# 1 Evolution of life cycles and reproductive traits: insights from the brown algae

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#### 13 Abstract

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14 Brown algae are characterized by a remarkable diversity of life cycles, sexual systems, and reproductive modes, and these traits seem to be very labile across the whole group. This 15 diversity makes them ideal models to test existing theories on the evolution of alternation 16 between generations, and to examine correlations between life cycle and reproductive life 17 18 history traits. In this study, we investigate the dynamics of trait evolution for four life-history 19 traits: life cycle, sexual system, level of gamete dimorphism and gamete parthenogenetic 20 capacity. We assign states to up to 70 species in a multi-gene phylogeny of brown algae, and 21 use maximum likelihood and Bayesian analyses of correlated evolution, taking phylogeny into 22 account, to test for correlations between life history traits and sexual systems, and to 23 investigate the sequence of trait acquisition. Our analyses are consistent with the prediction that diploid growth may evolve because it allows the complementation of deleterious 24 25 mutations, and that haploid sex determination is ancestral in relation to diploid sex 26 determination. However, the idea that increased zygotic and diploid growth is associated with 27 increased sexual dimorphism is not supported by our analysis. Finally, it appears that in the brown algae isogamous species evolved from anisogamous ancestors. 28

# 29 INTRODUCTION

The life cycle of an organism is one of its most fundamental features and influences the evolution of a variety of traits, including mode of reproduction, developmental processes, mode of dispersal, adaptation to local environment and ecological success. A wide variety of different life cycles are found within eukaryotes, and one of the great challenges of evolutionary biology is to understand how this diversity has evolved, and how each type of life cycle is stably maintained on an evolutionary timescale (Valero et al. 1992; Mable and Otto
1998; Otto and Gerstein 2008; Cock et al. 2014).

37 The sexual life cycle of eukaryotes involves the fusion of two gametes to form a zygote, 38 followed by meiosis. Such life cycles can be divided into three main types (Bell 1982; Valero et al. 1992; Coelho et al. 2007; Otto and Gerstein 2008). In organisms with haplontic cycles, 39 40 mitosis only occurs during the haploid phase of the life cycle, and syngamy is followed 41 immediately by zygotic meiosis, without any mitotic division of the zygote. In diplontic life 42 cycles, mitosis occurs exclusively in the diploid phase, and meiosis takes place immediately 43 before gamete formation. Between these two 'extremes' lie diplohaplontic (or haploid-44 diploid) life cycles, in which mitosis occurs both during the haploid and diploid phases. Both 45 phases of the cycle may remain unicellular (e.g. budding yeast) or develop into multicellular 46 organisms during either one phase (e.g. some fungi genera like Ustilago) or during both 47 phases (brown, green, red macroalgae). In photosynthetic organisms, multicellular haploid 48 phases are usually termed gametophytes, with gametes formed in specific organs called 49 gametangia. Diploid mitosis leads to asexual reproduction in unicellular lineages (e.g. 50 diatoms) and to somatic growth and differentiation in multicellular organisms such as vascular 51 plants. In photosynthetic organisms, multicellular diploid phases are called sporophytes, and 52 haploid meiospores are produced via meiosis. Diplohaplontic life cycles may be iso- or 53 heteromorphic. For the latter, the dominant phase may be haploid (such as in mosses) or 54 diploid (such as in vascular plants and kelps). Asymmetry in terms of the length and complexity of the haploid and diploid phases can be very strong and can eventually lead to 55 56 transitions towards diplontic or haplontic life cycles.

57 The structure of an organism's life cycle also has important consequence for the evolution of 58 its sex determination system (Coelho et al. 2018). Haploid sex determination is common in 59 diplohaplontic lineages such as in brown algae, where either a single gametophyte produces gametes of both sexes (a monoicous system, Table 1), or male and female gametes are 60 produced by different haploid gametophytes (dioicous systems, e.g. in mosses and kelps). In 61 gymnosperms and angiosperms (which also have diplohaplontic life cycles), sex is determined 62 in the diploid phase (since sexual differentiation occurs when male and female reproductive 63 64 organs develop), and the organism may be co-sexual (monoecious or hermaphroditic) if a single sporophyte produces female and male gametes (eggs and sperm) or dioecious if male 65 and female gametes are produced by two different individuals. Correlations between the type 66 of sexual system and life history features such as spore size, antheridium number, ploidy level 67 68 and diversification rate are relatively well studied in angiosperms and mosses (Villarreal and Renner 2013; Goldberg et al. 2017) but studies of other eukaryotic groups are virtuallyinexistent.

71 One important feature of sexual life cycles in eukaryotes is the degree of similarity between 72 male and female gametes. This 'gamete dimorphism' is a continuous trait, and a number of 73 models have been proposed to explain how anisogamous organisms could evolve from an 74 isogamous ancestor (Charlesworth 1978; Hoekstra 1980; Randerson and Hurst 2001). The 75 evolution of anisogamy establishes the fundamental basis for maleness and femaleness, and leads to an asymmetry in resource allocation to the offspring, leading in many cases to sexual 76 77 selection (Billiard et al. 2011). Anisogamy and oogamy have arisen repeatedly across the 78 eukaryotes, and these systems are thought to be derived from simpler isogamous mating 79 systems, either due to disruptive selection generated by a trade-off between the number of offspring produced and offspring survival (e.g., Charlesworth 1978; Parker 1978; Bell 1982; 80 81 Bulmer and Parker 2002), to selection to maximize the rate of gamete encounter (e.g., Cox 82 and Sethian 1984; Hoekstra 1987; Dusenbery 2000; Togashi et al. 2012) or as a mechanism to 83 reduce cytoplasmic conflicts (e.g., Hurst & Hamilton 1992, Hutson & Law 1993, Hurst 1995).

84 Differences in gamete size in anisogamous and oogamous species may influence other 85 reproductive characteristics. In particular, gamete size may be one of the factors that 86 determines whether a gamete is capable of undergoing asexual reproduction through 87 parthenogenesis, should it fail to encounter a gamete of the opposite sex (Hoekstra 1980, 88 Billiard et al 2011). Parthenogenesis is a form of asexual reproduction, in which gametes develop in the absence of fertilisation, and is commonly found in land plants, algae and 89 90 invertebrate organisms, as well as in a number of vertebrate species (e.g. Dawley and Bogart 1989). In animals and land plants, parthenogenesis has been mostly described for females 91 92 only, but in organisms with moderate levels of gamete dimorphism such as some brown 93 algae, development from both male and female gametes in the absence of fertilisation is quite common, at least under laboratory conditions (e.g. Oppliger et al. 2007; Bothwell et al. 94 95 2010).

96 The different types of life cycles have evolved independently and repeatedly in different 97 eukaryotic groups, and this is also the case for the types of sexual systems. Testing 98 evolutionary hypotheses regarding the causes and consequences of life history trait diversity requires data from multiple species placed in a phylogenetic context. Such comparative 99 100 studies have been hampered by a lack of accessible data regarding life cycles, sexual systems 101 and sex determination mechanisms across the eukaryotic tree of life, and most specifically in groups outside animals and land plants. While knowledge has been recently growing on the 102 103 green lineage with studies extending to bryophytes and volvocine algae (Villarreal and Renner

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2013; Hanschen et al. 2018), we still lack views on other eukaryotic groups, that should helpus understand the general principles underlying the evolution of these traits.

106 The brown algae represent a fascinating group for studies of the evolution of life cycles and 107 reproductive traits (Bell 1997; Clayton 2009). Brown algae exhibit a remarkable range of life 108 cycle and sexual traits. Most brown algae have diplohaplontic life cycles, in which a haploid 109 gametophytic generation alternates with a diploid sporophytic generation (Figure 1). These 110 two generations show variable levels of dimorphism, ranging from isomorphic (with gametophytes and sporophytes of the same size and appearance) to strongly heteromorphic 111 112 (where the generations are of different size and/or appearance) with either a dominant 113 gametophytic or a dominant sporophytic generation. In two orders, the Fucales and the Tilopteridales, diplontic life cycles have evolved, with all members of the Fucales being 114 diplonts, but only a single species in the Tilopteridales. In diplonts, the gametophytic 115 116 generation is reduced to a single cell (the gamete), male and female gametes being released directly from the diploid sporophyte, either from the same (monoecy/hermaphroditism) or 117 118 from two separate thalli (dioecy). In contrast, in all other sexual brown algal species, sexuality 119 is expressed in the haploid phase (gametophyte), with male and female gametes either 120 produced on the same thallus (monoicy) or on two separate male and female gametophytes 121 (dioicy) (Silberfeld et al. 2010; Luthringer et al. 2015). Here, we will use here the term 122 'monoecy' to describe Fucales species that are co-sexual, although the term hermaphrodite is 123 also employed in the literature, because male and female structures are produced in the 124 same conceptacle.

125 In 1997, Bell used the diversity of life cycles within the brown algae to test hypotheses on the 126 evolution of life cycles; in particular, whether evolution generally proceeds towards an 127 increase of the diploid phase at the expense of the haploid phase (Clayton, 1988), and 128 whether a positive association between a prolonged haploid phase and the rate of inbreeding 129 (as predicted by theories based on the effect of deleterious alleles, Otto and Marks 1996) is 130 observed (using gametophyte monoicy as a proxy for inbreeding by assuming that gametophytic selfing may occur). However, his study was based on a phylogenetic tree 131 132 including only 14 species, and evolutionary relationships between brown algal orders were 133 poorly resolved, making it difficult to test his assumptions.

134 In this study, we have exploited a well-resolved phylogeny of 70 species of brown algae 135 (Silberfeld et al. 2010) to understand how life cycle and reproductive traits evolve across the 136 brown algae. We performed an extensive literature review to recover information for life 137 cycle and reproductive traits across the brown algae (Supplemental Dataset). We estimated 138 ancestral states for each of the traits, as well as the number of transitions between states and

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139 their relative timing, and assessed correlations between the life cycle and reproductive traits. 140 These analyses have allowed us to describe the evolution of life cycles and reproductive traits 141 across the brown algal phylogeny, and to assess a number of long standing hypotheses about 142 the evolution of life cycle and reproductive traits such as: 1) the possibility that diploid growth 143 evolved because it allows the complementation of deleterious mutations (Crow and Kimura 144 1978, Perrot et al. 1994, Jenkins and Kirkpatrick 1995, Otto & Goldstein 1992, Otto & Marks 145 1996), 2) that increased zygotic and diploid growth is associated with increased sexual 146 dimorphism (Bell, 1994), 3) that haploid sex determination is ancestral in relation to diploid 147 sex determination, 4) that anisogamous species evolved from isogamous ancestors (Parker et 148 al 1972, Bell 1978, Charlesworth 1978). We also test additional hypotheses, including the 149 possibility that gamete size influences the capacity for asexual reproduction through 150 parthenogenesis (Luthringer et al. 2015), and we discuss the macro-evolutionary dynamics of 151 transitions between sexual systems in the brown algae.

#### 152 **Results**

# 153 Ancestral state estimations and transitions between states

154 We used sequence data from 131 brown algae species to infer a phylogenetic tree (Figure 2). 155 We estimated the ancestral state of each of the four main sexual traits: type of life cycle, type 156 of sexual system, level of gamete dimorphism, and parthenogenetic capacity. Definitions of 157 the life cycle and sexual terms used in this study are provided in Table 1. Our ancestral state 158 reconstructions inferred equal rates of transition (ER model) between states for all traits, 159 except for the trait 'sexual system' where rates were different between states but 160 symmetrical (SYM model gain or loss of a trait). These patterns indicate an overall complex 161 evolutionary history for all sexual traits, involving multiple gains and losses (Figure 3, Table 2).

162 Life cycle. On the basis of ancestral state reconstructions, the ancestor of all brown algae had 163 a diplohaplontic life cycle, with either isomorphic multicellular generations or with a larger 164 and morphologically more complex diploid than haploid generation (Figure 3A, Table 2). Transitions between life cycles occurred most frequently from diploid-dominant to equally 165 166 dominant generations (i.e., involving a decrease in complexity of the sporophyte and concomitant increase in the complexity of the gametophyte; Figure 3A). A change of 167 168 dominance from a diploid-dominant to a haploid-dominant life cycle occurred for the first 169 time at least 57.5 (±5.05) My ago (Figure 3A-B). Transitions from a diploid-dominant to a fully 170 diploid life cycle occurred twice, about 74.5 (±21.41) My ago, in the ancestor of *Tilopteris* 171 mertensii (Turner) Kützing and in the ancestor of the diploid order Fucales. Note however that

*Tilopteris mertensii* is a rather particular case within Tilopteridales (Kuhlenkamp and Müller
1985) and emergence of monoecy in this species should be interpreted with caution.

Overall, our analysis indicated that the dominance relationship between life cycle generations
has been a labile trait in the brown algae, the diplontic life cycle being the only irreversible
state.

177 Sexual system. The ancestral brown alga is predicted to have exhibited haploid sex 178 determination and was most likely dioicous (separate sexes during the haploid phase) (Figure 179 3A-D, Table 2), but several independent transitions towards monoicy have occurred (Figure 180 3C-D). The transition from haploid to diploid sex determination, which involved a transition 181 from dioicy (separate sexes on different haploid gametophytes) to monoecy (both sexes on 182 the same diploid sporophyte), occurred about 74.5 My ago. This transition was simultaneous 183 with the transition from a diplohaplontic to a diplontic life cycle (Figure 3B, 3D). Separate 184 sexes during the diploid stage of the life cycle (dioecy) emerged more recently, around 17.5 185 Mya, in families of the order Fucales, with the exception of the Sargassaceae and 186 Notheiaceae, which remained monoecious. Further transitions back to monoecy occurred in several genera of the Fucaceae (Xiphophora, Pelvetia and Fucus) (Table 2, Figure 3C-D). 187

Overall, our analysis suggests that the transition to diploid sex determination is irreversible and concomitant with a change in type life cycle (from diplohaplontic to diplontic life cycle). In contrast, transitions between separate sexes and combined sexes occurred frequently, either in the haploid or in the diploid phase.

192 **Sexual dimorphism**. Regarding gamete size dimorphism, our analysis suggests that oogamy is 193 most likely the ancestral state in the brown algae (Table 2, Figure 3E-F). The oldest transition 194 took place around 114 My ago, from oogamy to isogamy in the lineage leading to the basal 195 brown algal orders Sphacelariales and Syringodermatales. Another independent transition 196 from oogamy to isogamy took place in the Ascoseirales. The Scytothamnales include both 197 isogamous and anisogamous taxa so the direction of the transition is unclear. Transitions from 198 oogamy to anisogamy are the most frequent transition. Taken together, the results indicate 199 that gamete size dimorphism level is a remarkably labile trait in the brown algae.

Parthenogenesis. The gametes of the ancestral brown algae are predicted to have been unable to perform asexual reproduction through parthenogenesis (Figure 3G-H). The initial transition from absence of parthenogenesis to female gamete parthenogenesis could not be accurately traced in time along the early diverging branch separating the subclass Fucophycideae from the earlier branching Dictyophycidae. The length of this branch renders identification of the transition during 1 My time bins impossible, as most events fall in different time periods and agreement between reconstructions is very low. The oldest traceable transition that could be timed, at around 85.5 My, and also the one with the highest frequency, was from female-only parthenogenesis to parthenogenesis of both female and male gametes. Note that parthenogenesis is the trait with the lowest sampling, as there is very limited data about this trait in the literature.

#### 211 Generation dominance and sexual system

Transitions towards the dominance of haploid phase were found to be more frequent when 212 the sexual system was monoicous (Figure 4, q21>>q43), and overall, switches in life cycle 213 214 phase dominance probabilities were higher in monoicous compared to dioecious species, 215 whatever the direction (q21 and q12 >q43 and q34; Table 4, Figure 4, Figure 51). In other 216 words, monoicous species exhibit a higher turnover in terms of generation dominance. 217 Moreover, transitions from monoicous to dioicous states were slightly more frequently 218 observed than transitions from dioicous to monoicous, regardless of life cycle phase 219 dominance (Bayes Factor of 3.51 in favour of the dependent model with q24  $\sim$  q13 > q42  $\sim$ 220 q31, Figure 4, Figure S1).

#### 221 Generation dominance and sexual dimorphism

We tested if diploid dominance is correlated with an increase in sexual dimorphism. The test of the dependent versus independent model showed that the difference in likelihood was not significant (log BF = -0.1080, Table 3), suggesting that the evolution of the traits is not correlated. Therefore, our data does not support the hypothesis that diploid growth is associated with increased sexual dimorphism.

#### 227 Gamete biology and sexual systems

Based on the idea that gamete dimorphism evolved to maximize the chances of gamete encounters, one may hypothesise that separate sexes (dioecy and dioicy) would be associated with small and abundant male gametes, as a mechanism to ensure that the gametes find a partner of the opposite sex when gametes are release into seawater. However, we found no evidence for an association between male gamete size and sexual system (sexes on same versus different individuals) (Figure S2, Table 3, r=0.0909).

When gametes are produced by two different individuals, it may be more difficult for a gamete to find a gamete of the opposite sex than if the same individual produces gametes of both sexes. Accordingly, we hypothesised that parthenogenesis would be favoured in species with separate sexes, as opposed to the situation where male and female gametes are produced by the same individual (note that auto-incompatibility has not been described in the brown algae, with the exception of one study, Gibson 1994). However, we found noevidence that parthenogenesis was more prevalent in species with separate sexes (Table 3).

241 Finally, we investigated the relationship between the size of male gametes and their 242 parthenogenetic capacity, under the hypothesis that there is a minimum threshold size for male gametes, below which parthenogenesis is not possible. The phylogenetic threshold 243 244 model indicated that there is a positive correlation between male gamete size and 245 parthenogenetic capacity (Table 3, r=0.4242), however the highest posterior density (HPD) interval of this correlation includes zero. We therefore complemented this analysis using an 246 247 Ornstein Uhlenbeck (OU) model (Hansen 1997; Butler and King 2004; Harmon et al. 2010). 248 This analysis concluded that the estimated optimal size for non-parthenogenetic male gametes is significantly lower than that of parthenogenetic male gametes (5.49 um vs 9.30 249 250 um; Figure S1, Figure 5). This analysis therefore highlights a significant association between 251 male gamete size and parthenogenetic capacity.

#### 252 **DISCUSSION**

#### 253 Diploid growth evolved to complement deleterious mutations

254 Based on the analysis carried out here, the ancestral brown alga appears to have had a 255 diplohaplontic life cycle with similar diploid and haploid dominance (i.e., similar size and 256 complexity of the gametophyte and sporophyte generations). Over evolutionary time, the 257 diploid phase became dominant in some clades (and haploid dominance decreased) whereas 258 other clades evolved towards greater haploid dominance. Several theories have been 259 proposed to explain evolution towards either a dominant haploid or a dominant diploid phase in the life cycle (e.g., Otto & Gerstein 2008). Hypotheses based on the effect of deleterious 260 261 alleles have proposed that being diploid generally increases mean fitness due to the masking 262 of deleterious alleles (due to complementation of these alleles by non-mutant alleles), while 263 developing as a haploid allows more efficient purging of deleterious alleles because they are 264 exposed to selection (Otto & Goldstein 1992, Rescan et al 2016, Scott & Rescan 2017). The balance between these two forces determines whether evolution proceeds towards an 265 266 increase of the haploid or the diploid phase, and depends critically on the importance of sexual exchanges within populations. Indeed, under higher rates of inbreeding or asexual 267 reproduction, the benefit of purging deleterious alleles remains associated with alleles 268 269 increasing the haploid phase, therefore haploidy is favoured. In contrast, outcrossing and/or 270 more frequent sex tend to favour diploidy (Otto & Marks 1996). Very few estimates of 271 inbreeding coefficients or rates of asexual reproduction are available for brown algae but this 272 idea was tested by Bell (1997) by looking at the correlation between the sexual system of a

273 species (monoicous or dioicous) and the relative dominance (i.e., size) of the haploid and 274 diploid phases of the life cycle, assuming that monoicous species will tend to be more inbred 275 due to selfing. At the time, Bell concluded that monoicous species did not tend to have more 276 dominant haploid phases (Bell 1997). In contrast to Bell's analysis, which was based on a small 277 number of brown algal species, our results do appear to support Otto and Marks' ideas, at 278 least to some extent, because transitions towards dominance of the haploid phase were 279 found to be more frequent when the sexual system was monoicous, consistent with the idea that monoicy is correlated with haploid growth. Estimates of inbreeding coefficients within 280 281 natural populations of monoicous species would be extremely valuable to shed further light 282 into these phenomena.

Somatic mutations have been proposed as another possible source of selection for diploidy, as these mutations should have a lower impact on the fitness of diploid organisms (e.g., Otto & Gerstein 2008). This idea is consistent with the general observation that larger organisms tend to be diploid rather than haploid. Indeed, this pattern holds true for the brown algae, since all the largest brown algae (e.g., Laminariaceae, Sargassaceae, Fucaceae) have a dominant diploid phase.

Bell (1997) has also proposed that the different biology of spores and gametes should select for larger sporophytes (allowing efficient dispersal of spores) and smaller gametophytes (so that gametes are released close to the substratum, in order to facilitate gamete encounters). While this type of constraint may explain why many brown algal species have a smaller gametophyte, it does not explain the evolution towards larger gametophytes that occurred in some clades, nor the transitions towards diploid cycles in which gametes are released by large diploid individuals.

# 296 Diploid growth is associated with increased gamete dimorphism

297 The theory for the evolution of gamete dimorphism based on the trade-off between gamete 298 number and offspring fitness predicts that dimorphism may evolve when zygote size has a 299 strong effect on fitness (i.e. when offspring fitness increases more than linearly in relation to 300 zygote size). Accordingly, one may predict that if a larger zygote size is needed for larger 301 diploid development, increased diploid growth would favour higher levels of gamete 302 dimorphism (Parker et al. 1972). Bell (1994) proposed an alternative theory that also predicts 303 a correlation between diploid growth and gamete dimorphism, in which the direction of 304 causality is reversed, i.e., sexual selection caused by gamete dimorphism favouring diplontic 305 cycles in order to increase genetic differences between gametes produced by the same 306 organism. Although our results show that diplontic brown algal species are exclusively 307 oogamous, suggesting a link between strong sexual dimorphism and diploidy, those
308 associations may not be relevant because they are based on only one group, the Fucales.
309 Therefore, overall, our analyses have not allowed to validate the idea that diploid growth is
310 associated with increased sexual dimorphism.

#### 311 Evolution of sexual systems in the brown algae

312 The ancestral sexual system of brown algae corresponds to haploid sex determination and 313 dioicy, with several transitions towards monoicy having occurred independently over 314 evolutionary time. Transition towards a diplontic life cycle in the Fucales involved a 315 monoecious/hermaphrodite intermediate state, with subsequent independent re-emergence 316 of dioecy in some lineages. It is interesting to note that transitions from separate sexes to co-317 sexuality are relatively frequent in haploid sexual systems, which contrasts to what is the 318 most commonly accepted direction of evolution in clades with diploid sex determination (i.e., 319 monoecy to dioecy). Note, however, that although dioecy was considered to be an 320 evolutionary dead end in angiosperms, more recent phylogenetic analysis are challenging this 321 conclusion, and the idea that reversals to monoecy in angiosperms may be more frequent 322 than thought before is increasingly becoming accepted (Kafer et al. 2017; Pannell 2017).

323 In diploid sexual systems, two main selective effects have been proposed to explain 324 transitions from co-sexuality to dioecy: inbreeding depression (selfing is less likely to occur 325 when male and female gametes are produced by separate individuals) and the effect of tradeoffs between male and female fitness (Charlesworth & Charlesworth 1978, Charnov 1982). In 326 327 haploid sexual systems, the opposite transition (from separate sexes towards co-sexuality) 328 could also in principle be caused by a change in the shape of the trade-off between male and 329 female reproductive success (leading to a higher fitness of gametophytes producing both 330 types of gametes) or by selection for inbreeding (selfing), either through the automatic transmission advantage associated with selfing (Fisher 1941) or for reproductive assurance 331 332 when population density is low. Note, however, that parthenogenesis occurs in all monoicous 333 species, and this process may represent an alternative way of dealing with mate limitation 334 and reproductive assurance. Assuming that selfing occurs following transitions to monoicy, 335 such transitions should occur more easily when inbreeding depression is low. One would 336 therefore expect more transitions to monoicy in taxa with a prolonged haploid phase (assuming that at least a proportion of the deleterious alleles affecting the fitness of diploids 337 338 will be purged during the haploid phase of the life cycle), but this is not what we observe here (q31<q42, Fig. 4). Examining the proximate mechanisms involved in the transitions between 339 separate sexes and co-sexuality in both haploid and diploid systems and more natural 340 341 population data, for example in populations with different densities, would be valuable to 342 shed light on the mechanisms and evolutionary forces driving the shifts among sexual systems

in the brown algae.

#### 344 Anisogamy is ancestral in the brown algae

345 In agreement with the conclusion obtained by Silberfeld et al (2010), our analysis points 346 towards an oogamous ancestor of brown algae, with several independent transitions towards 347 anisogamous and isogamous clades. This stands in contrasts with theoretical scenarios representing the evolution of gamete dimorphism from an isogamous ancestor (e.g., 348 349 Sanderson & Hurst 2001, Parker et al 1972; Charlesworth 1978, Lehtonen and Koko 2011; 350 Lehtonen et al 2016), in which isogamy is ancestral and anisogamy represents an intermediate step during the process of increased gametic differentiation. To our knowledge, 351 352 the possible selective forces favouring reduced gamete dimorphism have not been explored 353 by theoretical models. Theories based on disruptive selection caused by a trade-off between 354 the number of gametes produced and zygote size (e.g., Parker et al 1972, Bulmer & Parker 355 2002) have shown that the shape of the relation between zygote size and fitness is critical for 356 the evolution of gamete dimorphism. It would be interesting to explore whether a change in 357 the relation between zygote size and fitness (for example, due to a decrease in size of the 358 diploid organism) may favour transitions from oogamy to anisogamy or isogamy. This type of 359 evolutionary mechanism may generate a positive correlation between the degree of gamete 360 dimorphism and the relative importance of the diploid phase, leading to an inversion of the 361 causal relationship in Bell's (1994, 1997) hypothesis mentioned above, i.e., decrease in the 362 size of the diploid organism would drive a decrease in gamete dimorphism.

Lehtonen and Kokko showed that evolution of anisogamy requires some level of gametic competition and limitation (Lehtonen and Kokko 2011). Therefore, it is likely that in specific conditions the system may return to isogamy or near isogamy, for instance if there is a low level of gamete competition or if there is no gamete limitation.

#### 367 Evolution of gamete size and parthenogenetic capacity

There are marked differences between the relative parthenogenetic capacities of male and female gametes in isogamous, anisogamous and oogamous brown algal species (Luthringer et al. 2015). Overall, both male and female gametes of isogamous species are capable of parthenogenesis, whereas only the female gametes of anisogamous species are parthenogenetic. In many oogamous species neither the male nor the female gametes undergo parthenogenesis. It has been suggested that increased gamete size leads to increased parthenogenetic capacity, up to a point, but that in oogamous species, the large female gamete loses its flagella becoming specialised for zygote production and is no longercapable of initiating parthenogenetic development (Luthringer et al. 2015).

377 The gametes of the ancestral brown alga seem to have been unable to perform asexual 378 reproduction through parthenogenesis, suggesting that the emergence of gamete 379 parthenogenetic capacity was a derived, and perhaps adaptive trait for instance in marginal 380 populations or other situations where mates are limited (Stalker 1956; Bierzychudek 1987; 381 Oppliger et al. 2014). Data from field populations of a range of species would be needed to 382 further understand whether parthenogenesis is adaptive. It is noteworthy that 383 parthenogenetic capacity is assessed under laboratory conditions, and that the contribution 384 of parthenogenesis to recruitment in natural populations would be worth exploring further (Oppliger et al. 2007, 2014). Note that a recent study in field populations of the Ectocarpus 385 showed no evidence that parthenogenesis plays a significant role under field conditions 386 387 (Couceiro et al. 2015). In contrast, studies in field populations of another brown algal species, S. lomentaria suggested that parthenogenesis is prevalent in field populations (Hoshino et al. 388 389 2018). Interestingly, female-only parthenogenetic populations have larger gamete sizes 390 relative to 'sexual' populations of the same species, consistent with a link between gamete 391 size and parthenogenetic capacity, and opening the possibility that parthenogenesis may be 392 an adaptive trait.

#### 393 Methods

#### 394 Molecular data

Alignments were based on the data published by Silberfeld and colleagues (Silberfeld et al. 395 396 2010) that included five mitochondrial genes (atp9: mitochondrial ATP synthase subunit 9 397 gene, cox1 and cox4: Cytochrome c oxidase subunit 1 and 3 genes, nad1 and nad4: NADH dehydrogenase subunit 1 and 4), four plastid genes (rbcL: large subunit of plastid encoded 398 ribulose-1,5-biphosphate carboxylase oxygenase gene, *psaA*: photosystem I P700 chlorophyll 399 400 a apoprotein A1 gene, *psbA*: photosystem II protein D1 gene, and *atpB*: ATP synthase subunit 401 b gene), and a nuclear gene (LSU: large subunit of 28S rRNA gene). Our final tree contained 402 131 species. To attribute trait states to the species in this tree we replaced some entities, 403 depending on the availability of life-history information (i.e., kept the sequence data used to 404 build the tree but used the data on life-history from another close relative) and added 405 sequences from Genebank for species of the genera Padina, Sargassum, Alaria and Ectocarpus (Table S1). No information was available about the life histories of the closest 406 407 relatives of the Phaeophyceae, e.g. Phaeothamniophyceae, so we used Vaucheria, a 408 siphonous genus in the heterokont class Xanthophyceae for which life cycle and reproductive trait information are available, as an outgroup. The final species list used for the trait analysis,

410 for which we had life cycle and reproductive trait information, was comprised of 77 species,

411 including the outgroup.

# 412 Phylogenetic reconstruction

413 All sequences were aligned using MAFFT (Katoh et al. 2009), and the best substitution model 414 was estimated using the phymltest function in the *ape* R package (Paradis et al. 2004). The concatenated alignment was used for Bayesian Inference with Beast v1.8.2 (Drummond et al. 415 416 2012) with three different gene partitions, for nuclear, plastid and mitochondrial genes. Each 417 partition was unlinked for the substitution model. We used birth-death with incomplete 418 sampling as tree prior, and four calibration nodes as described in (Silberfeld et al. 2010) (see 419 nodes A to D, Figure 2). We used log-normal priors for two of the calibrations: Padina-like 420 clade A, lognormal distribution (mean 5 Ma, sd 1, and lower boundary at 99.6 Ma); 421 Nereocystis-Pelagophycus clade B: lognormal distribution (mean 20 Ma, sd 1, and lower 422 boundary at 13 Ma), and normal priors for the root (Phaeophyceae root age D: normal 423 distribution (u=155, sd=30 Ma), and the Sargassaceae node C to a normal distribution (u=60, 424 sd=15, with lower boundary 13 Ma). Finally, the MCMC was set to 50 million generations with 425 a sampling every 1,000. The posterior distribution was summarized using Treeannotator 426 v1.7.0 (Drummond et al. 2012) to obtain a Common Ancestor Tree (Heled and Bouckaert 427 2013). For the macroevolutionary analyses (see below), a set of 100 trees were sampled from 428 the posterior distribution.

# 429 *Life history traits*

430 Four life-history traits were recorded based on a literature review: life cycle type (haploid >431 diploid; haploid = diploid; haploid < diploid; diplont), sexual system (monoicous; dioicous; 432 monoecious; dioecious), gamete dimorphism (isogamous; anisogamous; oogamous), and the 433 occurrence of gamete parthenogenesis (no parthenogenesis; parthenogenesis in female 434 gametes only; parthenogenesis in both male and female gametes). The traits were coded as 435 discrete multi-state characters. A full explanation of each state is given in Table S2. We 436 separated the respective traits into seven additional characters. For example, we transferred 437 'gamete size' (iso-, aniso-, oogamous) into a continuous male gamete size trait. We 438 furthermore recoded multi-state traits into binary data for the correlation tests (see below), 439 such as the 'gamete dimorphism', which was recorded by separating the absence (0 =440 oogamy) from presence (1 = iso- or anisogamy) of female flagellated gametes. We 441 categorized an additional sexual system trait as 'sexes occurring on the same thallus' (0 = 442 monoicous or monoecious) or 'separate thalli' (1 = dioicous or dioecious). The life cycle was

simplified to the occurrence of a 'dominant haploid phase' (0 = haploid  $\geq$  diploid) versus dominance of the diploid phase (1 = haploid < diploid or diplontic), with dominance broadly meaning size of the adult individual. Finally, the occurrence of parthenogenesis was separated into two additional traits, absence (0) or presence (1) of male parthenogenesis, and absence of parthenogenesis (0) versus parthenogenesis occurring in at least one of the sexes (1), most commonly the female.

449 For simplicity, we coded as "isogamous" algae with physiological and behavioural anisogamy 450 but that have been described as having no size difference between male and female gametes. 451 Note that all brown algae exhibit an asymmetry between male and female, at least at the 452 level of their behaviour, and potentially all the algae scored as isogamous have in fact subtle 453 size differences but the literature is not detailed enough in this respect. For example, most 454 representatives of the order Ectocarpales have been reported to be 'isogamous' (based on 455 observations under the microscope, but without detailed measurements of gamete size), but 456 some members (*Ectocarpus* sp., *Colpomenia peregrina* Sauvageau) as well as the last species 457 branching off before the Ectocarpales, Asterocladon interjectum, have anisogamous male and 458 female gametes.

The sister group to the Ectocarpales, the order Laminariales, is almost completely oogamous, with the exception of the genus *Saccharina*, which has been shown to have eggs with rudimentary flagella (Motomura and Sakai 2008) being therefore considered strongly anisogamous.

#### 463 Ancestral state reconstructions

464 A likelihood-based method was used to reconstruct the ancestral state of each of the four 465 life-history traits. We fitted three different models of trait evolution using the function 466 fitDiscrete from the R package Geiger (Harmon et al. 2008). These models differed in the 467 number of transition rates as follows: equal rates (ER, a single transition rates between all 468 states), symmetric (SYM, forward and reverse transitions are the same), and all-rates-different 469 (ARD, each rate is a unique parameter). The corrected Akaike Information Criterion (AICc) was 470 used to compare the alternative models. Each model was estimated on each 100 471 phylogenetic trees sampled from the posterior distribution to account for uncertainty in tree 472 topology and divergence times. State probabilities at the root and transition rates were 473 summarized with the mean and standard deviation values of all iterations, to incorporate 474 phylogenetic uncertainty.

We inferred the number of transitions between states, and their minimum timing, using stochastic character mapping (Huelsenbeck et al. 2003). One hundred stochastic mappings 477 were performed on the posterior sample of trees, and on each we divided branch lengths into

- time bins of 1 Myr and recorded the number of transitions from and to each state, in each bin
- 479 (as described in (Serrano-Serrano et al. 2017). We reported the mean and standard deviation,
- 480 and the time bin at which 60% of the stochastic mappings had at least one transition event as
- 481 the onset time for each type of transition.

# 482 *Correlation analyses*

We first assessed correlation between life history traits using a reversible-jump MCMC 483 484 algorithm to test the correlation between two binary traits as implemented in *BayesTraits* V3 (Pagel et al. 2004). This approach compared two models, a null model assuming that the traits 485 486 had evolved independently, and an alternative model assuming that their evolution had been 487 correlated. Each model was run for 10 million generations using the values found in the 488 ancestral state reconstructions for the root state. The two models were compared through 489 their log marginal likelihood by estimating the log Bayes factor. This approach was used to 490 test the correlation between female parthenogenesis and the occurrence of sexes on the 491 same versus separate thalli. Tests showing a significant support for the correlated model were 492 presented as networks of evolutionary transitions using the R package qgraph (Epskamp et al. 493 2012). Second, we used the implementation of the threshold model, threshBayes in the R 494 package phytools (Revell 2014), to test for the correlation between a continuous and a 495 discrete variable. The threshold model assumes that the states of discrete phenotype are 496 governed by an unobserved continuous character called *liability*. These liabilities are assumed 497 to evolve according to a Brownian motion model (Felsenstein 2012) and translate into 498 discrete characters once they have passed certain thresholds. We used this model to test the 499 correlation between male gamete size and two discrete traits, male parthenogenesis and 500 sexes on the same or on separate thalli. For correlation analyses that were significant, we 501 fitted an Ornstein–Uhlenbeck model of evolution to further test whether the continuous trait 502 had two discrete selective regimes, determined by the discrete binary trait. We used the 503 OUwie from the R package Ouwie (Beaulieu et al. 2012), and compared the alternative 504 models using the corrected Akaike Information Criterion (AICc)-selected model.

# 505 **FIGURES**

506 **Figure 1**. Schematic illustration of sexual life cycles of representative brown algae. (A) 507 *Scytosiphon lomentaria* (Lyngbye) Link: diplohaplontic, heteromorphic life cycle, haploid 508 dominant; near-isogametes (B) *Ectocarpus* sp. (Dillwyn) Lyngbye: diplohaplontic, isomorphic 509 life cycle, with similar dominance in haploid and diploid phases; near-isogametes; (C) 510 *Saccorhiza polyschides* (Lightfoot) Batters: diplohaplontic, heteromorphic life cycle, with 511 diploid dominance (D>>H); oogamous; (D) *Fucus serratus* L.: diplontic life cycle, only diploid
512 phase; oogamous. H=haploid phase; D=diploid phase.

- 513 **Figure 2**. Phylogenetic tree using Bayesian analyses in BEAST. Node numbers indicate the 514 posterior Bayesian support, node bars represent the 95% HPD (Highest Posterior Density) for 515 the divergence times.
- 516 Figure 3. Maximum likelihood ancestral state reconstructions for the four-brown algal life-517 history traits. Pie charts and colours at each node represent the probabilities for each state. Colours at the tips represent the species states (A, C, E, F). Estimated number of transitions 518 519 through time for the corresponding four life-history traits (B, D, F, H). Coloured densities 520 identify the mean number of events for each possible transition. Vertical lines and numbers 521 denote the minimum age as the point in time where at least one transition is recorded in 60% 522 of the reconstructions. A and B) Male and female gamete size; C and D) Sexual system, E and 523 F) Type of life cycle, G and H) Parthenogenetic capacity.
- Figure 4. Models of the correlation between traits in brown algae. Binary states are described at the bottom of each panel. Transition rates follow the nomenclature in BayesTraits software (http://www.evolution.rdg.ac.uk/BayesTraitsV3.0.1/BayesTraitsV3.0.1.html). Square boxes indicate the most likely ancestral state (see ancestral state estimations in Methods), sizes of squares/circles represent the abundance of each state in the set of sampled species, and arrow thickness is proportional to the rate of transition between states (see Table 2).
- Figure 5. Phenogram for male gamete size and parameter estimation using OrnsteinUhlenbeck (OU) model. Colours denote the absence (θ0 in orange) and presence (θ1 in
  purple) of parthenogenesis of male gametes.

# 533 TABLES

- Table 1. Description of the traits studied, categories and discrete states. Note that some ofthe discrete traits were also treated as continuous traits (male gamete size for instance).
- 536 **Table 2**. Analysis of the ancestral states for each studied trait.
- 537 **Table 3**. Analysis of correlations between traits.
- 538 **Table 4**. Estimated rates of correlated transitions

# 539 SUPPLEMENTAL FIGURES

540 **Figure S1.** Phylogenetic tree pruned to the 43 species with sexual system and life cycle 541 dominance traits recorded. Coloured squares at the tips represent the species state for sexual

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system (left column) and phase dominance (right column). Colour codes for each binary trait
are explained in the top left legend. Ancestral node states are not depicted, see Figure 3 for
full ancestral state reconstruction.

Figure S2. Evolutionary correlation between continuous and discrete traits using the threshold model. A, Correlation between the size of male gametes and presence of sexes on same/different individual (unisexual versus co-sexuals). B, Correlation between the size of male gametes and male parthenogenesis. Blue histograms (left panel) denotes the posterior density for the correlation between traits. Posterior liabilities, or unobserved continuous traits, for each discrete trait (right panel). HPD: highest posterior density.

#### 551 SUPPLEMENTAL TABLES

Table S1: Accession number for the sequences of the species that were not included in(Silberfeld et al. 2010).

554 **Table S2**: List of detailed life cycle and reproductive traits across the brown algal species

#### 555 SUPPLEMENTAL DATASET

556 Details of all the traits and species used in this work, including references.

# 557 **Funding**

- 558 This work was supported by the CNRS, Sorbonne Université and the ERC (grant agreement 559 638240).
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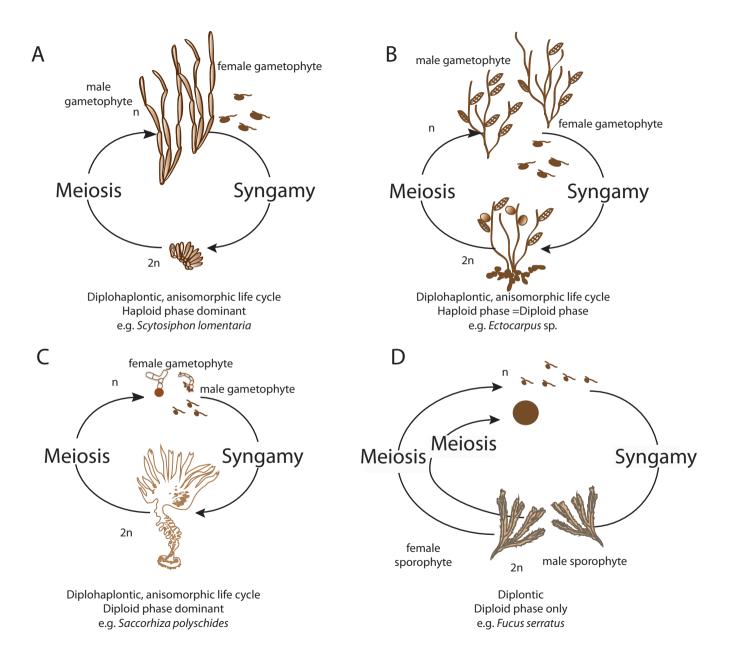
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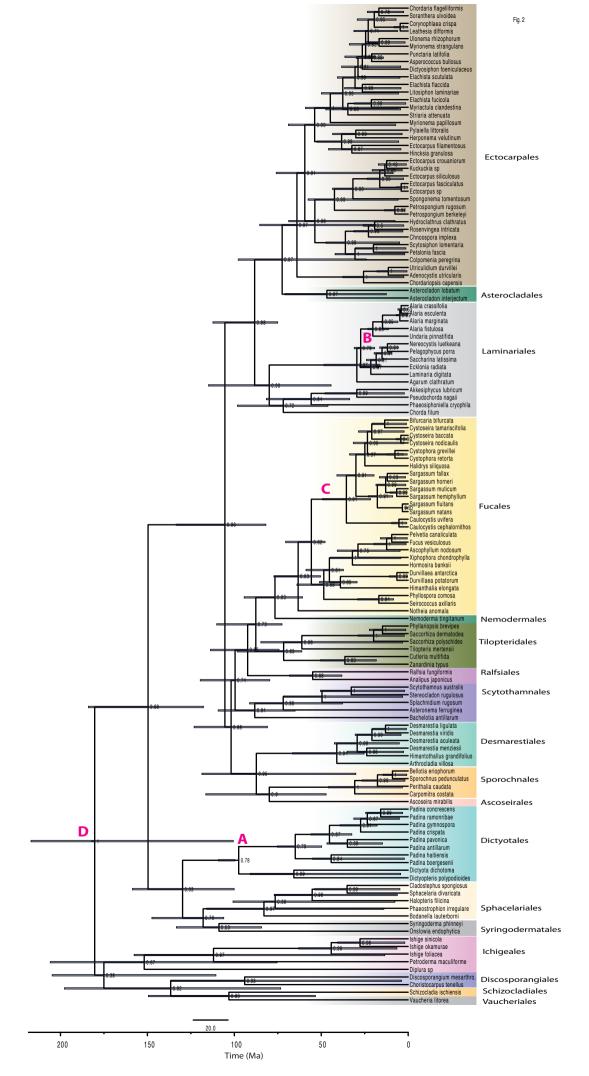
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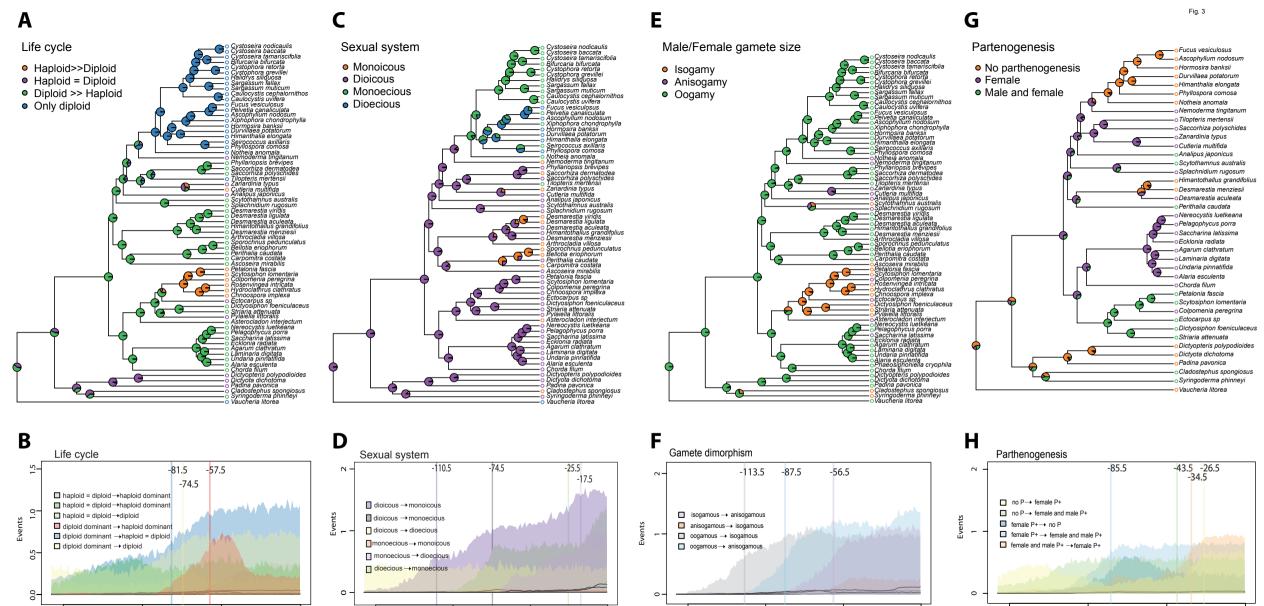
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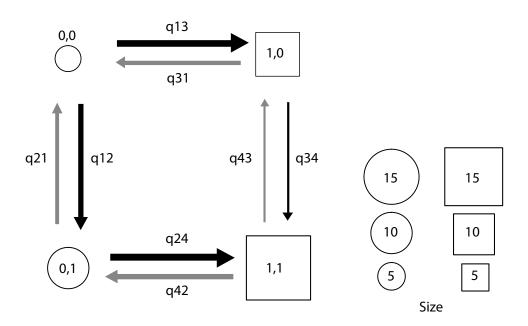
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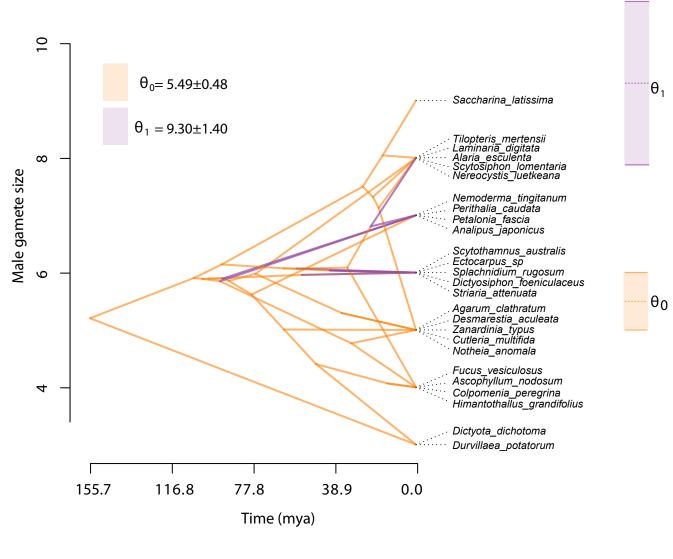
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# Correlation between sexual system and life cycle dominance



0,0: monoicous, haploid dominant

- 0,1: monoicous, diploid dominant
- 1,0: dioicous, haploid dominant
- 1,1 : dioicous, diploid dominant



**Table 1**. Description of the traits studied, categories and discrete states. Note that some of the discrete traits were also treated as continuous traits (male gamete size for instance).

	Category	States	Description
Life cycle	Haplo-diplontic	Haplo-diplontic haploid dominant <sup>1</sup>	Life cycle with both haploid and diploid mitosis, with dominant gametophyte haploid generation
		Haplo-diplontic haploid = diploid	Life cycle with both haploid and diploid mitosis, with equal dominance of gametophyte and sporophyte generations
		Haplo-diplontic diploid dominant <sup>1</sup>	Life cycle with both haploid and diploid mitosis, with dominant sporophyte (diploid) generation
	Diplontic	Diplontic	Life cycle with no haploid mitosis, the haploid phase is limited to gametes
Sexual system	Haploid sex determination	Monoicous	Haploid phase sex determination, where both gamete types are produced by the same haploid gametophyte.
		Dioicous	Haploid phase sex or mating type determination, with genetically distinct gametophytes corresponding to each sex
	Diploid sex determination	Dioecious	Diploid phase sex-determination, with genetically distinct sporophytes corresponding to each sex
		Monoecious	Diploid phase sex determination, where both male and female organs are produced by the same diploid sporophyte
Gamete size	Female gamete with flagella	lsogamous <sup>2</sup>	Male and female gametes with small size difference (but different behaviour/physiology)
		Anisogamous	Male and female gametes of clearly different size, both with flagella
	Female gamete without flagella	Oogamous	Female gamete much larger and lacking a flagellum
Parthenogenesis	No parthenogenesis	No parthenogenesis	No parthenogenesis capacity in either gamete
	Parthenogenesis	Female gametes only	Only female gametes capable of parthenogenesis
		Male and female gametes	Male and female gametes capable of parthenogenesis

<sup>1</sup>The term "dominant" is defined here as the generation that presents larger size and higher complexity in terms of morphology (number of different cell types, number of tissues and organs)

<sup>2</sup>For simplicity, we code as "isogamous" algae that have almost imperceptible size differences between male and female gametes, but note that in the brown algae there is allways an asymetry (at least in terms of physiology and behaviour) between male and female gametes.

Table 2. Analysis of the ancestral states for each studied trait.

Trait	Description	No. species	Best Model	Mean rates (SD) <sup>1</sup>	Root state (prob) <sup>2</sup>	Mean No. transitions (SD)	Min time of transition (60% recons, and SD) <sup>3</sup>
Life cycle	0: Hap>>Dip, 1: Hap=Dip, 2: Hap< <dip, 3:="" dip<="" td=""><td>68</td><td>ER</td><td>0.00135 (1.1e-4)</td><td>0: 0.00117, 1: 0.39560, 2: 0.56764, 3: 0.03559</td><td><math>1 \rightarrow 0</math>: 1 (0.6), <math>1 \rightarrow 2</math>: 1 (0.9), <math>1 \rightarrow 3</math> :1 (0.7), <math>2 \rightarrow 0</math>: 1 (0.7), <math>2 \rightarrow 1</math>: 5 (1.5), <math>2 \rightarrow 3</math>: 2 (0.8)</td><td>2→0: 57.5 My (5.05), 2→1: 81.5 My (23.55), 2→3: 74.5 My (21.41)</td></dip,>	68	ER	0.00135 (1.1e-4)	0: 0.00117, 1: 0.39560, 2: 0.56764, 3: 0.03559	$1 \rightarrow 0$ : 1 (0.6), $1 \rightarrow 2$ : 1 (0.9), $1 \rightarrow 3$ :1 (0.7), $2 \rightarrow 0$ : 1 (0.7), $2 \rightarrow 1$ : 5 (1.5), $2 \rightarrow 3$ : 2 (0.8)	2→0: 57.5 My (5.05), 2→1: 81.5 My (23.55), 2→3: 74.5 My (21.41)
Sexual system	0: monoicous, 1: dioicous, 2: monoecious, 3: dioecious	66	SYM	0>1: 0.00515 (4.7e-4), 0>2: 0.00000 (4.1e-6), 0>3: 0.00057 (5.1e-4), 1>2: 0.00102 (1.3e-4), 1>3: 0.00000 (0.000), 2>3: 0.01180 (1.2e-3)	0: 0.02361, 1: 0.96346, 2: 0.01228, 3: 0.00065	1→0: 8 (0.9), 1→2: 2 (0.4), 1→3 :1 (0.2), 2→0: 1 (0.2), 2→3: 3 (1.8), 3→2: 2 (1.3)	1->0: 110.5 My (30.02), 1- >2: 74.5 My (21.79), 2->3: 17.5 My (5.34), 3->2: 25.5 My (7.65)
Gamete size	0: isogamy, 1: anisogamy, 2: oogamy	69	ER	0.00236 (1.8e-04)	0:0.00118, 1:0.00029, 2:0.99854	$0 \rightarrow 1: 2 (0.8), 1 \rightarrow 0: 3 (0.8),$ $2 \rightarrow 0: 2 (0.8), 2 \rightarrow 1: 8 (1.1)$	0->1: 56.5 My (16.6), 2->0: 113.5 My (33.05), 2->1: 87.5 My (25.28)
Partenogenesis	0: Nobody, 1: only female, 2: female and male	40	ER	0.00288 (2.4e-4)	0: 0.59786, 1: 0.16131, 2: 0.24082	0->1: 1 (1.2), 0→2: 2 (1.4), 1→0: 2 (1.4), 1→2: 4 (1.9), 2→1: 1 (1.8)	0->1: 26.5 My (7.94), 0->2: 43.5 My (14.86), 1->2: 85.5 My (24.97), 2->1: 34.5 My (10.25)

<sup>1</sup>Rates are the mean and sd values of the optimization in a sample of 100 posterior trees.

<sup>2</sup>Probability of the root is the mean over 100 reconstructions

<sup>3</sup>Stochastic mapping analysis and time where 60% of the reconstructions that have at least 1 transition

ER: a single transition rate between all states

SYM: forward and reverse transitions are the same

ARD: each rate is a unique parameter

# Table 3. Analysis of correlations between traits.

Continuous versus discrete							
Trait 1	Trait 2	Hypothesis	No. of species	Assumptions on the root	r	HPD r	ESS
Male gamete size (continuous)	Separate sexes/co-sexuals	Separate sexes may tend to have smaller and more abundant gametes to ensure reproduction	38	NA	0.0908	-0.3670, 0.5566	525
Male gamete size (continuous)	Male parthenogenesis capacity	There is a minimum male gamete size where partenogenesis is not possible	26	NA	0.4242**	-0.0616, 0.8494	390
<i>Two discrete binary traits</i>	Trait 2	Hypothesis	No. of	Assumptions on the root	InL Indep	InL Dep	Log BF <sup>*</sup>
			species			пс рер	
Separate sexes/co-sexuals	Partenogenesis in female	Separate sexes may promote partenogenesis as a mechanism to ensure reproduction	40	1,0 = Sexes on separate thallus, no partenogenesis in female	-38.9826	-40.8219	-3.6787
Sexual system (only monoicous and dioicous taxa)	Life cycle dominance	Monoicous species are expected to have haploid dominant phase	43	1,0 and 1,1 = Dioicous, but for the life cycle dominance both states could be the root.	-50.7461	-48.9906	3.5110
Level of gamy (isogamous versus anisogamous)	Life cycle dominance	Anisogamous species are expected to have dominant diploid stages	68	1,1=anisogamy, diploid dominant	-50.7400	-50.7940	-0.1080

\*BF > 2 is considered evidence of the dependent model

ESS: effective sample size

HPD: highest posterior density

\*\*significant different from zero according to the threshold model

Rate	State from	State to	Sexual system and life cycle dominance		
			Mean	sd	
q12	0,0	0,1	0.0118	0.1683	
q13	0,0	1,0	0.0153	0.1682	
q21	0,1	0,0	0.0080	0.0090	
q24	0,1	1,1	0.0160	0.1682	
q31	1,0	0,0	0.0094	0.1683	
q34	1,0	1,1	0.0036	0.1681	
q42	1,1	0,1	0.0105	0.1682	
q43	1,1	1,0	0.0034	0.1681	

#### Table 4. Estimated rates of correlated transitions