

# Algebras of Lorch analytic mappings defined in uniform algebras

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Guilherme V.S.Mauro (Universidade Federal da Integração Latino-Americana)

These results are part of

**Guilherme V.S.Mauro and L.A.M. , *Algebras of Lorch analytic mappings on uniform algebras.* , Pre-print.**

and

**Guilherme V.S.Mauro, *Espectros de Álgebras de Aplicações Analíticas no sentido de Lorch*, Tese de Doutorado, UFRJ (2016)**

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- $\mathcal{M}(\mathcal{A})$  coincides with the set of the closed maximal ideals of  $\mathcal{A}$ .
- As a consequence:

$$\mathcal{R}(\mathcal{A}) = \bigcap_{\varphi \in \mathcal{M}(\mathcal{A})} \varphi^{-1}(0).$$

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- Well known:  $\mathcal{H}_b(U, E)$  endowed with the usual pointwise operations is an algebra.

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If  $U$  is an open subset of commutative complex Banach algebra  $E$ , we say that  $f : U \rightarrow E$  has a **Lorch-derivative**  $f'(z_0) \in E$  at  $z_0 \in U$  if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that for all  $h \in E$  satisfying  $\|h\| < \delta$  we have

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- Easy to check:  $f$  is holomorphic in  $U$  in the standard sense in holomorphy whenever  $f$  is Lorch analytic in  $U$ .
- The converse is not true. Classical example: The linear mapping  $f : \mathbb{C}^2 \rightarrow \mathbb{C}^2$  defined by  $f(z_1, z_2) = (z_2, z_1)$  is not Lorch analytic.

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## Proposition

Let  $U_1$  and  $U_2$  be connected open subsets of  $E$ . If  $f \in \mathcal{H}_L(U_1)$  is such that  $f(U_1) \subset U_2$  and  $g \in \mathcal{H}_L(U_2)$ , then  $g \circ f \in \mathcal{H}_L(U_1)$  and

$$(g \circ f)'(z) = g'(f(z))f'(z)$$

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A mapping  $f : U \rightarrow E$  is Lorch analytic in  $U$  if and only if given any  $z_0 \in U$  there exists  $r > 0$  and there exist (unique) elements  $a_n \in E$ , such that  $B_r(z_0) \subset U$  and  $f(z) = \sum_{n=0}^{\infty} a_n(z - z_0)^n$ , for all  $z \in B_r(z_0)$ .

# The spaces $\mathcal{H}_L(E)$ and $\mathcal{H}_L(U)$

Known:

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- With the usual operations,  $\mathcal{H}_L(E)$  and  $\mathcal{H}_L(B_r(z_0))$  are **closed** subalgebras of  $\mathcal{H}_b(E, E)$  and  $\mathcal{H}_b(B_r(z_0), E)$  respectively, and so  $(\mathcal{H}_L(E), \tau_b)$  and  $(\mathcal{H}_b(B_r(z_0), E), \tau_b)$  are commutative Fréchet algebras (with identity).

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We are going to introduce a convenient topology in  $\mathcal{H}_L(U)$ .



# The space $\mathcal{H}_L(U, E)$

- It is known that if  $f \in \mathcal{H}_L(U)$  and  $w_0 \in U$ , then  $r_b f(w_0) = d_U(w_0)$  where  $r_b f(w_0) =$  radius of boundedness of  $f$  at  $w_0$ .

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Let  $\tau_d =$  topology generated in  $\mathcal{H}_d(U, F)$  by the family of semi-norms

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For  $f : U \rightarrow F$  (where  $F =$  complex Banach space) and  $B_r(z) \subset U$ , let

$$\|f\|_{B_r(z)} =: \sup\{\|f(w)\|; w \in B_r(z)\}.$$

$\mathcal{H}_d(U, F) =: \{f \in \mathcal{H}(U; F) : \|f\|_{B_r(z)} < \infty \forall z \in U \text{ and } \forall 0 < r < d_U(z)\}$

- $\mathcal{H}_b(U; F) \subset \mathcal{H}_d(U; F) \subset \mathcal{H}(U; F)$
- $\mathcal{H}_L(U) \subset \mathcal{H}_d(U; E)$ .

Let  $\tau_d =$  topology generated in  $\mathcal{H}_d(U, F)$  by the family of semi-norms

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- In particular  $\mathcal{H}_L(B_E) \subsetneq \mathcal{H}_b(B_E, E) = \mathcal{H}_d(B_E, E)$  and  $\tau_b = \tau_d$ .

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- From now  $\mathcal{H}_L(U)$  denotes  $(\mathcal{H}_L(U), \tau_d)$ .

The spectrum of the Fréchet algebra (with identity)  $\mathcal{H}_L(U)$  has been described in cases  $U = E$  and by L.A.M. and A.F. Pereira in case  $U = E$  and by G.V.S. Mauro, L.A.M. and A.F. Pereira in case  $U = B_r(z_0)$ . In both cases  $\tau_d = \tau_b$ .

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We are going to present a description of the spectrum of  $(\mathcal{H}_L(E_\Omega), \tau_d)$  in case  $\Omega \subsetneq \mathbb{C}$  is a simply connected domain and  $E$  is a uniform algebra. By using this characterization we can show that in this case the algebra  $(\mathcal{H}_L(E_\Omega), \tau_d)$  is semi-simple.

# Notation and Definitions

As usual,

$\{\lambda \in \mathbb{C}; |\lambda - \lambda_0| < r\}$  will be denoted by  $\Delta_r(\lambda_0)$

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Using this notation we frequently write

$$f = \sum_{n=0}^{\infty} P_{a_n,0}(P_{e,1} - P_{z_0,0})^n \text{ in } U$$

instead of

$$f(z) = \sum_{n=0}^{\infty} a_n(z - z_0)^n, \text{ for all } z \in U.$$



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Let  $f \in \mathcal{H}_L(U)$  and  $\phi \in \mathcal{M}(E)$ . If there is a (necessarily unique)  $g \in \mathcal{H}(\phi(U))$  so that  $g \circ \phi = \phi \circ f$  on  $U$ , we say that  $g$  is the **quotient function of  $f$**  with respect to  $\phi$ , and we write  $g = f_\phi$ .

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# The algebras $\tilde{\mathcal{H}}(\Omega)$ and $(\mathcal{H}_L(E_\Omega), \tau_d)$

Let  $\tilde{\mathcal{H}}(\Omega) = \{\tilde{f} : f \in \mathcal{H}(\Omega)\}$ .

It is not difficult to show that

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This guarantees the existence of  $F_\phi$  for every  $F \in \tilde{\mathcal{H}}(\Omega) \subset \mathcal{H}_L(E_\Omega)$ . We will show that this is true for all the elements of  $\mathcal{H}_L(E_\Omega)$ .

# The algebras $\tilde{\mathcal{H}}(\Omega)$ and $(\mathcal{H}_L(E_\Omega), \tau_d)$

If  $\Omega \subsetneq \mathbb{C}$  is a simply-connected domain, by the Riemann Mapping Theorem there exists a one-to-one analytic mapping  $h$  from  $\Omega$  onto  $\Delta$  s.th.  $h^{-1}$  is analytic.

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A first attempt to show a Riemann Mapping Theorem in the context of the Lorch analytic mappings in  $E$  would be to show that the open unit ball  $B_E$  is  $L$ -homeomorphic to every bounded homeomorphic image of itself. But Glickfeld showed that this cannot be proved for general Banach algebras by presenting an example of a simply connected domain  $D$  contained in the algebra  $E = C([0, 1])$  such that  $D$  is homeomorphic to the ball  $B_E$  but  $D$  is not  $L$ -homeomorphic to  $B_E$ .

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Warren characterized which domains are  $L$ -homeomorphic to  $B_E$  in case  $E = C(X)$ , where  $X$  is a compact Hausdorff space.

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Warren considered the case when  $E$  is a semi-simple Banach algebra and showed that in this case  $B_E$  is  $L$ -homeomorphic to  $\text{int}(\Gamma)$  by a mapping  $g : B_E \rightarrow \text{int}(\Gamma)$  whenever  $\Gamma$  satisfies the following condition: for all  $T \in E^*$ ,  $T \circ \Gamma$  has a continuous extension to  $\overline{\Delta}$  that is holomorphic in  $\Delta$ .

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If  $\Omega \subsetneq \mathbb{C}$  is a simply-connected domain, by the Riemann Mapping Theorem there exists a one-to-one analytic mapping  $h$  from  $\Omega$  onto  $\Delta$ . We may take  $\tilde{h} \in \tilde{\mathcal{H}}(E_\Omega)$  that corresponds to  $h$  via the functional calculus and get:

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Consider the simple closed curve  $\Gamma_0 : \partial\Delta \rightarrow E$  given by  $\Gamma_0(\lambda) = \lambda\mathbf{e}$  where  $\mathbf{e}$  is the unit in  $E$ . It is easy to check that  $\text{int}(\phi \circ \Gamma_0) = \Delta$  for all  $\phi \in \mathcal{M}(E)$  and hence  $\text{int}(\Gamma_0) = E_\Delta$ .

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## Theorem 1 (G.V.S. Mauro, L.A.M. and A.F. Pereira)

Let  $E$  be a commutative Banach algebra with a unit element  $e$ . The spectrum  $\mathcal{M}(\mathcal{H}_L(B_E))$  is homeomorphic to  $\mathcal{M}(E) \times \Delta$  by the mapping

$$\delta : \mathcal{M}(E) \times \Delta \rightarrow \mathcal{M}(\mathcal{H}_L(B_E))$$

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# The spectrum of the algebra $(\mathcal{H}_L(E_\Omega), \tau_d)$

Recall that a **uniform algebra** is a closed subalgebra of  $\mathcal{C}(X)$ , where  $X$  is a compact Hausdorff space, which contains the constants and separates the points of  $X$ .

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Clearly  $E_\Delta = B_E \Rightarrow \tilde{h}(z) \in B_E$  for all  $z \in E_\Omega$ .

# The spectrum of the algebra $(\mathcal{H}_L(E_\Omega), \tau_d)$

## Proposition

Let  $E$  be a uniform algebra. If  $\Omega \subsetneq \mathbb{C}$  is a simply connected domain and  $f \in \mathcal{H}_L(E_\Omega)$ , then for every  $\phi \in \mathcal{M}(E)$  there exists a function  $f_\phi \in \mathcal{H}(\Omega)$  satisfying  $f_\phi(\phi(z)) = \phi(f(z))$  for all  $z \in E_\Omega$ .



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Since  $\phi(E_\Omega) = \Omega$ , by using the above equality show that  $f_\phi : \phi(E_\Omega) \rightarrow \mathbb{C}$  defined by

$$f_\phi(\lambda) = \sum_{n=0}^{\infty} \phi(a_n) (h(\lambda))^n \text{ for all } \lambda \in \Omega$$

satisfies  $f_\phi \circ \phi = \phi \circ f$ . This completes the proof.

# The spectrum of the algebra $(\mathcal{H}_L(E_\Omega), \tau_d)$

Let  $\tau_\pi$  denote the restriction to  $\mathcal{M}(E) \times \Omega$  of the product topology in  $\mathcal{M}(E) \times \mathbb{C}$ .

## Theorem 2

If  $E$  is a uniform algebra and  $\Omega \subsetneq \mathbb{C}$  is a simply connected domain, the mapping

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We noted already that given if  $\psi \in \mathcal{M}(\mathcal{H}_L(B_E))$  then it can be proved that  $\psi(P_{e,1}) \in \Delta$ . Moreover we can get  $\psi_0 \in \mathcal{M}(E)$  by defining  $\psi_0(a) = \psi(P_{a,0})$  for all  $a \in E$ .

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Theorem 1 states that to each  $\psi \in \mathcal{M}(\mathcal{H}_L(B_E))$  we can associate  $(\phi, \lambda_0) \in \mathcal{M}(E) \times \Delta$  such that  $\psi(f) = \delta(\phi, \lambda_0)(f) = f_\phi(\lambda_0)$  for every  $f \in \mathcal{H}_L(B_E)$ .



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A similar argument did not work when we tried to prove that  $\delta$  is surjective in Theorem 2.

# The spectrum of the algebra $(\mathcal{H}_L(E_\Omega), \tau_d)$

Next we are going to show that  $\delta$  is surjective in Theorem 2. Our proof uses the following result:

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## Lemma

Let  $E$  be a uniform algebra,  $\Omega \subsetneq \mathbb{C}$  be a simply connected domain and  $h$  be a conformal mapping between  $\Omega$  and  $\Delta$ . If  $z_0 \in E_\Omega$  and  $0 < r < d_{E_\Omega}(z_0) = \inf_{w \in E_\Omega} \|z_0 - w\|$ , then  $\tilde{h}(B_r(z_0))$  is a  $B_E$ -bounded subset of  $B_E$ .

# The spectrum of the algebra $(\mathcal{H}_L(E_\Omega), \tau_d)$

Next we are going to show that  $\delta$  is surjective in Theorem 2. Our proof uses the following result:

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Take  $\psi \in \mathcal{M}(\mathcal{H}_L(E_\Omega))$ . We are going to get  $(\phi, \lambda_0) \in \mathcal{M}(E) \times \Omega$  such that  $\psi(f) = \delta(\phi, \lambda_0)(f) = f_\phi(\lambda_0)$  for every  $f \in \mathcal{H}_L(E_\Omega)$ .

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Easy:  $f \in \mathcal{H}_L(B_E) \Rightarrow f \circ \tilde{h} \in \mathcal{H}_L(E_\Omega)$ .



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Now:  $f \in \mathcal{H}_L(E_\Omega) \Rightarrow f \circ \tilde{h}^{-1} \in \mathcal{H}_L(B_E)$ . And by Theorem 1,  $\psi_h = \delta((\psi_h)_0, \mu_0)$  where  $\mu_0 = \psi_h(P_{e,1}) \in \Delta$ .

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Since  $(\psi_h)_0 = \psi_0$ , for every  $f \in \mathcal{H}_L(E_\Omega)$  we have

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This shows that  $\delta$  is surjective.

As a consequence of Theorem 2 we get:

## Proposition

Let  $E$  be a uniform algebra and  $\Omega \subsetneq \mathbb{C}$  be a simply connected domain. Then, if  $E$  is a separable space,  $\mathcal{H}_L(E_\Omega)$  is a semi-simple algebra.

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Well known: If  $\theta$  is a complex homomorphism defined in  $\mathcal{H}(\Omega)$ , then  $\theta(f) = f(\lambda_\theta)$  for every  $f \in \mathcal{H}(\Omega)$  where  $\lambda_\theta = \theta(\text{Id}) \in \Omega$

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## Lemma 1

If  $\psi$  is a complex homomorphism defined in  $\mathcal{H}_L(E_\Omega)$ , then  $\psi(\tilde{f}) = f(\lambda_0)$  for every  $f \in \mathcal{H}(\Omega)$ , where  $\lambda_0 = \psi(P_{\mathbf{e},1})$ .

# The algebra $(\mathcal{H}_L(E_\Omega), \tau_0)$

## Lemma 2

Let  $E$  be a separable  $C^*$ -álgebra,  $f \in \mathcal{H}_L(E_\Omega)$  and  $\psi \in \mathcal{M}_0(\mathcal{H}_L(E_\Omega))$ . If  $f(z) \in \ker(\psi_0)$  for every  $z \in E_\Omega$ , then  $f \in \ker(\psi)$ .

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Idea of proof:

$\psi_0 \in \mathcal{M}(E) \Rightarrow \ker(\psi_0)$  is a separable  $C^*$ -álgebra  $\Rightarrow$  there exists an approximation of the identity  $(e_n)_{n \in \mathbb{N}}$  in  $\ker(\psi_0)$ .

Show that:

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Arzela-Ascoli Theorem  $\Rightarrow$  there exists  $g \in \mathcal{C}(E_\Omega, E)$  such that  $P_{e_n,1} \circ f \xrightarrow{\tau_0} g$  (go to a subsequence, if necessary) .

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In particular,

$$g(z) = \lim_{n \rightarrow \infty} P_{e_n,1}(f(z)) = \lim_{n \rightarrow \infty} e_n f(z) = f(z)$$

for all  $z \in E_\Omega$ , and hence  $P_{e_n,1} \circ f \xrightarrow{\tau_0} f$ .

# The algebra $(\mathcal{H}_L(E_\Omega), \tau_0)$

Note that  $P_{e_n,1} \circ f = P_{e_n,0} \cdot f$  since  $P_{e_n,1}(f(z)) = e_n f(z) = P_{e_n,0}(z)f(z)$  for all  $z \in E_\Omega$ .

Now,  $P_{e_n,1} \cdot f \xrightarrow{\tau_0} f$  and  $\psi(P_{e_n,0}) = \psi_0(e_n) = 0$  for all  $n \in \mathbb{N}$  implies

$$\psi(f) = \psi\left(\lim_{n \rightarrow \infty} P_{e_n,0} \cdot f\right) = \lim_{n \rightarrow \infty} \psi(P_{e_n,0} \cdot f) = \lim_{n \rightarrow \infty} \psi_0(e_n)\psi(f) = 0.$$

Hence  $f \in \ker(\psi)$ .

# The algebra $(\mathcal{H}_L(E_\Omega), \tau_0)$

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Now,  $P_{e_n,1} \cdot f \xrightarrow{\tau_0} f$  and  $\psi(P_{e_n,0}) = \psi_0(e_n) = 0$  for all  $n \in \mathbb{N}$  implies

$$\psi(f) = \psi\left(\lim_{n \rightarrow \infty} P_{e_n,0} \cdot f\right) = \lim_{n \rightarrow \infty} \psi(P_{e_n,0} \cdot f) = \lim_{n \rightarrow \infty} \psi_0(e_n)\psi(f) = 0.$$

Hence  $f \in \ker(\psi)$ .

## Theorem

If  $E$  is a separable commutative  $C^*$ -algebra with a unit element  $e$  and  $\Omega \subsetneq \mathbb{C}$  is a simply connected domain, the mapping

$$\delta : \mathcal{M}(E) \times \Omega \longrightarrow \mathcal{M}_0(\mathcal{H}_L(E_\Omega))$$

defined by  $\delta(\phi, \lambda_0)(f) = f_\phi(\lambda_0)$  for every  $f \in \mathcal{H}_L(E_\Omega)$  is a homeomorphism between  $(\mathcal{M}(E) \times \Omega, \tau_\pi)$  and  $(\mathcal{M}_0(\mathcal{H}_L(E_\Omega)), \tau_G)$ .

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We are going to show that  $\delta$  is surjective.

# The algebra $(\mathcal{H}_L(E_\Omega), \tau_0)$

Given any  $\psi \in \mathcal{M}_0(\mathcal{H}_L(E_\Omega))$ , take  $\psi_0 \in \mathcal{M}(E)$  defined as in the proof of Theorem 2 and  $\lambda_0 = \psi(P_{\mathbf{e},1}) \in \Omega$ .

$f \in \mathcal{H}_L(E_\Omega) \Rightarrow f_{\psi_0} \in \mathcal{H}(\psi_0(E_\Omega)) = \mathcal{H}(\Omega) \Rightarrow \widetilde{f_{\psi_0}} \in \widetilde{\mathcal{H}}(\Omega) \subset \mathcal{H}_L(E_\Omega)$ .

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Consider the mapping  $f - \widetilde{f}_{\psi_0}$ . For every  $z \in E_\Omega$ ,

$$\psi_0(f(z) - \widetilde{f}_{\psi_0}(z)) = f_{\psi_0}(\psi_0(z)) - \widetilde{f}_{\psi_0}(\psi_0(z)) = 0.$$

# The algebra $(\mathcal{H}_L(E_\Omega), \tau_0)$

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Hence,  $(f - \widetilde{f}_{\psi_0})(z) \in \ker(\psi_0)$  for all  $z \in E_\Omega$ .



# The algebra $(\mathcal{H}_L(E_\Omega), \tau_0)$

Given any  $\psi \in \mathcal{M}_0(\mathcal{H}_L(E_\Omega))$ , take  $\psi_0 \in \mathcal{M}(E)$  defined as in the proof of Theorem 2 and  $\lambda_0 = \psi(P_{e,1}) \in \Omega$ .

$f \in \mathcal{H}_L(E_\Omega) \Rightarrow f_{\psi_0} \in \mathcal{H}(\psi_0(E_\Omega)) = \mathcal{H}(\Omega) \Rightarrow \widetilde{f_{\psi_0}} \in \widetilde{\mathcal{H}}(\Omega) \subset \mathcal{H}_L(E_\Omega)$ .

Consider the mapping  $f - \widetilde{f_{\psi_0}}$ . For every  $z \in E_\Omega$ ,

$$\psi_0(f(z) - \widetilde{f_{\psi_0}}(z)) = f_{\psi_0}(\psi_0(z)) - f_{\psi_0}(\psi_0(z)) = 0.$$

Hence,  $(f - \widetilde{f_{\psi_0}})(z) \in \ker(\psi_0)$  for all  $z \in E_\Omega$ .

By the Lemma 2,  $f - \widetilde{f_{\psi_0}} \in \ker(\psi)$  and, by Lemma 1,  $\psi(\widetilde{f_{\psi_0}}) = f_{\psi_0}(\lambda_0)$ . Consequently,

$$0 = \psi(f - \widetilde{f_{\psi_0}}) = \psi(f) - \psi(\widetilde{f_{\psi_0}}) = \psi(f) - f_{\psi_0}(\lambda_0).$$

From this we get  $\psi(f) = f_{\psi_0}(\lambda_0)(f)$  for every  $f \in \mathcal{H}_L(E_\Omega)$  and so  $\psi = \delta(\psi_0, \lambda_0)$ .

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