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Development of an RNA interference (RNAi) gene knockdown protocol in the anaerobic gut fungus *Pecoramyces ruminantium* strain C1A

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Members of the anaerobic gut fungi (AGF) reside in rumen, hindgut, and feces of ruminant and non-ruminant herbivorous mammals and reptilian herbivores. No protocols for gene insertion, deletion, silencing, or mutation are currently available for the AGF, rendering gene-targeted molecular biological manipulations unfeasible. Here, we developed and optimized an RNA interference (RNAi)-based protocol for targeted gene silencing in the anaerobic gut fungus *Pecoramyces ruminantium* strain C1A. Analysis of the C1A genome identified genes encoding enzymes required for RNA silencing in fungi (Dicer, Argonaute, *Neurospora crassa* QDE-3 homolog DNA helicase, Argonaute-interacting protein, and *Neurospora crassa* QIP homolog exonuclease); and the competency of C1A germinating spores for RNA uptake was confirmed using fluorescently labeled small interfering RNAs (siRNA). Addition of chemically-synthesized siRNAs targeting D-lactate dehydrogenase (IdhD) gene to C1A germinating spores resulted in marked target gene silencing; as evident by significantly lower IdhD transcriptional levels, a marked reduction in the D-LDH specific enzymatic activity in intracellular protein extracts, and a reduction in D-lactate levels accumulating in the culture supernatant. Comparative transcriptomic analysis of untreated versus siRNA-treated cultures identified a few off-target siRNA-mediated gene silencing effects. As well, significant differential up-regulation of the gene encoding NAD-dependent 2-hydroxyacid dehydrogenase (Pfam00389) in siRNA-treated C1A cultures was observed, which could possibly compensate for loss of D-LDH as an electron sink mechanism in C1A. The results demonstrate the feasibility of RNAi in anaerobic fungi, and opens the door for gene silencing-based studies in this fungal clade.

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38

Abstract

39 Members of the anaerobic gut fungi (AGF) reside in rumen, hindgut, and feces of ruminant and
40 non-ruminant herbivorous mammals and reptilian herbivores. No protocols for gene insertion,
41 deletion, silencing, or mutation are currently available for the AGF, rendering gene-targeted
42 molecular biological manipulations unfeasible. Here, we developed and optimized an RNA
43 interference (RNAi)-based protocol for targeted gene silencing in the anaerobic gut fungus
44 *Pecoramyces ruminantium* strain C1A. Analysis of the C1A genome identified genes encoding
45 enzymes required for RNA silencing in fungi (Dicer, Argonaute, *Neurospora crassa* QDE-3
46 homolog DNA helicase, Argonaute-interacting protein, and *Neurospora crassa* QIP homolog
47 exonuclease); and the competency of C1A germinating spores for RNA uptake was confirmed
48 using fluorescently labeled small interfering RNAs (siRNA). Addition of chemically-synthesized
49 siRNAs targeting D-lactate dehydrogenase (*ldhD*) gene to C1A germinating spores resulted in
50 marked target gene silencing; as evident by significantly lower *ldhD* transcriptional levels, a
51 marked reduction in the D-LDH specific enzymatic activity in intracellular protein extracts, and
52 a reduction in D-lactate levels accumulating in the culture supernatant. Comparative
53 transcriptomic analysis of untreated versus siRNA-treated cultures identified a few off-target
54 siRNA-mediated gene silencing effects. As well, significant differential up-regulation of the
55 gene encoding NAD-dependent 2-hydroxyacid dehydrogenase (Pfam00389) in siRNA-treated
56 C1A cultures was observed, which could possibly compensate for loss of D-LDH as an electron
57 sink mechanism in C1A. The results demonstrate the feasibility of RNAi in anaerobic fungi, and
58 opens the door for gene silencing-based studies in this fungal clade.

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Introduction

61 The role played by non-coding RNA (ncRNA) molecules in epigenetic modulation of gene
62 expression at the transcriptional and post-transcriptional levels is now well recognized (1). Small
63 interfering RNAs (siRNA) are short (20-24 nt) double stranded RNA molecules that mediate
64 post-transcriptional regulation of gene expression and gene silencing by binding to mRNA in a
65 sequence-specific manner (2). The process of RNA interference (RNAi) has been independently
66 documented in fungi (3-5), animals and human cell lines (6, 7), as well as plants (8). The fungal
67 RNAi machinery has been investigated in several model fungi, e.g. *Neurospora crassa* (5),
68 *Mucor circinelloides* (9), and *Magnaporthe oryzae* (10), and encompasses: 1. Dicer (Dic)
69 enzyme(s): RNaseIII dsRNA-specific ribonucleases that cleave double stranded RNA (dsRNA)
70 to short (20-25 bp) double stranded siRNA entities, 2. Argonaute (Ago) protein(s), the core
71 component of the RNA-induced silencing complex (RISC) which binds to the dicer-generated
72 siRNAs and other proteins and cleaves the target mRNA, 3. RNA-dependent RNA polymerase
73 (RdRP) enzyme (present in the majority, but not all fungi) that aids in amplifying the silencing
74 signal through the production of secondary double stranded siRNA molecules from single
75 stranded mRNAs generated by the RISC complex, 4. DNA helicase, *Neurospora crassa* QDE-3
76 homolog (11), that aids in the production of the aberrant RNA to be targeted by RdRP, and 5.
77 Argonaute-interacting protein, *Neurospora crassa* QIP homolog (12), an exonuclease that
78 cleaves and removes the passenger strand from the siRNA duplex.

79 The phenomenon of RNA interference could induce gene silencing due to the action of
80 endogenously produced microRNA (miRNA), or could be triggered due to the introduction of
81 foreign siRNA (e.g. due to viral infection or genetic manipulation). Under normal physiological
82 conditions, RNAi is thought to play a role in endogenous regulation of gene expression (13),

83 development of resistance to viruses (14-17), and silencing the expression of transposons (18,
84 19). On the other hand, the introduction of foreign siRNA could be utilized for targeted,
85 sequence-specific, gene knockdown in fungi (2, 3, 5). Indeed, demonstration of the feasibility of
86 RNAi approaches for targeted gene silencing has been shown in Ascomycota (5, 20-30),
87 Basidiomycota (31-35), and Mucoromycota (36, 37); and RNAi-based protocols were used to
88 infer the putative roles of several genes or simply as a proof of principle.

89 The anaerobic gut fungi (AGF) represent a basal fungal phylum (Neocallimastigomycota)
90 that resides in the herbivorous gut and plays an important role in enhancing plant biomass
91 metabolism by the host animals (38). The AGF have multiple potential biotechnological
92 applications such as a source of lignocellulolytic enzymes (39-45), direct utilization of AGF
93 strains for sugar extraction from plant biomass in enzyme-free biofuel production schemes (46),
94 additives to biogas production reactors (47, 48), and feed additives for livestock (49-55).
95 However, the strict anaerobic nature of AGF renders genetic manipulation procedures involving
96 plating and colony selection extremely cumbersome. Consequently, there are currently no
97 protocols for transformation, gene insertion, gene deletion, or sequence-specific homologous
98 recombination-based genetic manipulation in AGF, hindering in-depth investigation of their
99 biotechnological potential.

100 We here report on the development of an RNAi-based protocol for targeted gene
101 knockdown in the anaerobic gut fungal isolate *Pecoramyces ruminantium* strain C1A. The
102 protocol does not involve transformation, and does not require homologous recombination, or
103 colony selection. We demonstrate the uptake of chemically synthesized short double stranded
104 siRNA by germinating spores of *P. ruminantium* strain C1A, and subsequently demonstrate the
105 feasibility of using this approach for silencing D-lactate dehydrogenase (*ldhD*) gene. We finally

106 examine the off-target effects of *ldhD* gene knockdown, as well as the impact of inhibiting D-
107 lactate production on the glycolytic and fermentation pathways in C1A.
108

109

Materials and Methods

110 ***Microorganism and culture maintenance.*** *Pecoramyces ruminantium* strain C1A was isolated
111 previously in our laboratory (56) and maintained by biweekly transfers into an antibiotic-
112 supplemented rumen-fluid-cellobiose medium (RFC) as described previously (57).

113 ***Identification and phylogeny of RNAi complex in anaerobic fungi.*** The occurrence of genes
114 encoding Dic, Ago, RdRP, QIP, and QDE3 proteins was examined in the genome of *P.*
115 *ruminantium* C1A (58) (Genbank accession number ASRE00000000.1), as well as in three
116 additional publicly available Neocallimastigomycota genomes (59) (Genbank accession numbers:
117 MCOG00000000.1, MCFG00000000.1, MCFH00000000.1). The phylogeny of the translated
118 amino acid sequences of identified homologues was compared to fungal and eukaryotic
119 homologues in MEGA7. Representative sequences were aligned using ClustalW and the aligned
120 sequences were manually refined and used to construct Neighbor Joining trees in Mega7 (60)
121 with bootstrap values calculated based on 100 replicates.

RNAi experimental design.

123 ***Choice of delivery procedure.*** Delivery of the inhibitory RNA molecules to fungal cultures is
124 commonly achieved using appropriate vectors that either express short hairpin RNA (61-63), or
125 individual sense and antisense RNA strands that will subsequently be annealed into dsRNA (64,
126 65). The process involves transformation (PEG-CaCl₂-mediated into protoplasts, Li acetate-
127 mediated, *Agrobacterium*-mediated, or via electroporation) and necessitates transformants'
128 selection on marker (usually hygromycin) plates. Alternatively, direct delivery of exogenous,
129 chemically synthesized short double stranded RNA (siRNA) has also been utilized for targeted
130 gene silencing in fungi (22-24, 28, 66). This approach exploits the machinery for nucleic acids
131 uptake, and the natural competence of the germinating spore stage observed in the filamentous

132 fungus *Aspergillus* (23). Due to the strict anaerobic nature of AGF which would hinder the
133 process of transformation and selection on plates, we opted for direct addition of chemically
134 synthesized siRNA to C1A germinating spores, in spite of its reported lower efficacy (24).
135 *dsRNA synthesis*. We targeted D-lactate dehydrogenase (*ldhD*) gene encoding D-LDH enzyme
136 (EC 1.1.1.28). D-LDH is an NAD-dependent oxidoreductase that reduces pyruvate to D-lactate,
137 a major fermentation end product in C1A (46). Only a single copy of *ldhD* (996 bp in length)
138 was identified in C1A genome (IMG accession number: 2511055262). A 21-mer siRNA
139 targeting positions 279-298 in the *ldhD* gene transcript (henceforth *ldhD*-siRNA) was designed
140 using Dharmacon® siDesign center (<http://dharmacon.gelifesciences.com/design-center/>) with
141 the sense strand being 5'-CGUUAGAGUUCCAGCCUAUUU-3', and the antisense strand being
142 5'-AUAGGCUGGAACUCUAACGUU-3'. Included within the designed siRNAs were 3'
143 overhanging UU dinucleotides to increase the efficiency of target RNA degradation as suggested
144 before (67). The siRNA was ordered from Dharmacon® (LaFayette, CO) as 21-mer duplex
145 (double stranded) with a central 19-bp duplex region and symmetric UU dinucleotide 3'
146 overhangs on each end. The 5' end of the antisense strand was modified with a phosphate group
147 required for siRNA activity (68), while the 5' end of the sense strand was modified with a Cy-3
148 fluorescent dye to facilitate visualization of the siRNA uptake by C1A germinating spores. In
149 addition, a 21-mer duplex that should not anneal to any of C1A's mRNA transcripts (henceforth
150 unrelated-siRNA) was also designed and used as a negative control with the sense strand being
151 5'-UCGUUGGCGUGAGCUUCCAUU-3', and the antisense strand being 5'-
152 UGGAAGCUCACGCCAACGAUU-3'. The unrelated-siRNA was modified in the same way as
153 the *ldhD* siRNA.

154 *RNAi protocol*. The basic protocol employed is shown in Figure 1. Strain C1A was grown on
155 RFC-agar medium in serum bottles at 39°C in the dark as described previously (57) until visible
156 surface colonies are observed (usually 4-7 days). Surface growth was then flooded by adding 10
157 ml sterile anoxic water followed by incubation at 39°C (57). During this incubation period,
158 spores are released from surface sporangia into the anoxic water. Previous work has shown that
159 the duration of incubation with the flooding solution has a major impact on the spore
160 developmental stage, where exclusively active flagellated spores were observed in incubations
161 shorter than 30 minutes, while 90-100-minute incubation exclusively produced germinating
162 spores. The onset of spore germination was observed at 75-80 minutes during incubation with
163 the flooding solution (57). Germinating spores were previously shown to be most amenable for
164 accumulating the highest amount of exogenously added nucleic acids (23). We, therefore,
165 reasoned that addition of chemically synthesized siRNA to the sterile anoxic flooding water at
166 the onset of spore germination (at around 75 minutes from the onset of flooding) followed by re-
167 incubation at 39°C for 15 additional minutes (for a total of 90-minute incubation period) would
168 allow for uptake of the siRNA by the germinating spores. Chemically synthesized siRNA was
169 added from a stock solution constituted in a sterile anoxic RNase-free siRNA buffer (60 mM
170 KCl, 6 mM HEPES-pH 7.5, and 0.2 mM MgCl₂) to the desired final concentration. Initial
171 experiments were conducted using Cy3-labeled *ldhD*-siRNA molecules to test the uptake of
172 siRNA by the germinating spores. Subsequent experiments were conducted using unlabeled
173 siRNA. Following siRNA addition and incubation, spores were gently recovered from the serum
174 bottle using a 16G needle and used to inoculate fresh RFC media bottles (57), and the impact of
175 silencing *ldhD* gene on gene expression, enzyme activities, and D-lactate concentrations was

176 assessed in these cultures. Controls included treatments with unrelated-siRNA, as well as
177 cultures with no siRNA addition.

178 ***Impact of *ldhD* gene knockdown on transcriptional levels, D- LDH enzyme activity, and D-***
179 ***lactate production in strain CIA.*** The supernatant of both siRNA-treated and control CIA
180 cultures was periodically sampled (0.5 ml) and used for D-lactate quantification. The fungal
181 biomass was vacuum filtered on 0.45 µm filters, and immediately crushed in a bath of liquid
182 nitrogen using a mortar and pestle as described previously (69). The crushed cells were then
183 poured into 2 separate 15-mL plastic falcon tubes, and stored at -80°C for subsequent RNA, and
184 protein extraction, respectively.

185 *D-Lactate quantification.* D-lactate was determined in the culture supernatant using the D-
186 Lactate Assay Kit (BioAssay Systems, Hayward, CA) following the manufacturer's instructions.

187 *RNA extraction, qRT-PCR, and RNA-seq.* RNA was extracted following the protocol in
188 Epicentre® MasterPure™ Yeast RNA Purification Kit, with few modifications as detailed
189 previously (69). RNA concentrations were measured using the Qubit® RNA HS Assay Kit (Life
190 Technologies®). Total RNA was utilized for both transcriptional studies using qRT-PCR, as well
191 as for transcriptomic analysis using RNA-seq.

192 For transcriptional studies, replicate samples were chosen to cover a range of fungal
193 biomass ranging from 6-22 mgs corresponding to various growth stages. Reverse transcription
194 (cDNA synthesis) was performed using the Superscript IV First-Strand Synthesis System kit for
195 RT-PCR (Life Technologies®), following the manufacturer's protocols. Quantitative reverse
196 transcription PCR (qRT-PCR) was conducted on a MyIQ thermocycler (Bio-Rad Laboratories,
197 Hercules, CA). *ldhD*, as well as the housekeeping gene glyceraldehyde 3-phosphate
198 dehydrogenase (*GAPDH*), were amplified using primers designed by the OligoPerfect™

199 Designer tool (Life Technologies, Carlsbad, CA) (*ldhD*-forward primer:
200 AGACCATGGGTGTCATTGGT, *ldhD*-reverse primer TTCATCGGTTAATGGGCAGT;
201 *GAPDH*-forward primer: ATTCCAACCTCACGGACGTTTC, *GAPDH*-reverse primer:
202 CTTCTTGGCACCACCCTTTA). The reactions contained 1 μ l of C1A cDNA, and 0.5 μ M each
203 of the forward and reverse primers. Reactions were heated at 50°C for 2 min, followed by
204 heating at 95°C for 8.5 min. This was followed by 50 cycles, with one cycle consisting of 15 s at
205 95°C, 60 s at 50°C, and 30 s at 72°C. Using the Δ Ct method, the number of copies of *ldhD* is
206 reported relative to the number of copies of *GAPDH* used as the normalizing control.

207 Transcriptomic analysis was used both to evaluate off-target effects of the chemically
208 synthesized *ldhD* siRNA (transcripts that will be down-regulated in siRNA-treated versus
209 untreated cultures), and to examine the effect of *ldhD* knockdown on other NADH-oxidizing
210 mechanisms to compensate for loss of D-LDH as an electron sink in C1A (transcripts that will be
211 up-regulated in siRNA-treated versus untreated cultures). For transcriptomic analysis, RNA from
212 untreated (2 biological replicates) as well as siRNA-treated (2 biological replicates) cultures was
213 sequenced using Illumina-HiSeq. RNA sequencing as well as sequence processing were as
214 described previously (70). Briefly, de novo assembly of the generated RNA-Seq reads was
215 accomplished using Trinity (71), and quantitative levels of assembled transcripts were obtained
216 using Bowtie2 (72). Quantitative values in Fragments Per Kilobase of transcripts per Million
217 mapped reads (FPKM) were calculated in RSEM. edgeR (73) was used to determine the
218 transcripts that were significantly up- or down-regulated based on the Benjamini-Hochberg
219 adjusted p-value (False discovery rate, FDR). We used a threshold of 10% FDR as the cutoff for
220 determining significantly differentially expressed transcripts.

221 *Total protein extraction and D-Lactate dehydrogenase enzyme assay.* For total protein extraction,
222 replicate samples were chosen to cover a range of fungal biomass ranging from 6-22 mgs
223 corresponding to various growth stages. C1A cells crushed in liquid nitrogen were suspended in
224 0.5mL of Tris-Gly buffer (3g Tris base, 14.4g Glycine, H₂O up to 1L, pH 8.3), and mixed briefly.
225 Cell debris were pelleted by centrifugation (12,500x g for 2 min at 4°C) and the sample
226 supernatant containing the total protein extract was carefully transferred into a sterile microfuge
227 tube. Protein concentrations were quantified in cellular extracts using Qubit™ Protein assay kit
228 (Life Technologies). D-LDH enzyme activity was quantified in the cell extracts using the
229 Amplitude™ Colorimetric D-Lactate Dehydrogenase Assay Kit (ATT Bioquest®, Sunnyvale, CA),
230 following the manufacturer's protocols.

231 **Nucleotide Accession.** This Transcriptome Shotgun Assembly project has been deposited at
232 DDBJ/EMBL/GenBank under the accession GFSU00000000. The version described in this
233 paper is the first version, GFSU01000000.

234

235

Results

236 **RNAi machinery in the Neocallimastigomycota.** The four examined Neocallimastigomycota
237 genomes harbored most of the genes constituting the backbone of the RNAi machinery:
238 ribonuclease III dicer, argonaute, QDE3-homolog DNA helicase, and QIP-homolog exonuclease.
239 Phylogenetically, these genes were closely related to representatives from basal fungal lineages
240 (Figure 2). Gene copies in various genomes ranged between 1 to 4 (Figure 2). However, it is
241 notable that all four examined genomes lacked a clear homolog of RNA-dependent RNA
242 polymerase (RdRP) gene. RdRP has been identified in the genomes of diverse organisms
243 including *Caenorhabditis elegans* (74), plants, and the majority of examined fungi (75) but is
244 absent in the genomes of vertebrates and flies; in spite of their possession of a robust RNAi
245 machinery that mediates sequence-specific gene silencing in response to exogenously added
246 dsRNAs.

247 **Uptake of synthetic siRNA by C1A germinating spores and effect on growth.** The addition
248 of fluorescently labeled siRNA targeting *ldhD* transcript to C1A spores at the onset of
249 germination followed by a 15-minute incubation at 39°C resulted in the uptake of the siRNA by
250 the germinating spores as evident by their fluorescence (Fig. S1-A). Under the examined
251 conditions, the majority of the germinating spores picked up the siRNA since 80-90% of spores
252 stained with the nuclear stain DAPI also exhibited Cy3-fluorescence. *ldhD*-siRNA-treated spores
253 were collected and used to inoculate fresh RFC liquid media, and the growth rate of these
254 cultures were compared to siRNA-untreated controls. As shown in Fig. S1-B, *ldhD*-siRNA
255 treatment had no significant effect on either the rate of fungal growth or the final fungal biomass
256 yield.

257 **3.3 Knockdown of *ldhD*-gene by exogenously added *ldhD*-siRNA.**

258 *Inhibition at the mRNA level.* Table 1 shows the effect of adding exogenous *ldhD*-siRNA on
259 *ldhD* transcriptional level relative to the housekeeping gene glyceraldehyde-3-phosphate
260 dehydrogenase. Results from qRT-PCR revealed that there was an observable decrease in *ldhD*
261 transcription levels in samples treated with *ldhD*-specific siRNA compared to siRNA-untreated
262 samples or unrelated siRNA-treated samples. The inhibitory effect increased with the
263 concentration of *ldhD*-specific siRNA added. At 100 nM, a four-fold decrease in transcription
264 was observed.

265 *Inhibition at the protein level.* Similar to the effect of treatment on the mRNA level, *ldhD*-
266 siRNA-treated samples exhibited a marked decrease in the specific D-LDH activity (Table 2).
267 This decrease was dependent on the concentration of siRNA added and ranged from 70-93%
268 reduction compared to siRNA-untreated samples.

269 *Effect of ldhD gene knockdown on the extracellular levels of D-lactate in culture supernatants.*
270 D-lactate production in C1A culture supernatant is non-linear, with higher amounts of D-lactate
271 produced at later stages of growth (Figure 3A). D-lactate production in *ldhD*-siRNA-treated
272 cultures was invariably lower when compared to controls, with the difference especially
273 pronounced at later stages of growth. The level of reduction was dependent on the siRNA
274 concentration added and ranged from 42-86% in the early log phase, 49-67% in the mid log
275 phase, and 57-86% in the late log-early stationary growth phase (Figure 3B).

276 **3.4 Transcriptomic analysis.** Differential gene expression patterns between *ldhD*-siRNA-
277 treated and siRNA-untreated samples were analyzed to identify possible off-target effects of
278 siRNA treatment, i.e. transcripts that were significantly down-regulated in the siRNA-treated
279 cultures. Only 29 transcripts were significantly (FDR < 0.1) down-regulated (Figure 4).
280 Predicted functions of these transcripts are shown in Table S1 and included hypothetical proteins

281 (n=11), several glycosyl hydrolases (n=5), and other non-fermentation related functions.
282 Comparison of the siRNA sequence to these 29 transcripts revealed matches to the first 7 bases
283 of the *ldhD*-siRNA sequence to only 3 of the down-regulated transcripts indicating that the off-
284 target effect was mainly not sequence-specific.

285 In an attempt to decipher the impact of inhibiting the D-lactate dehydrogenase enzyme
286 (one of the major electron sinks in C1A) on the glycolytic and fermentation pathways in C1A,
287 we investigated the significantly up-regulated transcripts in the siRNA-treated cultures. A total
288 of 53 transcripts were significantly upregulated in the siRNA-treated cultures (FDR < 0.1)
289 (Figure 4). Predicted functions of these transcripts are shown in Table S1. One transcript
290 encoding NAD-dependent 2-hydroxyacid dehydrogenase (Pfam 00389) was significantly
291 upregulated (1542-fold) in the siRNA-treated cultures (P-value = 0.02). Enzymes belonging to
292 this family act specifically on the D-isomer of their substrates (76). In case of D-LDH inhibition
293 in the siRNA-treated cultures, the Pfam 00389 enzyme might act to compensate for the loss of
294 NADH oxidation by acting on an alternate substrate (e.g. hydroxypyruvate, 2-oxoisocaproate, or
295 other 2-oxo carboxylic acids) and reducing it as a sink of electrons to regenerate NAD. However,
296 it is difficult to know the actual substrate based on sequence data alone. Transcripts of other
297 glycolytic and fermentative enzymes of C1A were not differentially expressed in siRNA-treated
298 cultures (Table S1).
299

300 Discussion

301 Here, we explored the feasibility of RNA interference for targeted gene silencing in the
302 anaerobic gut fungi (phylum Neocallimastigomycota) via the exogenous addition of synthetic
303 double stranded siRNAs targeting the *ldhD* gene to *Pecoramyces ruminantium* strain C1A
304 germinating spores. We show that ds-siRNA was uptaken by germinating spores, and, as a
305 consequence, the transcription of the target gene (*ldhD*) was down-regulated (Table 1), leading
306 to lower D-LDH enzymatic activity (Table 2) and lower D-lactate concentration in the culture
307 supernatant (Figure 3).

308 In general, the fungal RNAi machinery encompasses Dicer (Dic) enzyme(s), Argonaute
309 (Ago) protein(s), RNA-dependent RNA polymerase (RdRP) enzyme, QDE3-like DNA helicase,
310 and Argonaute-interacting exonuclease (QIP-like). Genomes of Neocallimastigomycota
311 representatives belonging to four genera (*Pecoramyces*, *Neocallimastix*, *Piromyces*, and
312 *Anaeromyces*) encode at least one copy of Dic, Ago, QDE3-like helicase, and QIP exonuclease.
313 However, all genomes lacked a clear homolog of RdRP. The absence of an RdRP homolog is not
314 uncommon. While present in almost all studied fungi, RdRP seems to be missing from the
315 genomes of other basal fungal phyla (Chytridiomycota and Blastocladiomycota) representatives
316 (77, 78). The absence of clear RdRP homologues in the Neocallimastigomycota and related basal
317 fungal phyla despite their presence in other fungi could suggest that either an RdRP is not
318 involved in dsRNA-mediated mRNA silencing as shown before in mammals (79). Alternatively,
319 RNA-dependent RNA polymerase activity could be mediated through a non-canonical RdRP in
320 basal fungi, e.g. the RNA polymerase II core elongator complex subunit Elp1 shown to have
321 RdRP activity in *Drosophila*, as well as *Caenorhabditis elegans*, *Schizosaccharomyces pombe*,
322 and human (80, 81).

323 We chose as a gene knockdown target the D-Lactate dehydrogenase gene (*ldhD*) that
324 mediates NADH-dependent pyruvate reduction to D-lactate, for several reasons. First, the gene is
325 present as a single copy in the genome. Second, quantification of the impact of *ldhD* gene
326 knockdown is readily achievable in liquid media at the RNA (using RT-PCR and
327 transcriptomics), and protein (using specific enzyme activity assays) levels, as well as
328 phenotypically (by measuring D-lactate accumulation in the culture media); providing multiple
329 lines of evidence for the efficacy of the process. Finally, D-lactate dehydrogenase is part of the
330 complex mixed acid fermentation pathway in *P. ruminantium* (46, 58) and other anaerobic gut
331 fungi, and we sought to determine how blocking one route of electron disposal could lead to
332 changes in C1A fermentation end products.

333 *ldhD*-siRNA-treated cultures showed a significant reduction in *ldhD* gene transcription
334 and D-LDH enzyme activity. Both of these effects were dependent on the concentration of
335 siRNA added (Tables 1 and 2) similar to previous reports in filamentous fungi (22-24, 28). We
336 show that the addition of 100 nM of *ldhD*-siRNA resulted in a four-fold reduction in *ldhD*
337 transcription, 84% reduction in D-LDH specific activity, and 86% reduction in D-lactate
338 concentration in culture supernatant. The fact that targeted gene silencing using exogenously
339 added gene-specific siRNA results in reducing rather than completely abolishing gene function is
340 an important advantage of RNAi approaches allowing functional studies of housekeeping or
341 survival-essential genes.

342 While initial studies of gene silencing using exogenously added siRNAs suggested that
343 the process was highly sequence-specific (67, 82), subsequent studies showed silencing of off-
344 target genes based on less than perfect complementarity between the siRNA and the off-target
345 gene (83). Here, we used RNA-seq to quantify the off-target effects of *ldhD*-siRNA. In contrast

346 to previous studies that used similar approaches to quantify RNAi off-targets (84), we show here
347 that the off-target effects of *ldhD* silencing were minimal (only 29 transcripts out of 55,167 total
348 transcripts were differentially down-regulated as a result of siRNA treatment) and appeared to be
349 not sequence-specific.

350 Currently, and due to their strict anaerobic nature, there are no established procedures for
351 genetic manipulations (e.g. gene silencing, insertion, deletion, and mutation) of AGF leading to a
352 paucity of molecular biological studies of the phylum. This is in stark contrast to the rich body of
353 knowledge available on genetic manipulations of various aerobic fungal lineages (22, 24, 28, 66,
354 85, 86). Our work here represents a proof of principle of the feasibility of the RNAi approach in
355 AGF, and opens the door for genetic manipulation and gene function studies in this important
356 group of fungi.

357 **Conclusions**

358 Anaerobic gut fungi (AGF) have a restricted habitat in the herbivorous gut. Due to their
359 anaerobic nature, gene manipulation studies are limited hindering gene-targeted molecular
360 biological manipulations. We used an AGF representative, *Pecoramyces ruminantium* strain
361 C1A, to study the feasibility of using RNA interference (RNAi) for targeted gene silencing.
362 Using D-lactate dehydrogenase (*ldhD*) gene as a target, we show that RNAi is feasible in AGF as
363 evidenced by significantly lower gene transcriptional levels, a marked reduction in encoded
364 enzymatic activity in intracellular protein extracts, and a reduction in D-lactate levels
365 accumulating in the culture supernatant. To our knowledge, this is the first attempt of gene
366 manipulation studies in the AGF lineage and should open the door for gene silencing-based
367 studies in this fungal clade.

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624 **Figure Legends.**

625 Figure 1. A cartoon depicting the RNAi gene knockdown protocol used in this study.

626 Figure 2. Neighbor joining phylogenetic tree depicting the phylogenetic relationship between
627 *Pecoramyces ruminantium* strain C1A predicted Dicer (A), Argonaute (B), QDE-3 helicase (C),
628 and QIP exonuclease (D) sequences and those from other fungal and eukaryotic species. Trees
629 were constructed in Mega7 with bootstrap support based on 100 replicates. Bootstrap values are
630 shown for branches with >50 bootstrap support.

631 Figure 3. (A) Pattern of D-lactate production in C1A culture supernatant as a factor of fungal
632 biomass. The majority of the D-lactate production occurs at the late log-early stationary phase.
633 Data is shown for both siRNA-untreated cultures (green), as well as *ldhD*-specific siRNA-treated
634 cultures with final concentration 20 nM (dark blue), 50 nM (orange), 75 nM (grey), 100 nM
635 (yellow), and 150 nM (light blue). (B) A bar-chart depicting average \pm standard deviation (from
636 at least two replicates) of D-lactate levels in C1A culture supernatant during early log (6-13 mg
637 biomass), mid-log (14-17 mg biomass), and late log/early stationary (18-23 mg) phases. Data is
638 shown for both siRNA-untreated cultures (green), as well as *ldhD*-specific siRNA-treated
639 cultures with final concentration 20 nM (dark blue), 50 nM (orange), 75 nM (grey), 100 nM
640 (yellow), and 150 nM (light blue).

641 Figure 4. Volcano plot of the distribution of gene expression for C1A cultures when treated with
642 *ldhD*-specific siRNA (50 nM) versus untreated cultures. The fold change [\log_2 (average FPKM
643 in siRNA-treated cultures/ average FPKM in control cultures)] is shown on the X-axis, while the
644 significance of the change [$-\log_{10}$ (false discovery rate)] is shown on the Y-axis. Red data points
645 are those transcripts that were significantly down-regulated (n=29), while green data points are
646 those transcripts that were significantly up-regulated (n=53). The corresponding IMG gene

647 accession numbers and the predicted functions for these genes are shown in Table S1. The
648 orange data point corresponds to the D-lactate dehydrogenase transcript (targeted in the RNAi
649 experiment) with 2.5-fold decrease in FPKM compared to the untreated control, while the purple
650 data point corresponds to the NAD-dependent 2-hydroxyacid dehydrogenase (Pfam 00389)
651 transcript (possibly acting to compensate for the loss of NADH oxidation that occurred as a
652 result of *ldhD* knockdown) with 1542-fold increase in FPKM compared to the untreated control.

Table 1 (on next page)

Table 1

Table 1. Effect of the uptake of exogenous *ldhD*-siRNA by C1A germinating spores on the transcriptional level of *ldhD* relative to the housekeeping gene *gapdh*.

1 **Table 1.** Effect of the uptake of exogenous *ldhD*-siRNA by C1A germinating spores on the transcriptional level of *ldhD* relative to the
 2 housekeeping gene *gapdh*.
 3

Treatment	Final siRNA concentration (nM)	Copies of <i>ldhD</i> relative to <i>gapdh</i> ¹	Fold change in transcription level ($\Delta\Delta C_t$) compared to untreated samples	Number of biological replicates	Fungal biomass yield (mg) at the time of sacrificing ¹
<i>ldhD</i> -siRNA	20	4.2E-03±3E-03	0.02	4	12.3±5
	50	4.4E-03±2E-03	0.02	5	9.3±5.2
	75	3.6E-04±1.8E-04	0.0017	4	15.4±3.7
	100	6.1E-05±2.4E-05	0.0003	4	15.9±6
	150	7.3E-04±3.6E-04	0.003	2	7.2±0.7
Untreated	NA	0.21±0.04		5	9.6±2
unrelated-siRNA	50	0.26±0.07	1.29	2	13.5±3.8

4 ¹. Values are average±standard deviation

5

Table 2 (on next page)

Table 2

Table 2. Effect of the uptake of *ldhD*-siRNA by C1A germinating spores on the D-LDH specific activity.

1 **Table 2.** Effect of the uptake of *ldhD*-siRNA by C1A germinating spores on the D-LDH specific
 2 activity.

Treatment	siRNA concentration (nM)	D-LDH specific activity (U/ mg protein) ¹	Fold change in D-LDH specific activity compared to untreated samples	Total number of biological replicates	Fungal biomass yield (mg) at the time of sacrificing ¹
<i>ldhD</i> -siRNA	20	332.2±90	0.29	6	16.5±5.8
	50	331.9±144.5	0.29	17	10±4.3
	75	194.2±79	0.17	6	12.8±5.3
	100	180.6±131	0.16	6	12.7±7.4
	150	85.4±32	0.07	2	7.2±0.7
Untreated	NA	1157.6±308.6		13	10.9±2.9
unrelated-siRNA	50	926.4±69	0.8	2	13.5±3.8

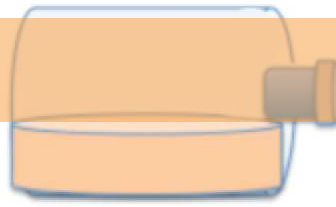
3 ¹. Values shown are average±SD.
 4

Figure 1 (on next page)

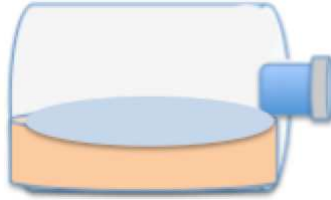
Figure 1

Figure 1. A cartoon depicting the RNAi gene knockdown protocol used in this study.

Inoculate anaerobically
45 mL of RFC+ 2%
agar with 5 mL of C1A
culture, and incubate at
39°C.

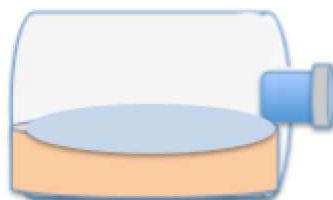


Incubate at 39°C for 4-7 days until
visible colonies appear on the agar
surface.



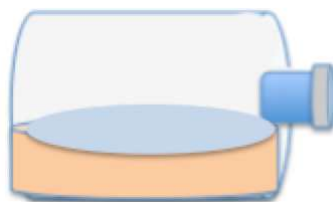
Flood with 10 mL of
sterile anoxic water
and incubate statically
for 75 minutes at 39°C
in the dark.

During incubation spores are
released from aerial sporangia into
the flooding water. By 75 min,
spores start germination.



Add the chemically
synthesized siRNA to the
sterile anoxic flooding
water.

Incubate for 15 more minutes at
39°C in the dark allow for uptake
of the siRNA by the germinating
spores.



Gently recover the spores
from the serum bottle
using a 16G needle.

Inoculate in fresh RFC serum
bottles and incubate at 39°C.



Monitor growth by measuring
headspace pressure. Calculate
fungal biomass.

Vacuum filtration

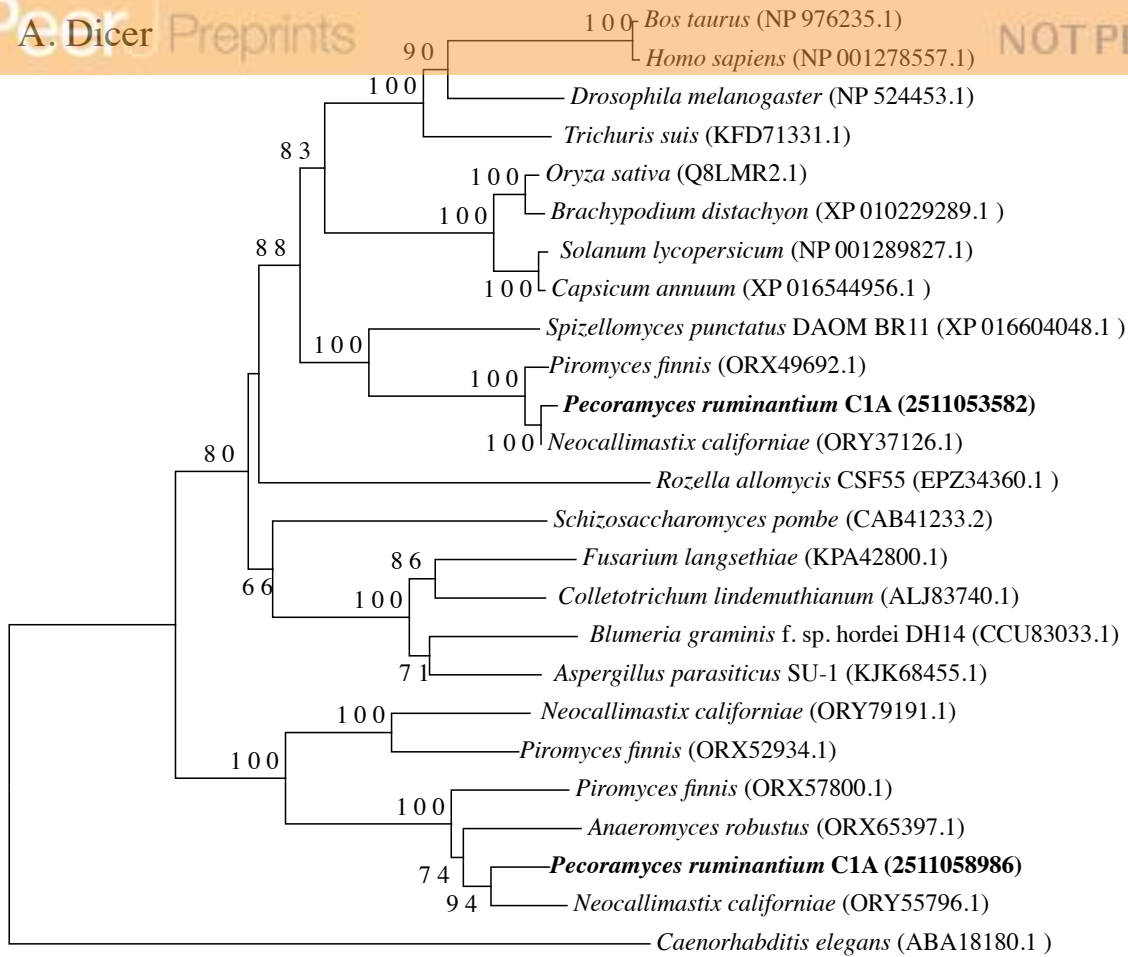
Supernatant: Use for D-
lactate measurement.
<https://doi.org/10.7287/peerj.preprints.3177v1>

Biomass: Crush under liquid N₂.
Use for RNA or total protein
extraction.

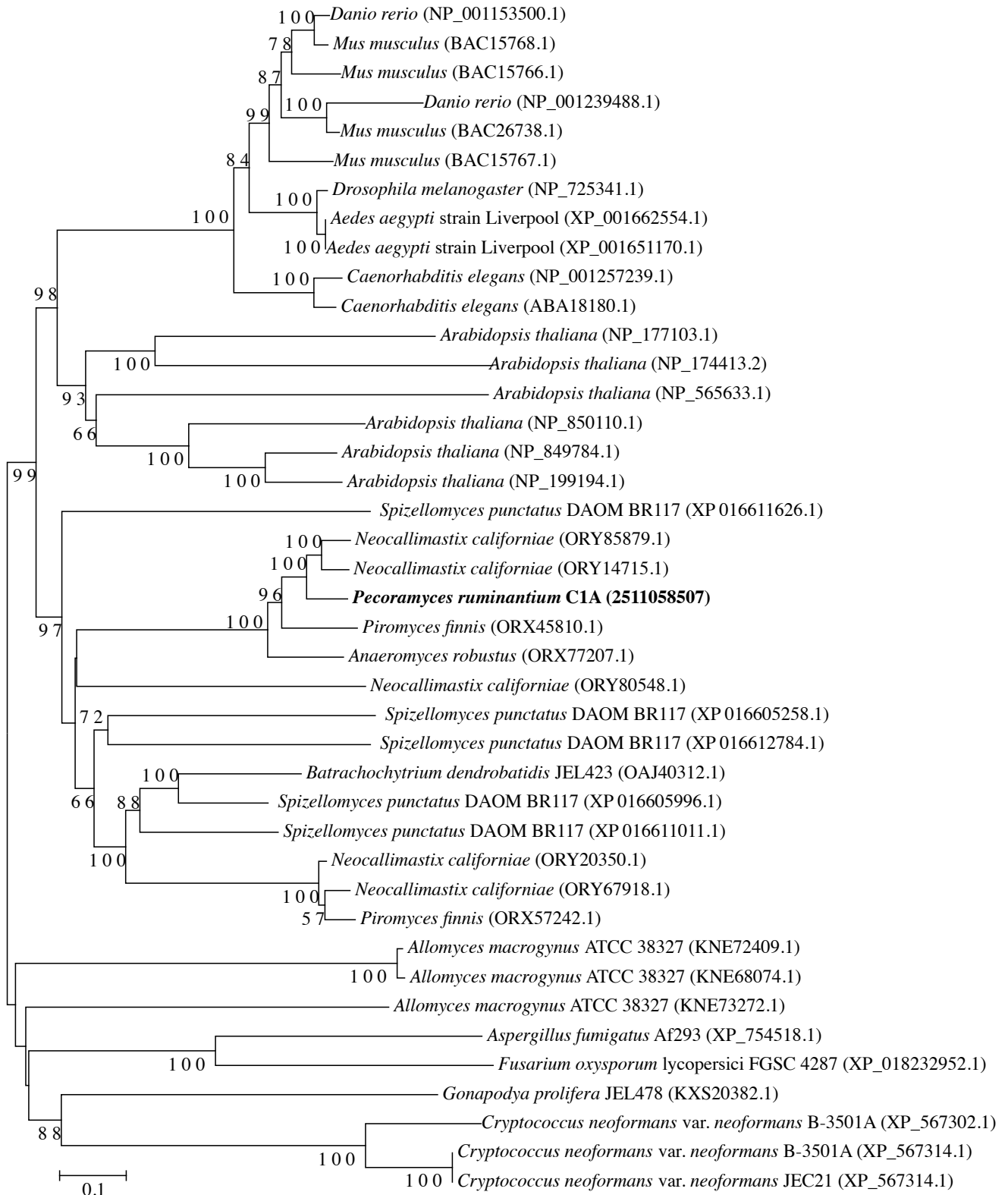
Figure 2 (on next page)

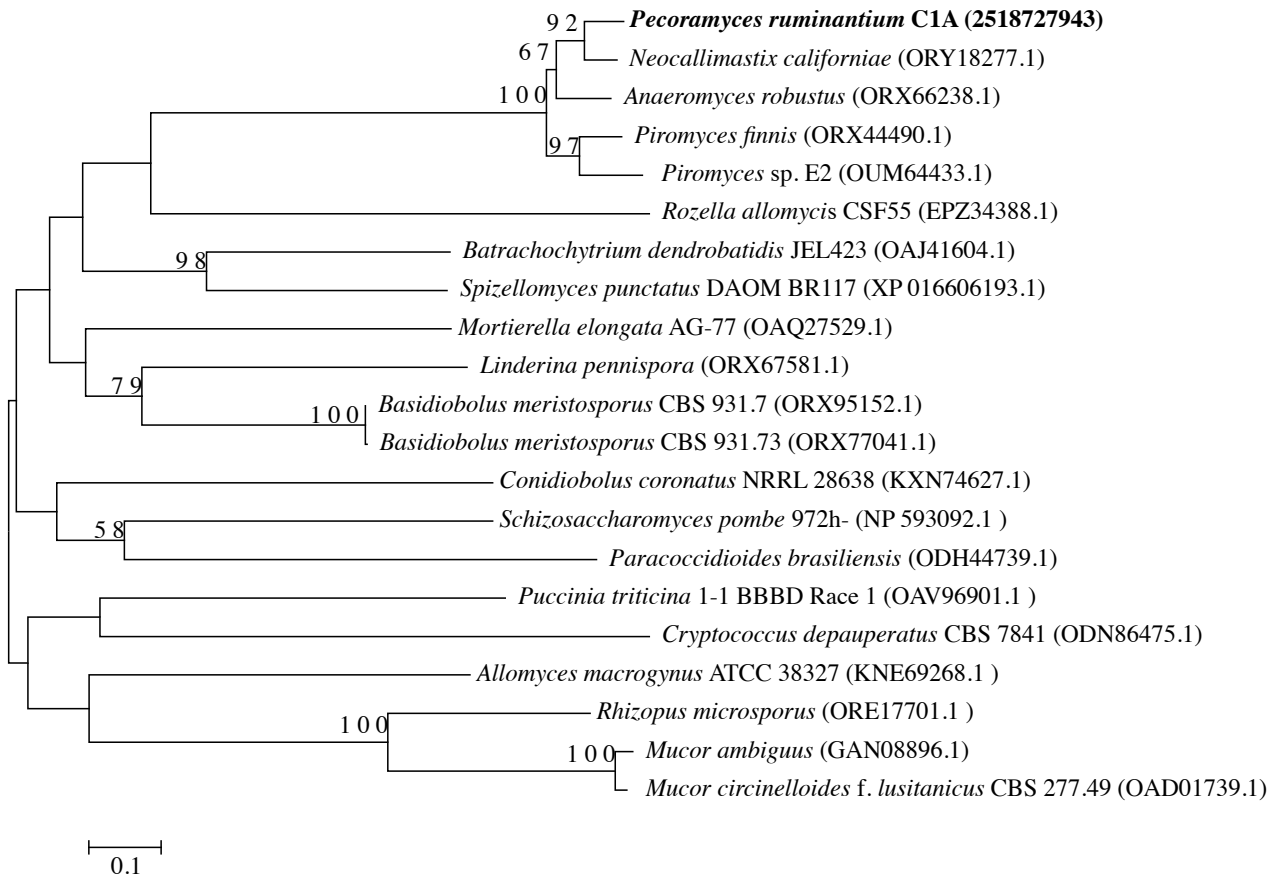
Figure 2

Figure 2. Neighbor joining phylogenetic tree depicting the phylogenetic relationship between *Pecoramyces ruminantium* strain C1A predicted Dicer (A), Argonaute (B), QDE-3 helicase (C), and QIP exonuclease (D) sequences and those from other fungal and eukaryotic species. Trees were constructed in Mega7 with bootstrap support based on 100 replicates. Bootstrap values are shown for branches with >50 bootstrap support.



0.2





D. QIP homolog

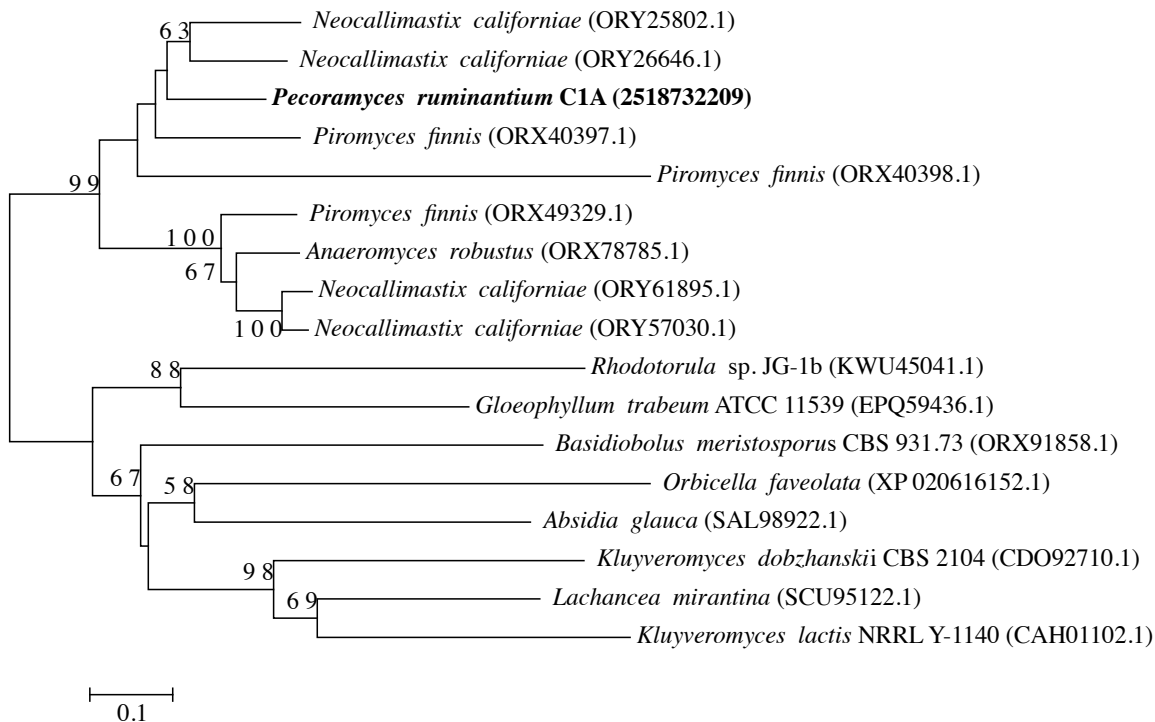


Figure 3 (on next page)

Figure 3

Figure 3. (A) Pattern of D-lactate production in C1A culture supernatant as a factor of fungal biomass. The majority of the D-lactate production occurs at the late log-early stationary phase. Data is shown for both siRNA-untreated cultures (green), as well as *ldhD*-specific siRNA-treated cultures with final concentration 20 nM (dark blue), 50 nM (orange), 75 nM (grey), 100 nM (yellow), and 150 nM (light blue). (B) A bar-chart depicting average \pm standard deviation (from at least two replicates) of D-lactate levels in C1A culture supernatant during early log (6-13 mg biomass), mid-log (14-17 mg biomass), and late log/early stationary (18-23 mg) phases. Data is shown for both siRNA-untreated cultures (green), as well as *ldhD*-specific siRNA-treated cultures with final concentration 20 nM (dark blue), 50 nM (orange), 75 nM (grey), 100 nM (yellow), and 150 nM (light blue).

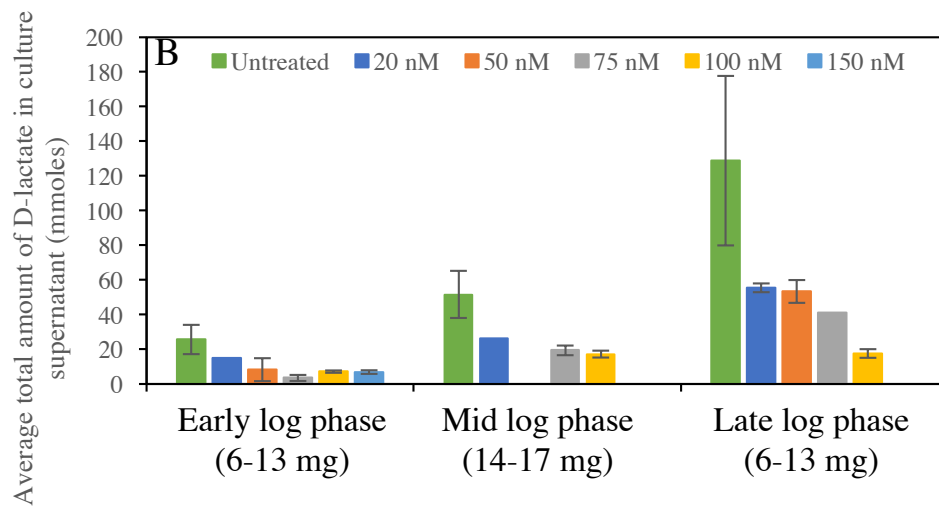
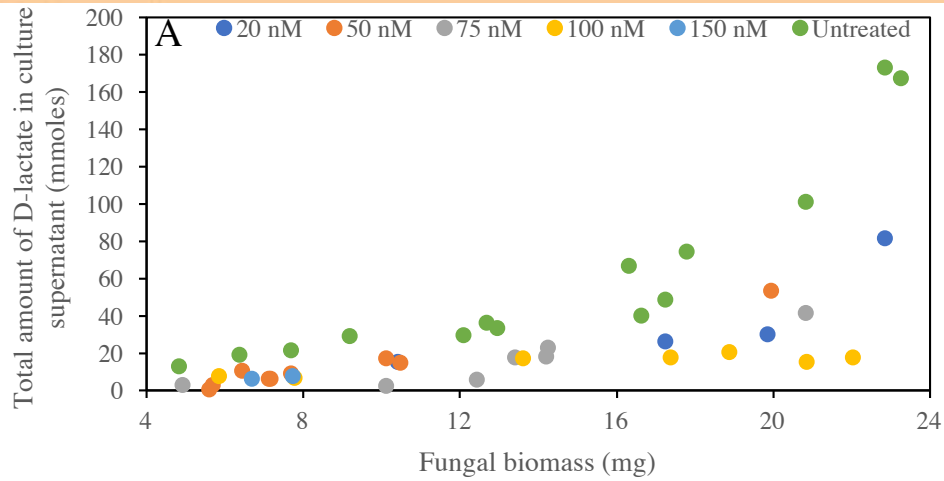


Figure 4(on next page)

Figure 4

Figure 4. Volcano plot of the distribution of gene expression for C1A cultures when treated with *ldhD*-specific siRNA (50 nM) versus untreated cultures. The fold change [\log_2 (average FPKM in siRNA-treated cultures/ average FPKM in control cultures)] is shown on the X-axis, while the significance of the change [$-\log_{10}$ (false discovery rate)] is shown on the Y-axis. Red data points are those transcripts that were significantly down-regulated (n=29), while green data points are those transcripts that were significantly up-regulated (n=53). The corresponding IMG gene accession numbers and the predicted functions for these genes are shown in Table S1. The orange data point corresponds to the D-lactate dehydrogenase transcript (targeted in the RNAi experiment) with 2.5-fold decrease in FPKM compared to the untreated control, while the purple data point corresponds to the NAD-dependent 2-hydroxyacid dehydrogenase (Pfam 00389) transcript (possibly acting to compensate for the loss of NADH oxidation that occurred as a result of *ldhD* knockdown) with 1542-fold increase in FPKM compared to the untreated control.

