

Isolation, growth, and nitrogen fixation rates of the *Hemiaulus-Richelia* (diatom-cyanobacterium) symbiosis in culture

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Nitrogen fixers (diazotrophs) are often an important nitrogen source to phytoplankton nutrient budgets in N-limited marine environments. Diazotrophic symbioses between cyanobacteria and diatoms can dominate nitrogen-fixation regionally, particularly in major river plumes and in open ocean mesoscale blooms. This study reports the successful isolation and growth in monocultures of multiple strains of a diatom-cyanobacteria symbiosis from the Gulf of Mexico using a modified artificial seawater medium. We document the influence of light and nutrients on nitrogen fixation and growth rates of the host diatom *Hemiaulus hauckii* Grunow together with its diazotrophic endosymbiont *Richelia intracellularis* Schmidt, as well as less complete results on the *Hemiaulus membranaceus*-*R.intracellularis* symbiosis. The symbioses rates reported here are for the joint diatom-cyanobacteria unit. Symbiont diazotrophy was sufficient to support both the host diatom and cyanobacteria symbionts, and the entire symbiosis replicated and grew without added nitrogen. Maximum growth rates of multiple strains of *H. hauckii* symbioses in N-free medium with N₂ as the sole N source were 0.74-0.93 div d⁻¹. Growth rates followed light saturation kinetics in *H. hauckii* symbioses with a growth compensation light intensity (E_c) of 7-16 μmol m⁻²sec⁻¹ and saturation light level (E_k) of 84-110 μmol m⁻²sec⁻¹. Nitrogen fixation rates by the symbiont while within the host followed a diel pattern where rates increased from near-zero in the scotophase to a maximum 4-6 hours into the photophase. At the onset of the scotophase, nitrogen-fixation rates declined over several hours to near-zero values. Nitrogen fixation also exhibited light saturation kinetics. Maximum N₂ fixation rates (84 fmol N₂ heterocyst⁻¹h⁻¹) in low light adapted cultures (50 μmol m⁻²s⁻¹) were approximately 40-50% of rates (144-154 fmol N₂ heterocyst⁻¹h⁻¹) in high light (150 and 200 μmol m⁻²s⁻¹) adapted cultures. Maximum laboratory N₂ fixation rates were ~6

to 8-fold higher than literature-derived field rates of the *H. hauckii* symbiosis. In contrast to published results on the *Rhizosolenia-Richelina* symbiosis, the *H. hauckii* symbiosis did not use nitrate when added, although ammonium was consumed by the *H. hauckii* symbiosis. Symbiont-free host cell cultures could not be established; however, a symbiont-free *H. hauckii* strain was isolated directly from the field and grown on a nitrate-based medium that would not support DDA growth. Our observations together with literature reports raise the possibility that the asymbiotic *H. hauckii* are lines distinct from an obligately symbiotic *H. hauckii* line. While brief descriptions of successful culture isolation have been published, this report provides the first detailed description of the approaches, handling, and methodologies used for successful culture of this marine symbiosis. These techniques should permit a more widespread laboratory availability of these important marine symbioses.

1 Isolation, Growth, and Nitrogen Fixation Rates of the *Hemiaulus-Richelina* (Diatom-
2 Cyanobacterium) Symbiosis in Culture

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16 Abstract

17 Nitrogen fixers (diazotrophs) are often an important nitrogen source to phytoplankton
18 nutrient budgets in N-limited marine environments. Diazotrophic symbioses between
19 cyanobacteria and diatoms can dominate nitrogen fixation regionally, particularly in major river
20 plumes and in open ocean mesoscale blooms. This study reports the successful isolation and
21 growth in monocultures of multiple strains of a diatom-cyanobacteria symbiosis from the Gulf of
22 Mexico using a modified artificial seawater medium. We document the influence of light and
23 nutrients on nitrogen fixation and growth rates of the host diatom *Hemiaulus hauckii* Grunow
24 together with its diazotrophic endosymbiont *Richelia intracellularis* Schmidt, as well as less
25 complete results on the *Hemiaulus membranaceus* -*R. intracellularis* symbiosis. The symbioses
26 rates reported here are for the joint diatom-cyanobacteria unit. Symbiont diazotrophy was
27 sufficient to support both the host diatom and symbiotic cyanobacteria, and the entire symbiosis
28 replicated and grew without added nitrogen. Maximum growth rates of multiple strains of *H.*
29 *hauckii* symbioses in N-free medium with N₂ as the sole N source were 0.74-0.93 div d⁻¹.
30 Growth rates followed light saturation kinetics in *H. hauckii* symbioses with a growth
31 compensation light intensity (E_C) of 7-16 μmol m⁻²sec⁻¹ and saturation light level (E_K) of 84-110
32 μmol m⁻²sec⁻¹. Nitrogen fixation rates by the symbiont while within the host followed a diel
33 pattern where rates increased from near-zero in the scotophase to a maximum 4-6 hours into the
34 photophase. At the onset of the scotophase, nitrogen fixation rates declined over several hours to
35 near-zero values. Nitrogen fixation also exhibited light saturation kinetics. Maximum N₂ fixation
36 rates (84 fmol N₂ heterocyst⁻¹ h⁻¹) in low light adapted cultures (50 μmol m⁻² s⁻¹) were
37 approximately 40-50% of rates (144-154 fmol N₂ heterocyst⁻¹ h⁻¹) in higher light (150 and 200
38 μmol m⁻² s⁻¹) adapted cultures. Maximum laboratory N₂ fixation rates were ~6 to 8-fold higher

39 than literature-derived field rates of the *H. hauckii* symbiosis. In contrast to published results on
40 the *Rhizosolenia-Richelia* symbiosis, the *H. hauckii* symbiosis did not use nitrate when added,
41 although ammonium was consumed by the *H. hauckii* symbiosis. Symbiont-free host cell
42 cultures could not be established; however, a symbiont-free *H. hauckii* strain was isolated
43 directly from the field and grown on a nitrate-based medium that would not support DDA
44 growth. Our observations together with literature reports raise the possibility that the
45 asymbiotic *H. hauckii* are lines distinct from an obligately symbiotic *H. hauckii* line. While
46 brief descriptions of successful culture isolation have been published, this report provides the
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49 availability of these important marine symbioses.

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53 Introduction

54 The phytoplankton flora of the open sea is a diverse assemblage of prokaryotic and
55 eukaryotic cells that span a size range of ~1 to 2,000+ μm in diameter. Nitrogen is often a
56 limiting nutrient in the open sea, and planktonic nitrogen fixation (diazotrophy) occurs in
57 tropical, subtropical systems and high latitude systems (Zehr 2011; Harding et al. 2018).
58 However, nitrogen fixation can occur in a wide variety of deep-sea and benthic habitats not
59 traditionally associated with nitrogen-limitation (Zehr and Capone 2020). Diazotrophy occurs
60 only in prokaryotic cells, but a variety of symbiotic associations between diazotrophic
61 prokaryotes and host eukaryotes are known (Foster et al. 2006; Foster & O'Mullan 2008; Taylor
62 1982; Villareal 1992; Zehr and Capone 2020) and cover the range from obligate symbioses to
63 loosely associated consortia (Caputo et al. 2019; Carpenter 2002; Foster et al. 2006; Foster &
64 O'Mullan 2008). Of these, diatom-diazotroph associations (DDAs) are the most visible with
65 records dating back to the early 20th century (Karsten 1905).

66 Two types of marine diatom-cyanobacteria symbioses are known: diatoms in the genera
67 *Neostreptotheca* and *Climacodium* that host coccoid cyanobacteria (Carpenter & Janson 2000;
68 Hallegraeff & Jeffrey 1984), and diatoms that host filamentous, heterocyst-forming
69 cyanobacteria of the genera *Richelia* and *Calothrix*. Little is known about the characteristics of
70 the coccoid symbionts in diatoms, although the *Climacodium* symbiont is a diazotrophic
71 *Crocospaera* sp. (Foster et al. 2011). DDA symbioses involving heterocystous, diazotrophic
72 cyanobacteria are abundant in both open ocean systems (Dore et al. 2008; Villareal et al. 2011;
73 Wilson et al. 2008) and at intermediate salinities within the Amazon (Foster et al. 2007), Mekong
74 (Grosse et al. 2009) and Congo River plumes (Foster et al. 2009). These marine regions differ
75 greatly in their characteristics, suggesting either a great plasticity in physiological responses to

76 environmental variables or undocumented differentiation within these symbioses. Symbiont
77 integration with the hosts varies as well. In the *Rhizosolenia-Richelia* DDA symbiosis, the
78 symbiont is located in the periplasmic space between the frustule and plasmalemma and has
79 limited contact with the external environment (Janson et al. 1999; Villareal 1989). The
80 *Hemiaulus-Richelia* DDA symbiont is appressed to the nucleus and truly intracellular (Caputo et
81 al. 2019), consistent with its reduced genome (Hilton et al. 2013). The *Chaetoceros-Calothrix*
82 DDA symbiont is completely extracellular to the host diatom (Foster et al. 2010).

83 Despite their ubiquitous occurrence in tropical seas, the *Hemiaulus-Richelia* symbiosis
84 was largely overlooked until epifluorescence microscopy revealed the cryptic *Richelia* symbiont
85 (Heinbokel, 1986) and N₂ fixation was documented in individually picked chains of the
86 symbiosis (Villareal 1991). In addition to providing fixed N to the pelagic community, diatom-
87 cyanobacteria symbioses play an important role in the nitrogen and carbon cycles of oceanic
88 systems by virtue of their potential to sequester carbon to the deep sea via aggregation and
89 sinking (Karl et al. 2012; Subramaniam et al. 2008). In the currency of oceanic nitrogen cycling,
90 nitrogen derived from photosynthetic nitrogen fixation is generally balanced by a concurrent
91 removal of atmospheric CO₂ (Eppley & Peterson 1979). Thus, sinking material fueled by
92 phototrophic diazotrophy represents a net removal of CO₂, and is a quantitatively important
93 process in the transport of carbon to depth. DDAs, and particularly *Hemiaulus* symbioses, are of
94 particular oceanographic significance. *Hemiaulus-Richelia* symbioses bloom at ~ 10³ cells L⁻¹
95 frequently at the Hawai'i Ocean Time-series HOT (Dore et al. 2008; Fong et al. 2008; Scharek
96 et al. 1999; White et al. 2007). At this location, they are the likely source of the summer export
97 pulse that provides 20% of the annual carbon flux to 4,000 m in a 4-6-week window (Karl et al.
98 2012) and are regularly found on sinking particles (Farnelid et al. 2019). Subtropical front

99 blooms at ~28-30°N in the Pacific (Venrick 1974; Villareal et al. 2012; Wilson et al. 2004;
100 Wilson et al. 2008) and in waters west and north of HI (Brzezinski et al. 1998; Villareal et al.
101 2011) suggest a basin scale significance. In the southwest Atlantic Ocean, *Hemiaulus hauckii*-
102 *Richelia* blooms cover 10⁵+ km² and sequester 1.7 Tmol of carbon annually (Carpenter et al.
103 1999; Subramaniam et al. 2008) and CO₂ drawdown effects can extend to 10⁶ km² (Cooley et al.
104 2007). The large size, chain-formation, and tendency to aggregate (Scharek et al. 1999; Villareal
105 et al. 2011) in the host *Hemiaulus* lead to an efficient export mechanism (Yeung et al. 2012) for
106 both N and C.

107 Culture studies on the growth and physiological characteristics of these symbioses are
108 limited. The external symbiont *Calothrix rhizosoleniae* has been cultured without its host
109 (Foster et al. 2010) in both natural and artificial seawater medium. Cultures of the *Rhizosolenia*-
110 *Richelia* symbiosis using amended seawater have been reported in the literature with growth
111 rates up to 0.8 div d⁻¹ in fixed N-free medium (Villareal 1990). In the *Rhizosolenia*-*Richelia*
112 DDA, host and symbiont growth can be independent and symbiont-free host cells occur (but
113 have reduced growth rates) even when no fixed N is present, possibly through use of N excreted
114 by *Richelia* into the medium. Addition of nitrate rapidly results in the loss of symbionts as
115 asymbiotic *Rhizosolenia* uses the added nitrate, increases its growth rate, and out-competes
116 symbiotic *Rhizosolenia*-*Richelia* (Villareal 1989; Villareal 1990). Nitrogen fixation follows
117 typical light saturation kinetics and can provide the entire N needs of the symbiosis (Villareal
118 1990). Although oceanographically more significant than other *Rhizosolenia*-*Richelia* DDA
119 (Heinbokel 1986; Subramaniam et al. 2008, Villareal 1992), there are no published culture-based
120 data for the *Hemiaulus*-*Richelia* symbiosis.

121 Using nano-SIMS on field samples, Foster et al. (2011) were able to document the
122 transport of recently fixed N from the symbiont *Richelia* to the host *Hemiaulus* in sufficient
123 quantities to support growth; however, it is not known whether *Hemiaulus-Richelia* can grow
124 exclusively on diazotrophically fixed N. Regardless, the symbiont is clearly advantageous to the
125 host since, where examined, 80-100% of the *Hemiaulus* contain the symbiont (Bar-Zeev et al.
126 2008; Heinbokel, 1986; Villareal 1991; Villareal 1994) and 85-100% of the total phytoplankton
127 N needs in the Amazon River plume can be met by *Hemiaulus* DDA diazotrophy (Carpenter et
128 al. 1999; Weber et al. 2017). The symbiosis is not obligate for the host *Rhizosolenia* in DDA
129 cultures (Villareal, 1990) and the field evidence suggests this may also be true for the host
130 *Hemiaulus* (Heinbokel, 1986; Kimor et al., 1978). This latter hypothesis has not been tested due
131 to the difficulty in growing the *Hemiaulus-Richelia* host-symbiont pair *in vitro*.

132 In this paper, we report the successful isolation of two species of the *Hemiaulus-Richelia*
133 symbiosis into culture and expand on the brief culturing description reported in Schouten et al.
134 (2013). Using primarily *H. hauckii-Richelia* DDA strains, we document light-dependent growth
135 rates, diel cycles of N₂ fixation, growth rate response to various forms of added nitrogen, and N₂
136 fixation rates. These parameters are essential to supporting modeling of DDA bloom formation
137 and fate (Follett et al. 2018; Stukel et al. 2014). In addition, key differences between the
138 *Hemiaulus* and *Rhizosolenia* DDAs are noted.

139 Methods and Materials

140 All culturing was conducted at the University of Texas Marine Science Institute (UTMSI)
141 in Port Aransas, Texas. *Hemiaulus* strains containing symbionts were isolated by micropipette
142 (Andersen & Kawachi 2005) from the Port Aransas ship channel (27° 57' 17.56" N, 90° 03"
143 00.48" W) using material from either net tows (20-35 µm mesh nets, 1-3 minute tows in the

144 incoming tide) or whole water samples (incoming tide). The net tow sample was collected from a
145 platform under a pier laboratory that both shaded the sample from direct sun the entire time as
146 well as facilitating numerous short tows resulting in dilute samples. Both initial isolations and
147 subsequent cultures of symbiont containing *Hemiaulus* were maintained in sterile filtered, fixed
148 N-free YBCII media with L1 trace metal/ EDTA additions (Ohki et al. 1992; Chen et al. 1996;
149 Guillard & Hargraves 1993), and final concentrations of 1 μM sodium glycerophosphate
150 ($\text{C}_3\text{H}_7\text{Na}_2\text{O}_6\text{P}$), 2.6 μM sodium dihydrogen phosphate monohydrate ($\text{NaH}_2\text{PO}_4 \cdot \text{H}_2\text{O}$) and 35.7
151 μM sodium metasilicate ($\text{Na}_2\text{SiO}_3 \cdot 9\text{H}_2\text{O}$). Throughout the text, N-free or fixed N-free medium
152 will refer to culture medium that has no added organic or inorganic N, recognizing that dissolved
153 N_2 will be abundant as an N source for diazotrophs. Sterile filtered medium and seawater were
154 generated using commercially available sterile tissue culture towers and reservoirs (0.22 μm pore
155 size filters). Sterile filtration units were rinsed with ~50 ml of medium prior to use for culture
156 medium. Both nylon and methyl cellulose 0.22 μm pore size filters were used with no apparent
157 difference in results. All chemicals were reagent grade or better. The modified YBCII medium
158 was checked with a hand-held refractometer before each use and adjusted to a salinity of 35 as
159 needed using 18 megaohm deionized water. Autoclaved tubes were rinsed with sterile filtered
160 medium and then the tube filled with 15-20 mL of medium. This rinsing step was used for all
161 flasks and tubes used for culturing.

162 Isolations were performed within 5-10 minutes of collection. Using a stereomicroscope,
163 multiple *Hemiaulus* chains were rapidly isolated from the net tow material using hand-held
164 borosilicate pipets drawn to a fine diameter in a gas flame. In our work, the drawn-out pipets
165 were attached to tubing to a cotton-plugged mouthpiece (to prevent seawater aspiration) of fire-
166 polished glass tubing. Mouth pipetting was used to carefully draw or expel the chain. If mouth

167 pipetting is unacceptable, any form of fine control would provide adequate results. Multiple
168 *Hemiaulus* chains were isolated into one well of a glass depression well plate (16 depressions)
169 containing ~2 ml of medium per depression. Individual chains were then rinsed via serial
170 transfer into other wells containing sterile medium. Extensive rinsing (5-6 rinses) of a single
171 chain before isolating the next chain was much less successful than only 2-3 rinses before
172 placing the chain into a tube of medium. When isolated directly into the N-deplete modified
173 YBCII medium, contaminant growth was minimal even with only 1-2 rinses. These techniques
174 resulted in symbiosis isolation free of other eukaryotes or cyanobacteria in ~30-40% of the
175 attempts. Preliminary experiments used *H. hauckii* strain #9 isolated during Spring 2010.
176 Subsequent *H. hauckii* experiments used isolates established during Fall 2010 (strain #22) and
177 Fall 2011 (strain #83, #91, and #92). *Hemiaulus membranaceus* strain #82 was isolated in the
178 Fall 2011. In all subsequent text, a strain designation indicates a culture of a host diatom
179 containing one or more symbionts. While *Hemiaulus hauckii* strain #91 was used for most of the
180 experiments, a single strain for the entire suite of experiments was not possible due to loss of the
181 strain or the periodic loss of vitality noted in the results. Strains used are identified in the text
182 and in Table S1.

183 The *Hemiaulus* DDA could be isolated for short-term growth into MET-44 (Schöne and
184 Schöne 1982) nutrient-amended sterile filtered seawater (0.22 μm filter equipped commercial
185 sterile filtration units) collected at the isolation point. However, the *Hemiaulus-Richelina*
186 symbioses required re-isolation from the MET-44 medium into the modified YBCII medium for
187 successful maintenance >2-3 weeks. After isolation, cells were placed in a 25 °C incubator
188 under cool white fluorescent illumination of 150-250 $\mu\text{mol m}^{-2}\text{sec}^{-1}$ on a 12:12 Light:Dark
189 (L:D) cycle. All cultures were grown as batch cultures. Cultures had a high rate of sudden

190 decline and death when kept in medium longer than 7-10 days and careful attention was required
191 to transfer the cultures to new medium within this time frame. Experiments were initiated within
192 6 months of culture isolation; cultures failed to make auxospores and were eventually lost after
193 approximately 1-2 years in culture. No attempt was made to culture axenically; bacteria were
194 rarely visible in the cultures under phase contrast or differential interference contrast optics until
195 senescence when cell mortality was substantial. *The H. hauckii* DDA was the primary
196 experimental tool. *Hemiaulus membranaceus* DDA cultures were examined for general
197 characteristics but were not the subject of intensive experimentation. In March 2017, *Hemiaulus*
198 chains were observed in the Port Aransas ship channel from the Imaging Flow Cytobot data
199 stream (Campbell et al. 2010, 2017). Examination of net tow material noted numerous
200 asymbiotic *H. hauckii* chains and no symbiotic cells. Asymbiotic chains of *Hemiaulus hauckii*
201 were isolated into N-replete ($40 \mu\text{m NO}_3^-$) MET-44 amended sterile filtered seawater as noted
202 above. Unless otherwise noted, all experiments were conducted using modified YBCII medium
203 with no added nitrogen. Dissolved N_2 was the only available nitrogen source.

204 Analytical methods

205 Cells were counted using a S52 Sedgewick-Rafter chamber on an Olympus BX51
206 epifluorescence microscope. Excitation/emission wavelengths for the epifluorescent filters used
207 in counts and photography were 450 nm/680 nm (chlorophyll *a*), and 490 nm/ 565 nm
208 (phycoerythrin). Both host cells and symbiont trichomes/heterocysts were enumerated. Percent
209 symbiosis was calculated as the number of diatoms containing one or more *Richelia* trichomes
210 divided by the total number of potential host cells. Growth rates (reported as div d^{-1}) were
211 calculated using daily counts as the slope of the log of cell number over the change in time

212 (Guillard 1973) with the 95% confidence interval around the slope of the line calculated in
213 Microsoft Excel.

214 Acetylene reduction assays (ARA) were performed as described in Capone (1993)
215 corrected for ethylene solubility as described by Breitbarth et al. (2004) and assuming a mol
216 ethylene reduced per mol N₂ conversion ratio of 4:1 (Jensen & Cox 1983 as modified by Capone
217 1993). An SRI 8610C gas chromatograph (SRI Instruments, Torrance, CA) equipped with a 30
218 cm silica gel column was used to quantify ethylene using a commercially prepared standard
219 (GASCO Safeware Precision Gas Mixture, 10 and 100 ppm). Manufacturer-provided software
220 (PeakSimple Chromatography Software) performed peak integrations. Standards were run prior
221 to each day's run and at several points during the experiment. For each assay, 15 ml of culture
222 sample was added to an acid-washed 25 ml incubation vial fitted with a grey chlorobutyl rubber
223 serum stopper and crimped aluminum seals leaving 10 ml of headspace. Sterile-filtered medium
224 was used as a control. A separate aliquot was retained for cell counts. One ml of acetylene
225 generated from calcium carbide (Capone 1983) was introduced, gently swirled for 15-30 seconds
226 to equilibrate while minimizing contact between the serum stopper and the culture, then 100 µL
227 of the vial headspace injected with a Hamilton gas-tight syringe and injected into the GC. Each
228 injection required 5-7 minutes after an injection to return to baseline.

229 Chlorophyll *a* was determined on methanol-extracted (24 hours, -20°C) samples (10-25
230 ml aliquot) collected on 0.4 µm pore size polycarbonate filters using a non-acidification method
231 (Welschmeyer 1994). Initial tests indicated the filters used did not leach fluorescent compounds
232 in the methanol. When chl *a* cell⁻¹ is referred to, it always includes both symbiont and host chl *a*.
233 Sample fluorescence was read on a TD-700 Fluorometer (Turner Designs, CA, USA).

234 For nutrients, a 25 mm, 0.22 μm pore-size membrane cellulose ester Millipore filter
235 mounted on a syringe was rinsed with 5 ml of sample, filtrate discarded, and ten ml of sample
236 medium was filtered and frozen. A SEAL Analytical QuAAtro autoanalyzer was used to
237 determine dissolved inorganic phosphate (DIP), nitrate +nitrite (N+N), ammonium (NH_4^+), and
238 silicate (SiO_4^{2-}) concentrations using the manufacturer's recommended chemistries. The
239 chemistries are similar to automated analyses published in Grasshoff et al. (1999) with changes
240 in reagent concentration and wetting agents specific to the manifold chemistries. Detection limits
241 were $\sim 0.05 \mu\text{M}$ for N+N, NH_4^+ and P, and $\sim 0.5 \mu\text{M}$ for Si.

242 Growth-rate and N_2 fixation versus irradiance experiments

243 *H. hauckii* symbiosis strains #9 and #91 were used for the irradiance-rate experiments.
244 Initial experiments (Strain #9) used two light levels and are included for comparison. Detailed
245 growth rates and N_2 fixation rates were measured in separate experiments using 7-8 different
246 light levels (photosynthetic photon flux density) ranging from 15-600 $\mu\text{mol m}^{-2} \text{sec}^{-1}$ measured
247 by a QSP-170B irradiance meter (Biospherical Instruments; Table S1). For growth rates,
248 cultures were grown at the 7 experimental light levels for 7 days and remained at the assigned
249 light level through the duration of the experiments. Symbiosis growth is used throughout this
250 paper to refer to increases in host diatom numbers containing at least one symbiont. For N_2
251 fixation, strains were adapted to either 50, 150, or 200 (high light HL) $\mu\text{mol m}^{-2} \text{sec}^{-1}$ at 25°C
252 and a salinity of 35 under cool white fluorescent lighting for 7 days prior to the acetylene
253 reduction assay. Each adaptation level was then exposed to 7-8 light levels for acetylene
254 reduction assay

255 Diel pattern of N_2 fixation

256 *H. hauckii* strains #22 and #92, and *H. membranaceus* strain #82 were used for the diel
257 study (12:12 L:D cycles at $200 \mu\text{mol m}^{-2}\text{sec}^{-1}$) examining the daily rhythm of N_2 fixation on
258 culture medium with no added N. Initial experiments on *H. hauckii* strain #22 utilized a set of 6
259 discrete time points between 0600 to 2100. Each incubation lasted 4 hours with initial and final
260 measurements taken in triplicate. Rates were normalized to heterocysts and used the center point
261 of the 4 h incubation period as the time stamp. Subsequent experiments on *H. hauckii* strain #92
262 and *H. membranaceus* strain #82 utilized a high frequency time series approach in order to
263 resolve changes occurring on an hourly basis or less. This approach used a series of individual
264 measurements taken from a single vial over a period of up to ~12 hours and was utilized for two
265 reasons. First, individual assays injections required 5-7 minutes to return to baseline. Triplicate
266 measurements therefore required 15-21 minutes during which ethylene production was occurring
267 at measurable rates, could not be considered true replication of the ethylene measurement.
268 Averages of these triplicates would be unable to resolve rate changes on short time scales. The
269 second reason for this approach was to minimize handling, agitation, and light/temperature
270 variation of the samples. Six (*H. hauckii*) or 8 (*H. membranaceus*) paired vials were started at
271 various time points in the diel cycle to permit overlap. Individual time series can be identified
272 from the labelling in Table S1. Vials were sampled sequentially (1a, 1b, 2a, 2b, 3a,3b, then
273 repeated) yielding approximately 1-1.5 hours between successive sampling of a single vial. The
274 difference between successive measurements (ethylene per heterocyst) was normalized to the
275 time difference between the two successive points (~1 -1.5 hours) and expressed as a rate
276 (ethylene heterocyst⁻¹ time⁻¹). Eighty-nine (*H. hauckii*) and 78 (*H. membranaceus*) separate
277 measurements were plotted against time using a 5-point running average (center point plus two
278 on either side) to smooth the data. Rates from different vial series overlapped in time, thus the

279 5-point average has rates from independent time series. Standard deviation was calculated on
280 this 5-point series recognizing this is not a statistically useful value but only a metric for the
281 noise in the data. Experimental cultures were adapted to at 25° C under 200 $\mu\text{mol m}^{-2} \text{s}^{-1}$
282 illumination (cool-white fluorescence bulbs) on 12:12 LD cycle. Experimental vials were
283 incubated under these same conditions. Samples during the scotophase were collected/returned
284 to the incubator in a darkened container and shielded from the dimmed laboratory lights during
285 the assay.

286 Nutrient addition experiments

287 Nitrogen source experiments addressed the effect of various inorganic N sources on symbiosis
288 growth and N_2 fixation. In these experiments, *H. hauckii* strain # 83 was transferred to three 2 L
289 autoclaved glass Erlenmeyer flasks containing the maintenance medium listed above amended
290 with one of the following nitrogen sources: no added nitrogen (control), added nitrate (40 μM) or
291 added ammonium (10 μM). Samples were maintained at 25 °C and a salinity of 35. Reduced
292 ammonium concentrations were used to avoid toxicity effects; the nitrate concentration
293 duplicated work on the *Rhizosolenia-Richelina* symbiosis (Villareal 1989). Nutrient
294 concentrations and cell abundance were sampled 10 times throughout the duration of the 20-day
295 experiment. Nutrient analyses and cell counts were done in duplicate.

296 Curve-fitting and Statistics

297 Light-dependent growth was fit to the Jassby-Platt hyperbolic tangent function (Jassby &
298 Platt 1976) with a y-intercept term to permit calculation of compensation light intensity. The y-
299 intercept term was omitted for the N_2 fixation rates versus irradiance curves due to time-
300 dependent decline in dark N_2 fixation that became evident in the diel measurements. When not
301 omitted, the time-dependent decline in dark N_2 fixation noted in the diel experiment at the

302 beginning of the scotophase resulted in a highly variable initial slope as well as a significant y-
303 intercept (dark fixation rate) that was not consistent with the longer term rates after several hours
304 in darkness. Delta Graph (Red Rocks Software) was used for graphics as well as curve fitting of
305 the growth and N₂-irradiance curves. T-tests were performed using the data analysis package in
306 Microsoft Excel. Confidence intervals or standard deviations (noted in text) were calculated
307 using Microsoft Excel software. Data from all figures are found in Table S1.

308 Results

309 *Hemiaulus hauckii* and *Hemiaulus membranaceus* with their symbiont *Richelia*
310 *intracellularis* were successfully isolated multiple times. We found it was essential to remove
311 the *Hemiaulus* from the net tow sample as quickly as possible (3-5 minutes after completion of
312 the tow). Successful culturing resulted in rapidly growing chains of *Hemiaulus* reaching over 80
313 cells in length (Fig. 1). Multiple symbionts (usually 1-2, but never more than 4) were evident in
314 the cells. Cultures were sensitive to handling, and swirling tubes to re-suspend chains resulted in
315 chain breakage and decreased growth rates. Growth in undisturbed large volume containers (10
316 L+) resulted in complex aggregate formation. Strains were difficult to ship, and only one
317 attempt out of approximately 15 resulted in successful establishment in another facility. A single
318 auxospore-like structure was observed, but no cell diameter increases were observed in any of
319 the cultures.

320 *H. hauckii* strains used in this study ranged from 12-17.5 μm (up to 30 μm observed) in
321 diameter (perivalvar axis presented in broad girdle view) with a total cell volume range of 7,012 –
322 23,574 μm^3 . *H. membranaceus* cells were not measured. Since auxosporulation did not occur,
323 the strains gradually decreased in diameter over a period of 1-2 years and eventually died out.
324 Individual strains exhibited periods (weeks/months) of healthy growth (0.5-0.9 div d^{-1}) with little
325 care required. This growth pattern was interspersed with intervals (days/weeks) of low growth
326 rates that required substantial attention and multiple backups to prevent loss of the culture. These
327 cyclic patterns were not linked to batches of culture medium or glassware. While not
328 enumerated, bacteria were rarely evident in light microscopy but certainly present since the
329 cultures were not axenic. Reasons for the observed growth pattern variability remain unknown.

330 Individual symbiosis strains were routinely maintained in modified YBC-II medium with
331 no added nitrogen. High densities of *Hemiaulus* and its symbionts were possible with no N
332 added to the synthetic seawater medium (residual combined inorganic N < 0.1 μM). Maximum
333 cell counts of the host *H. hauckii* reached $\sim 10,000$ cells mL^{-1} with a maximum chl *a*
334 concentration of $71 \mu\text{g L}^{-1}$. Typical cell and chl *a* dynamics are shown in Fig. 2. High light (200
335 $\mu\text{mol m}^{-2} \text{s}^{-1}$) chl *a* concentration reached a maximum approximately 3.5 times greater than the
336 low light ($50 \mu\text{mol m}^{-2} \text{s}^{-1}$) concentrations, although chl *a* per symbiosis (combined host and
337 symbiont; multiple strains) remained approximately equal over time. In both light conditions,
338 chl *a* per symbiosis was maximal ($\sim 4\text{-}5$ pg chl *a* symbiosis $^{-1}$) in early exponential growth and
339 declined over time to $\sim 2\text{-}3$ pg chl *a* symbiosis $^{-1}$. Extensive chain formation resulted in a high
340 degree of variation in measurements.

341 Growth rates of *H. hauckii* in N-deplete medium (Fig. 3) followed light saturation
342 kinetics with host and symbiont growth rates highly correlated ($r^2 = 0.98$, $p = 0.05$, t-test).
343 Photoinhibition was not observed at the maximum light level used ($500 \mu\text{mol m}^{-2} \text{s}^{-1}$). A
344 modified Jassby-Platt curve fit (Article S1) yielded a realized maximum growth rate μ of 0.74-
345 0.93 div d^{-1} in replicated experiments (Fig. 3, Table 1). Light-saturated growth occurred with
346 light saturation (E_k) occurring at $84\text{-}110 \mu\text{mol m}^{-2} \text{s}^{-1}$ and an initial slope (α) of 0.009 div d^{-1}
347 $(\mu\text{mol m}^{-2} \text{s}^{-1})^{-1}$ in both irradiance curves. Compensation light intensity (E_c) calculated from the
348 y-intercept and α varied from $7\text{-}16 \mu\text{mol m}^{-2} \text{s}^{-1}$.

349 Nitrogen fixation rates estimated by acetylene reduction were tightly linked to the
350 light:dark cycle (Fig. 4). The 5-point running average was necessary to smooth the variable
351 point-to-point time series rates into a general diel curve. Two separate experimental treatments
352 (the 4-hour incubations and the 5-point averaging series) indicated the maximum acetylene

353 reduction rate in both *H. hauckii* and *H. membranaceus* DDA occurred approximately 4 hours
354 into the photophase (12:12 photoperiod) with a broader maximum acetylene reduction rate
355 extending for 4-6 hours. Acetylene reduction declined over several hours at photophase end to
356 low (1-10% maximum values) but still measurable rates during the scotophase in both *H. hauckii*
357 (Fig. 4a) and *H. membranaceus* (Fig. 4b) DDAs. Unlike the 4-hour discrete incubation diurnal
358 pattern seen in Strain #22, *H. hauckii* strain #92 rates maintained high values until the end of the
359 photophase (Fig. 4a). *Hemiaulus membranaceus* DDA rates were more symmetrically
360 distributed around the middle of the photoperiod (Fig. 4b). In both data sets, the rates reached a
361 maximum in the range of 45-55 fmol N₂ heterocyst⁻¹ h⁻¹.

362 Nitrogen fixation-irradiance rates followed a light saturation curve (Fig. 5) fit to the
363 hyperbolic tangent function. At the 150 and 200 μmol m⁻² s⁻¹ adaptation level (r²=0.95 and 0.97,
364 respectively), the curve-fit maximum N₂-fixation rates was 155 and 144 fmol N₂ heterocyst⁻¹ h⁻¹,
365 respectively. The maximum rates (light-saturated) at 150 and 200 μmol m⁻² s⁻¹ adaptation level
366 were significantly (p<0.01, t-test) greater than the maximum (light-saturated) rate (86 fmol N₂
367 heterocyst⁻¹ h⁻¹) noted in cultures adapted to 50 μmol m⁻² s⁻¹. The initial slope (light limited
368 portion) of the N₂ fixation curve was approximately 75% higher in the 50 μmol m⁻² s⁻¹ adapted
369 culture than the 150 and 200 μmol m⁻² s⁻¹ adaptation level.

370 Preliminary experiments in 2010 found that *H. hauckii* strain #9 did not utilize nitrate
371 (Table S2). Subsequent replication experiments found that 40 μM nitrate was not used by a
372 different *H. hauckii* symbiosis strain (#83) in experiments conducted 1 year later (Fig. 6). Ten
373 μM added ammonium declined to ~0.4 μM in 13 days and then remained constant thereafter
374 (Fig. 6). *Hemiaulus hauckii* strain #83 drew down P and Si under all the available N sources at
375 approximately equal rates. The addition of ammonium in an experimental comparison resulted in

376 higher percentages (up to 48%) of asymbiotic cells in exponential growth than when either
377 nitrate (10-20%) was added or no N was present in the medium (10-20%) but the strain was not
378 grown free of its symbiont (Fig. 6).

379 A symbiont-free strain of *H. hauckii* was maintained from March 2017 to August 2017
380 on a solely nitrate enriched, natural seawater medium (MET-44; Sch. Ammonium
381 concentrations in the aged stock seawater were 0.5 μ M or less. When isolated and growing, it
382 was confirmed in April 2017 to be symbiont-free by epifluorescence microscopy and maintained
383 in a seawater-based culture medium (MET-44) that would not support the DDA strains. The
384 strain was lost during Hurricane Harvey in August 2017 and no further information was
385 collected.

386

387 Discussion

388 Physiology and rate measurements of *Hemiaulus* symbioses have previously been limited
389 to field collected and incubated samples. Using a modification of an artificial seawater medium,
390 we have successfully and reproducibly cultured two species of *Hemiaulus* with their symbiont.
391 Caputo et al. (2019) also reported brief success using an artificial medium; Hilton et al. (2013)
392 reported genetic sequences from *Richelia* extracted from *Hemiaulus* grown using these methods.

393 Greatest isolation success was found when the cells were rapidly removed from the net
394 tow cod-end, suggesting sensitivity to the various exudates found in these concentrated samples.
395 In addition, the seawater was sterile filtered rather than autoclaved or pasteurized. Sterile
396 filtration leaves the carbonate system and medium pH unaltered compared to heat treatment;
397 however, viruses are not inactivated. Little is known of virus/DDA interactions, but viruses play

398 a significant role in diatom mortality in general (Kranzler et al. 2019) and could be a problem for
399 stable cultures.

400 In addition, culture media designed to support phytoplankton may not support essential
401 phycosphere components or may support difficult to remove lethal bacteria (see van Tol et al.
402 2017 for an example). The inability to culture *Hemiaulus* in a seawater-based enrichment
403 medium used for concurrent *Rhizosolenia-Richelia* cultures suggests that the additional trace
404 metal and chelation in our modified YBCII medium was required for sustained growth or that
405 water quality issues are critical. While we did not perform systematic comparisons, seawater
406 from the Port Aransas pass is heavily influenced by both the inshore bays and coastal Gulf of
407 Mexico. Our modified YBCII medium is free of these influences and we speculate provides a
408 more consistent chemical environment. These difference highlights differing growth needs,
409 sensitivities or tolerances of the *Hemiaulus* and *Rhizosolenia* DDAs that remain to be described.
410 The oscillation between rapidly growing, apparently healthy cultures and less vigorous cultures
411 is clearly an impediment to sustained culture as is the lack of auxospore formation. None of the
412 isolations persisted for more than ~ 3 years making detailed work on model strains problematic
413 at this time.

414 Previous estimates of N₂ fixation tracked ¹⁵N isotope movement from the *Richelia*
415 symbiont heterocysts to the host *Hemiaulus* cells using single-cell methods (Foster et al. 2011)
416 and estimated that it was sufficient to support cell growth with a turnover time of up to 0.59 div
417 d⁻¹. Foster et al.'s (2011) rate measurements for *H. hauckii-Richelia* (n=17) averaged 20.4 ±
418 18.5 (std. dev.) fmol N heterocyst⁻¹ h⁻¹ (range 1.15-50.4 fmol heterocyst⁻¹ h⁻¹). These
419 heterocyst normalized rates (Foster et al.'s Table 1 footnote), are lower than the rates observed in
420 the cultures (up to 155 fmol N₂ heterocyst⁻¹ h⁻¹). Our culture growth rates (non-limiting

421 conditions) suggest some degree of limitation in their field collections. Light/ growth rate
422 adaptation to 150-200 $\mu\text{mol m}^{-2} \text{s}^{-1}$ PAR was concurrent with a maximum N_2 fixation rate
423 approximately 6 times higher than the maximum rates observed by Foster et al. (2011).

424 Data digitized (Plot Digitizer from SourceForge, Slashdot Media, 225 W. Broadway,
425 Suite 1600, San Diego, CA: <https://sourceforge.net/>) from Carpenter et al.'s (1999) Fig. 2, allows
426 comparison of our symbiosis culture N_2 fixation rates to those from an Amazon River plume
427 bloom where *Hemiaulus* DDA abundance reached 1.6×10^6 heterocysts L^{-1} . After extracting
428 their N_2 fixation rates (as $\text{mg N m}^{-2} \text{d}^{-1}$) and concurrent heterocyst abundance from Carpenter et
429 al.'s (1999) Fig. 2, we determined that their rates ranged from 0.6-40.3 $\text{fmol N heterocyst}^{-1} \text{h}^{-1}$
430 and were ~ 8 fold lower than the maximum rates seen in cultures (note the unit conversion and
431 comparison: mg N , fmol N or fmol N_2 fixed). These rates were generated from material
432 collected by net and then prescreened to remove *Trichodesmium*. Based on our isolation
433 attempts, this handling probably adversely affected the rate. Carpenter et al. (1999) also reported
434 undetectable nitrate uptake in the *Hemiaulus* DDA bloom, a result consistent with our culture
435 observations that these DDA strains did not utilize nitrate.

436 Many growth characteristics of *H. hauckii-R. intracellularis* are similar to the
437 *Rhizosolenia clevei-R. intracellularis* symbiosis. Maximum growth rates are slightly less than 1
438 div d^{-1} and are similar between the two DDAs despite their significant size difference. Growth
439 rates are not photoinhibited up to 500 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$. Rapidly growing cells form
440 extensive chains. Culture agitation, albeit qualitatively measured, negatively affects chain
441 formation and possibly growth rates. The diel pattern of nitrogen fixation in the *Hemiaulus* DDA
442 cultures parallels the diel nifH nitrogenase gene expression seen in field samples of both
443 *Hemiaulus* DDAs (Zehr et al. 2007), the *Rhizosolenia* DDA (Harke et al. 2019) and both gene

444 expression and acetylene reduction in the *Calothrix* symbiont of the *Chaetoceros* DDA (Foster et
445 al. 2010).

446 The differential nitrate use by the *Hemiaulus* and *Rhizosolenia* DDAs is a significant
447 difference between the two DDAs. Preferential NO_3^- utilization drove a higher host growth rate
448 in a strain of the *Rhizosolenia* DDA, eventually leading to symbiont-free host cultures (Villareal
449 1990) growing solely on NO_3^- . In field studies where N_2 appeared to be the primary N source,
450 the *Rhizosolenia* host and symbiont DDA were tightly coupled (Harke et al. 2019). There are at
451 least two mechanisms that could produce this result in the *Rhizosolenia* DDA: downregulation of
452 symbiont diazotrophy by exposure to NO_3^- due to its extra-plasmalemma location and/or
453 induction of host nitrate reductase pathways. The latter would result in diminished carbon flow
454 to the symbiont in order to support nitrate assimilation into protein. Neither of these mechanisms
455 appear to have occurred in the *H. hauckii* DDA strains we used. These results were replicated in
456 individual experiments four years apart on different strains, excluding the possibility that the
457 results were a laboratory condition artifact. For the *Hemiaulus* DDA, either nitrate cannot be
458 used or diazotrophic supply exceeded any immediate N demand by the symbiosis and suppressed
459 NO_3^- uptake. In contrast, ammonium was used and resulted in elevated percentages of symbiont-
460 free hosts, but not a symbiont-free culture. The free-living marine cyanobacterium
461 *Trichodesmium* can use NO_3^- either preferentially or concurrently during diazotrophy as an N
462 source (Holl & Montoya 2005; Klawonn et al. 2020; Mulholland & Capone 2000) and other
463 diazotrophs can simultaneously use N_2 and NO_3^- (Inomura et al. 2018). It is unusual for NO_3^-
464 not to be used at all due to the higher overall energetic cost of nitrogen fixation added to the
465 costs maintaining specialized cellular structures in diazotrophs (Inomura et al. 2018). However,
466 in the UCYN-A/haptophyte symbiosis, the host haptophyte only assimilates diazotrophically

467 fixed N₂ even in the presence of combined DIN (Mills et al. 2020). Thus, while unusual, the *H.*
468 *hauckii*-*Richelia* symbiosis is not unique. The truly intracellular location of the *Hemiaulus*
469 symbiont (Caputo et al. 2019) clearly limits its contact with the environment and the potential
470 impact of NO₃⁻, but our observations also require the host *Hemiaulus* to be unresponsive to
471 external nitrate.

472 In contrast, *Hemiaulus* spp. (no information on symbionts) has been reported with growth
473 rates up to 2.2 div d⁻¹ (Furnas 1991) in field experiments and 3.8 div d⁻¹ in nitrate-based
474 laboratory medium (Brand & Guillard 1981). While the symbiont presence is undocumented but
475 seems unlikely given the DDA growth rates reported in our paper of <1.0 div d⁻¹ as well as by
476 modelled symbiont diazotrophy (Inomura et al. 2020). The Furnas (1991) and Brand & Guillard
477 (1981) reports, as well as our briefly established asymbiotic strain on medium that would not
478 support *Hemiaulus* DDA growth, all suggest that symbiont-free strains of *Hemiaulus* are extant
479 in the modern ocean. *Hemiaulus* DDAs had an ancestral origin 50-100 million years ago
480 (Caputo et al. 2019), but asymbiotic *H. hauckii* strains apparently still persist in the modern
481 ocean. We suggest this data supports, but does not prove, that the *Hemiaulus* DDA, with its
482 close metabolic coupling of the host-symbiont nitrogen metabolism (Foster & Zehr 2019; Hilton
483 et al. 2013), is obligate and that symbiotic host *Hemiaulus* spp. are distinct from asymbiotic
484 *Hemiaulus* strains. These asymbiotic strains should provide an invaluable tool for examining
485 evolutionary processes in DDAs.

486 The growth rate and N₂ fixation results provide useful input to models examining the
487 biogeochemical impact of the *Hemiaulus* DDA blooms in oceanic regions. The Amazon River
488 plume is particularly noteworthy in that it has an explicit model describing the ecological-
489 biogeochemical impacts. Stukel et al.'s (2014) model incorporated high generic N₂-based DDA

490 growth rates $> 1 \text{ div d}^{-1}$ with asymbiotic cells growing on ambient N at somewhat greater rates.
491 Our experimental results are much lower for growth on N_2 (maximum $\sim 0.9 \text{ div d}^{-1}$) and indicated
492 no nitrate use. Non-diazotrophic, asymbiotic *Hemiaulus* growth rates from the literature are
493 much higher than N_2 -based DDA rates. These are significant alterations in the input values
494 available to Stukel et al. (2014).

495 In addition, our results for *H. hauckii* DDAs found no evidence of growth rate
496 photoinhibition at the highest light level used ($500 \mu\text{mol m}^{-2} \text{ s}^{-1}$). While instantaneous solar
497 PAR may reach $\sim 2,000 \mu\text{mol m}^{-2} \text{ s}^{-1}$ (Björkman et al. 2015) at Station ALOHA near Hawaii (22°
498 $45' \text{ N } 158^\circ 00' \text{ W}$), average daily PAR incident at Sta. ALOHA over the diurnal is $\sim 850 \mu\text{mol}$
499 $\text{m}^{-2} \text{ s}^{-1}$ from June-Aug. (calculated from Letelier et al. 2017). Vertical mixing rates will both
500 reduce the time averaged PAR exposure exponentially with the depth of mixing as well as being
501 rapid enough to preclude general phytoplankton photoacclimation (Tomkins et al. 2020). Thus,
502 it seems possible that *in-situ* PAR values would not photoinhibit these DDA strains. However,
503 damaging effects by solar UV wavelengths (Zhu et al. 2020) require further examination.

504 Follet et al. (2018) and Inomura et al. (2020) utilized *H. hauckii* DDA growth rates
505 extracted from Pyle (2011) for modelling applications. Our report presents the full range of data
506 in Pyle's work and notes that rates can be $\sim 0.2 \text{ div d}^{-1}$ higher than the values used by Follet et al.
507 (2018) depending on the strain used. These higher rates are consistent with the mechanistic
508 model of Inomura et al. (2020) in that host carbon fixation is substantial enough support to the
509 symbiont N_2 fixation rates required for the unit DDA growth. This host derived carbon is likely
510 to also be the reductant and energy source required to support the lengthy decline of N_2 fixation
511 rates at the beginning of the scotophase noted in the diel experiment (Fig. 4). Further
512 experimental verification is required.

513 When comparing rates, the possibility of strain-specific variation between Foster et al.'s
514 (2011) Pacific Ocean collections, Carpenter et al.'s (1999) field collections and our Gulf of
515 Mexico isolations cannot be excluded. Symbionts of the 3 diatom host genera have diverged
516 with strong host specificity within diatom host genera (Foster & Zehr 2006; Janson et al. 1999).
517 Bar Zeev et al. (2008) noted evidence of seasonally varying *Hemiaulus*-DDA dominated
518 *Richelia* clades in the Mediterranean but there is little data to assess how physiological
519 characteristics vary with habitat. *Rhizosolenia* and *Hemiaulus* DDA symbionts appear limited to
520 vertical transmission during division or possibly transmission during auxosporulation (Foster &
521 Zehr 2019) raising the possibility of genetic drift of various degrees within populations (Bar-
522 Zeev et al. 2008).

523

524 Conclusions

525 Two symbiotic associations between host diatoms and their intracellular heterocystous
526 cyanobacterium (*Hemiaulus hauckii* - *Richelia intracellularis* and *Hemiaulus membranaceus*-
527 *Richelia intracellularis*) were successfully cultured for up to 3 years on artificial seawater
528 medium. The N₂-fixation and growth rate data provided here are, to our knowledge, the first
529 published laboratory-based data for the *Hemiaulus* DDA. This work provides details on isolation
530 techniques that proved key to successful culturing. The symbioses are sensitive to handling,
531 requiring rapid collection and isolation for successful growth. The cultures did not undergo
532 sexual reproduction, and the lack of auxosporulation and concurrent size increase is a barrier to
533 long-term stable culture. Both symbioses grow without added nitrogen other than dissolved N₂
534 and are supported at maximum growth rates solely by symbiont nitrogen fixation. Maximum
535 growth rates of the intact diatom-cyanobacterium symbiosis are < 1 div d⁻¹ and are similar to the

536 reported rates for another diatom-cyanobacterium symbiosis (*Rhizosolenia clevei-Richel*
537 *intracellularis*). Unlike the *Rhizosolenia clevei-Richel*
538 *intracellularis* symbiosis does not assimilate nitrate. Nitrogen fixation by the
539 heterocystous symbiont while within the host diatom has a clear diel pattern with maximum
540 rates occurring during the photophase. The culture nitrogen fixation rates are consistent with
541 field measured rates; however, maximum culture rates are ~6-8 times previously measured field
542 rates. Both growth and nitrogen fixation rates follow light saturation kinetics. These data
543 provide direct input for parameterization of light-dependent growth and nitrogen fixation in
544 biogeochemical models.

545 Both literature reports and our isolation of a nitrate-utilizing, symbiont-free *Hemiaulus*
546 culture are consistent with distinct symbiont-free and symbiont-containing lines of the diatom
547 *Hemiaulus*. If correct, these different lineages would be useful models for understanding the
548 evolution of these symbioses in diatoms.

549

550

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Table 1 (on next page)

Results from the modified hyperbolic tangent function growth rate-irradiance and hyperbolic tangent function N_2 -fixation-irradiance curve fit.

The three N_2 -fixation experiments were adapted to the given light levels for 7 days. The growth rate experiments were adapted at each of the light levels for 7 days. Strain 91 was used in these experiments

1

Measurement	Incubation E ¹	Initial slope (α)	Realized maximum rate	E _c ¹	E _k ¹	R ²
N ₂ fixation	50	2.079 ²	84	-	41	0.75
N ₂ fixation	150	0.905 ²	155 ⁴	-	170	0.95
N ₂ fixation	200	1.197 ²	144 ⁴	-	120	0.97
Growth Rate	Light gradient	0.009 ³	0.76 ⁵	15	84	0.99
Growth Rate	Light gradient	0.009 ³	0.93 ⁵	7	110	0.99

2

3 ¹ $\mu\text{mol m}^{-2} \text{s}^{-1}$ 4 ² $(\text{fmol N heterocyst}^{-1} \text{h}^{-1})(\mu\text{mol m}^{-2} \text{s}^{-1})^{-1}$ 5 ³ $(\text{div d}^{-1})(\mu\text{mol m}^{-2} \text{s}^{-1})^{-1}$ 6 ⁴ $(\text{fmol N heterocyst}^{-1} \text{h}^{-1})$ 7 ⁵ div d^{-1}

Figure 1

Photomicrographs of *Hemiaulus membranaceus* (A,B) and *H. hauckii* (C, D) symbioses cultured in this study.

Images are paired photomicrographs of transmitted light micrographs (A, C) and light micrographs under epifluorescence (see methods) (B, D), and were taken from samples in a Sedgewick Rafter counting cell to minimize breakage of long chains. The vertical black bar in Fig. 1c is a marking from the Sedgewick Rafter cell. It lies under the chain; hence. it does not obscure details illuminated by epifluorescence in Fig. 1d. Scale bar = 200 μm for all images.

Image credit: A.E Pyle

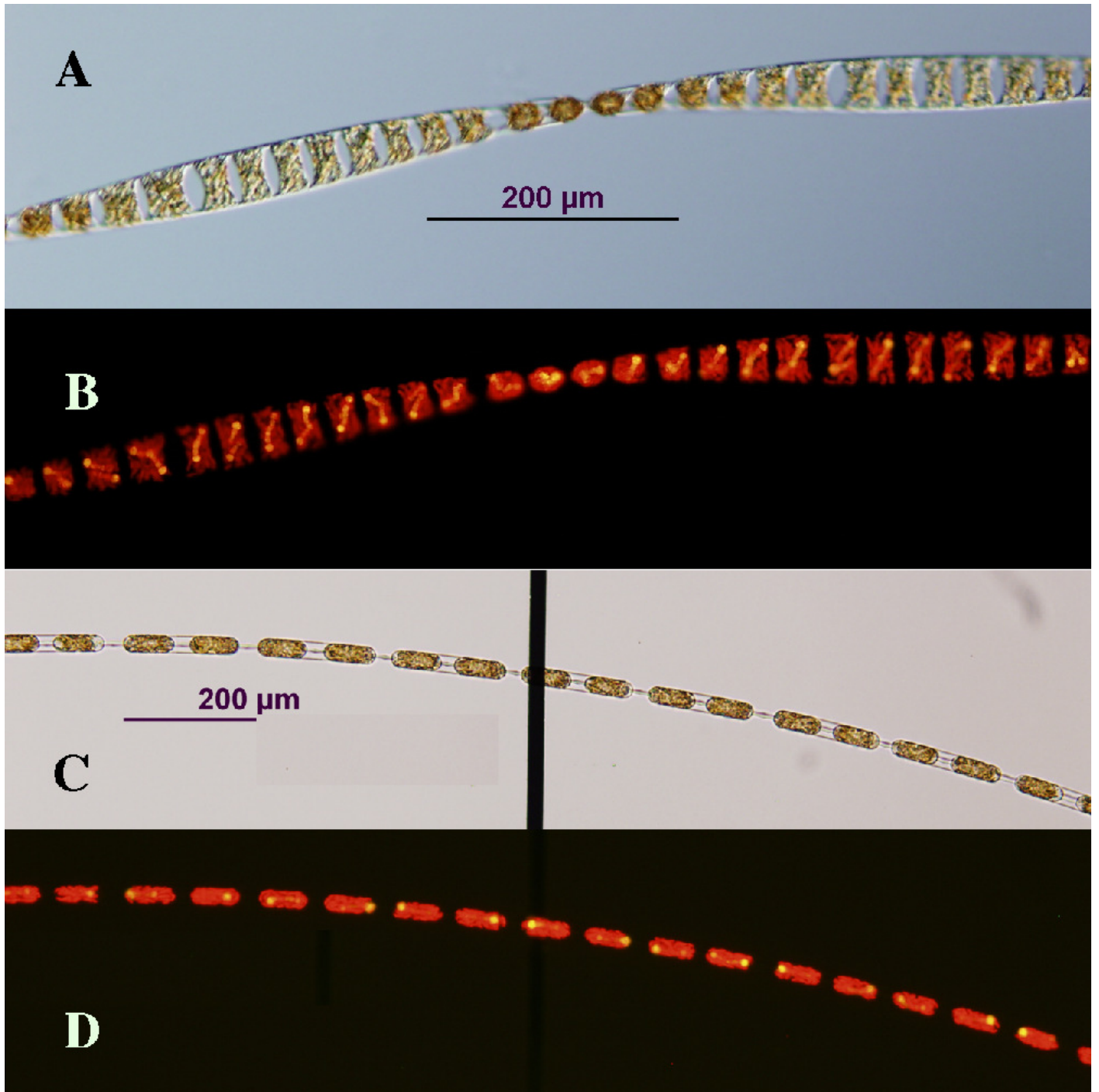


Figure 2

Typical *Hemiaulus hauckii* growth curve at two irradiance levels in modified YBC-II medium with no added nitrogen using strain #91.

A. Cell abundance and bulk chlorophyll *a* concentration. B. Chlorophyll *a* content per host cell (sum of host and symbiont chl *a*) and symbiont presence in hosts. High light (HL: 200 $\mu\text{mol m}^{-2} \text{s}^{-1}$); Low light (LL: 50 $\mu\text{mol m}^{-2} \text{s}^{-1}$). Values are means of duplicates \pm standard deviation.

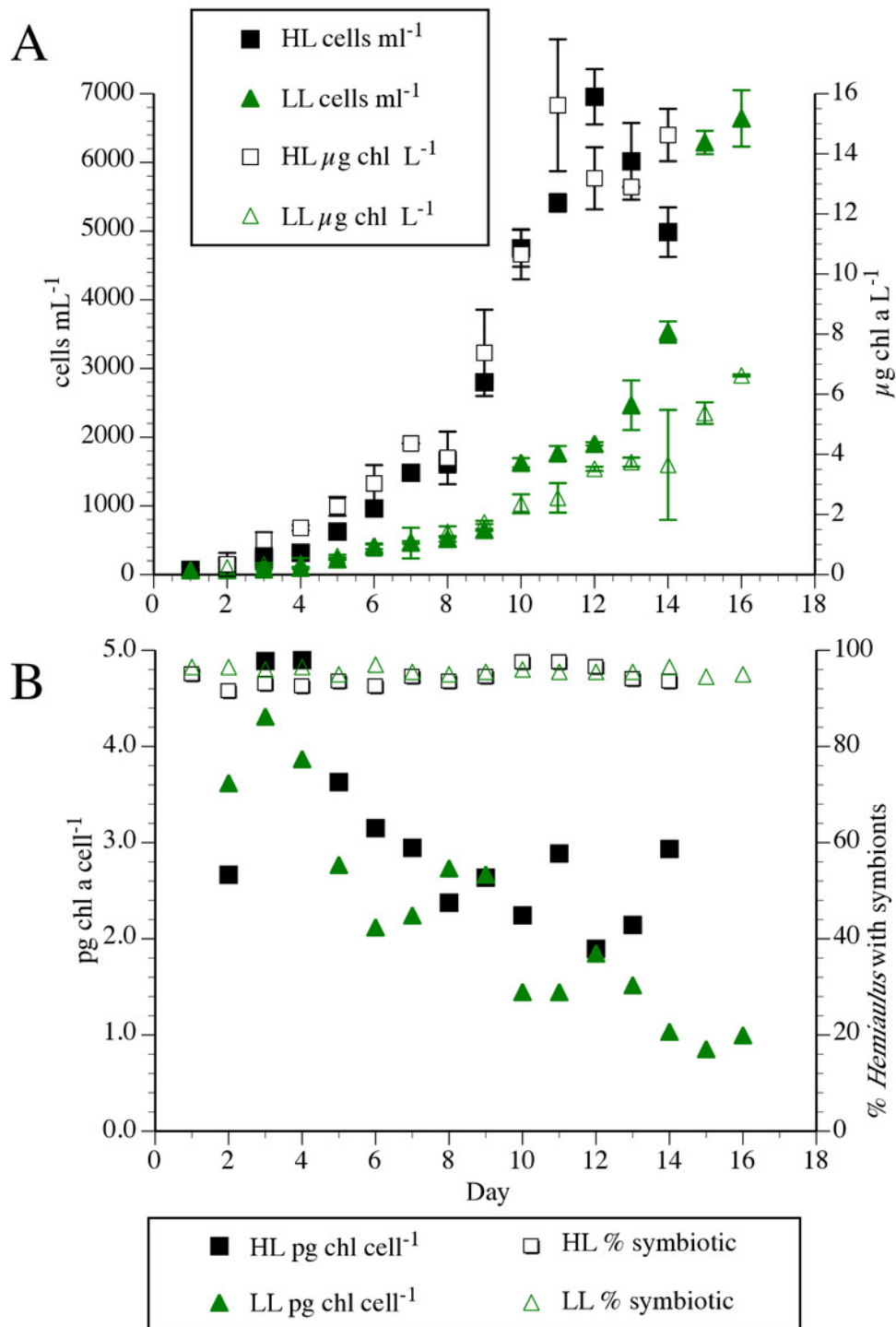


Figure 3

Irradiance-growth rate relationships for *Hemiaulus hauckii* symbiosis strains #91 and #9.

Open circles and open squares are from strain #91 (7 points) in two separate experiments, solid squares (2 points) are from strain #9 measured approximately 1 year prior to strain #91. Error bars are 95% confidence intervals. Data from strain #91 are fit to a hyperbolic tangent function. Curve fit parameters are listed in Table 1 and in the Article S1.

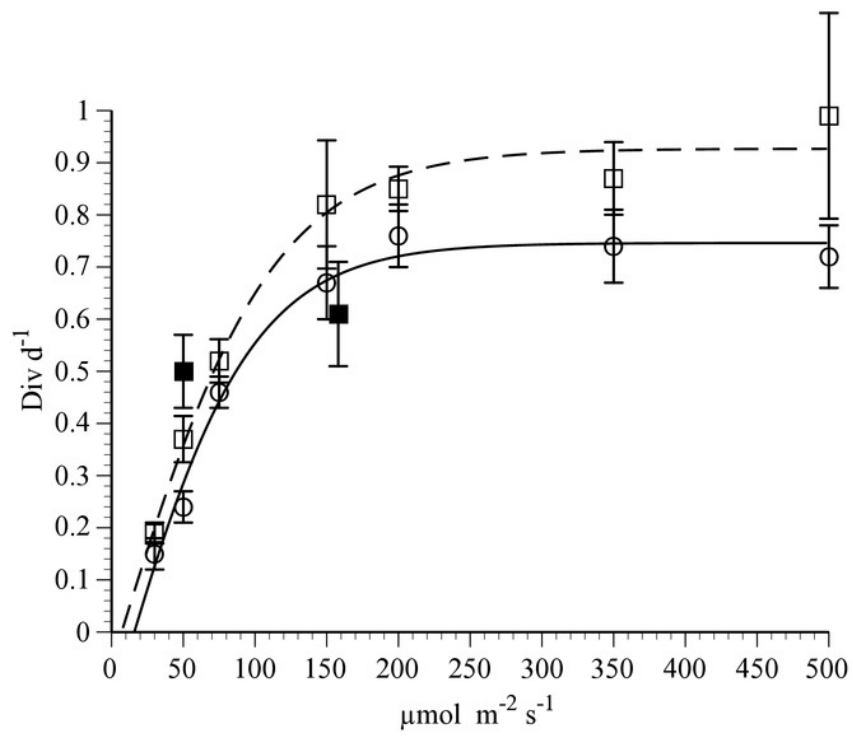


Figure 4

Diel patterns of N₂ fixation in N-free medium.

(A) *Hemiaulus hauckii* symbiosis (Strain #92= black symbols; Strain #22= red symbol). (B) *H. membranaceus* symbiosis (Strain #82). Growth rates (*H. hauckii* symbiosis) were $0.35 \pm 0.05 \text{ div d}^{-1}$ (strain #91) and $0.43 \pm 0.10 \text{ div d}^{-1}$ (strain #22). Growth rate of the *H. membranaceus* symbiosis was $0.56 \pm 0.10 \text{ div d}^{-1}$. Dark bars indicate nighttime. Both cultures were grown at $200 \mu\text{mol m}^{-2} \text{ s}^{-1}$. See text for details of the methodology for the 4 h and 5 pt average measurements. Error bars are standard deviation.

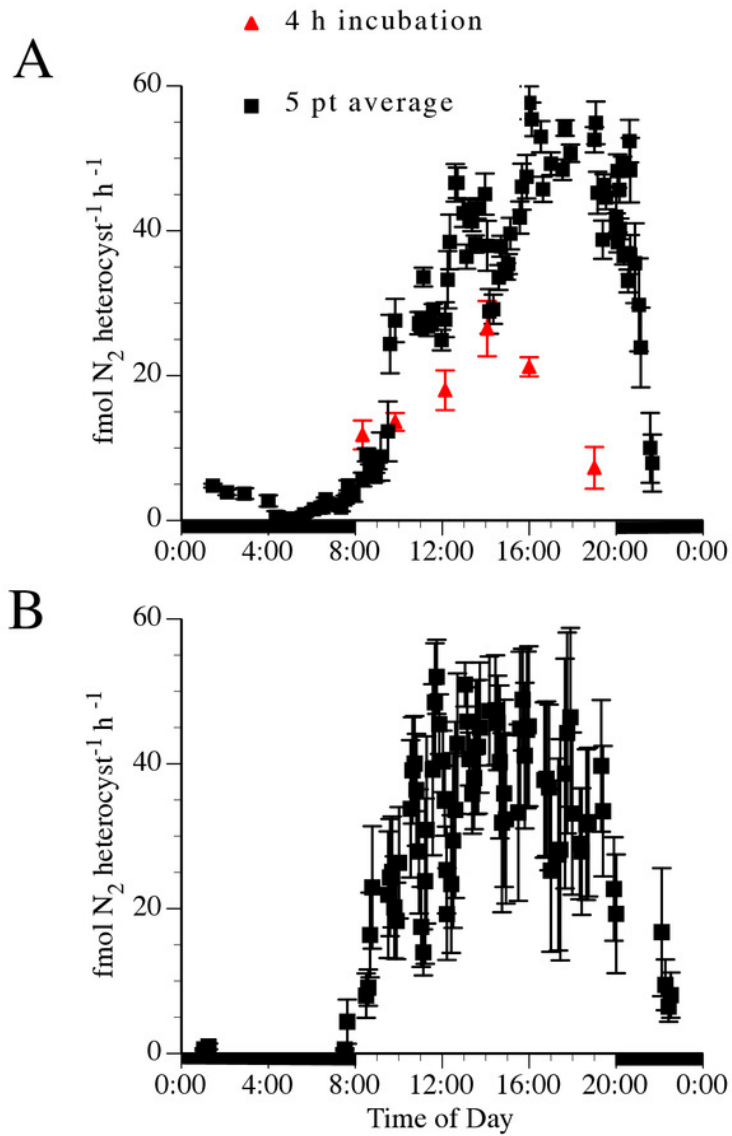


Figure 5

Irradiance- N_2 fixation rate relationships for the *Hemiaulus hauckii* symbiosis (Strain #91) adapted to three light intensities.

Error bars are 95% confidence intervals.

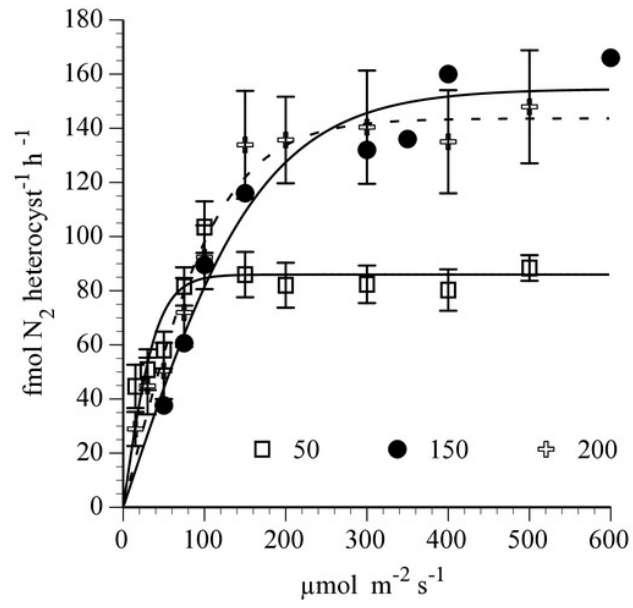


Figure 6

Hemiaulus hauckii symbiosis growth in modified YBC-II medium under 3 nitrogen sources (N₂, nitrate, ammonium; Strain #83)

(A) Nitrate concentrations with and without added nitrate. (B) Ammonium concentrations with and without added ammonium. (C) Phosphate concentrations in the three nutrient conditions. (D) Silicate concentrations in the three nutrient conditions. (E) Cell abundance in the three nutrient conditions. (F) %*Hemiaulus* with symbionts in the three nutrient conditions. Only two symbols are visible in panels A and B due to overlapping near-zero values.

