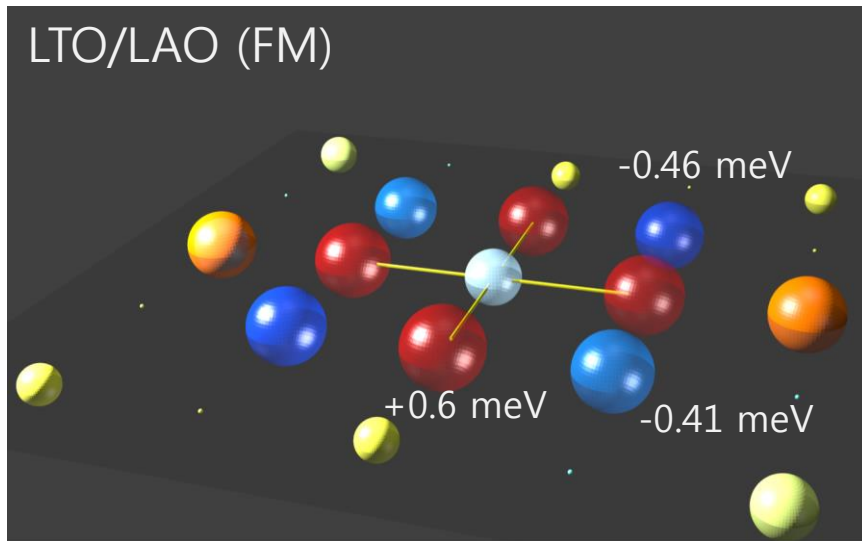


# Calculating Branching Ratio and Heisenberg Exchange Constant with OpenMX

Myung Joon Han (KAIST, Physics)



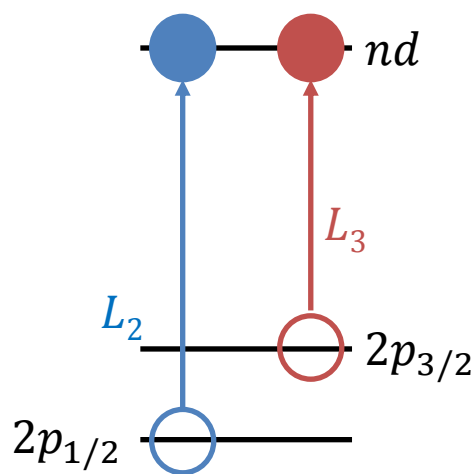
# SOC and Branching Ratio

$$\mathbf{H}_{SO} = \lambda \langle \mathbf{L} \cdot \mathbf{S} \rangle$$

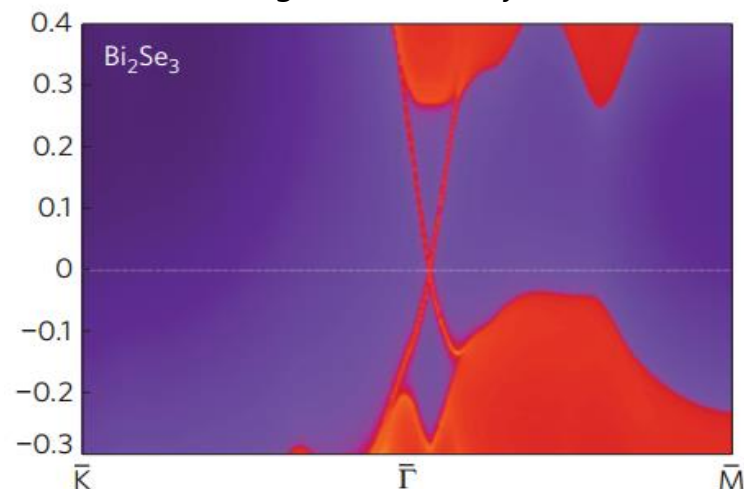
$$\bar{H}_{SO} = -\frac{e}{m^2 c^2} \left( \frac{1}{r} \frac{d\phi}{dr} \right) (\mathbf{l} \cdot \mathbf{s})$$

$$H_{SO} = \frac{-e}{2m^2 c^2} [(\nabla\phi \times \mathbf{p}) \cdot \mathbf{s}]$$

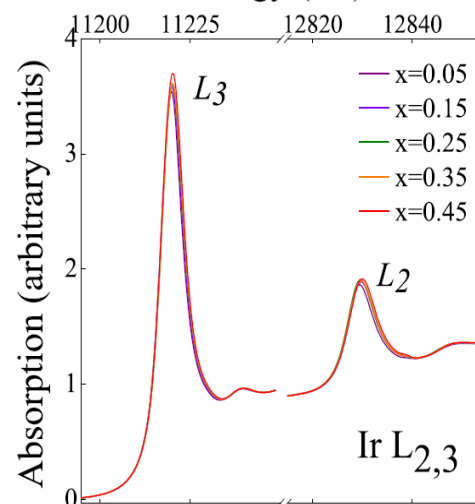
X-ray absorption spectra (XAS)



Zhang *et al.*, Nat. Phys. **5**, 438 (2009)



Energy (eV)



Chikara, *MJH et al.*  
PRB-RC (2015)

$$I_{L3} / I_{L2}$$

# A Simple Way from First-Principles

$$\frac{L_j}{L_3 + L_2} = \frac{2j + 1}{2(2l_c + 1)} \pm A(l_c, l_v, n_h) \langle \mathbf{L} \cdot \mathbf{S} \rangle \quad \text{where } A = -\frac{1}{3n_h}.$$

$$I_{L_3}/I_{L_2} = \frac{2n_h - \langle \mathbf{L} \cdot \mathbf{S} \rangle}{n_h + \langle \mathbf{L} \cdot \mathbf{S} \rangle} = \frac{2 - r}{1 + r}$$

**For the case of iridates as an example:**

**(  $l_c = p = 1$ ,  $l_v = d = 2$  )**

$$|\psi_{n\mathbf{k}}\rangle = \sum_{m_J=-5/2}^{5/2} a_{m_J}^{n\mathbf{k}} |J = 5/2, m_J\rangle_{\text{Ir}} + \sum_{m_J=-3/2}^{3/2} b_{m_J}^{n\mathbf{k}} |J = 3/2, m_J\rangle_{\text{Ir}} + \sum_{(i,\alpha) \neq (\text{Ir}, d)} c_{\alpha,i}^{n\mathbf{k}} |\phi_{\alpha,i}\rangle$$

$$\begin{aligned} \langle \mathbf{L} \cdot \mathbf{S} \rangle &= \sum_{n\mathbf{k}}^{\text{occ}} \langle \psi_{n\mathbf{k}} | \mathbf{L} \cdot \mathbf{S} | \psi_{n\mathbf{k}} \rangle \\ &= \sum_{\epsilon_{n\mathbf{k}} < \epsilon_F} \sum_{m_J} (1.0 \times |a_{m_J}^{n\mathbf{k}}|^2 - 1.5 \times |b_{m_J}^{n\mathbf{k}}|^2) \end{aligned}$$

Thole and van der Laan,  
PRL (1988), PRA (1988)

J.-H. Sim, H. Yoon, S. H. Park, *MJH*,  
Phys. Rev. B (2016)

# Advantages

## Direct simulation of XAS spectra

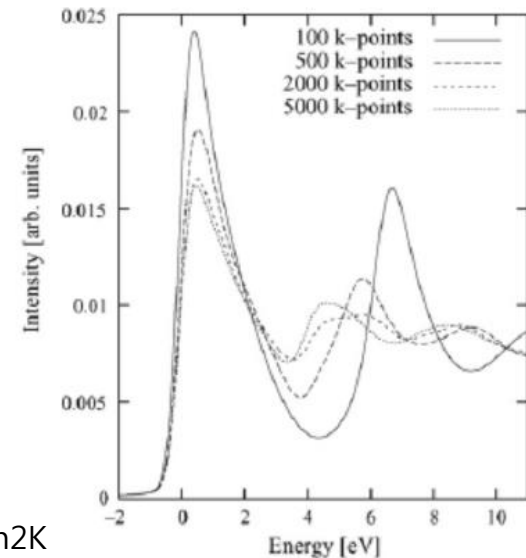
(e.g., Wien2K and QuantumEspresso):

- ✓ How to simulate the core hole?
- ✓ Preparing special pseudopotentials
- ✓ Supercell, basis set, and other numerics issues

## Our approach

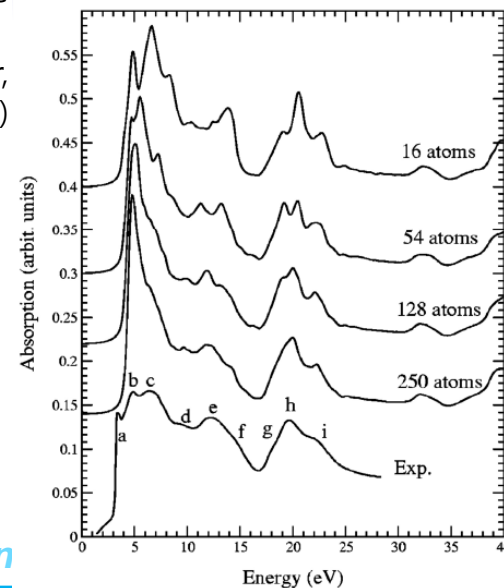
(J.-H.Sim et al, PRB 94, 115149, 2016):

- ✓ Almost zero additional cost
- ✓ Very simple implementation
- ✓ Still can make a bridge to the experiments

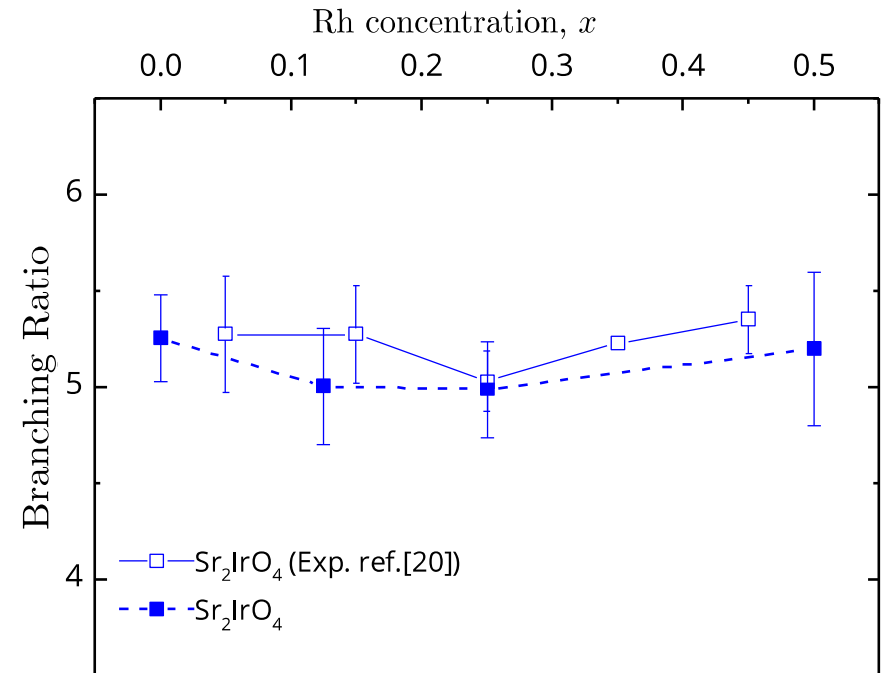
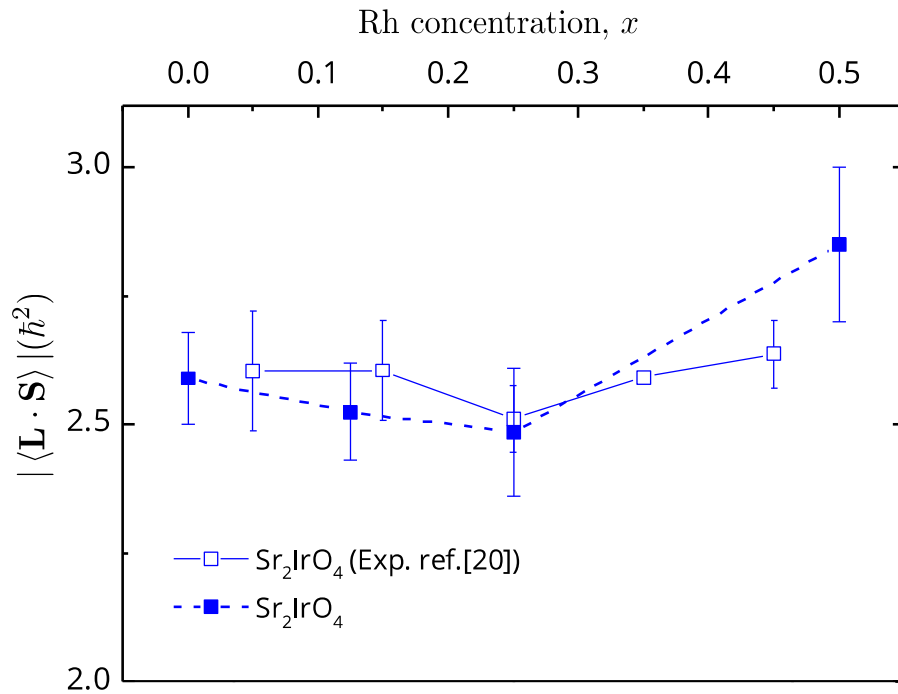


Jorissen's Wien2K  
Lecture Note

Taillefumier,  
PRB (2002)

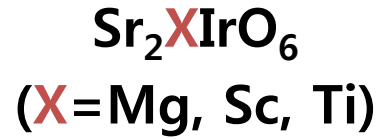
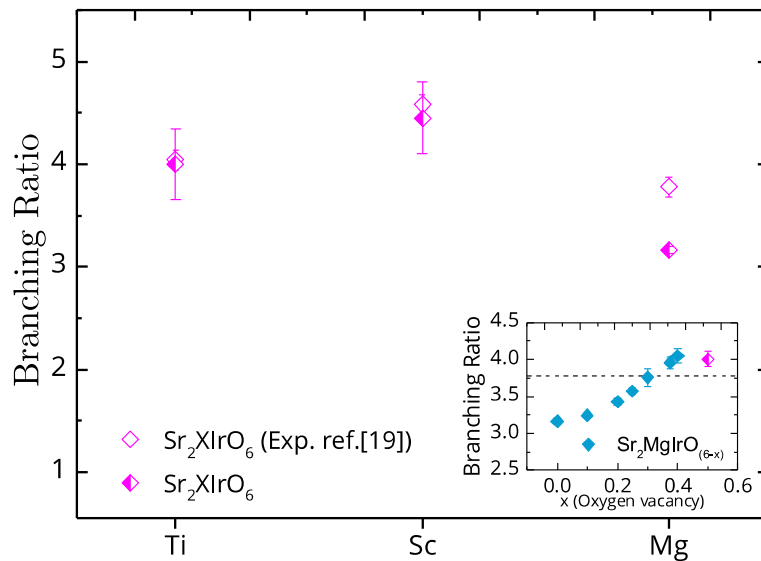
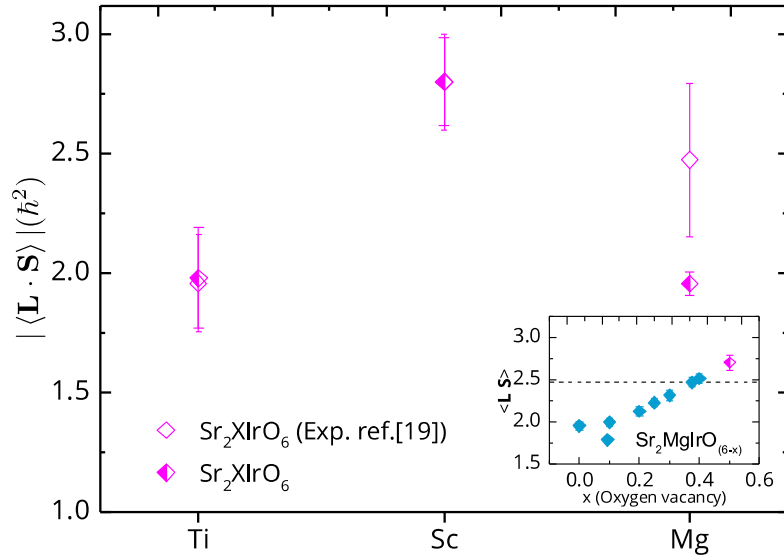


# Application (1): $\text{Sr}_2\text{Ir}_{1-x}\text{Rh}_x\text{O}_4$

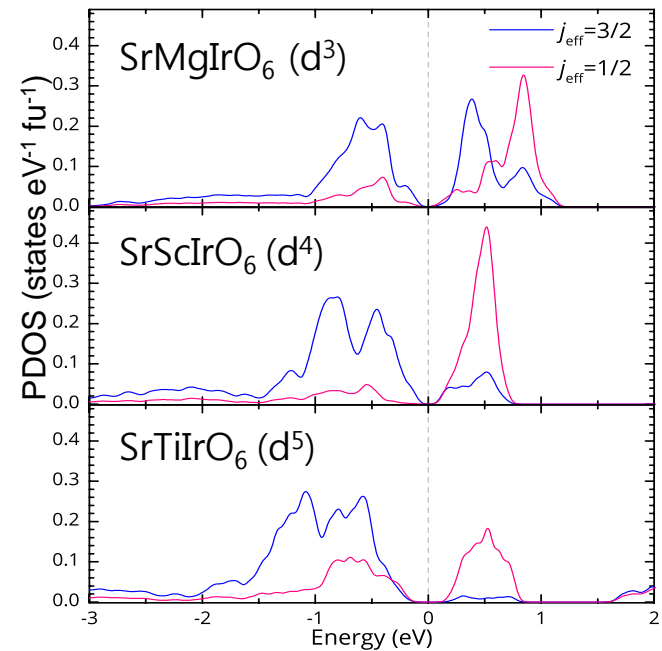
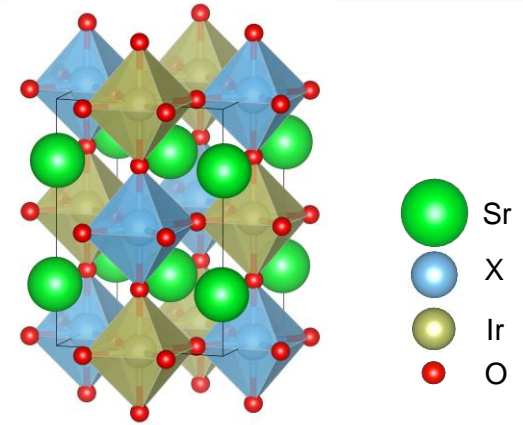


J.-H. Sim, et al., Phys. Rev. B (2016)  
Exp: Chikara, *MJH* et al. PRB(R) (2015)

# Application (2): Double Perovskites

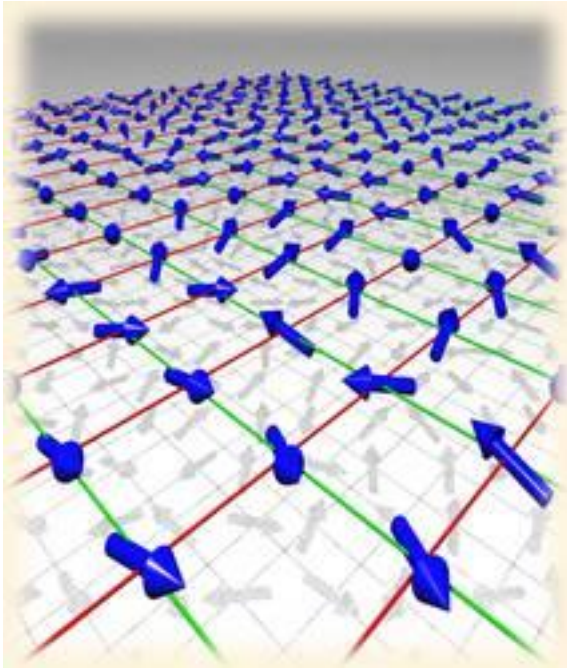


J.-H. Sim, et al.,  
PRB (2016)



Exp:  
Laguna-Marco et al.  
PRB (2015)

# Heisenberg Exchange Parameter

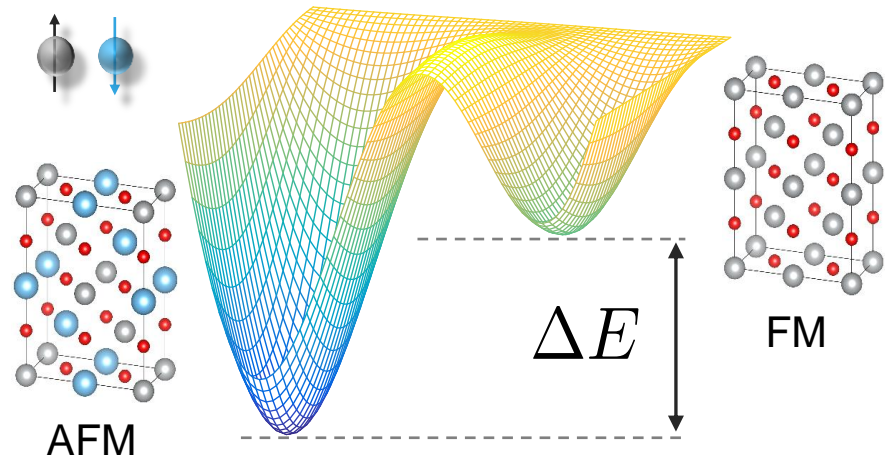


<http://www.complexity-coventry.org/research/>

In the first-principles framework, it is often estimated by multiple supercell calculations of total energies.

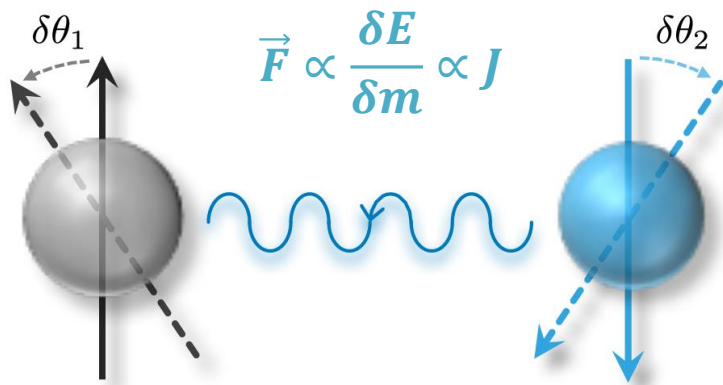
## Heisenberg Spin Hamiltonian

$$H = -\frac{1}{2} \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$$





# Magnetic Force Theory



Liechtenstein et al. JMMM (1987)

Wan et al. PRL (2006)

Szilva et al. PRL (2013)

Pi et al. PRL (2014)

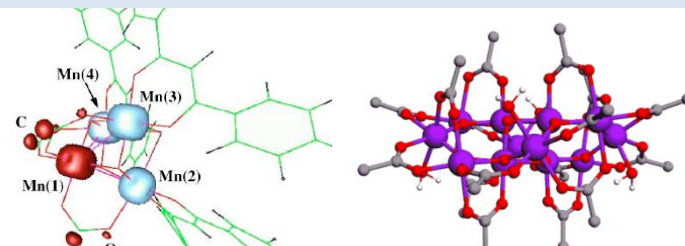
Kvashnin et al. PRL (2016)

## Magnetic Force Theory in OpenMX : MJH, T. Ozaki, and J. Yu, PRB (2004)

### APPENDIX: A FORMALISM FOR THE CALCULATION OF EFFECTIVE EXCHANGE-COUPLING PARAMETERS

We present a method for the calculations of effective exchange-coupling parameters by employing the nonorthogonal LCAO basis which was used for the results presented in Sec. V. Applying the rigid spin approximation (RSA) in the noncollinear magnetic perturbations<sup>45–48</sup> for the calculated DFT ground state, we can obtain the following expression for the exchange interaction  $J_{ij}$  between two different sites  $i$  and  $j$ :

$$J_{ij} = \frac{1}{2\pi} \int^{\varepsilon_F} d\varepsilon \text{Tr}[\hat{G}_{ij}^\dagger \hat{V}_j \hat{G}_{ji}^\dagger \hat{V}_i], \quad (\text{A1})$$



		$J_{12}$	$J_{13}$	$J_{23}$
$\text{Mn}_{12}(N=84)$	LSDA	-58.5	-95.2	-207.8
$\text{Mn}_{12}(N=100)$	LSDA	-84.8	-83.1	-71.0
$\text{Mn}_{12}(N=148)$	LSDA	-168.9	-91.7	-58.9
$\text{Mn}_{12}(N=148)$	LSDA( $U$ ) <sup>a</sup>	-70.9	-27.4	-22.0
Zeng <i>et al.</i> <sup>b</sup>	LSDA	-94.3	-50.1	-70.8
Boukhvalov <i>et al.</i> <sup>c</sup>	LDA+ $U$	-36.8	-32.7	-3.4

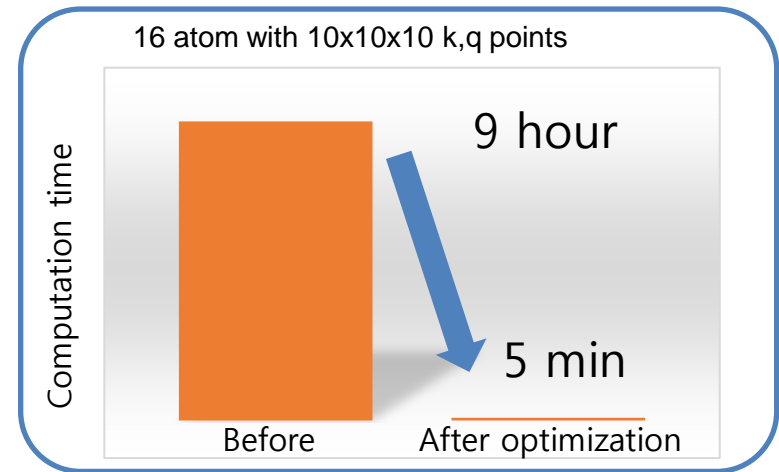
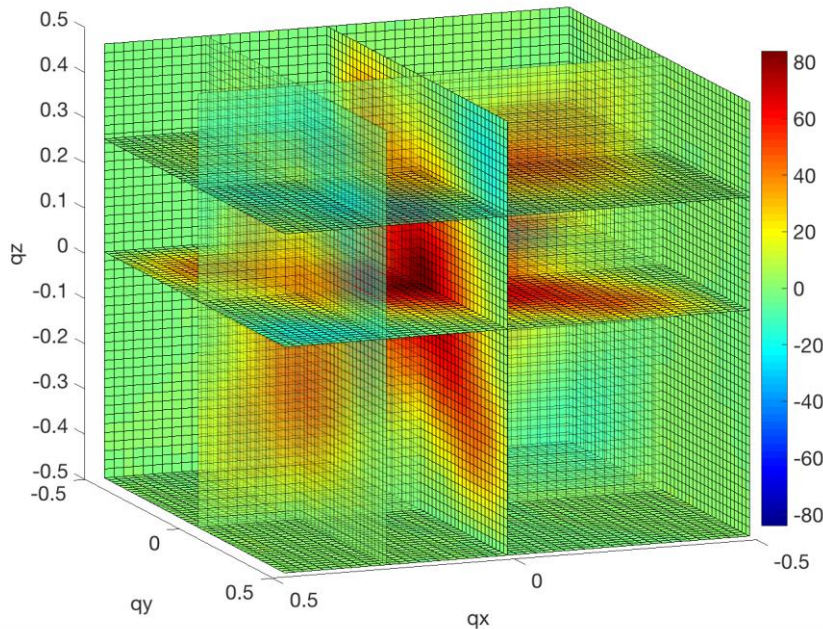
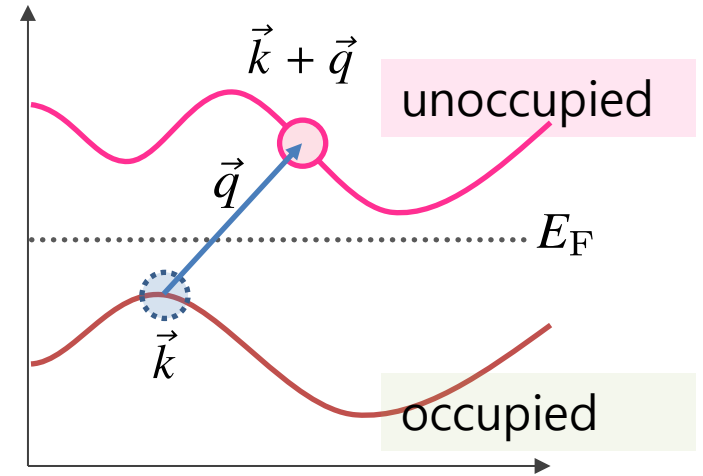


# Extension to Periodic Systems

$$J_{ij}(\vec{R}) = \sum_q J_{ij}(\vec{q}) e^{-i\vec{R}\vec{q}}$$

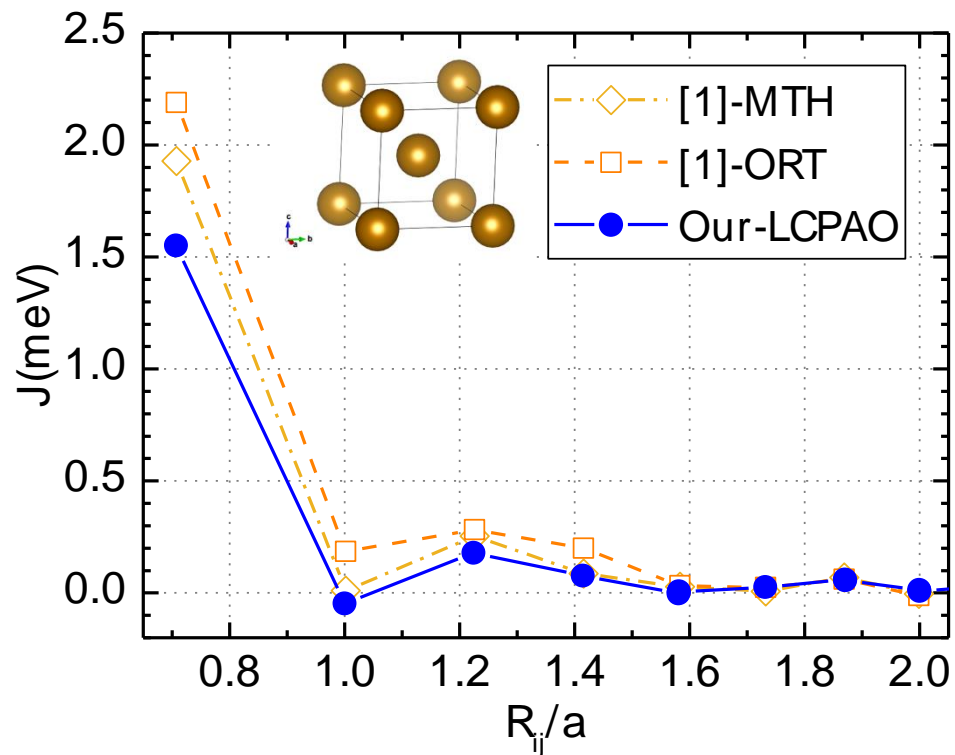
$$J_{ij}(\vec{q}) = \sum_{n,n'} \sum_k \frac{f_{n,k\uparrow} - f_{n',k+q\downarrow}}{\epsilon_{n,k\downarrow} - \epsilon_{n',k+q\downarrow} - i\eta} M(n, n')$$

$$M(n, n') = \text{Tr}[\tilde{A}^{n\uparrow} V_i \tilde{A}^{n'\downarrow} V_j]$$

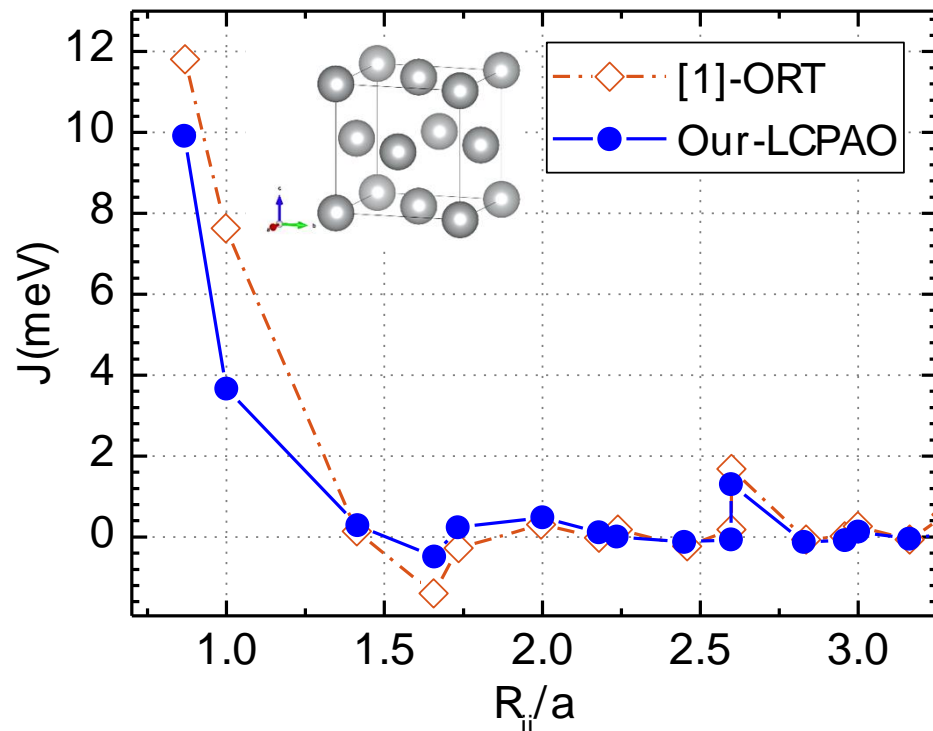


# Application to Transition Metals

Hongki Yoon et al. (in preparation)



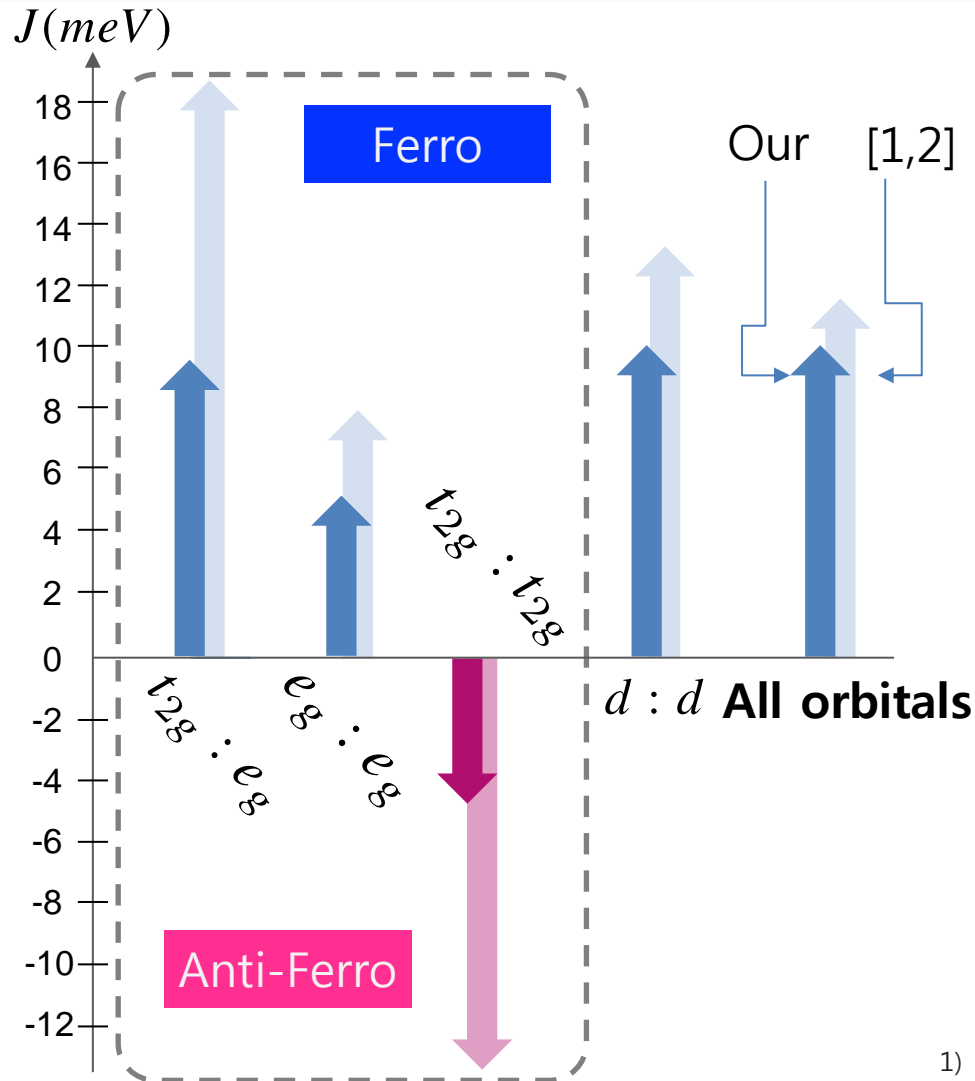
Fcc Ni



Bcc Fe

Ref 1: Kvashnin et al. Phys. Rev. B **91**, 125133 (2015)

# Orbital Decomposition (bcc Fe)



Hongki Yoon et al. (in preparation)

$J$  (meV)

Orbitals	Our	Other
eg-t2g	9.8	19.1
eg-eg	5.3	8.1
t2g-t2g	-4.8	-13.6
d-d	10.3	13.6
All	10.3	11.9

Our result :  $J_{d-d} = J_{tot}$   
 Ref 1,2 :  $J_{d-d} \approx J_{tot}$

- 1) Kvashnin, Grånäs, Di Marco, Katsnelson, Lichtenstein, Eriksson, PRB (2015)
- 2) Kvashnin, Cardias, Szilva, Di Marco, Katsnelson, Lichtenstein, Nordström, Klautau, Eriksson PRL (2016)

# Summary

- ✓ We suggest a simple technique to **directly calculate branching ratio through spin-orbit coupling**. The calculation results of a series of iridate compounds are in good agreement with experiments.
- ✓ We **extended our magnetic force formalism** to the periodic bulk case and to have the **orbital resolution**. The result of Fe and NiO shows the good agreement with the previous calculations by FP-LMTO method. Combined with PP-LCPAO, this method can be a promising tool to study the large-scale magnetic systems.