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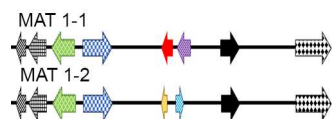
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ACCEPTED MANUSCRIPT

1 **Heterothallism revealed in the root rot fungi *Berkeleyomyces basicola* and *B. rouxiae***

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13

14 **Abstract**

15 *Berkeleyomyces basicola* and *B. rouxiae*, two cryptic sister species previously treated
16 collectively as *Thielaviopsis basicola*, reside in the *Ceratocystidaceae* (Microascales,
17 Ascomycota). Both species are important plant pathogens that infect the roots of many
18 important agricultural crops and ornamental plants. Although *T. basicola* has been known for
19 more than 150 years, a sexual state has never been found and it has been assumed to be
20 an asexual pathogen. The aim of this study was to determine the mating strategy of the two
21 *Berkeleyomyces* species. Investigation of the genome sequences of two *B. basicola* isolates
22 allowed for the complete characterization of the *MAT* locus. This revealed that it has a
23 typical heterothallic mating system with the *MAT1-1* and *MAT1-2* idiomorphs occurring in
24 different isolates. PCR amplification using mating type primers developed in this study,
25 showed that the *MAT1-1-1* and *MAT1-2-1* genes were also present in different isolates of *B.*
26 *rouxiae*. Pairing of isolates representing the two mating types of both *B. basicola* and *B.*
27 *rouxiae* using a variety of techniques failed to produce sexual structures. Although we have
28 found no direct evidence that they reproduce sexually, these fungi are clearly heterothallic
29 with both mating types occurring in some countries suggesting that a cryptic sexual cycle
30 could exist for them.

31 **Keywords:** Black root rot, Sexual reproduction, mating, plant pathogen, *MAT* locus

32

33 **1. Introduction**

34 *Berkeleyomyces basicola* and its sister species *B. rouxiae* are filamentous Ascomycete fungi
35 that reside in the *Ceratocystidaceae* (Nel *et al.* 2018; De Beer *et al.* 2014). The two species
36 are plant pathogens, causing a disease commonly known as black root rot on many
37 important crops such as tobacco (Stover 1950), cotton (Pereg 2013) and carrots (AbdAllah
38 *et al.* 2011). Despite being important pathogens of agricultural crops, both species are only
39 known from their two asexual states: the first being the chlamydospore state that gives rise
40 to distinct dark-coloured, club shaped spores only known to *Berkeleyomyces*; and the
41 second being the endoconidial state characterized by phialides that give rise to endoconidia
42 (Nel *et al.* 2018).

43 Until recently, these two pathogens were treated as a single species *Thielaviopsis basicola*,
44 originally introduced by Ferraris (1912) based on morphological-characteristics. In a re-
45 classification of the *Ceratocystidaceae*, De Beer *et al.* (2014) suggested that the generic
46 level placement of the species required revision. This was because the isolate included in
47 their study grouped outside *Thielaviopsis* and all other known genera in the Family. Based
48 on phylogenetic and clear biological and morphological differences from other genera in the
49 *Ceratocystidaceae*, Nel *et al.* (2018) described the new genus *Berkeleyomyces*. They also
50 showed that isolates previously described as *T. basicola* represent two cryptic species. The
51 epithet "*basicola*" was retained for the type species of the new genus and the second
52 species was described as *B. rouxiae*.

53 Although [the former] *T. basicola* has been known for more than 150 years (Berkeley &
54 Broome 1850) nothing is known regarding its mating strategy. Soon after the original
55 description of the fungus, Zopf (1876) described a sexual state as *Thielavia (Th.) basicola*.
56 However, during the early 1900's experiments involving single spore cultures were used to
57 show that the sexual structures of *Thielavia* and the asexual structures of *Berkeleyomyces*
58 belonged to two unrelated fungi (McCormick 1925, Lucas 1948, Lucas 1949, Stover 1950).
59 There has subsequently been only a single report attempting to induce sexual structures in
60 [the former] *T. basicola*. Johnson & Valteau (1935) performed co-culture experiments using
61 multiple single spore isolates of the fungus but no sexual structures were observed over a
62 period of more than one year. The absence of a sexual state has led to a view that the
63 species represents an exclusively asexual pathogen (Tabachnik & DeVay 1980, Paulin &
64 Harrington 2000, Paulin-Mahady *et al.* 2002).

65 Mating and sexual reproduction in filamentous Ascomycetes, including the
66 *Ceratocystidaceae*, is controlled by a single region in the genome known as the mating type
67 or *MAT* locus (Yoder *et al.* 1986, Wilken *et al.* 2017). This region can be present as two non-

68 homologous idiomorphs known as *MAT1-1* and *MAT1-2* (Yoder *et al.* 1986, Turgeon &
69 Yoder 2000, Wilken *et al.* 2017). The *MAT1-1* idiomorph contains at least the *MAT1-1-1*
70 open reading frame (ORF) encoding a protein with an α -box domain. Similarly, the *MAT1-2*
71 idiomorph contains at least the *MAT1-2-1* ORF encoding a protein with an HMG-box domain
72 (Butler 2007, Wilken *et al.* 2017). When both the *MAT1-1-1* and *MAT1-2-1* genes are found
73 in the genome of a single isolate, the species is considered homothallic and sexual
74 reproduction can take place independent of a second isolate (Butler 2007, Wilson *et al.*
75 2015a, Wilken *et al.* 2017). However, when the *MAT1-1-1* and *MAT1-2-1* genes occur in
76 different isolates of a species, the species is considered heterothallic and at least two
77 isolates, each containing one of the *MAT* idiomorphs, are required for sexual reproduction to
78 take place. There are, however, exceptions to these norms. The first exception is known as
79 mating type switching, whereby a single isolate contains both *MAT1-1-1* and *MAT1-2-1*
80 genes in its *MAT* locus but this locus undergoes structural changes before sexual
81 reproduction can occur (Wilken *et al.* 2014). The other exception is known as unisexuality, in
82 which any isolate of a single mating type can undergo sexual reproduction without the need
83 of an opposite mating type (Wilson *et al.*, 2015a, 2015b). Although the overall genetic
84 organization at the *MAT* locus can vary among species, certain genes, such as those
85 encoding the cytoskeleton assembly control protein (*SLA*), the AP endonuclease (*APN*), the
86 anaphase promoting complex (*APC*), and subunit VIa of cytochrome C oxidase (*COX*), are
87 frequently found flanking the *MAT* locus in species of the *Ceratocystidaceae* (Butler 2007,
88 Wilken *et al.* 2017).

89 The *Ceratocystidaceae* includes examples of a wide variety of sexual reproductive
90 strategies. These include species that are heterothallic (Wilson *et al.* 2015b, Wilken *et al.*
91 2018, Mayers *et al.* 2017) and primary homothallic (Mbenoun *et al.* 2014). Some species
92 have secondary homothallic reproductive strategies including mating type switching
93 (Harrington & McNew 1997, Witthuhn *et al.* 2000, Wilken *et al.* 2014) and unisexuality
94 (Wilson *et al.* 2015b).

95 Against the background of this diverse array of reproductive strategies in the
96 *Ceratocystidaceae*, we asked what the mating type strategy could be for the
97 *Berkeleyomyces* species, and would the mating type locus suggest the absence of a sexual
98 cycle as has previously been speculated. The aim of this study was thus to characterize the
99 mating locus in these fungi and to consider their possible mating strategy. We also
100 attempted to induce sexual reproduction of these species by carrying out various mating
101 crosses.

102 2. Materials and Methods

103 **2.1. Isolates**

104 Cultures used in this study were obtained from various collections including the Culture
105 Collection (CMW) of the Forestry and Agricultural Biotechnology Institute (FABI), University
106 of Pretoria, South Africa, the Westerdijk Fungal Biodiversity Institute (CBS), Utrecht, The
107 Netherlands, and the International Collection of Microorganisms from Plants (ICMP), New
108 Zealand. Cultures (**Table 1**) were maintained on 2 % Malt Extract Agar (MEA – 2 % malt
109 extract, 2 % Difco® agar Biolab, Midrand, South Africa).

110 **2.2. Characterization of the *MAT1-2* idiomorph**

111 The genome of *B. basicola* isolate CMW49352 (Wingfield *et al.* 2018) was investigated to
112 identify the contig containing the *MAT* locus. The tBLASTx algorithm in CLCbio Genomics
113 Workbench 9.5 (CLCbio, QIAGEN, Aarhus, Denmark) was used to screen the assembled
114 genome for *MAT* idiomorph sequences using reference sequences for *MAT* genes from
115 *Ceratocystis fimbriata* (GenBank accession number: APWK02000000; Wilken *et al.* 2013).
116 The scaffold containing the greatest similarity to the reference sequences was extracted and
117 annotated using Augustus 3.3.2 (Stanke *et al.* 2004) using the pre-optimized species model
118 of *Fusarium graminearum*.. A BLASTp analysis was made using the NCBI database to
119 identify each of the predicted protein sequences.

120 **2.3. Genome sequencing and characterization of the *MAT1-1* idiomorph**

121 **2.3.1. Identification of putative *MAT1-1* idiomorphic isolates**

122 CLCbio Genomics Workbench 9.5 (CLCbio, QIAGEN, Aarhus, Denmark) was used to
123 design primers for the *MAT1-2-1* and *MAT1-2-7* genes identified in section 2.2, along with
124 two additional *MAT* locus associated genes (*SLA* and a gene of unknown function [*UNK*])
125 (**Table 2**). Thirteen isolates of *B. basicola* and 26 isolates of *B. rouxiae* were screened by
126 PCR, using these primers. Isolates that do not give positive PCR amplification for these
127 *MAT1-2* genes were presumed to have *MAT1-1* idiomorph. DNA extraction and PCR
128 amplification were conducted using the methods described by De Beer *et al.* (2014) and the
129 annealing temperatures for the different primer pairs are indicated in **Table 2**.

130 **2.3.2. Genome sequencing**

131 Based on the amplification results for the different isolates, *B. basicola* isolate CMW25440
132 (putatively identified as containing the *MAT1-1* idiomorph) was selected for additional
133 genome sequencing. A single spore culture of isolate CMW25440 (= CBS142829 collected
134 in Indonesia from *Styrax benzoin*) was grown in YM broth (2 % malt extract, 0.5 % yeast
135 extract, Biolab, Midrand, South Africa) for 3-5 days. Mycelium was collected in 1.5 mL
136 Eppendorf tubes by centrifugation and lyophilized. The tubes containing the lyophilized

137 mycelium was submerged in liquid nitrogen and the mycelium ground to a fine powder using
138 a sealed sterile pipette tip. Total genomic DNA was extracted from the powdered mycelium
139 using the method described by Duong *et al.* (2013). A paired-end library (350 bp average
140 insert size) was prepared and sequenced using the Illumina HiSeq2500 Platform at
141 Macrogen Inc. (Seoul, Korea). The 101 bp pair-end reads obtained were assembled into
142 contigs using SPAdes 3.9 (Bankevich *et al.* 2012) using default settings and k-mer sizes of
143 21, 33, 55 and 77. Completeness of the assembly was assessed using the Benchmarking
144 Universal Single-Copy Orthologs (BUSCO 1.1b1) tool using the Ascomycota dataset (Simão
145 *et al.*, 2015).

146 **2.3.3. Characterization of the *MAT1-1* idiomorph**

147 The newly assembled genome of *B. basicola* isolate CMW25440 was investigated to identify
148 the *MAT1-1* idiomorph using the same method described in section 2.2. In this case, the
149 genes identified flanking the *MAT* locus in the genome of isolate CMW49352, as well as the
150 *MAT1-1-1* gene from *C. fimbriata*, was used to screen the genome of isolate CMW25440 for
151 the *MAT1-1* idiomorph. The scaffold containing the greatest similarity to the reference
152 sequences was extracted and annotated again as described in section 2.2. and the putative
153 genes were identified by BLASTp.

154 **2.4. Development of multiplex PCR-based mating type markers for** 155 ***Berkeleyomyces***

156 A primer set targeting the *MAT1-1-1* gene was designed based on the *MAT1-1* sequences
157 identified from the genome of *B. basicola* isolate CMW25440 (**Table 2**). The primer
158 parameters were selected to be compatible with multiplex PCR amplification together with
159 the *MAT1-2-1* primer set designed in section 2.3.1. The *MAT1-1-1* primer pair was selected
160 to produce a longer amplicon size but with the same annealing temperature as the *MAT1-2-*
161 *1* primer pair. These primers were combined in a multiplex PCR reaction following the
162 protocol described by Duong *et al.* (2013) with an annealing temperature of 56 °C for all
163 isolates of *B. basicola* and *B. rouxiae*. From the PCR result, three putative *MAT1-1-1* and
164 three *MAT1-2-1* amplicons from *B. rouxiae* isolates were selected for Sanger sequencing to
165 confirm the identity of the amplified products. Sequencing reactions were carried out
166 following the protocol described in De Beer *et al.* (2014)

167 **2.5. Comparison and phylogenetic analyses of the HMG and α -box amino** 168 **acid sequences**

169 The *MAT* locus and its flanking regions were extracted and annotated from the available
170 genomes of various species in the *Ceratocystidaceae* (**Table 3**) in the same manner as

171 described in section 2.2. The amino acid sequences of the HMG and α -box were identified
172 using the conserved domain function of BLASTp. Datasets were compiled and aligned to the
173 HMG and α -box amino acid sequences of *B. basicola* and *B. rouxiae* using the online
174 version of MAFFT v.7.0 (Kato & Standley 2013). Neighbour-joining analyses were
175 performed on the amino acid alignments using MEGA v.6.0 (Tamura *et al.* 2013) using the
176 poisson method with 1000 bootstrap replicates.

177 **2.6. Mating crosses**

178 **2.6.1. On carrots**

179 The mating types of *B. basicola* and *B. rouxiae* isolates were determined using the newly
180 developed multiplex PCR primers. Isolates, of opposite mating type, were selected and
181 paired on carrot slices in an attempt to induce mating. Thirteen isolates of *B. basicola* (three
182 *MAT1-1* and 10 *MAT1-2*) and 26 isolates of *B. rouxiae* (18 *MAT1-1* and 8 *MAT 1-2*) were
183 paired in all possible combinations on carrot pieces. The experiment was conducted in
184 triplicate for each pair of isolates.

185 Whole carrots were surface disinfected using 70 % ethanol and these were cut into 5 cm
186 thick pieces. These pieces were halved lengthwise and rinsed in a solution of streptomycin
187 (0.3 g Streptomycin, 500 mL distilled water). The pieces were air dried after which isolates
188 representing either the *MAT1-1* or *MAT1-2* were inoculated on the surface of one half
189 approximately 2 cm apart. The uninoculated half carrot slice was then placed against the
190 inoculated half and the pieces were wrapped with parafilm to form a tight "sandwich". The
191 carrot "sandwiches" were placed in a sealed sterile plastic container and incubated for two
192 weeks at ± 20 °C, after which the halves were separated and inspected for the presence of
193 sexual structures. Once inspected, the carrot halves were returned to the plastic containers
194 and incubated for a further two weeks after which they were again inspected for sexual
195 structures.

196 Because sexual structures were not observed during the initial incubation period, the
197 inoculated carrot slices were transferred to a 4 °C incubator for 12 weeks after which the
198 experiment was terminated.

199 **2.6.2. In culture**

200 Isolates, of opposite mating type, were selected and paired in culture in an attempt to induce
201 mating. Thirteen isolates of *B. basicola* (three *MAT1-1* and 10 *MAT1-2*) and 15 isolates of *B.*
202 *rouxiae* (nine *MAT1-1* and six *MAT1-2*) were paired in all possible combinations on 0.2 %
203 MEA, 10 % Carrot agar (900mL MEA and 100 mL organic carrot juice, Rugani, South
204 Africa), and 2 % MEA supplemented with Thiamine (200 mg/L, Sigma Aldrich). The

205 experiment was conducted in triplicate for each pair of crossed isolates. A total of 90
206 combinations of *B. basicola* and 162 combinations of *B. rouxiae* were tested on each
207 medium.

208 Inoculum for the pairing experiment was taken from a two-week-old culture. Squares (3mm x
209 3mm) of agar bearing mycelium of the test isolates were inoculated 25 mm apart from one
210 another in the centre of Petri dishes. The paired isolates were incubated for one month at 20
211 °C (± 2 °C) and inspected regularly for the presence of sexual structures. After one month,
212 the plates were transferred to a 10 °C incubator to induce a stress response that might give
213 rise to sexual structures. The paired isolates were inspected monthly for the appearance of
214 sexual structures for six months.

215 **3. Results**

216 **3.1. Characterization of the *MAT1-2* idiomorph of *B. basicola***

217 tBLASTx searches using the reference *Ceratocystis fimbriata* *MAT1* gene sequences
218 identified highly similar sequences to *MAT1-2-1* in scaffold 18 of the genome of isolate
219 CMW49352 (Wingfield *et al.* 2018). Annotation of the 2 Mb scaffold using Augustus 3.3.2
220 identified 1001 putative ORFs. BLASTp of the predicted protein sequences identified the
221 structure of the *MAT1-2* idiomorph. A *MAT1-2-1* and a *MAT1-2-7* encoding ORF was
222 identified, flanked at one side by *COX*, *APN*, *APC*, and *SLA* encoding genes, and at the
223 other side by a gene of unknown function (*UNK*) and an importin gene (*IMP*) (**Fig. 1A**).

224 **3.2. Genome sequencing and characterization of the *MAT1-1* idiomorph of *B.*** 225 ***basicola***

226 Illumina sequencing of the genome of the putative *MAT1-1* isolate (CMW25440), produced
227 approximately 39.6 million paired reads. *De novo* assembly of the genome using SPAdes
228 3.9 produced 841 scaffolds longer than 500 bases. The assembly produced a genome of
229 approximately 25.1 Mb in size with an N50 of 90Kb and a GC content of 52%. This draft
230 assembly was similar in size to that available for *B. basicola* (Wingfield *et al.* 2018). BUSCO
231 analysis predicted an assembly completeness of 97%. The assembly contained 1276
232 complete single-copy BUSCOs, 1 complete and duplicated BUSCO, 10 fragmented
233 BUSCOs and 28 missing BUSCOs from a total of 1315 BUSCO groups searched.

234 tBLASTx searches using the identified *MAT* flanking genes from isolate CMW49352 and
235 *MAT1-1-1* from *C. fimbriata* identified highly similar sequences in scaffold 63 of the genome
236 of isolate CMW25440. Annotation of the 100Kb scaffold identified 41 putative ORFs.
237 BLASTp of the predicted protein sequences allowed for the identification of the *MAT1-1*
238 idiomorph. The organization of the genes flanking the *MAT1-1* from CMW49352 and *MAT1-2*

239 from CMW25440 were almost identical (**Fig. 1B**) and only the presence of the different *MAT*
240 genes allowed for delineation between the two isolates.

241 In both the *MAT1-1* and *MAT1-2* isolates, the region from the *COX* encoding gene to the
242 *IMP* encoding gene spanned about 31Kb and closely resembled that of the *MAT* flanking
243 regions in other *Ceratocystidaceae* species (**Supplementary figure 1**). The predicted
244 *MAT1-2-1* gene was 909 bases long, composed of three exons with a combined sequence
245 length of 798 bases and two introns (53 bases and 55 bases). The predicted protein
246 sequence included 265 amino acids and harboured the characteristic HMG-box domain. The
247 predicted *MAT1-2-7* gene was 857 bases long, composed of three exons with a sum
248 sequence length of 603 bases and two introns (53 bases and 201 bases). The predicted
249 *MAT1-2-7* protein sequence included 200 amino acids and contained no known conserved
250 structural domains. The predicted *MAT1-1-1* gene was 1233 bases long, composed of two
251 exons with a total sequence length of 1176 bases with a single intron of 56 bases. The
252 predicted protein sequence included 391 amino acids and harboured the characteristic α -
253 box. The predicted *MAT1-1-2* gene region was 1518 bases long, composed of five exons of
254 1284 bases in total and four introns (59 bases, 57 bases, 141 bases and 59 bases). The
255 predicted protein sequence included 427 amino acids and contained the HPG/PFF pfam
256 domain (accession pfam17043). The scaffold sequences, together with their *MAT* gene
257 annotations, were deposited in NCBI GenBank (**Table 1**).

258 3.3. Multiplex and other PCRs

259 The *MAT1-1-1* and *MAT1-2-1* primer pairs were successfully used in multiplex amplification
260 of the *MAT* genes from both *Berkeleyomyces* species. Multiplex amplification of 13 *B.*
261 *basicola* isolates identified three isolates containing the *MAT1-1-1* gene region and ten
262 isolates containing the *MAT1-2-1* gene region (**Fig. 2A**). Multiplex amplification of 26 *B.*
263 *rouxiae* isolates identified 18 isolates containing the *MAT1-1-1* gene region and eight
264 isolates containing the *MAT1-2-1* gene region (**Fig. 2B**).

265 Additional support for the identity of *MAT1-1* and *MAT1-2* idiomorph isolates was provided
266 by PCR amplification of the *MAT1-2-7* gene region. This region was successfully amplified in
267 all the isolates where the *MAT1-2-1* region was amplified, and no amplification was observed
268 in isolates where the *MAT1-1-1* region was amplified (**Supplementary figure 2A**). The *SLA*
269 gene and the conserved *UNK* region were successfully amplified for all *B. basicola* and *B.*
270 *rouxiae* isolates included in this study (**Supplementary figure 2B & 2C**).

271 From the multiplex PCR results, we selected three *MAT1-1-1* and three *MAT1-2-1* containing
272 isolates for *MAT* amplicon sequencing. Sequencing of the *MAT1-1-1* region produced a
273 product of around 810 base-pairs spanning the entire α -box region (**Fig. 2A**). Sequencing of

274 the *MAT1-2-1* region produced a product of around 500 base-pairs containing the intron
275 region and encoding 53 of the 81 amino acids of the HMG-box domain (**Fig. 2B**).

276 **3.4. Comparison and phylogenetic analyses of the HMG and α -box amino** 277 **acid sequences**

278 Neighbour joining analyses of the HMG-box and α -box amino acid sequences for various
279 isolates of the *Ceratocystidaceae* produced phylogenetic trees with similar topology (**Fig. 4**
280 **A & B**). In both trees, *B. basicola* and *B. rouxiae* grouped together with high bootstrap
281 support. The included *Ceratocystis* species formed a strongly supported sub-group to the
282 *Berkeleyomyces* species, except for *C. adiposa* that grouped together with *Huntia* species
283 in both trees.

284 **3.5. Mating experiments**

285 None of the pairings between the identified *MAT1-1* and *MAT1-2* idiomorph isolates of *B.*
286 *basicola* and *B. rouxiae* resulted in the production of sexual structures under any of the
287 conditions tested. All isolates produced abundant mycelium, asexual endoconidia and
288 chlamydospores. In many cases a zone of vegetative incompatibility developed between the
289 isolates preventing the mycelium of the different isolates colonizing a plate from making
290 contact.

291 **4. Discussion**

292 Whole genome sequencing and investigation of the genomes of two *B. basicola* isolates
293 allowed for the identification and characterization of the *MAT* locus for *Berkeleyomyces*.
294 From the genome of *B. basicola* isolate CMW49352 (Wingfield *et al.* 2018) we were able to
295 identify and characterize the *MAT1-2* idiomorph. From genome sequencing results of *B.*
296 *basicola* isolate CW25440, we were further able to identify and characterize the *MAT1-1*
297 idiomorph. The presence of the *MAT1-1* and *MAT1-2* idiomorphs in different isolates of *B.*
298 *basicola* is similar to that of other species of *Ceratocystidaceae* and other ascomycete fungi
299 that have a heterothallic mating system. This heterothallic mating systems was found in both
300 *B. basicola* and *B. rouxiae*. Although no sexual state is currently known for these closely
301 related species, the presence of an apparently functional mating-type locus in these species
302 suggest that cryptic sexual reproduction could occur in nature (Kuck & Poggeler 2009, Dyer
303 & Kuck 2017). However, more in-depth sampling of natural populations followed by various
304 population genetic analyses are needed to determine if mating is taking place in nature.

305 Characterization of the genes located at the *MAT* locus of two isolates of *B. basicola* allowed
306 us to elucidate the structure of both the *MAT1-1* and *MAT1-2* idiomorphs for the fungus.
307 Each idiomorph was flanked on one side by the *COX APN*, *APC*, and *SLA* genes and on the

308 other side by a gene of unknown function (here referred to as *UNK*) and an *IMP* gene. This
309 arrangement is very similar to that of *Ceratocystis fimbriata* (Wilken *et al.* 2014) and appears
310 to be conserved among the various genera of the *Ceratocystidaceae* and
311 *Gondwanamycetaceae* (Aylward *et al.* 2016, Wilken *et al.* 2018, Wilson *et al.* 2015b). Our
312 results also showed that *B. rouxiae*, the cryptic sister species of *B. basicola*, is also
313 heterothallic. Although the *MAT* locus of *B. rouxiae* was not fully characterised, using PCR
314 and sequencing it was possible to confirm that the *MAT1-2* idiomorph in *B. rouxiae* contains
315 homologs to the *MAT1-2-1* and *MAT1-2-7* genes and that the *MAT1-1* idiomorph contains a
316 homolog of the *MAT1-1-1* gene. We also confirmed the presence of homologs to the *SLA*
317 and *UNK* genes in *B. rouxiae*.

318 The *MAT1-1* idiomorph of *B. basicola* included the additional *MAT* gene, *MAT1-1-2* originally
319 described from *Neurospora crassa* (Turgeon & Yoder 2000). Like many other heterothallic
320 species in the *Ceratocystidaceae* the gene was located adjacent to the *MAT1-1-1* gene. We
321 were able to identify the conserved HPG/PFF domain in this gene. Like the *MAT1-1-2* gene,
322 its conserved domain was also first identified in *Neurospora crassa* (Turgeon & Yoder 2000).
323 The *MAT1-2* idiomorph included the *MAT1-2-7* originally described in *Huntia omanensis*
324 (Wilson *et al.* 2015b). This gene was located adjacent to *MAT1-2-1*, but is in the opposite
325 orientation. Prior to the present investigation, a full length *MAT1-2-7* gene had been
326 characterized only in *Huntia omanensis* and a truncated version of this gene had been
327 characterized in *Huntia moniliformis* (Wilson *et al.* 2015b). The present study revealed
328 homologs of this gene in both *Berkeleyomyces* species, as well as putative homologs to this
329 gene in other species of *Ceratocystidaceae* where they had not previously been identified.

330 Alignment of the α -box amino acid sequences in *B. basicola*, *B. rouxiae* and various other
331 genera in the *Ceratocystidaceae* showed relatively low conservation of this region. On the
332 other hand, alignment of the HMG-box amino acid sequences showed that the region is
333 more conserved than that of the α -box region, but overall conservation between the genera
334 was low. A similar situation was seen when aligning the HPG/PFF domain amino acid
335 sequences (results not shown). The low level of conservation of these conserved domains
336 among genera was not unexpected and has for example been found in the *Ophiostomatales*
337 (Duong *et al.* 2013) and *Pleosporales* (Yun *et al.* 2013).

338 Phylogenetic analyses of the α -box amino acid sequences and HMG-box amino acid
339 sequences grouped *B. basicola* and *B. rouxiae* distinct from the other *Ceratocystidaceae*
340 genera. This region had little resolution and the two species could not be separated in these
341 analyses. The trees generated from these sequences were also not congruent, which is
342 consistent with the findings of other studies (Goodwin *et al.* 2003, Yokoyama *et al.* 2006,

343 Bihon *et al.*, 2014). Mating type genes are under selective and evolutionary pressure (Duong
344 *et al.* 2013, Bihon *et al.* 2014), which makes them unsuitable for phylogenetic analyses
345 (Schmitt *et al.* 2009, Stielow *et al.* 2015).

346 It was not possible to induce sexual structures in cultures of either *B. basicola* or *B. rouxiae*,
347 even though isolates of known opposite mating type were paired in many different
348 combinations and grown under a relatively wide range of conditions. While these
349 experiments provide some evidence that sexual reproduction does not occur in *B. basicola*
350 or *B. rouxiae*, many different biological, ecological and environmental factors could influence
351 the ability of these fungi to reproduce sexually. Various other fungi known to include isolates
352 of two different mating types typical of heterothallism have yet to reveal sexual states
353 (Gordon 2017). In some cases such as *Aspergillus fumigatus*, many years passed before
354 sexual structures were found even though the fungus was known to be heterothallic (Paoletti
355 *et al.* 2005, O'Gorman *et al.* 2009). For the present, it is not possible to know whether sexual
356 reproduction can occur in the two cryptic species of *Berkeleyomyces*.

357 PCR amplification of the *MAT* genes using the mating type markers developed in this study
358 identified three *MAT1-1* and ten *MAT1-2* isolates of *B. basicola*, and 18 *MAT1-1* and eight
359 *MAT1-2* isolates of *B. rouxiae* in our collection of isolates. Our collection of isolates of the
360 two species showed that both *B. basicola* and *B. rouxiae* occur in South Africa and the
361 Netherlands. Available isolates of *B. basicola* from these countries included only a single
362 mating type. In contrast, the collection of isolates of *B. rouxiae* from South Africa and New
363 Zealand included both *MAT1-1* and *MAT1-2* isolates. This implies that sexual reproduction
364 could occur in *B. rouxiae* in these countries. A population genetics study of a sufficiently
365 large collection of isolates would shed light on this question.

366 **Conflict of interest**

367 The authors report no conflict of interest.

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- 544

545 **Figures Captions**

546 **Figure 1:** The structure of the *MAT1-2* and *MAT1-1* idiomorphs of *B. basicola* elucidated
547 from its genomes. **(A)** The structure of the *MAT1-2* idiomorph and its flanking genes was
548 identified from the genome of CMW49352; **(B)** The structure of the *MAT1-1* idiomorph and
549 its flanking genes was identified from the genome of isolate CMW25440.

550 **Figure 2:** Results obtained from a multiplex PCR assay to determine the *MAT-1* genotype of
551 isolates of *B. basicola* and *B. rouxiae*. **(A)** Electrophoresis gel for 13 isolates of *B. basicola*;
552 **(B)** Electrophoresis gel for 13 isolates of *B. rouxiae*. The molecular weight maker used was a
553 GeneRuler 100 bp DNA Ladder (Fermentas). Fragments were separated on a 2 % agarose
554 gel stained with GelRed and visualized under UV light. The band sizes of 1 kb, 500 and 100
555 bp are indicated for the molecular weight marker lane. Amplification of the *MAT1-1-1* gene
556 region produced an amplicon size of 845 bp and amplification of the *MAT1-2-1* gene region
557 produced an amplicon size of 530 bp.

558 **Figure 3:** Alignment of the amino acid sequences of the **(A)** α -domain and the **(B)** HMG-box
559 domain from *B. basicola*, *B. rouxiae* and other *closely related* species..

560 **Figure 4:** Neighbour joining trees derived from phylogenetic analyses of the **(A)** α -domain
561 and **(B)** HMG-box amino acid sequences for various *Ceratocystidaceae* species including *B.*
562 *basicola* and *B. rouxiae*. Bootstrap values above 75 % are indicated at nodes.

Tables

Table 1: Isolates included in this study with the GenBank accession numbers of the respective *MAT* gene sequences obtained for the different isolates

Current name	Collection number	Other collection numbers	Country	Idiomorph	GenBank accession numbers
					<i>MAT</i> scaffolds
<i>Berkleyomyces</i>					
<i>basicola</i>					
	CMW4098 ^a		Ecuador	<i>MAT1-2</i>	
	CMW5896 ^a		Uganda	<i>MAT1-2</i>	
	CMW6714 ^a		Australia	<i>MAT1-2</i>	
	CMW7065 ^a	CBS341.33/MUCL9545	Netherlands	<i>MAT1-2</i>	
	CMW7067 ^a	CBS487.48/MUCL9542	Belgium	<i>MAT1-2</i>	
	CMW7069 ^a		Netherlands	<i>MAT1-2</i>	
	CMW49352 ^a	CBS142796	Netherlands	<i>MAT1-2</i>	MH522765
	CBS414.52 ^a	MUCL8363	Netherlands	<i>MAT1-2</i>	
	CBS430.74 ^a	CMW7071	Netherlands	<i>MAT1-2</i>	
	CMW25439 ^a		Indonesia	<i>MAT1-1</i>	
	CMW25440 ^a	CBS142829	Indonesia	<i>MAT1-1</i>	MH522766
	CMW26479 ^a		Indonesia	<i>MAT1-1</i>	
			South		
	CMW51564 ^a		Africa	<i>MAT1-2</i>	
					<i>MAT1-1-1</i> or <i>MAT1-2-1</i>
<i>Berkeleyomyces</i>					
<i>rouxiae</i>					
	CBS118120 ^a		South		
	CMW5472 ^a	CBS117825	Africa	<i>MAT1-2</i>	
	CMW7064 ^a	CBS194.26/MUCL9544	Ethiopia	<i>MAT1-2</i>	MH522760
	CMW7066 ^a	CBS342.33/MUCL9456	Unknown	<i>MAT1-1</i>	MH522756
	CBS413.52 ^a		Netherlands	<i>MAT1-1</i>	
	CBS150.67 ^a	IHEM3832	Netherlands	<i>MAT1-1</i>	
			Switzerland	<i>MAT1-1</i>	
			South		
	CMW7622	CBS117826	Africa	<i>MAT1-1</i>	
			South		
	CMW7623	CBS118119	Africa	<i>MAT1-1</i>	MH522757
			South		
	CBS117827		Africa	<i>MAT1-1</i>	
			South		
	CMW7625 ^a	CBS117828	Africa	<i>MAT1-1</i>	
	CMW14219 ^a		Chile	<i>MAT1-2</i>	
	CMW14220		Chile	<i>MAT1-2</i>	
	CMW14221	CBS142830	Chile	<i>MAT1-2</i>	MH522761
	CMW14222		Chile	<i>MAT1-2</i>	
	CMW14223		Chile	<i>MAT1-2</i>	

Current name	Collection number	Other collection numbers	Country	Idiomorph	GenBank accession numbers
			South		
	CMW44562		Africa	<i>MAT1-1</i>	
			South		
	CMW44563		Africa	<i>MAT1-1</i>	
			South		
	CMW44564		Africa	<i>MAT1-1</i>	
			South		
	CMW44565		Africa	<i>MAT1-1</i>	
			South		
	CMW44566 ^a		Africa	<i>MAT1-1</i>	MH522758
			South		
	CMW44567		Africa	<i>MAT1-1</i>	
			South		
	CMW44568		Africa	<i>MAT1-1</i>	
			South		
	CMW44569		Africa	<i>MAT1-1</i>	
			New		
	ICMP2460 ^a		Zealand	<i>MAT1-1</i>	
			New		
	ICMP13276 ^a		Zealand	<i>MAT1-2</i>	MH522762
	CBS178.86 ^a	MUCL40417	Canada	<i>MAT1-1</i>	

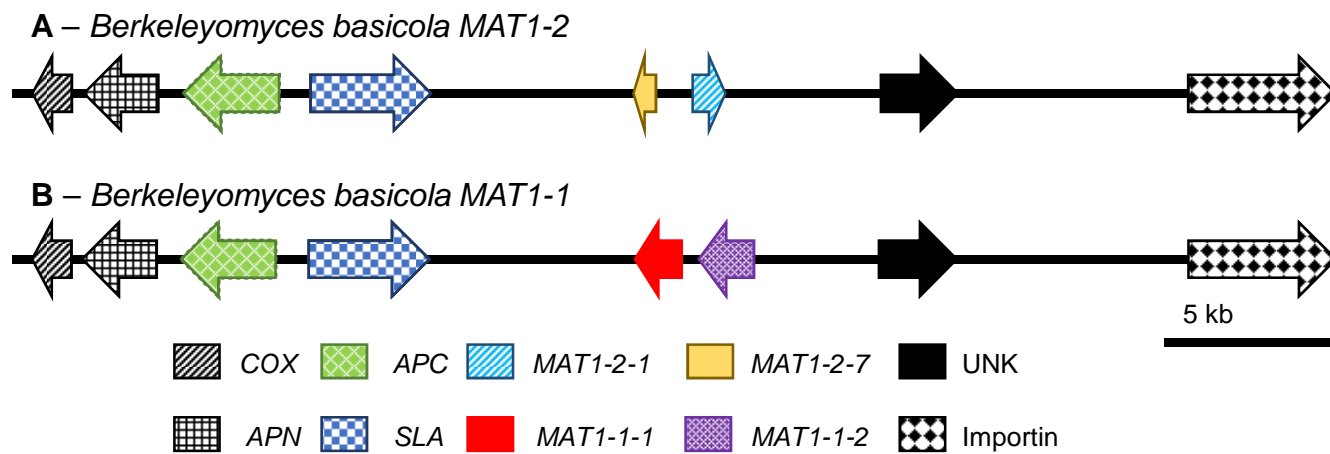
^aIsolates used in single mating crosses

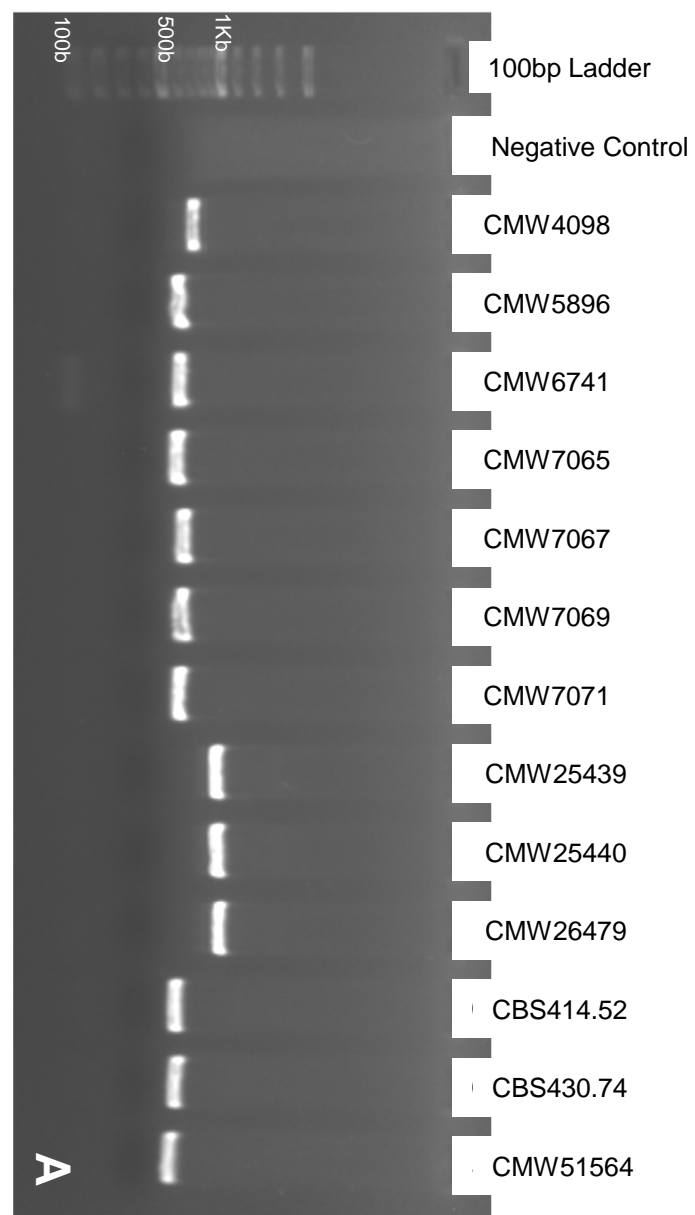
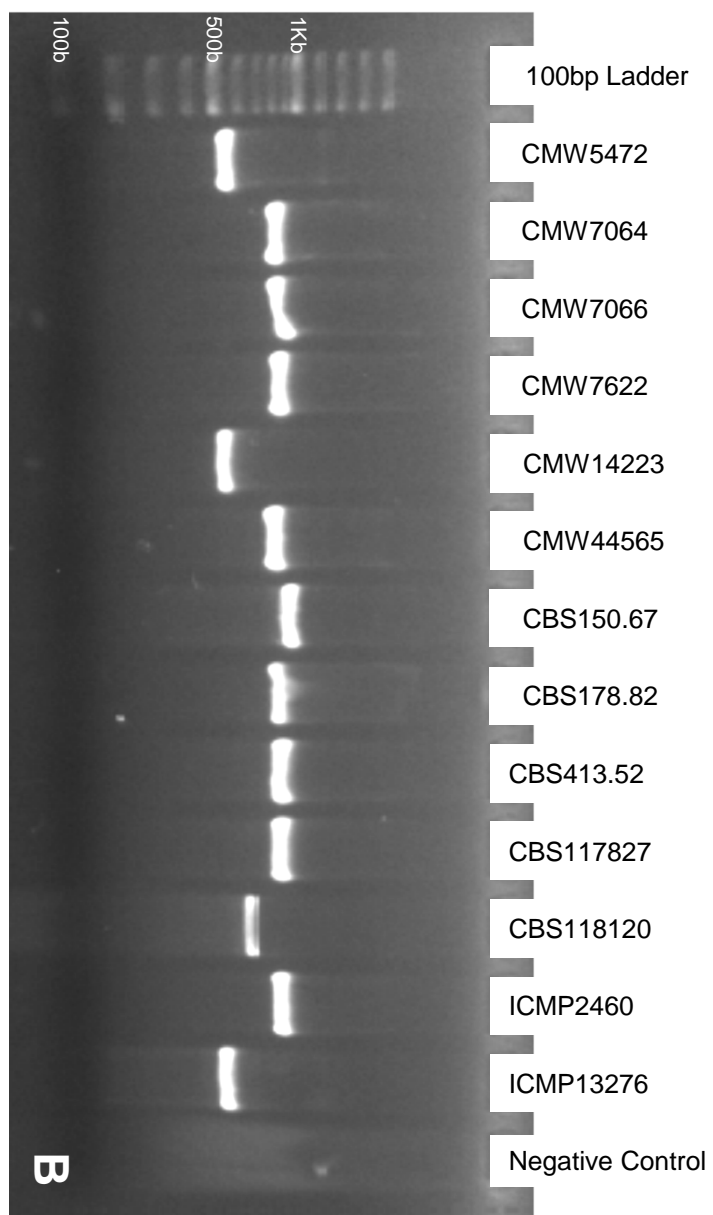
Table 2: Newly designed primer sets for amplification of *Berkeleyomyces* mating genes

Name	Sequence (5' → 3')	Annealing Temperature (°C)	Fragment length (bp)
Tbas_SLA_F	CAACACCAAGGGTCTACTCCG	59	887
Tbas_SLA_R	TCCACCTGCTGCTCCATCTC		
Tbas_M127_F	TGAAGGAAGTAAGTCCGCACAG	59	445
Tbas_M127_R	GGGAAACTCAACCCAGAAGC		
Tbas_Unk_F	GACTGCCTACATCGCCTACC	57	1088
Tbas_Unk_F	TTGCCGTCACTACCAACCTG		
Tbas_M121_F	AAGACTTTACTCCGTGACTTTAGG	56	530
Tbas_M121_R	CCAATTCTTGATAGTGGGTGC		
TBAS_M111_F	GCTGAAATGGGTGGTGT	56	845
TBAS_M111_R	CTTGGTTTTGGTTGGGTTG		

Table 3: Accession numbers of Ceratocystidaceae and Gondwanamycetaceae genomes used in this study

Species	Genome accession number	Reference
<i>Ceratocystis adiposa</i>	LXGU01000000	Wingfield <i>et al.</i> 2016a
<i>C. fimbriata</i>	APWK00000000	Wilken <i>et al.</i> 2013
<i>C. manginecans</i>	JJRZ00000000	Van der Nest <i>et al.</i> 2014b
<i>Chalaropsis thielavioides</i>	BCGU00000000	Unpublished
<i>Endoconidiophora laricicola</i>	LXGT00000000	Wingfield <i>et al.</i> 2016a
<i>E. polonica</i>	LXKZ01000000	Wingfield <i>et al.</i> 2016a
<i>Huntiella buthanensis</i>	MJMS00000000	Wingfield <i>et al.</i> 2016b
<i>H. moniliformis</i>	JMSH00000000	Van der Nest <i>et al.</i> 2014b
<i>H. omanensis</i>	JSUI00000000	Van der Nest <i>et al.</i> 2014a
<i>H. savannae</i>	LCZG00000000	Van der Nest <i>et al.</i> 2015
<i>Thielaviopsis musarum</i>	LKBB00000000	Wingfield <i>et al.</i> 2015a
<i>T. punctulata</i>	LAEV00000000	Wingfield <i>et al.</i> 2015b
<i>Knoxdaviesia capensis</i>	LNGK00000000	Aylward <i>et al.</i> 2016
<i>K. proteae</i>	LNGL00000000	Aylward <i>et al.</i> 2016

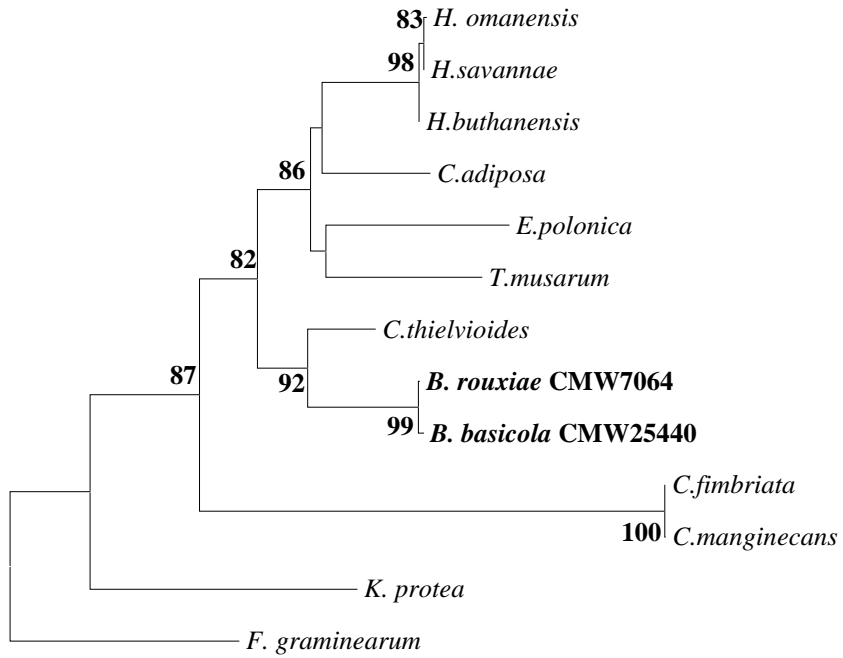




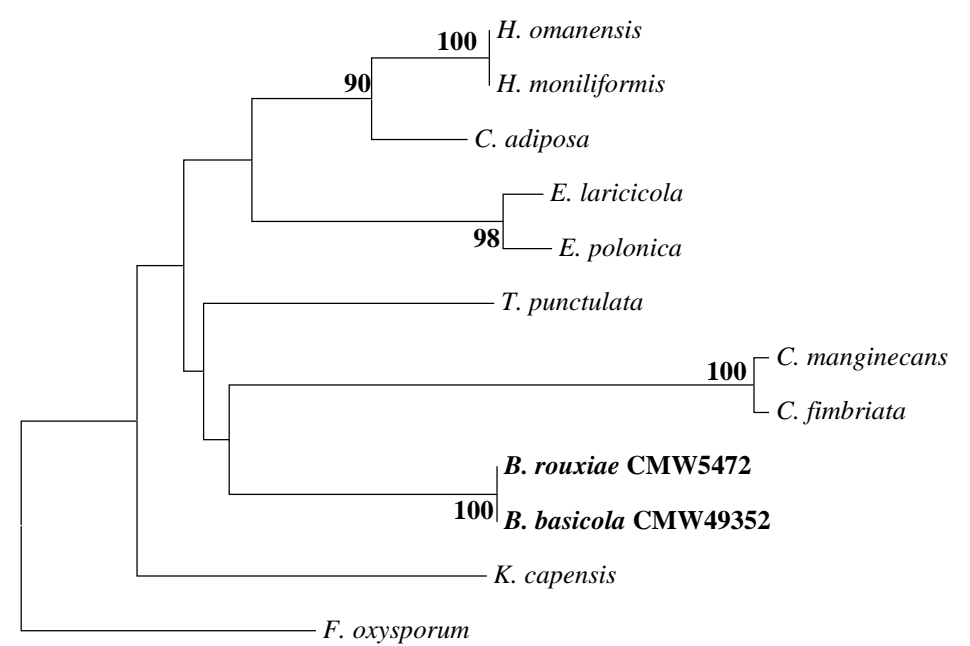
B. basicola FMAFRAYYQKIFFAQFPQKN- ISSPFIITKL WRKDDPFQSRWMLMASVYSFVRDSIGNKGAKLITFLDIAAPLMCTPKPEEYLLKSLCWMYTDANKGEIQFLQD:98
B. rouxiae FMAFRAYYQKIFFAQFPQKN- ISSPFIITKL WRKDDPFQSRWMLMASVYSFVRDSIGNKGAKLITFLDVAAPLMCTPKPEEYLLKSLCWMYTDANKGEIQFLQD:98
C. fimbriata YICFRVYVEEKCLRFMPRHTNVLKKAISKLRGDDPFKSHWAIIAQAFTLARDDVVGTKAARLRDFVALAATLNLPSPQRYLRLDGWVGTETRRNRIRFVQD:99
C. mangineans YICFRVYVEEKCLRFMPRHTNVLKKAISKLRGDDPFKSHWAIIAQAFTLARDDVVGTKAARLRDFVALAATLNLPSPQRYLRLDGWVGTETRRNRIRFVQD:99
Ch. thielavides FMAFRAYYQKIFFAQFPQKS- ISSPFIITLWRNDPFQSRWMLMARVYSFVRDAIGIKVAKLSSFLEAAPINRTPVPEEYLLKLCWVNNSTDDCDVTF QD:98
T. musarum FIAFRAYYQKIFTHLPQKS- ISSPFIITQLWKSDDPQSRWMLIGRLYSFVRDTIGKSNTKLSEFLQIAAPVNMCTPKPEEYLLKLCWVNNSTDDCDVTF QD:98
H. buthianensis FIAFRAYYQKIFTPVQKS- ISSALITRLWKSDDPFQSRWMLMGRVYVSRDITIGKNTAKLSDFLVAVAPIMGVVPPEAYLAKLCWITYTGENVGEIAFFQD:98
H. omanensis FIAFRAYYQKIFTPVQKS- ISSALITRLWKSDDPFQSRWMLMGRVYVSRDITIGKNTAKLSDFLVAVAPIMGVVPPEAYLAKLCWITYTGENVGEIAFFQD:98
H. savannae FIAFRAYYQKIFTPVQKS- ISSALITRLWKSDDPFQSRWMLMGRVYVSRDITIGKNTAKLSDFLVAVAPIMGVVPPEAYLAKLCWITYTGENVGEIAFFQD:98
C. adiposa FIAFRAYYQKIFFAQVPQKS- ISSALITRLWKSDDPFQARWMLIGRVSFVRDHIKSEAKLSEFLVAVAPIMGVVPPEAYLAKLCWITYTGENVGEIAFFQD:98
K. proteae FIAFRAYYQKIFFAQVPQKS- ISSALITRLWKSDDPFHCKWMLIAKVVYVSRDVEIGKSDAQLSFLVAVAPIMGVVPPEAYLAKLCWITYTGENVGEIAFFQD:98
E. polonica FIAFRAYYQKIFIQLPQKS- ISSLITKLWKNDDPFQSRWMLISRVYVSRDNIGRDQVRLCDYVQVAAAPANGVPIPEDYLLTKLCWVYAADDTGVTFF- QS:97
F. granthearum FMAFRAYYQKIFLKLFPDTPQKQD- ASGFLITQLWATDDPNRKNKWALLIAKVYVSRTRDHWGKAKCNLNPFLSVAACPMMKIVEPSEYFGLFGWQVSHDSFGNMVLVQD:98
 fiafkaayyq if p q k is i t l w D P f q s r w m l v y s f r d g k a k c n l n p f l s v a c p m m k i v e p s e y f g l f g w q v s h d s f g n m v l v q d

B. basicola IPRPPNAYIMYRKHDRHREIRARFPDIDNNEISRILGKQWREESASVRRTHYQELNAISYKKI FMEAFPDIYQYRPRKANEKRRR:81
B. rouxiae IPRPPNAYIMYRKHDRHREIRARFPDIDNNEISRILGKQWREESASVRRTHYQELNAISYKKI FMEAFPDIYQYRPRKANEKRRR:81
C. fimbriata VPRPPNAYILYRKDKKHRRGVKARNPHMDNNDISIWLGERWRFETSKIRDHYQKATADYKEMFMLTYPDYQYRPRKANQRKR:81
C. mangineans VPRPPNAYILYRKDKKHRRGVKARNPHMDNNDISIWLGERWRFETSKIRNHYYQKATADYKEMFMLTYPDYQYRPRKANQRKR:81
T. punctulata IPRPPNAYILYRKERHHEVKKSYPPGIDNNEISCI LGKKWREEPENVRMHYKLAEDYKKTQFMKAFPDYQYRPRKAAEKKRR:81
H. montiformis IPRPPNAYILYRKERHHSVKDEFPGICNNEISRILGRRWKEESETVRAFYKKEQSEAYKQNFMTHTPDYQYRPRNAGAKKRR:81
H. omanensis IPRPPNAYILYRKERHHSVKDEFPGICNNEISRILGRRWKEESETVRAFYKKEQSEAYKQNFMTHTPDYQYRPRKAGAKKRR:81
C. adiposa LPRPPNAYILYRKDRHHSVKREFPGICNNEISRILGKRWREDEIVRIFYKEQADAYKKNFMKAYPDYQYRPRKAGAKKRR:81
K. capensis IPRPPNAYILYRQDRHQALKNKNPSSISNNEISRILGRSWESECSVRLHYKKEADLFFKKEFLLEDHPNYQYRPRRSREKRR:81
E. polonica VSRPPNAYIMYRKDRHQDVKAEFPNINNNEISRVLGKRWREESTSIRFEFYKKAQETYKKSFMENYPDYRKYKPKPGEKRR:81
E. laticicola VSRPPNAYIMYRKDRHQDVKAEFPNINNNEISRVLGKRWREESTSIRFEFYKKAQETYKKSFMENYPDYRKYKPKPGEKRR:81
F. granthearum IPRPPNAYILYRKERHQIVKGRKRPPIITNNEISQV LGRCWNMEHPDIRTYKKKNMADDIKEEHKRLYPDYQYRPRKRSRERRRR:81
 p r p p n a y i l y r k d r h v k f p i n n e i s r i l g r w r e e v r y k a y k f m p d i y q y r p r k a e k r r

A
***MAT1-1-1* α -domain**
Neighbour-Joining
1000 bootstraps



B
***MAT1-2-1* HMG-box**
Neighbour-Joining
1000 bootstraps



- The mating type loci of *Berkeleyomyces basicola* and *B. rouxiae* were characterized.
- Both species were found to have the genes required for heterothallic mating.
- The sexual states of neither species have been observed in nature and could not be induced in laboratory crosses.
- Primers were designed that can distinguish the two mating types in multiplex PCR.

ACCEPTED MANUSCRIPT