfer by collisions

- $m_1 = m_2$  (electron-electron, ion-ion, neutral-neutral, ion-neutral)

$$\bar{\zeta}_L = \frac{2m_1m_2}{(m_1 + m_2)^2} = \frac{1}{2}$$

⇒ Effective energy transfer  
(quick thermalization)



- $m_1 \ll m_2$  (electron-ion, electron-neutral)

$$\bar{\zeta}_L = \frac{2m_1m_2}{(m_1 + m_2)^2} \approx \frac{2m_1}{m_2} \approx 10^{-5} \sim 10^{-4}$$

⇒ Hard to be thermalized

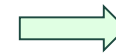


Table tennis ball (2.5 g)

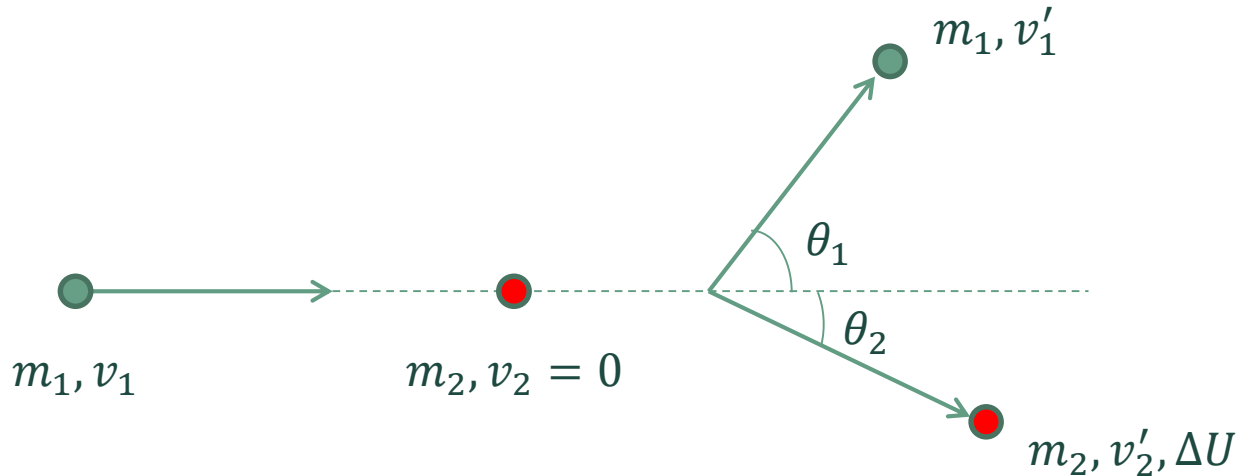
Bowling ball (10 lb.)

- Therefore, in weakly ionized plasma

$$T_e \gg T_i \approx T_n \quad : \text{Non-equilibrium}$$

# Inelastic collision

- The sum of kinetic energies are not conserved. Where is the lost energy?
  - transferred to internal energy
    - ✓ Atomic gases : electronic transition
    - ✓ Molecules : excitation of rotational and vibrational states



- Energy transfer rate to internal energy  $\Delta U$

$$\zeta_L = \frac{\Delta U_{max}}{\frac{1}{2} m_1 v_1^2} = \frac{m_2}{m_1 + m_2} \cos^2 \theta_2$$

✓ Hint:  $\frac{\Delta U}{dv_2'} = 0$  at  $\Delta U_{max}$

# Average energy transfer to internal energy by collisions

- $m_1 = m_2$  (electron-electron, ion-ion, neutral-neutral, ion-neutral)

$$\bar{\zeta}_L = \frac{m_2}{2(m_1 + m_2)} = \frac{1}{4}$$

- $m_1 \gg m_2$  (ion-electron, neutral-electron)

$$\bar{\zeta}_L = \frac{m_2}{2(m_1 + m_2)} \approx \frac{m_2}{2m_1} \approx 10^{-5} \sim 10^{-4}$$

- $m_1 \ll m_2$  (electron-ion, electron-neutral)

$$\bar{\zeta}_L = \frac{m_2}{2(m_1 + m_2)} \approx \frac{1}{2} \quad \Rightarrow$$

- Ionization (plasma generation)
- Excitation (light emission)
- Dissociation (radical production)

# Various inelastic collisions in plasmas

- Photon-induced reactions

- photo-excitation :  $h\nu + N = N^*$
- photo-ionization :  $h\nu + N = N^+ + e$

- Electron-induced reactions

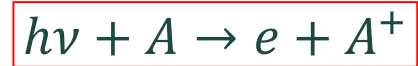
- electron impact excitation :  $e + N = N^* + e$
- electron impact ionization :  $e + N = N^+ + 2e$
- electron impact dissociation :  $e + N_2 = 2N + e$
- super-elastic collision :  $N^{**} + e = N + e$  (fast)
- radiative recombination :  $N^+ + e = h\nu + N$
- dissociative recombination :  $e + N_2^+ = 2N$

- Ion-induced reactions

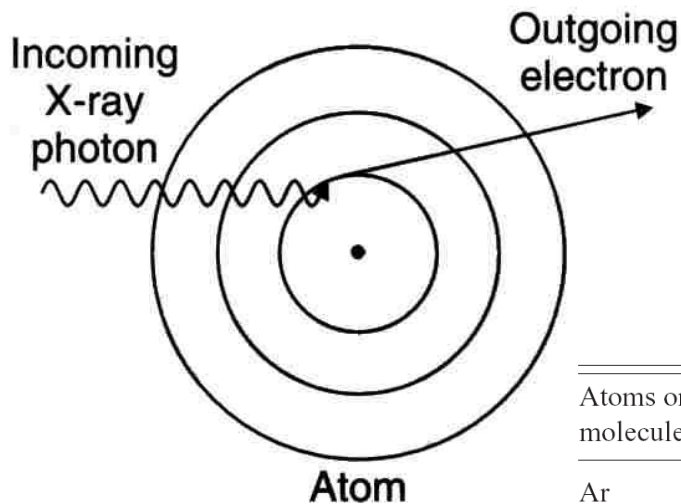
- charge exchange :  $N^+(1) + N(2) = N(1) + N^+(2)$

# Photon-induced reactions

- Photo-ionization



The physical process in which an incident photon ejects one or more electrons from an atom, ion or molecule. This is essentially the same process that occurs with the photoelectric effect with metals. To provide the ionization, the photo wavelength should be usually less than 1000 Å, which is ultraviolet radiation.



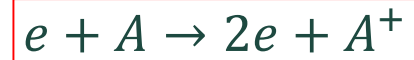
$$\lambda < \frac{12,400}{I(eV)} \text{ \AA}$$

$$K.E. = h\nu - I$$

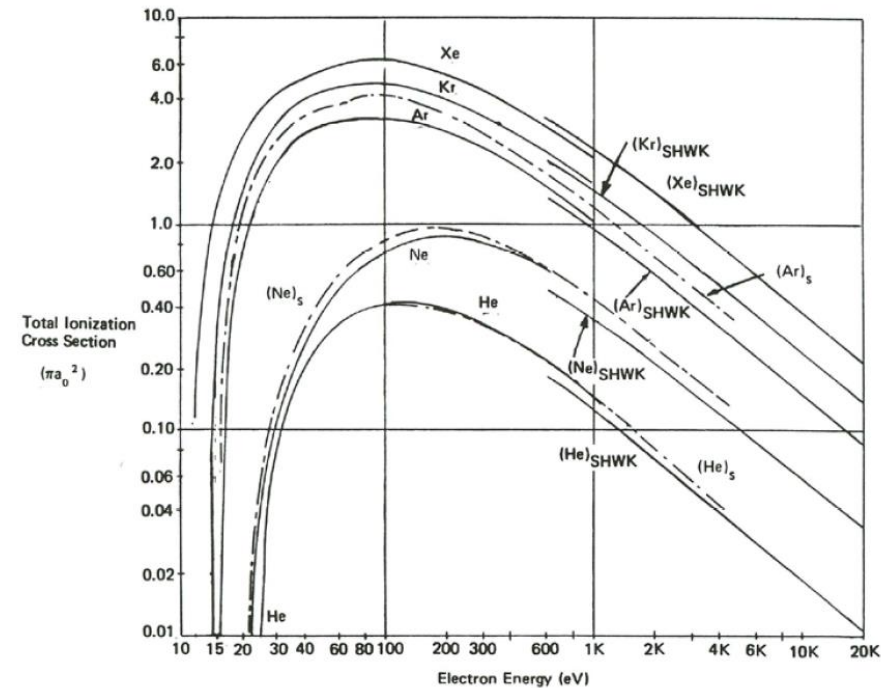
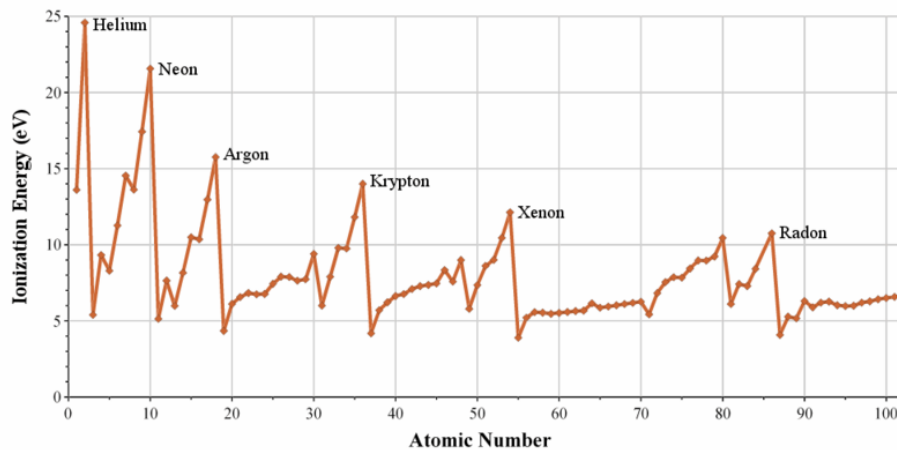
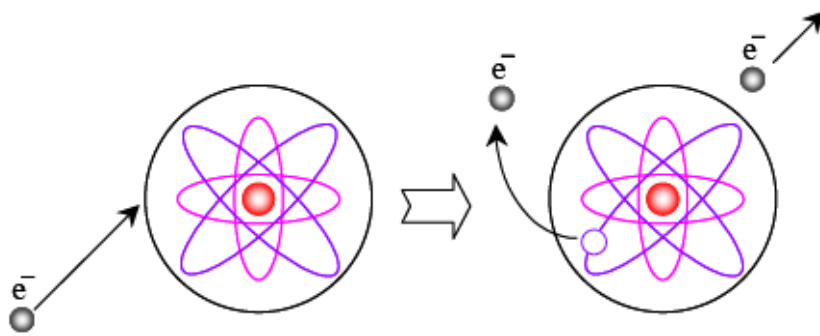
Atoms or molecules	Wavelength $\lambda$ , Å	Cross sections, cm <sup>2</sup>	Atoms or molecules	Wavelength $\lambda$ , Å	Cross sections, cm <sup>2</sup>
Ar	787	$3.5 \cdot 10^{-17}$	Ne	575	$0.4 \cdot 10^{-17}$
N <sub>2</sub>	798	$2.6 \cdot 10^{-17}$	O	910	$0.3 \cdot 10^{-17}$
N	482	$0.9 \cdot 10^{-17}$	O <sub>2</sub>	1020	$0.1 \cdot 10^{-17}$
He	504	$0.7 \cdot 10^{-17}$	Cs	3185	$2.2 \cdot 10^{-19}$
H <sub>2</sub>	805	$0.7 \cdot 10^{-17}$	Na	2412	$1.2 \cdot 10^{-19}$
H	912	$0.6 \cdot 10^{-17}$	K	2860	$1.2 \cdot 10^{-20}$

# Electron-induced reactions

- Electron impact ionization



Electrons with sufficient energy ( $> 10$  eV) can remove an electron from an atom and produce one extra electron and an ion.





# Electron-induced reactions

- Electron impact excitation

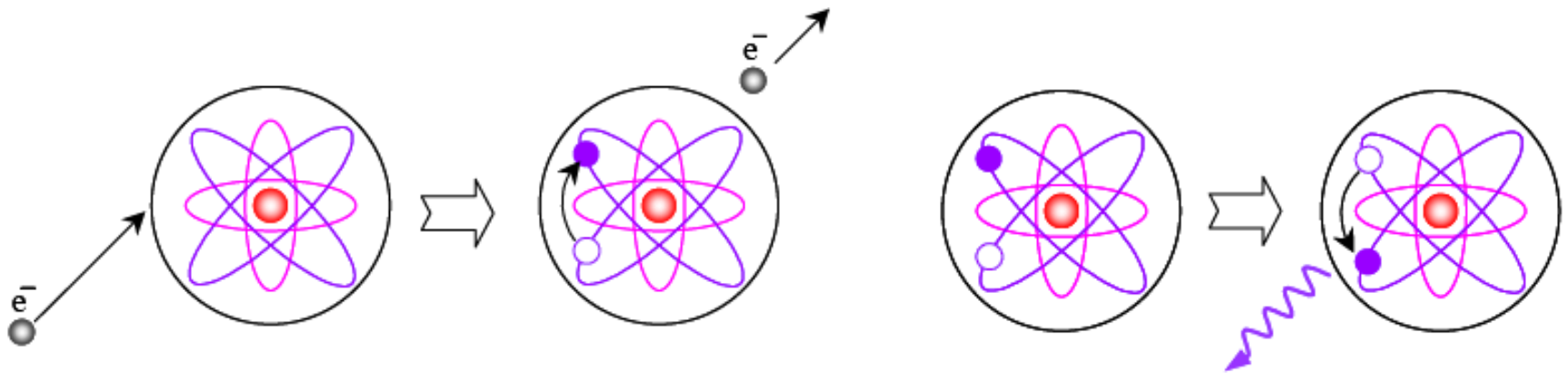


Electrons with sufficient energy can also excite the electrons of an atom from the lower energy level to a higher energy level.

- De-excitation



The excited states of atoms are usually unstable and the electron configuration can soon return to its original ground state, accompanied by **the emission of a photon** with a specific energy that equals the energy difference between the two quantum levels.

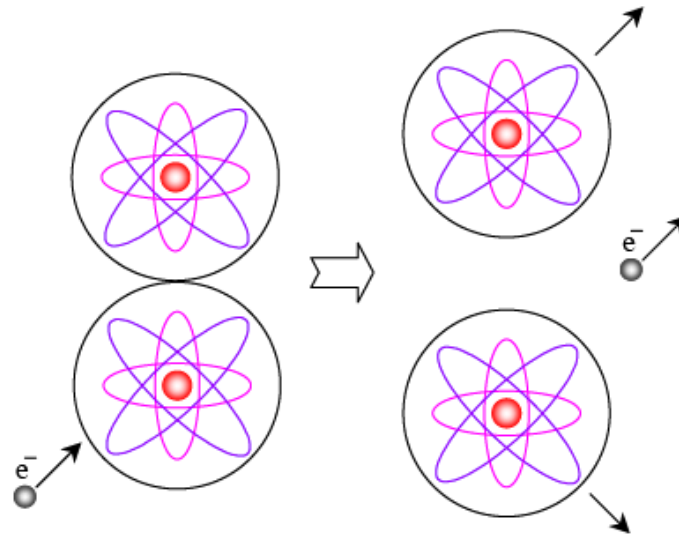


# Electron-induced reactions

- Electron impact dissociation



Most responsible for the production of **chemically active radicals** in most of the plasmas.



- Radical generation reactions

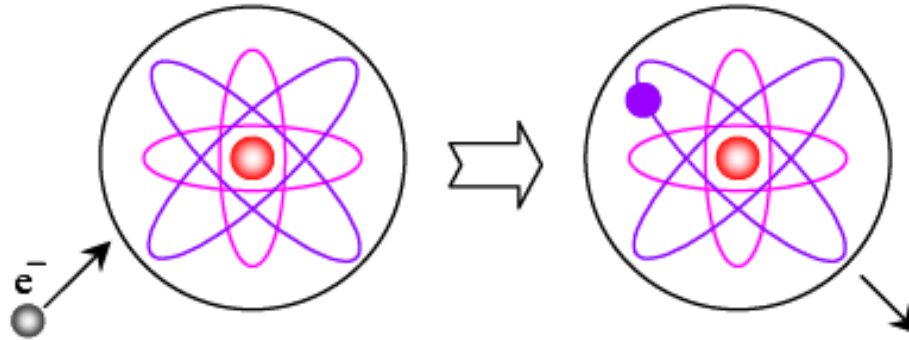
- $e + O_2 \rightarrow 2O + e$  (Chemically active O radical generation)
- $e + CF_4 \rightarrow 2e + CF_3^+ + F$  (Dissociative ionization)

# Electron-induced reactions

- Electron attachment



Electron can attach to an electronegative atom to form a negative ion, for example, a halogen atom or an oxygen atom (electron-capture ionization).



- Negative ion generation

- $e + \text{SF}_6 \rightarrow \text{SF}_6^{-}$  (Electronegative plasma, electron loss)
- $e + \text{SF}_6 \rightarrow \text{SF}_5^{-} + \text{F}$  (Dissociative attachment, negative ion, radical)

# Recombination processes

- Radiative recombination

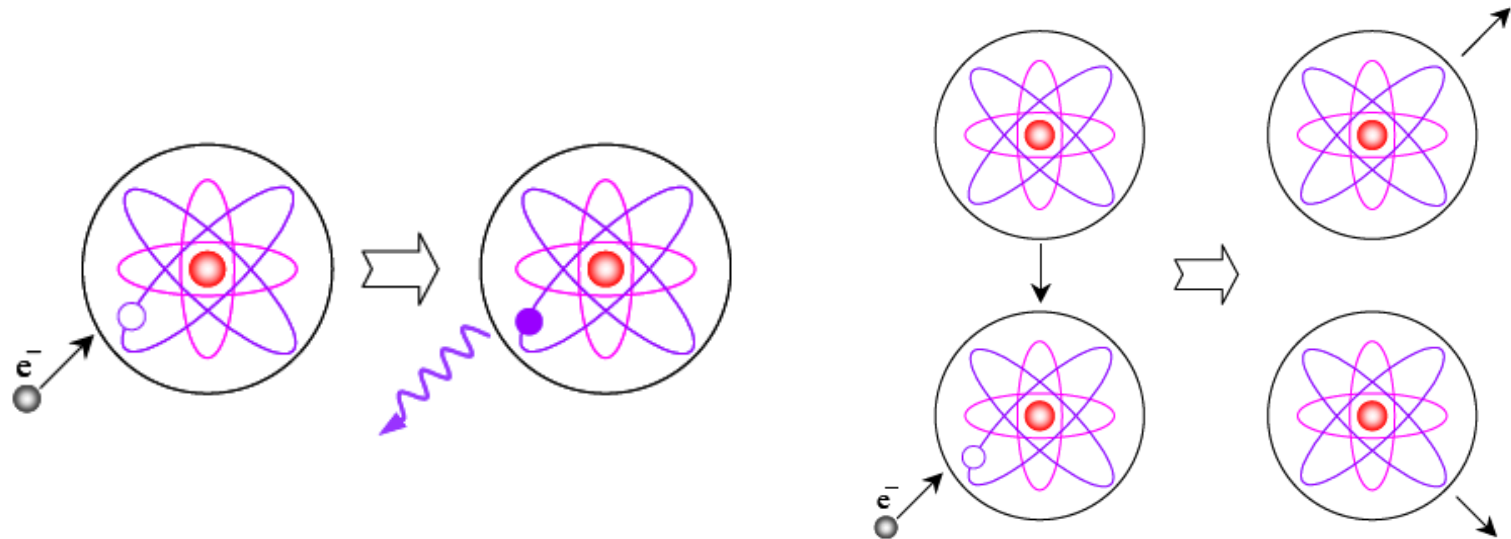


Positive ions capture a free (energetic) electrons and combine with electrons to form new neutral atoms, radiating a photon.

- Electron-ion recombination



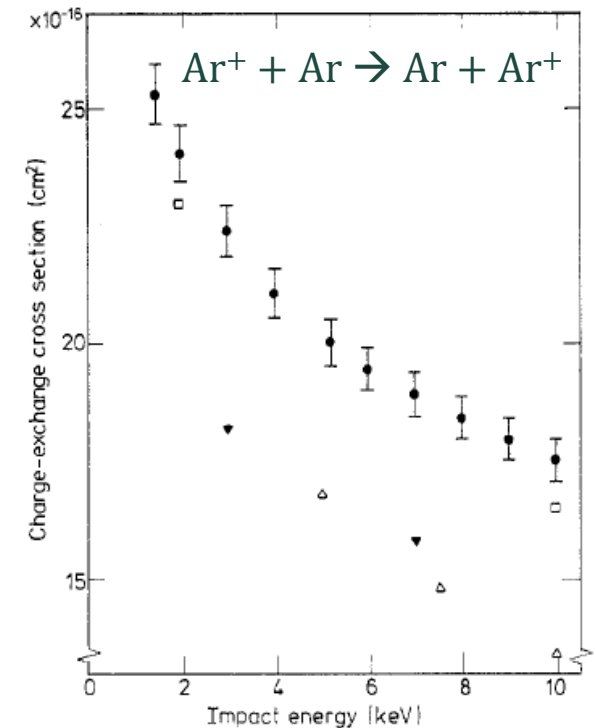
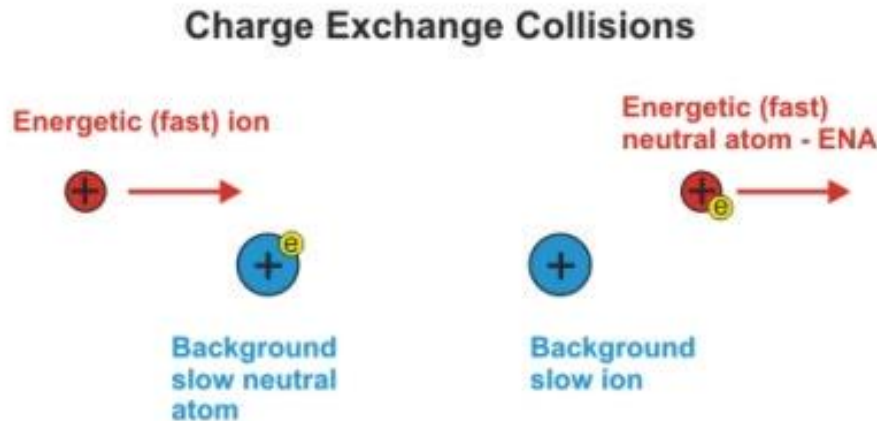
For electron-ion recombination, a third-body must be involved to conserve the energy and momentum. Abundant neutral species or reactor walls are ideal third-bodies. This recombination process typically results in excited neutrals.



# Ion-induced reactions

- (resonant) Charge exchange (or charge transfer)

The cross section for resonant charge transfer is large at low collision energies, making this an important process in weakly ionized plasmas.



# Collision parameters

- Let  $dn$  be the number of incident particles per unit volume at  $x$  that undergo an “interaction” with the target particles within a differential distance  $dx$ , removing them from the incident beam. Clearly,  $dn$  is proportional to  $n$ ,  $n_g$ , and  $dx$  for infrequent collisions within  $dx$ .

$$dn = -\sigma n n_g dx$$

$$d\Gamma = -\sigma \Gamma n_g dx$$

- The collided flux:  $\Gamma(x) = \Gamma_0(1 - e^{-x/\lambda})$

- Mean free path:

$$\lambda = \frac{1}{n_g \sigma}$$

- Mean collision time:

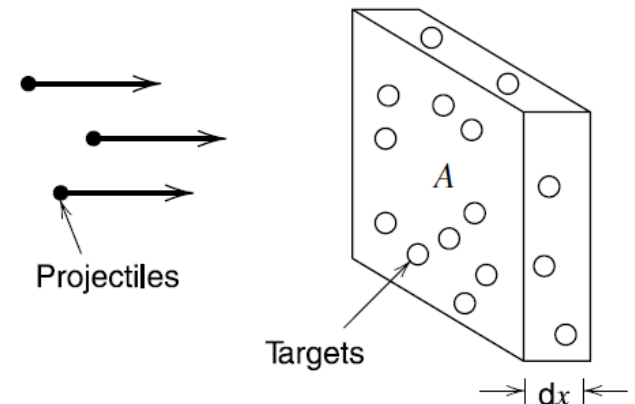
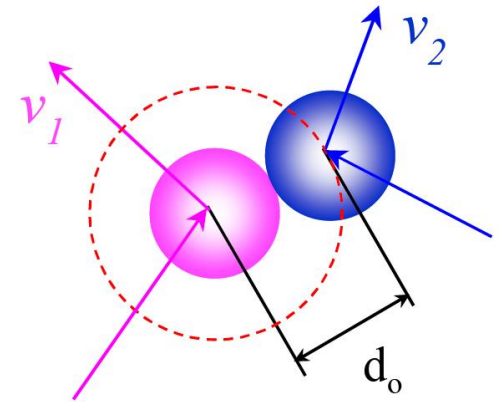
$$\tau = \frac{\lambda}{v}$$

- Collision frequency:

$$\nu = \tau^{-1} = n_g \sigma v = n_g K$$

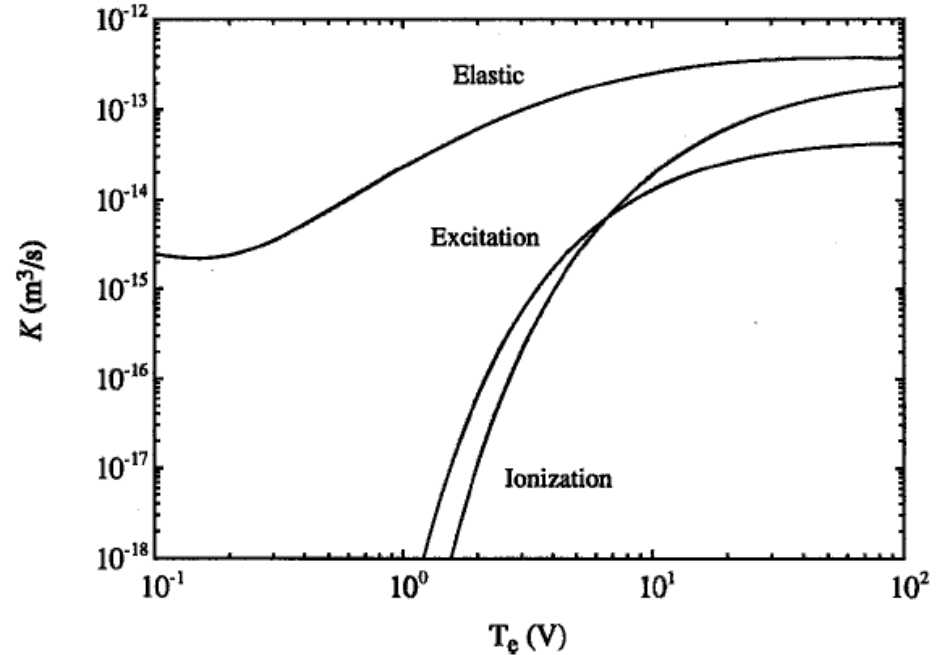
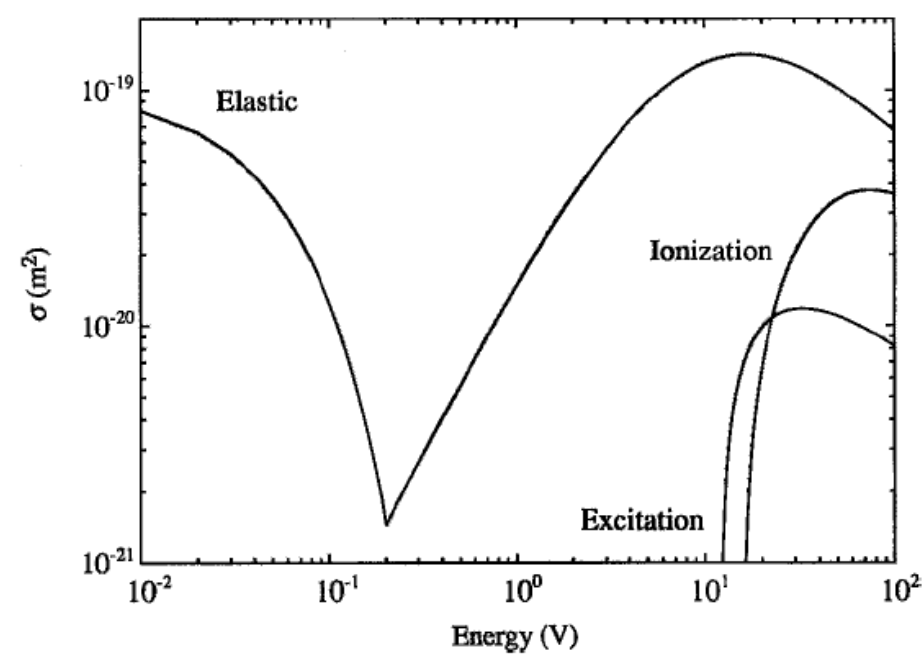
- Rate constant:

$$K = \sigma v$$



# Reaction rate

- Cross section vs Maxwellian-averaged rate constant



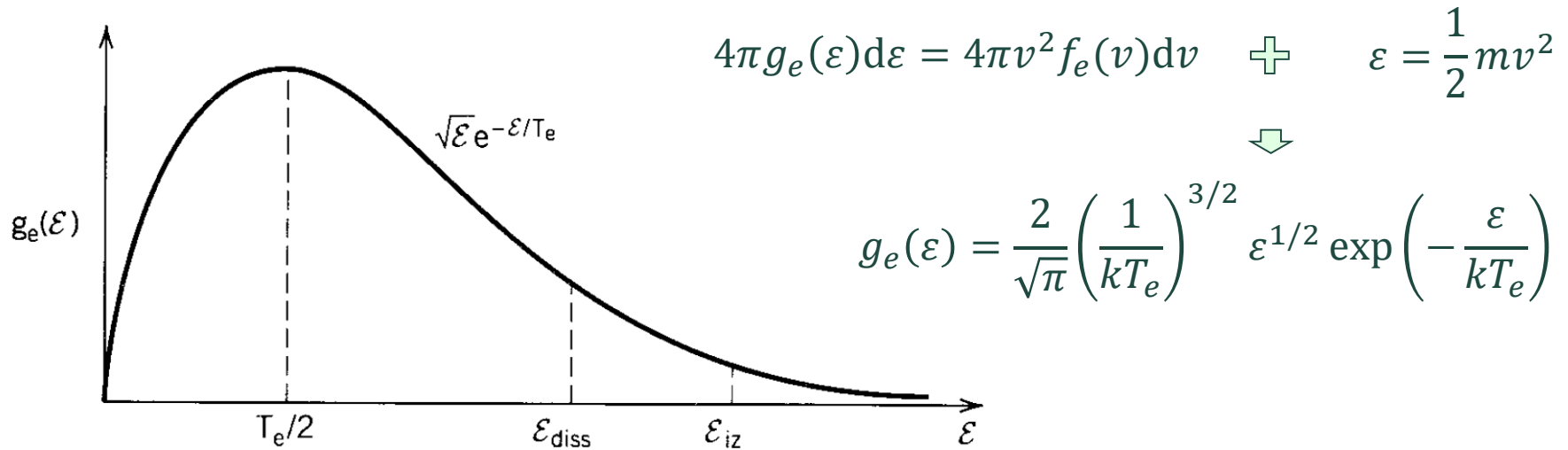
- Reaction rate [reactions per volume and second, reactions/m<sup>3</sup>s]

$$R_i = n_e n_g \langle \sigma_i(v_e) v_e \rangle_M = n_e n_g K$$

❖ Note that  $R_i = n_g \sigma_i(v) n_e v_e = \Sigma_i \cdot \phi$  in nuclear reactor physics

# EEDF (electron energy distribution function)

- It has a nearly Maxwellian energy distribution (not always)



- For non-Maxwellian electron distribution, the reaction rate is obtained by

$$R_i = n_e n_g \int_0^{\infty} f_e(v_e) \sigma_i(v_e) v_e 4\pi v_e^2 dv_e$$

