Original: English

Report on the implementation of the ICCAT Regional Observer Programme (ROP) for transhipment 2022/2023

(ICCAT Secretariat)

Introduction

According to the provisions of the *Recommendation by ICCAT on Transhipment* (Rec. 21-15), all at-sea transhipments are prohibited, except for those from large-scale tuna longline vessels (LSPLVs), which may only tranship subject to a series of provisions, including the requirement to have an observer on board the carrier vessels receiving transhipment, to be placed on board by the Secretariat.

The ROP-transhipment is thus currently implemented by a consortium comprising Marine Resources Assessment Group Ltd (MRAG) and Capricorn Fisheries Monitoring (CapFish), under a contract signed on 23 April 2007. This contract has been renewed annually on 23 April each year since then. The Programme is funded by the participating Contracting Parties and Cooperating non-Contracting Parties, Entities and Fishing Entities (CPCs). China (P.R.), Japan, Korea, Namibia and Chinese Taipei currently participate in the ROP-transhipment,

Implementation and operation

Details on the operational aspects of the programme are presented in the report submitted by the implementing consortium, contained in **Appendix 1**.

In early 2020, due to the COVID pandemic, the Secretariat issued Circular 1829/20 regarding the procedures under *force majeure*, which continued into 2021 and 2022. Fortunately, observers could be deployed in all cases in the reporting period. In 2023, observers renewed their activities boarding longline vessels where feasible.

Potential issues of non-compliance are sent by the consortium directly to the CPCs (with copy to the Secretariat). These, together with CPC responses, are contained in Appendix 1 of "ICCAT Regional Observer Programme for at-sea transhipments (ROP-Trans)" [COC_305/2023]. Observer reports received by 7 October 2023 are available by year from the ICCAT web site.

Cooperation with other tuna RFMOs

The Secretariat continues to implement the part of the observer programme corresponding to the Atlantic Ocean southern bluefin tuna on behalf of the CCSBT, given that southern bluefin tuna is also an ICCAT species and is already covered by the ICCAT Programme. A revised MoU was signed in 2015 with the CCSBT to reflect updates to the recommendation. The Memorandum of Understanding signed with the IOTC to set up a joint pool of observers which could remain on the carrier vessels which operated in both the Atlantic and Indian Oceans on the same voyage remains in force.

Results to date

Since the inception of the programme, 285 requests for observer deployments have been received, (although seven of these were cancelled). As required by Rec. 21-15, observer reports are now published on the ICCAT website with the relevant sections hidden for confidentiality purposes.

As of 2 October 2023, according to the data available, a total of 470,367 t of fish and fish products had been reported as transhipped at sea under the programme since its inception, but this figure includes some transhipment of non-ICCAT species. A breakdown of the data available by CPC is included in PLE_105/23.

The comprehensive reports received from ROP participants assessing the content and conclusions of observer reports have been published this year on the password protected web https://www.iccat.int/TransReports/TransReports_ENG.zip, together with the reports received from CPCs on at-sea and in-port transhipment.

A summary of the deployments since October 2022 is shown in **Table 1** below.

Information sharing and Identification guides

The ICCAT Regional Observer Programme Manual (Transhipment) has been published on the ICCAT web site at: http://iccat.int/Documents/ROP/ICCAT_Observer_Manual.pdf The identification guides for frozen tuna and tuna-like species developed by the consortium were reviewed by the SCRS. The guides will, as always, be made available to observers before deployment.

Since June 2022, following the entry into force of Rec. 21-15, the ICCAT Transhipment declarations have been published on the password protected web site, as have the supply declarations. These latter are causing significant difficulties for the Secretariat, as despite the Commission approving the format published as CP54-SupplyDec, many CPCs are not using this format and/or are not using the correct nomenclature. In addition, a considerable amount of documents which are not required by the Secretariat according to Rec. 21-15 continue to be sent in volumes which seriously disrupt the work of the Secretariat. CPCs are once again requested to refrain from sending unnecessary documents (AREPS, in port pretranshipment declarations etc to the Secretariat, and to use the correct formats and clearly label any declarations submitted in accordance with requirements.

Financing

Information on the budget, contributions and expenditure of this programme can be found in the Secretariat's financial report, $STF_202/2023$.

The level of financing required for 2024/2025 will depend on the number of deployments foreseen by the participating CPCs, the number of CPCs participating in the programme, and on whether current prices charged by the consortium are maintained or increased. The final budget for the forthcoming period will be circulated to participants as far in advance of the renewal of the contract as possible.

Table 1. Summary of deployments (from October 2022-October 2023).

ICCAT Request Number	Carrier Vessel	Boarded	Disembarked	Report / Data received	Transhipment declarations received from vessel	Base departure date of observer	Base arrival date of observer	Total days (travel + at sea + debriefing)	Total tonnes transhipped	Total cost (Travel + deployment) in €	Average cost per t transhipped (€)*
	AT000JPN00571	South Africa	Cape Town								
270/22	HARIMA	Port Louis	Panama	06/10/2022	29	19/07/2022	13/09/2022	58.5	2022.069	17,932.76	8.87
	AT000PAN00235	Mauritius	Panama								
271/22	Taisei Maru No.15	Cape Town	IOTC area	16/09/2022	32	01/07/2022	31/08/2022	62	2833.64	18,404.44	6.49
	AT000JPN00651	South Africa									
272/22	Ibuki	Cape Town	Valetta	14/10/2022	23	31/07/2022	25/09/2022	58	1211.241	18,150.55	14.99
	AT000PAN00163	South Africa	EU-Malta								
273/22	Meita Maru	Cape Town	Cape Town	16/09/2022	8	01/08/2022	01/09/2022	31	645.27	8,456.18	13.10
	AT000PAN00316	South Africa	South Africa								
274/22	Taisei Maru No.24	Cape Town	Singapore	31/01/2023	36	06/11/2022	30/01/2023	87	2586.781	26,560.68	10.27
	AT000JPN00571	South Africa	Singapore								
275/22	CHITOSE	Cape Town	Port Cristobal	13/02/2023	38	02/12/2022	31/01/2023	63	1749.815	18,508.85	10.58
	AT000SGP00001	South Africa	Panama								
276/22	HARIMA	Cape Town	Balboa	06/04/2023	51	04/01/2023	28/02/2023	57	2712.802	17,061.05	6.29
	AT000PAN00235	South Africa	Panama								
277/22	Meita Maru	Walvis Bay	IOTC area	09/02/2023	16	23/12/2022	31/01/2023	40	526.79	13,661.31	25.93
	AT000PAN00316	Namibia									
278/22	Taisei Maru No.15	Cape Town	IOTC area	13/03/2023	46	23/12/2022	15/02/2023	55	2623.313	15,288.64	5.83
	AT000JPN00651	South Africa									
279/23	Ibuki	Cape Town	Singapore	31/05/2023	44	14/02/2023	06/05/2023	83	3418.589	23,665.45	6.92
	AT000PAN00163	South Africa	Singapore								
280/23	Tuna Queen	Port Cristobal	Port Cristobal	04/05/2023	23	09/03/2023	28/04/2023	53	2334.475	19,124.26	8.19
	AT000PAN00336	Panama	Panama								
281/23	Yachiyo	Cape Town	Port Cristobal	29/05/2023	48	23/03/2023	13/05/2023	54	3322.249	16,666.38	5.02
	AT000PAN00240	South Africa	Panama								
282/23	Taisei Maru No.24	Cape Town	Singapore	23/08/2023	33	19/05/2023	07/08/2023	82.5	3077.104	24,149.07	7.85
	AT000JPN00571	South Africa	Singapore								
283/23	Chikuma	Cape Town	Port Cristobal	01/08/2023							
	AT000PAN00320	South Africa	Panama								
284/23	Taisei Maru No.15	Cape Town	Singapore	23/07/2023							
	AT000JPN00651	South Africa	Singapore								
285/23	Meita Maru	Cape Town	Singapore	15/08/2023							
	AT000PAN00316	South Africa	Singapore								
286/23	Genta Maru	Cape Town	Singapore	08/09/2023							
	AT000PAN00246	South Africa	Singapore								

^{*} Exclusive of training, equipment and Secretariat overheads.

** No "Final Report" received at the time of writing.

In-port transhipment

Reports on in-port transhipment are published on the password protected web site. **Table 2** below shows a summary of information received.

Table 2. Reports on in-port transshipment received (information available at 2 October 2023).

No information – No report received, and the Secretariat does not know whether or not the requirement is applicable.

Not applicable – CPC informed the Secretariat that this reporting requirement was not applicable, or that no such transhipments had taken place in 2022.

	IN-PURI IRA	ANSHIPMENT	
Albania	not applicable	Mauritania***	No information
Algeria	not applicable	Mexico	not applicable
Angola	No information	Namibia	not applicable
Barbados	not applicable	Nicaragua	not applicable
Belize	Received	Nigeria	No information
Brazil	not applicable	Norway	not applicable
Canada	not applicable	Panama	Received
Cabo Verde	No details received*	Philippines	not applicable
China	not applicable	Russia	not applicable
Cote d'Ivoire	No information	Sao Tome + P	No information
Curaçao	Received	Senegal	not applicable
Egypt	No information	Sierra Leone	No information
Equatorial Guinea	not applicable	South Africa	not applicable
El Salvador	Received	St. Vincent + Gren.	not applicable
EU	Received (Malta)	Syria	not applicable
France (SPM)	not applicable	Trinidad & Tobago	No information
Gabon	not applicable	Tunisia	not applicable
Gambia	No information	Turkey	not applicable
Ghana	Received	Uruguay	not applicable
Grenada	No information	UK	not applicable
Guinea Bissau	No information	USA	not applicable
Guinea Rep.	No information	Venezuela	No information
Guatemala	not applicable	Bolivia	not applicable
Honduras	No information	Chinese Taipei	not applicable
Iceland	not applicable	Costa Rica	not applicable
Japan	Received	Guyana	not applicable
Korea	Received	Suriname	not applicable
Liberia	not applicable		
Libya	No information		
Maroc	not applicable		

A summary of the ICCAT Regional Observer Programme 2022 Report

(submitted by ROP-Transhipment implementing consortium)

1. Introduction

In 2006 ICCAT adopted Rec. [06-11], most recently updated by Rec. [21-15], to establish a Programme for Transhipment in response to concerns that at-sea transhipment operations constituted a gap in the enforcement scheme of the Commission. MRAG Ltd. and Capricorn Fisheries Monitoring cc (the Consortium) has been implementing the Regional Observer Program (ROP) since its inception in April 2007.

The ROP aims to address Member State concerns regarding laundering of Illegal, Unregulated and Unreported (IUU) tuna catches by monitoring transhipments at sea from large-scale pelagic longline fishing vessels (LSPLVs) operating in the Convention area. Rec. [21-15] states that all tuna, tuna like species and other species caught in association with these species in the Convention area must be transhipped in port. However, at sea transhipments can be authorised by Contracting Parties provided the Carrier Vessel (CV) has VMS capabilities and a trained ICCAT observer on board to monitor the process.

2. Deployments

This report provides a summary of the ROP's sixteenth year, covering transhipments that occurred between the 1 January 2022 and 31 December 2022. This spanned transhipments across deployments 262/21 to 278/22. In order to align better with CPC records, the reporting period covered is by calendar year, rather than the period between Commission meetings, as with previous reports pre-2019. The figures include all deployments, vessel transhipments, fish transhipped and PNCs reported over this time period.

2.1 Summary of deployments

A total of 331 at-sea transhipments took place across 15 trips, all were monitored accounting for 897 sea days with an average deployment length of 60 days. The total observed weight of fish transhipped over the period was 29,124 tonnes. A summary of key figures from all deployments is given in **Table 1**.

Of the 331 transhipments, 48.6% were from Chinese Taipei flagged vessels, 30.2% were from Japanese flagged vessels, and 15.4% were from Chinese flagged vessels; 2.4% were from Namibian flagged vessels, 1.8% were from St. Vincent flagged vessels, and 1.5% were from Korean flagged vessels (**Figure 1**). The location of transhipments conducted by vessels under the ROP are displayed in **Figure 2**. Transhipments were mainly located in the central Atlantic along the equator, with many around the EEZ of Ascension Island, and distributed along the length of the west coast of Africa.

Table 1. Summary of deployments 262/21 – 278/22 and weight of fish transhipped in 2022.

No.	Vessel Name	Observer Name	On Date	Off Date	Port On	Port Off	Days	No. of T/S	Observed	Declared
262	Taisei Maru 24	Martin Emanuel	02/11/21	12/01/22	Cape Town	Cape Town	72	4	332.32	327.38
263	Harima	Rauf Eryericer	27/12/21	18/02/22	Cape Town	Panama City	54	1	87.98	89.88
264	Taisei Maru 15	Tony Dimitrov	14/12/21	10/02/22	Cape Town	Cape Town	59	24	1692.33	1685.16
265	Genta Maru	Anthony Donnelly	21/01/22	25/02/22	Marin, Spain	IOTC Cross Over	36	1	80.40	80.00
266	Chikuma	Ugur Kaplama	17/01/22	13/05/22	Cape Town	Balboa	117	52	4450.76	4473.37
267	No.1 Baron	Johan Beets	16/01/22	11/03/22	Cape Town	Cristobal	55	19	3690.29	3722.55
268	Ibuki	Aykut Koken	23/02/22	21/04/22	Cape Town	Cristobal	58	51	3294.45	3385.25
269	Taisei Maru 24	Llewellyn Lewis	25/05/22	10/07/22	Cape Town	Cape Town	47	29	2775.74	2824.76
270	Harima	Tony Dimitrov	19/07/22	11/09/22	IOTC Cross Over	Cristobal	55	22	2068.80	2022.07
271	Taisei Maru 15	Cevher Ozbek	02/07/22	31/08/22	Cape Town	IOTC Cross Over	61	38	2785.62	2833.64
272	Ibuki	Huseyin Cagil	01/08/22	24/09/22	Cape Town	Valleta	55	24	1180.31	1211.24
273	Meita Maru	Aykut Koken	01/08/22	01/09/22	Cape Town	IOTC Cross Over	32	6	620.66	645.47
274	Taisei Maru 24	John McDonagh	07/11/22	28/01/23	Cape Town	Singapore	83	37	2554.05	2586.78
275	Chitose	Llewellyn Lewis	02/12/22	29/01/23	Cape Town	Cristobal	59	21	1248.41	1231.79
278	Taisei Maru 15	Martin Emanuel	24/12/22	15/02/23	Cape Town	IOTC Cross Over	54	2	193.43	187.71

^{*}Some deployments started prior to, or ended after 2022, however the figures shown here are only representative of transhipments that took place during this reporting period.

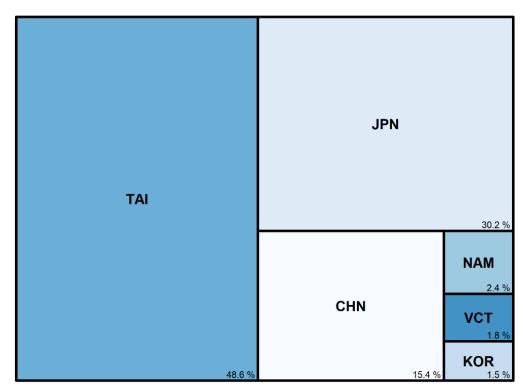


Figure 1. The number and percentage of transhipments during 2022 by Flag State.

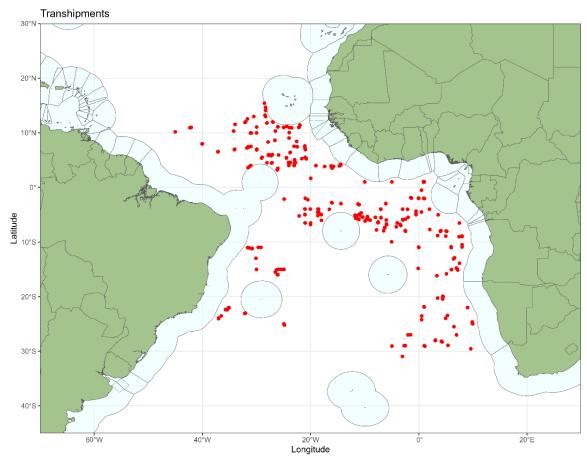


Figure 2. Locations of transhipments during 2022.

A summary of the number of ROP deployments by month for 2022 is shown in **Figure 3**. **Figure 4** indicates the number of transhipments and the total weight transhipped each month. **Figure 5**, **Figure 6** and **Figure 7** show the duration of transhipments, the quantity of products transferred and the rates of products transhipped per hour, respectively, and they remain similar to previous years.

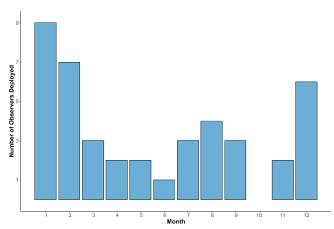


Figure 3. Number of observers deployed by month.

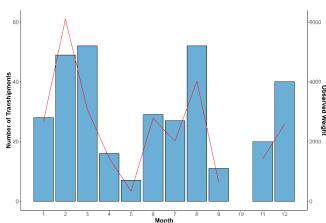


Figure 4. Number of transhipments and weights transferred (all fish, red line) by month.

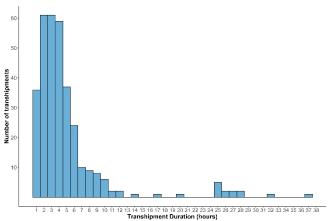


Figure 5. Duration of Transhipments (hours).

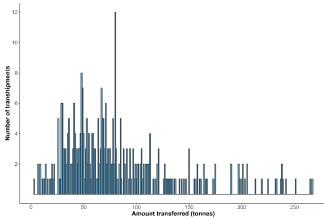


Figure 6. Quantities transferred per transhipment (tonnes).

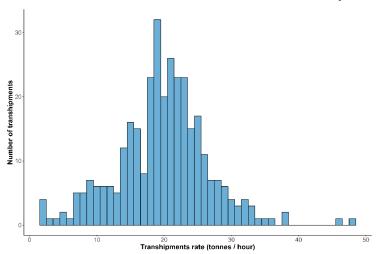


Figure 7. Rate of Products Transhipped.

2.2 Transhipments within EEZs

No transhipments were observed to be made within EEZs.

2.3 Procedures and logistics

The deployment request procedure has remained the same as previously described by the Consortium in annual reviews of the ICCAT ROP.

During the period covered by this report, four vessels crossed over from the Atlantic Ocean into the Indian Ocean on to an IOTC deployment without stopping at an Atlantic port first, one vessel crossed over from the IOTC area.

3. Species identification

The methods used by observers for species identification and reporting procedures have remained the same and are detailed in previous reports (ICCAT 2011).

4. Southern bluefin tuna

Since the adoption of the Resolution on the Implementation of a CCSBT Catch Documentation Scheme on 1 January 2010, any southern bluefin tuna (*Thunnus maccoyii*) transferred must be accompanied by a catch monitoring form (CMF) which should be countersigned by the observer. During the period covered by this report southern bluefin tuna were transhipped on nine occasions over just three deployments, with a total of 626.93 tonnes observed (**Table 2**). Observers prepare a separate report for CCSBT on any trips where southern bluefin tuna are transhipped.

Table 2. Transhipments of southern bluefin tuna (*Thunnus maccoyii*) during 2022.

No.	Carrier Vessel	Carrier Vessel ICCAT No.	T/S No	Date	Obs. Wt.	No. fish
270	Harima	AT000PAN00235	6	02/08/2022	128.70	2486
271	Taisei Maru No.15	AT000JPN00651	2	08/07/2022	68.79	1761
271	Taisei Maru No.15	AT000JPN00651	28	14/08/2022	67.36	1527
271	Taisei Maru No.15	AT000JPN00651	29	15/08/2022	71.39	1400
271	Taisei Maru No.15	AT000JPN00651	36	26/08/2022	63.69	1505
273	Meita Maru	AT000PAN00316	1	22/08/2022	127.97	3767
273	Meita Maru	AT000PAN00316	2	24/08/2022	7.38	152
273	Meita Maru	AT000PAN00316	4	25/08/2022	37.75	1057
273	Meita Maru	AT000PAN00316	5	26/08/2022	53.90	1268

5. In-Port Fish Transhipments

In 2022 it was requested by the ICCAT Secretariat that ROP observers begin monitoring in port fish transhipments as a part of the ROP, as specified in Recommendation 21-15, Appendix 2, Paragraph 6.2 i. Observers must:

'...observe and estimate quantities of product by species when offloaded in the port where the observer is disembarked to verify consistency with quantities received during at sea transhipment operations.'

It was agreed¹ that the observer would monitor in port offloadings if the vessel were to offload where they were scheduled to be disembarked anyway and the observer should not be delayed in disembarking and returning home to monitor the offloading. It was also accepted that this would not be a valid way to verify what the vessel received from transhipments at sea. It is also difficult to plan ahead as the location of offloading is not mentioned in the request, unlike at sea transhipments. As a result, only one offloading, consisting of 350 t of bait, has been monitored to date.

Observers have been monitoring transhipments in port between vessels, this is normally midway through the deployment, these are shown in **Table 3.** Transhipments occurring in port.

Table 3. Transhipments occurring in port.

Table of Transmipments occurring in porti						
Port	CV Name	CV Flag	No. of T/S			
Cape Town	Ibuki	Panama	1			
Cape Town	Meita Maru	Panama	9			
Porto Grande St Vincent	Chitose	Singapore	13			
Porto Grande St Vincent	Taisei Maru No.15	Japan	3			
Porto Grande St Vincent	Taisei Maru No.24	Japan	6			
Sao Vicente	Genta Maru	Panama	2			
Valleta	Ibuki	Panama	1			

6. Weight estimation

The methodology used by observers for estimating transhipment weights remains the same as those previously described by the Consortium (ICCAT 2011).

7. Observer Training

Currently there are 60 active ICCAT ROP observers, although not all are available all the time due to other commitments. Due to natural turnover of personnel, it is important to maintain training on a regular basis. 20 observers were trained in 2022 (**Table 4**).

With prior agreement from ICCAT, IOTC and CCSBT, observers trained under any of the programmes are available as observers for all three RFMOs. This reduces costs and ensures a high standard of data integrity between RFMOs. It also allows observers to remain on the vessel if it crosses between RFMO areas in order to save on deployment costs.

To reflect this arrangement, observers are issued with a unique observer number and identification card, which is valid for all three RFMOs.

 $^{^{\}rm 1}\,\text{See}\,\text{IMM_06B/i2022}$ – Requests for clarification on transhipment. Issued 10/06/2022.

Table 4. ROP transhipment training conducted in 2022.

Observer name	Training location
Mariangela De Giorgio	Remote
Aykut Koken	Remote
Cevher Ozbek	Remote
Goncalo Alvares	Remote
Huseyin Batuhan Cagil	Remote
Moris Gojanovic	Remote
Abdullah Gezimisoglu	Remote
Daniel De Sartei Barros Teixeira	Remote
Mustafa Isoglu	Remote
Orestes Hidalgo Huertas	Remote
Casey De Geir	Remote
Ines Quilez Hueso	Remote
Mariano Peruzzo	Remote
Samet Can Soylemez	Remote
Sophie Ellerton	Remote
Andoni Larruscain Garcia	Remote
Viktoria Kiralyova	Remote
Lauro David Silva De Brito	Remote
Oguzhan Ayaz	Remote
Shaun Malcolm Lee Engelbrecht	Cape Town
Devon Clive Hunt	Cape Town

8. Observer programme databases

The database continues to be updated as required and up to the end of 2022 contains data on 7,215 transhipments.

On request from ICCAT, continued developments in the database over the last year have included the capture of data pertaining to additional monitoring tasks outlined in Rec. 21-15.

9. Potential Non-Compliances (PNCs)

Since 2012 ICCAT have required observers to board LSPLVs to carry out checks on vessels against various ICCAT Recommendations. Any potential non-compliances (PNCs) are then submitted to the Flag State by the observer through the Consortium. The Flag State then has the opportunity to respond. PNC codes and descriptions are summarised in **Appendix 1**.

Since the Recommendation came into force, 1028 PNCs have been reported by observers over 139 deployments, these are shown in **Figure 8**. It shows a steep decline in PNCs since 2014, although this will have been skewed in recent years to COVID. Twenty-seven PNCs have been issued during 2022 (**Figure 9**), although due to an internal error 5 PNCs reported in deployment 275 were not passed on to the CPCs for comment.

While LSPLV boardings have not yet resumed in the ROP, although most participating CPCs have lifted restrictions provided a few basic measures are put in place and full boardings will likely resume shortly. As in 2021, observers have been able to perform inspections by passing a camera to the LSPLV in order to photograph the vessels logbook & VMS, as well as having the vessel pass over necessary paperwork such as ATF, ATT & TDs.

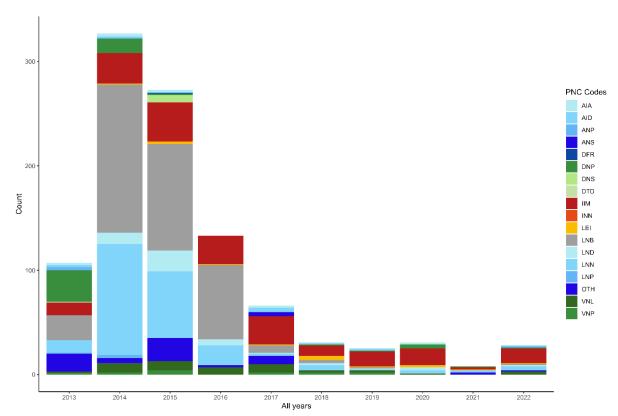


Figure 8. Number and proportion of PNCs issued since their introduction.

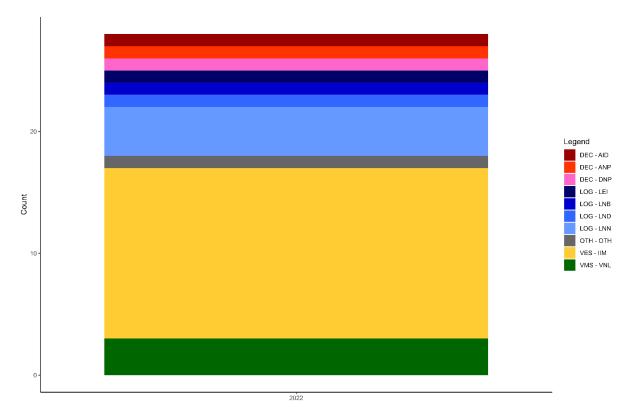


Figure 9. Number and proportion of PNCs issued during 2022.

10. Impact of the Coronavirus pandemic on the programme

While the Coronavirus pandemic continued to present a number of challenges to the Consortium, operations have largely returned to normal. As of the end of 2022 quarantine requirements and most covid related travel restrictions have now been lifted. There is still a requirement in place that all ROP observers are fully vaccinated before deploying and full boardings will commence again in 2023.

11. Conclusions and Recommendations

The requirement for observers to monitor offloadings at the end of a deployment has been difficult to implement effectively. For logistical reasons flights for observers are normally booked when the return date is known and currently the Consortium is not notified in advance when or where the CV is planning to offload, as a result there have been no offloadings monitored. In order to more effectively implement this prior notice could be given to the Consortium, perhaps including this as a field in the original request. While the exact date need not be given, a field indication if the vessel will be offloading at the observer's disembarkation port could be included, the exact date would be determined towards the end of the trip.

With the lifting of restrictions the Consortium are looking to commence boardings again in 2023, to date we are aware of three CPCs who have responded to the circular (China, Chinese Taipei and Korea), the Consortium will follow up with the remining CPCs to confirm if any remaining restrictions are in place.

It is encouraging to see that the number of PNCs issued has decreased, with the largest decrease related to improvements in logbooks. The overall decrease in numbers will also be related to the restrictions on boardings since 2020.

Potential non-compliance descriptions and codes

PNC Event	Code
General	
Observer prevented from carrying out duties on board the LSPLV	GLV
Document / Verification	
Transhipment Declaration not completed	DTD
Transhipment within EEZ without authorisation from coastal state	DEZ
Undocumented transhipments of fish received by the LSPLV	DFR
Prior authorisation to tranship not presented to the observer by the LSPLV	DNP
Prior authorisation to tranship not standard with Flag State	DNS
VMS	
No VMS shown to the observer on board the LSPLV	VNP
No power light visible on the VMS unit	VNL
ATF	
No Authorisation to fish presented to the observer by the LSPLV	ANP
Authorisation to fish not standard with Flag State	ANS
Authorisation to fish dates not valid	AID
Authorisation to fish not valid for ICCAT area	AIA
Logbook	
No logbook presented to the observer by the LSPLV	LNP
Logbook entries incorrect	LEI
Logbook not bound	LNB
Logbook sheets not numbered	LNN
Identification	
Vessel without an ICCAT number involved in transhipment operations	INN
LSPLV markings not displayed correctly	IIM
CCSBT	
No CCSBT Catch document presented for SBT	CND
SBT not individually tagged	CNT
Other	
Other event not elsewhere covered	ОТН