

# Utah Money Management Council

350 N STATE STREET, SUITE 180  
PO BOX 142315  
SALT LAKE CITY, UTAH 84114-2315  
TEL: (801) 538-1883  
FAX: (801) 538-1465



## A G E N D A

Money Management Council  
Thursday August 19, 2021  
Teleconference Via Google Meets\*\*  
3:00 p.m.

1. Approval of the minutes of the June 17, 2021 meeting
2. Review and accept monthly reports from:
  - A) Division of Securities
  - B) Department of Financial Institutions
  - C) Deposit and Investment report update
3. Discussion with Lincoln Academy regarding their Investments
4. 5 Year Rule Review of Rule Ten-Rating Requirements to be a Permitted Depository
5. Other Business as needed
6. Schedule next meeting and adjourn

\*\*Note: Council members will be attending by teleconference via Google meets

at:

[meet.google.com/ aia-ykyv-hzt](https://meet.google.com/aia-ykyv-hzt)

Or by phone:

(US) +1 205-844-5143 PIN: 200 124 690#

Douglas L DeFries, Chair  
Bank of Utah  
2605 Washington Blvd.  
Ogden, Utah 84401  
Phone (801)-409-5175  
[ddefries@bankofutah.com](mailto:ddefries@bankofutah.com)

K. Wayne Cushing, Vice Chair  
Salt Lake County  
2001 South State Street N1-200  
Salt Lake City, Utah 84114  
Phone (385)-468-8305  
[kwcushing@slco.org](mailto:kwcushing@slco.org)

Corrie Forsling  
Summit County  
60 North Main Street  
Coalville, Utah 84017  
Phone (435)-336-3267  
[cforsling@summitcounty.org](mailto:cforsling@summitcounty.org)

Ted Elder  
Bountiful City  
790 South 100 East  
Bountiful, Utah 84075  
Phone (801)-298-6093  
[telder@bountifulutah.gov](mailto:telder@bountifulutah.gov)

Scott Burnett  
Zions Capital Advisors  
One S Main, 12<sup>th</sup> Floor  
Salt Lake City, UT 84133  
Phone (801)-844-7740  
[scott.burnett@zionsbancorp.com](mailto:scott.burnett@zionsbancorp.com)

**STATE MONEY MANAGEMENT COUNCIL-MINUTES**  
**THURSDAY June 17, 2021**

The regular meeting of the State Money Management Council was held via teleconference with an anchor location in the State Capitol Building, Room 180, at 3:00 p.m., Thursday June 17, 2021. In attendance via Google Meets and at the anchor location were:

Doug DeFries, Chair

Wayne Cushing, Vice Chair

Ted Elder

Scott Burnett

Corrie Forsling

Ann Pedroza, Staff

Riley Bergstedt, Department of Financial Institutions

Michele Black, Securities Division

Elliot Clark, Asst. Attorney General

Members not in attendance:

None

Visitors:

Jordan Hansen, Morten Investment Advisers

**Minutes of May 20, 2021**

The minutes of the May 20, 2021 meeting were approved unanimously.

**Monthly Reports and Quarterly Reports**

**Securities Division:**

Ms. Black provided the quarterly lists for July 1, 2021 for Certified Investment Advisers and Certified Broker/Dealers. Ms. Black noted there were several deletions and additions of agents on the Advisers list, and one new firm Peterson Wealth Services. Council discussed Peterson Wealth Services briefly noting that they provided audited financials as required. After further discussion it was suggested that a letter will be sent out to them reminding them that they need to follow the Act and Rules of the Council. She then informed the Council that three Certified Dealers have not filed for renewal for the year beginning July 1, 2021 and that there are several agents deleted and added. Council reviewed the changes briefly.

**Financial Institutions' Report:**

Mr. Bergstedt reviewed the quarterly certification report for the quarter beginning July 1, 2021 noting there were no significant changes in the allotments and the financial institutions have been notified of their new allotment. Mr. Bergstedt reviewed the list with the Council briefly with the Council regarding a change in one institutions allotment included with the new list.

Mr. Bergstedt then reviewed the May 31, 2021 month end public funds held report with the Council and informed them that there is nothing of note in the usage of the uninsured allotments. Mr. Bergstedt continues to keep a close watch on Education First Credit Union as they are using a high amount of their allotment, but noted for the Council that it will continue

to be high as the institution works closely with the schools in that district. Council discussed this report briefly.

**Deposit and Investment Report Update:**

Ms. Pedroza reviewed the late deposit and investment reports with the Council. She noted the the overall late reports of 52 which is down seven from the previous month. Council discussed this briefly.

**Acceptance of Reports:**

It was motioned and seconded and all of the above reports were accepted unanimously.

**Other Business**

Mr. Cushing asked that there be future consideration of any other investment vehicles that could be used by public treasurers, noting that the addition of negotiable brokered CD's was a help for many public treasurers. Council discussed this briefly.

**Schedule Next Meeting**

The Council then scheduled the next regular meeting for August 19, 2021 at 3 p.m. With no other business before the Council, they adjourned at 3:23 p.m.



SPENCER J. COX  
*Governor*

DEIDRE M. HENDERSON  
*Lieutenant Governor*

State of Utah  
Department of Commerce  
**Division of Securities**

MARGARET W. BUSSE  
*Executive Director*

JASON E. STERZER  
*Director, Division of Securities*

**TO: Money Management Council**  
**FROM: Michele Black, Division of Securities**  
**RE: Additions to the Certified Dealer List**  
**DATE: August 19, 2021**

The following agents and firms have been added to the existing list for conducting investment transactions with public treasures pursuant to rule 16 of the State Money Management Council:

**BMO Capital Markets Corp.**

Agents: Brock Griffin  
Shawn Oxenham  
William Pilsbury

**InspereX (fka Incapital LLC)**

Agents: William H. Money III  
Traci Salinas

**TO: Money Management Council**  
**FROM: Michele Black, Division of Securities**  
**RE: Current List Certified Dealer**  
**DATE: August 19, 2021**

The following is the list of Certified Dealers and Agent(s) effective **August 19, 2021**:

**BMO Capital Markets Corp.**

**Agents: Brock Griffin 1 Market Plaza (Spear Tower) Suite 1515**  
**San Francisco, CA 94105**  
**Shawn Oxenham " "**  
**William Pilsbury " "**

**Barclays Capital Inc.**

**Agents: Peter Bjurstein Four Embarcadero Center**  
**San Francisco, CA 94111**

**DA Davidson & Co.**

**Agents: Marcus Nield 251 South 1350 West**  
**Farmington, UT 84025**

**InspereX**

**Agents: William H. Money III 10445 S. Wasatch Blvd.**  
**Sandy, UT 84092**  
**Traci Salinas " "**

**Moreton Capital Markets, LLC**

Agents: Benjamin Byington

Larry Lundberg

Ryan Stoker

101 South 200 East, Suite 300

Salt Lake City, UT 84111

"

"

"

"

**Raymond James & Associates, Inc.**

Agents: Amy Iannella

Mark McKinnon

4643 S. Ulster Street, Suite 1350

Denver, CO 80237

2750 East Cottonwood Parkway, Suite 520

Salt Lake City, UT 84121

**RBC Capital Markets, LLC**

Agents: Eric Ajlouny

Scott Chappell

John Hallgren

Quinn Jensen

Stephen Manning

John Menefee

Michael Roskopf

Paul Schulte

1331 North California Boulevard, Suite 650

Walnut Creek, CA 94596

1260 Stringham Avenue Suite 300

Salt Lake City, UT 84106

"

"

"

"

3 World Financial Center, 200 Vesey St.

New York, NY 10281

1133 NW Wall Street Building 2

Bend, OR 97703-1987

777 South Figueroa Street, Suite 850

Los Angeles, CA 90017

1331 North California Boulevard Suite 650

Walnut Creek, CA 94596

**Robert W. Baird & Co. Inc.**

Agents: Zachary Harris

Wesley Sullivan

Kathleen Werlein

4017 Hillsboro Pike Suite 403

Nashville, TN 37215

"

"

2194 Entrada Trail

St. George, UT 84770

**Stifel, Nicolaus & Company, Incorporated**

Agents: John Crandall

Susan Gardner

15 West South Temple Suite 1090

Salt Lake City, UT 84101

4801 Main St., Ste 530

Kansas City, MO 64112

**Wells Fargo Securitles LLC**

Agents: Jonathan Cancilla

Doug Chin

Thomas Degenaaars

Thomas Hedges

Brandon Hodge

Sarah Taylor

Tyler Tayrien

8601 N. Scottsdale Road Suite 125  
Scottsdale, AZ 85253-2735

999 3<sup>rd</sup> Avenue 11<sup>th</sup> Floor  
Seattle, WA 98104

8601 N. Scottsdale Road Suite 125  
Scottsdale, AZ 85253-2735

“ “  
1700 Lincoln Street, 12<sup>th</sup> Floor  
Denver, CO 80203-4500

999 3<sup>rd</sup> Avenue  
Seattle, WA 98104

8601 N. Scottsdale Road Suite 125  
Scottsdale, AZ 85253-2735

**Zions Bank Capital Markets**

Agents: Blake Bihm

Jacqueline Davies

Neil Decker

Karen Keeley

Brad Mayeda

Malivanh Mahee

Wanda Pounders

Daniel Ricks

Ty Roberts

Teresa Yancey

1717 West Loop South  
Houston, TX 77027

One South Main Street, 17<sup>th</sup> Floor  
Salt Lake City, UT 84133

“ “

“ “

“ “

1717 West Loop South  
Houston, TX 77027

“ “

One South Main Street, 17<sup>th</sup> Floor  
Salt Lake City, UT 84133

“ “

“ “

**Zions Direct, Inc.**

Agents: Jacqueline Davies

Neil Decker

Karen Keeley

Brad Mayeda

Daniel Ricks

Ty Roberts

One South Main Street, 17<sup>th</sup> Floor  
Salt Lake City, UT 84133

“ “

“ “

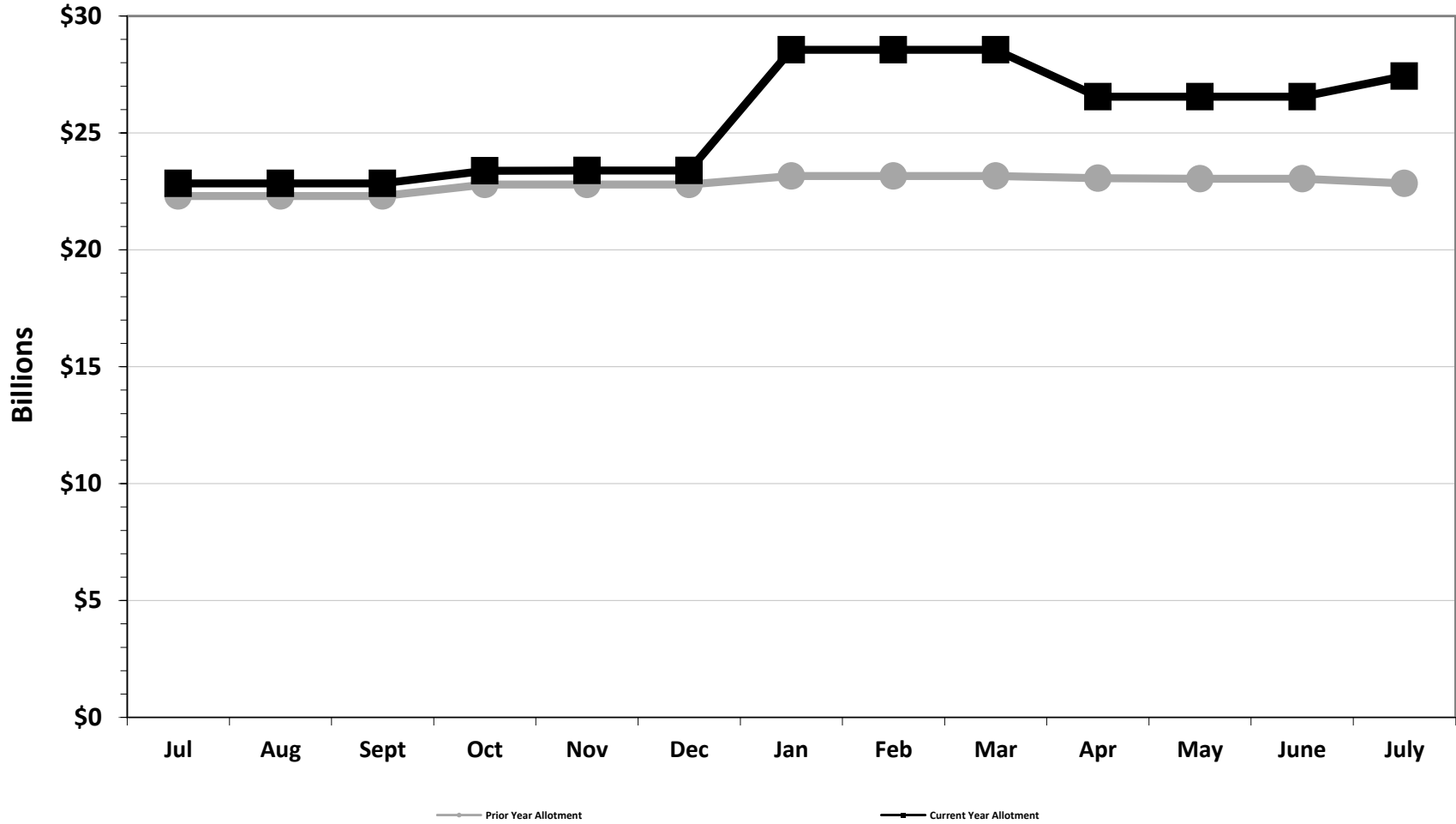
“ “

“ “

“ “

# PUBLIC FUNDS

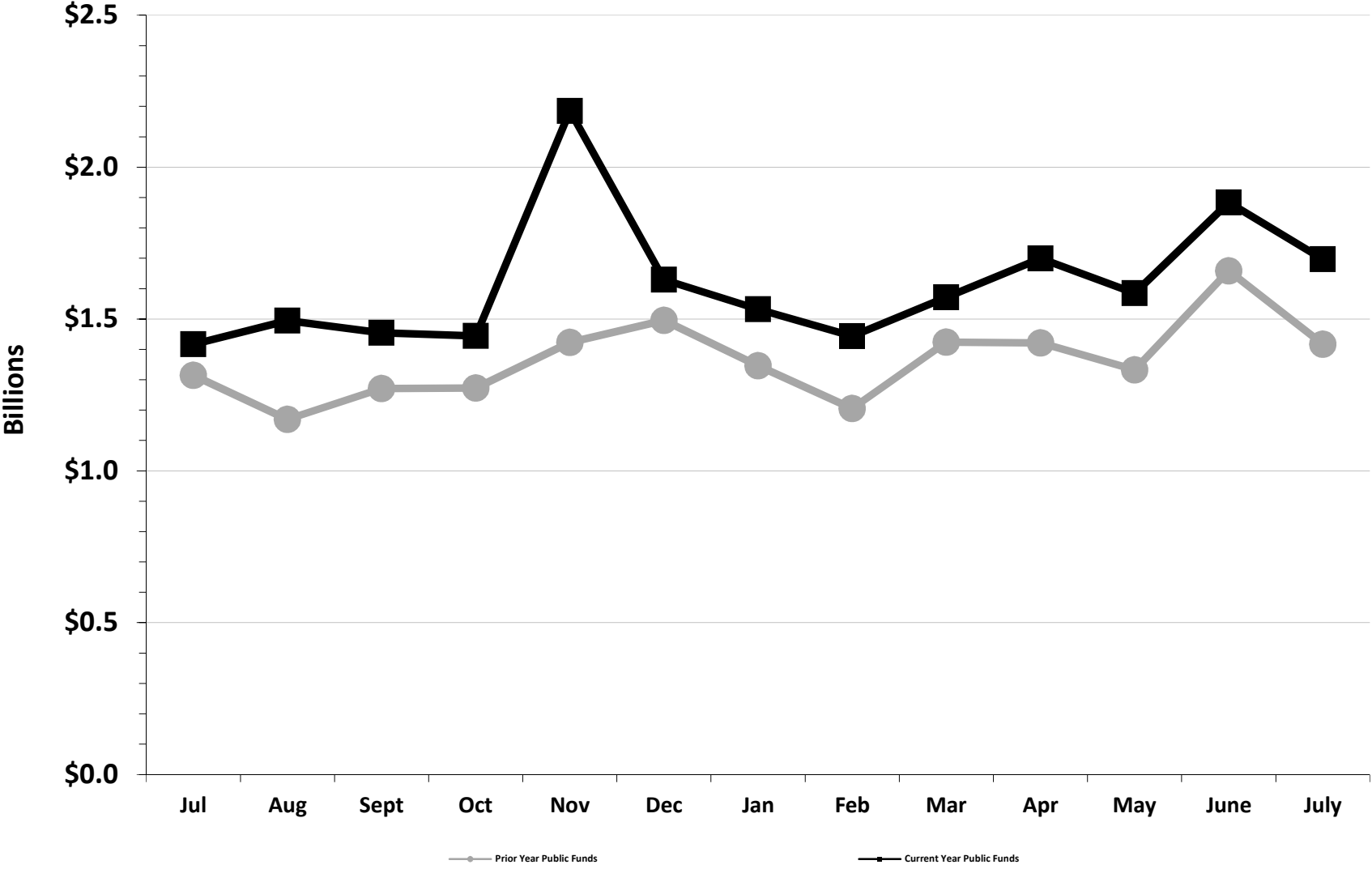
Approved Monthly Allotments in Dollars





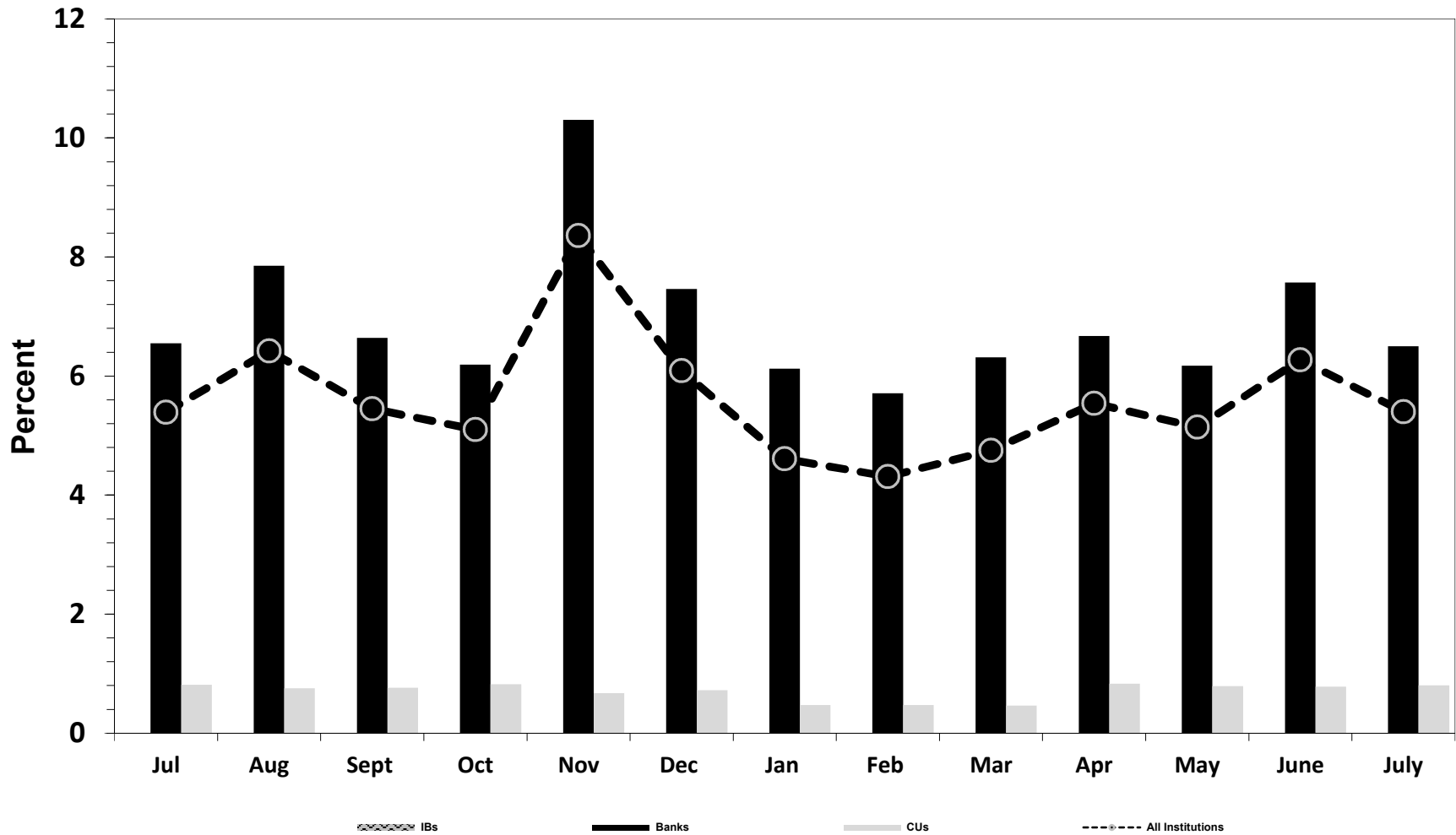
# PUBLIC FUNDS

Actual Monthly Usage in Dollars



# PUBLIC FUNDS

Percentage of Allotment Used



Late D&I reports as of 8/19/21

Summit Special Service District	Local and Special Service District	D&I December 2018
---------------------------------	------------------------------------	-------------------

**Total - 1**

Eastland Special Service District	Local and Special Service District	D&I December 2019
Heber Valley Special Service District	Local and Special Service District	D&I December 2019
Weber County Conservation District	Conservation District	D&I December 2019
Wilson Arch Wtr & Sewer SS Dist	Local and Special Service District	D&I December 2019
Beaver Dam Village Special Service District	Local and Special Service District	D&I December 2019

**Total - 5**

Diamond Valley Fire Special Service District	Local and Special Service District	D&I December 2020
Tridell-Lapoint Water Improvement District	Local and Special Service District	D&I December 2020
Traverse Ridge Special Service District	Local and Special Service District	D&I December 2020
Hoytsville Cemetery Maintenance District	Local and Special Service District	D&I December 2020
Monticello City	City	D&I December 2020
Grouse Creek Cemetery District	Local and Special Service District	D&I December 2020
Angell Springs Special Service District	Local and Special Service District	D&I December 2020
Summit County Serv. Area 3 (Silver Creek)	Local and Special Service District	D&I December 2020
Arches Special Service District	Local and Special Service District	D&I December 2020
Vernon Town	Town	D&I December 2020
Tropic Town	Town	D&I December 2020

**Total - 11**

Alpine Conservation District	Conservation District	D&I June 2018
Timp/Nebo Conservation District	Conservation District	D&I June 2018
Pole Canyon Basic Local District	Local and Special Service District	D&I June 2018
Daggett Water District	Local and Special Service District	D&I June 2018
Provo River Water Users Association	Local and Special Service District	D&I June 2018

**Total - 5**

Fremont River Conservation District	Conservation District	D&I June 2019
North Sanpete Ambulance Service	Interlocal	D&I June 2019
Vista at Entrada School	School District or Charter School	D&I June 2019
Cannonville Town	Town	D&I June 2019

**Total - 4**

Vernon Town	Town	D&I June 2020
Rockville/Springdale Fire Protection District	Local and Special Service District	D&I June 2020
Portage Cemetery Maintenance District	Local and Special Service District	D&I June 2020
Belmont Drainage District	Local and Special Service District	D&I June 2020
Central Box Elder Fire SS Dist	Local and Special Service District	D&I June 2020
Hyde Park Cemetery Maintenance District	Local and Special Service District	D&I June 2020
Plain City Cemetery Maintenance District	Local and Special Service District	D&I June 2020
Elwood Drainage District	Local and Special Service District	D&I June 2020
East Garland Cemetery	Local and Special Service District	D&I June 2020
Kamas Valley Conservation District	Conservation District	D&I June 2020
Daggett Mosquito Abatement District	Local and Special Service District	D&I June 2020

**Total - 11**

Wellington City	City	D&I June 2021
Eagle Mountain City	City	D&I June 2021

Taylorsville City	City	D&I June 2021
Cedar City	City	D&I June 2021
Naples City	City	D&I June 2021
Enoch City	City	D&I June 2021
East Carbon City	City	D&I June 2021
River Heights City	City	D&I June 2021
Providence City	City	D&I June 2021
Piute County Conservation District	Conservation District	D&I June 2021
Davis County Conservation District	Conservation District	D&I June 2021
Kane County Conservation District	Conservation District	D&I June 2021
Shambip Conservation District	Conservation District	D&I June 2021
Salt Lake Conservation District	Conservation District	D&I June 2021
Wayne County	County	D&I June 2021
Cedar City Housing Authority	Housing	D&I June 2021
St. George Housing Authority	Housing	D&I June 2021
Emery County Housing Authority	Housing	D&I June 2021
Ogden-Weber Technical College	Institution of Higher Education	D&I June 2021
Utah Valley University	Institution of Higher Education	D&I June 2021
Southwest Technical College	Institution of Higher Education	D&I June 2021
Gunnison Valley Police Department	Interlocal	D&I June 2021
Cntr for Education, Business & Arts	Interlocal	D&I June 2021
Timpanogos Special Service District	Local and Special Service District	D&I June 2021
West Millard Mosquito Abatement District	Local and Special Service District	D&I June 2021
Randolph Cemetery District	Local and Special Service District	D&I June 2021
Axtell Community Special Service District	Local and Special Service District	D&I June 2021
Neola Community Park District	Local and Special Service District	D&I June 2021
Big Cottonwood Canyon Improvement District	Local and Special Service District	D&I June 2021
Hanna Water and Sewer District	Local and Special Service District	D&I June 2021
North Central Fire Special Service District	Local and Special Service District	D&I June 2021
Echo Creek Ranches Special Service District	Local and Special Service District	D&I June 2021
Ticaboo Utility Improvement District	Local and Special Service District	D&I June 2021
Hatch Cemetery Maintenance District	Local and Special Service District	D&I June 2021
Flaming Gorge Fire & EMS District	Local and Special Service District	D&I June 2021
Canyon Land Improvement District	Local and Special Service District	D&I June 2021
Tropic Cemetery Maintenance District	Local and Special Service District	D&I June 2021
Woodruff Cemetery Maintenance District	Local and Special Service District	D&I June 2021
Hansel Valley Watershed District	Local and Special Service District	D&I June 2021
Eden Cemetery Maintenance District	Local and Special Service District	D&I June 2021
Uintah Recreation Special Service District	Local and Special Service District	D&I June 2021
Grand County Transportation SS Dist	Local and Special Service District	D&I June 2021
Carbon County Rec & Trans SSD	Local and Special Service District	D&I June 2021
Skyline Mountain Special Service District	Local and Special Service District	D&I June 2021
Upper Country Water Improvement District	Local and Special Service District	D&I June 2021
Cache County EMS Authority	Local and Special Service District	D&I June 2021
Little Mountain Service Area	Local and Special Service District	D&I June 2021
Uintah Trans SS District	Local and Special Service District	D&I June 2021

Corinne Drainage District 1	Local and Special Service District	D&I June 2021
Corinne Cemetery Maintenance District	Local and Special Service District	D&I June 2021
Box Elder Willard City Flood Control	Local and Special Service District	D&I June 2021
Garland Cemetery Maintenance District	Local and Special Service District	D&I June 2021
North Davis Fire District	Local and Special Service District	D&I June 2021
East Lewiston Drainage District 4	Local and Special Service District	D&I June 2021
Rush Valley Water Conservancy District	Local and Special Service District	D&I June 2021
Tooele County Recreation SSD	Local and Special Service District	D&I June 2021
Millard County Drainage District 2	Local and Special Service District	D&I June 2021
Millard County Drainage District 4	Local and Special Service District	D&I June 2021
Sanpete County Fire Special Service District	Local and Special Service District	D&I June 2021
Kane County Rec & Trans SSD	Local and Special Service District	D&I June 2021
Highland Conservation District	Local and Special Service District	D&I June 2021
East Zion Special Service District	Local and Special Service District	D&I June 2021
Church Wells Special Service District	Local and Special Service District	D&I June 2021
Henrieville Cemetery Maintenance District	Local and Special Service District	D&I June 2021
Emigration Improvement District	Local and Special Service District	D&I June 2021
Penrose Cemetery Maintenance District	Local and Special Service District	D&I June 2021
Lakeshore & Benjamin Drainage District	Local and Special Service District	D&I June 2021
Benjamin Drainage District	Local and Special Service District	D&I June 2021
Cache County Drainage District 5	Local and Special Service District	D&I June 2021
Duchesne County Special Service District 2	Local and Special Service District	D&I June 2021
Lincoln Academy	School District or Charter School	D&I June 2021
Tintic School District	School District or Charter School	D&I June 2021
Vanguard Academy	School District or Charter School	D&I June 2021
Charleston Town	Town	D&I June 2021
Orderville Town	Town	D&I June 2021
Interlaken Town	Town	D&I June 2021
Fielding Town	Town	D&I June 2021
Tabiona Town	Town	D&I June 2021
Dutch John Town	Town	D&I June 2021
Amalga Town	Town	D&I June 2021
Sterling Town	Town	D&I June 2021
Elwood Town	Town	D&I June 2021
Newton Town	Town	D&I June 2021
Leamington Town	Town	D&I June 2021
Rush Valley Town	Town	D&I June 2021
Loa Town	Town	D&I June 2021
Laketown Town	Town	D&I June 2021

**Total for June 2021 - 87**

Grand Total -124

Security_T	CUSIP_Number__	Name	Fund_Assi	Purchase_Date__c	Maturity_Date__c	Call_Date__c	Custodian_Advisory_I	Investmen	Broker_De	Agents__c	Other_Brc	Par_Value	Cost_Valu	Market_V	Book_Valu	Current_Y	Coupon_Rate__c
Equities	88579Y101	3M COMPANY (MMM)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1052.7	1194.42			0	0
Equities	88579Y101	3M COMPANY (MMM)	General	3/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1187.94	1194.42			0	0
Equities	88579Y101	3M COMPANY (MMM)	General	2/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	350.88	398.14			0	0
Equities	360206	AAON INC (AAON)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	4022.16	3346.2			0	0
Equities	360206	AAON INC (AAON)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2775.78	2555.28			0	0
Equities	2824100	ABBOTT LABORATORIES (ABT)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	7222.63	7593.9			0	0
Equities	2824100	ABBOTT LABORATORIES (ABT)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	5183.65	5183.14			0	0
Corp Note	00287YBV0	ABBVIE INC	General	2/3/2021 0:00	11/21/2026 0:00	9/21/2026 0:00	TD Amerit Self Managed	Other			Hudson O:	20000	21483.4	21777.38		2.72	2.95
Equities	00287YAL3	ABBVIE INC	General	7/14/2021 0:00	11/6/2022 0:00		TD Amerit Self Managed	Other			Hudson O:	15000	1.03	15587.27		2.81	2.9
Equities	G1151C101	ACCENTURE PLC CL A (ACN)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	7088.76	8884.68			0	0
Equities	G1151C101	ACCENTURE PLC CL A (ACN)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	5565.67	6028.89			0	0
Equities	00687A107	ADIDAS-SALOMON AG (ADDYY)	General	2/10/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2556.15	2749.13			0	0
Equities	00687A107	ADIDAS-SALOMON AG (ADDYY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1744.84	2016.03			0	0
Equities	00724F101	ADOBE INC (ADBE)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1887.56	2493.08			0	0
Equities	00724F101	ADOBE INC (ADBE)	General	2/5/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	6642.61	8102.51			0	0
Equities	00724F101	ADOBE INC (ADBE)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	6606.4	8725.78			0	0
Equities	00783V104	ADYEN NV UNSP ADR (ADYEY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	684.2	836.85			0	0
Equities	00783V104	ADYEN NV UNSP ADR (ADYEY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1449.56	1617.91			0	0
Equities	1317205	AIA GROUP LTD (AAGIY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2517.11	2266.32			0	0
Equities	1317205	AIA GROUP LTD (AAGIY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	49.74	47.22			0	0
Equities	1317205	AIA GROUP LTD (AAGIY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1714.77	1605.31			0	0
Equities	9158106	AIR PRODS & CHEMS INC (APD)	General	4/30/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	9264.26	9531.89			0	0
Equities	9158106	AIR PRODS & CHEMS INC (APD)	General	5/7/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	6952.08	6932.28			0	0
Equities	9279100	AIRBUS SE UNSPONSORED ADR (EADSY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1696.95	2249			0	0
Equities	9279100	AIRBUS SE UNSPONSORED ADR (EADSY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	442.53	449.8			0	0
Equities	9279100	AIRBUS SE UNSPONSORED ADR (EADSY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1237.36	1418.6			0	0
Equities	00971T101	AKAMAI TECH INC (AKAM)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2525.27	2619.54			0	0
Equities	00971T101	AKAMAI TECH INC (AKAM)	General	3/11/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1961.64	2143.26			0	0
Equities	H01301128	ALCON INC (ALC)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1869.41	1811.5			0	0
Equities	H01301128	ALCON INC (ALC)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1742.94	1666.58			0	0
Equities	H01301128	ALCON INC (ALC)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	74.09	72.46			0	0
Equities	H01301128	ALCON INC (ALC)	General	5/7/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	223.94	217.38			0	0
Equities	16255101	ALIGN TECH INC (ALGN)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1615.46	1958.39			0	0
Equities	16255101	ALIGN TECH INC (ALGN)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1797.42	1958.39			0	0
Equities	16255101	ALIGN TECH INC (ALGN)	General	4/30/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1076.4	1305.59			0	0
Equities	017175AC4	ALLEGHANY CORP	General	2/8/2021 0:00	6/27/2022 0:00		TD Amerit Self Managed	Other			Hudson O:	15000	1.04	15667.81		4.76	4.95
Equities	02079K305	ALPHABET INC-CL A (GOOGL)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	5679.54	8150.61			0	0
Equities	02079K305	ALPHABET INC-CL A (GOOGL)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2353.71	2716.87			0	0
Equities	02079K107	ALPHABET INC-CL C (GOOG)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	9504.55	13624.55			0	0
Equities	02079K107	ALPHABET INC-CL C (GOOG)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	4818.1	5449.82			0	0
Equities	02263T104	AMADEUS IT GROUP SA UNSP AD (AMADY)	General	4/30/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2281.66	2388.05			0	0
Equities	02263T104	AMADEUS IT GROUP SA UNSP AD (AMADY)	General	5/19/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1655.89	1569.29			0	0
Equities	23135106	AMAZON COM INC (AMZN)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	3331.53	3610.6			0	0
Equities	23135106	AMAZON COM INC (AMZN)	General	3/22/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	3484.73	3610.6			0	0
Equities	23135106	AMAZON COM INC (AMZN)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	3279.47	3610.6			0	0
Equities	025537AM3	AMERICAN ELECTRIC PO	General	2/2/2021 0:00	12/1/2028 0:00	9/1/2028 0:00	TD Amerit Self Managed	Other			Hudson O:	20000	22930.8	23368.08		3.69	4.3
Equities	37833100	APPLE INC (AAPL)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	713.2	729.1			0	0
Equities	37833100	APPLE INC (AAPL)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1980.15	2187.3			0	0
Equities	37833100	APPLE INC (AAPL)	General	5/3/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2424.77	2478.94			0	0
Equities	43436104	ASBURY AUTOMOTIVE GRP (ABG)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2578.02	2400			0	0
Equities	43436104	ASBURY AUTOMOTIVE GRP (ABG)	General	1/27/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2010	2000			0	0
Equities	N07059210	ASML HOLDING NV (ASML)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	3361.08	4596.24			0	0
Equities	N07059210	ASML HOLDING NV (ASML)	General	4/30/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	2631.48	3064.16			0	0
Equities	04530DAD4	ASPEN INSURANCE HO	General	2/2/2021 0:00	11/15/2023 0:00		TD Amerit Self Managed	Other			Hudson O:	10000	11032.9	10959.42		4.28	4.65
Equities	45327103	ASPEN TECHNOLOGY INC (AZPN)	General	4/30/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	3564.25	3663			0	0
Equities	45327103	ASPEN TECHNOLOGY INC (AZPN)	General	4/29/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	3011.16	3369.96			0	0
Equities	49255706	ATLAS COPCO AB (ATLKY)	General	5/12/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1840.96	1840.96			0	0
Equities	49255706	ATLAS COPCO AB (ATLKY)	General	5/18/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	258.83	258.83			0	0
Equities	49255706	ATLAS COPCO AB (ATLKY)	General	5/14/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1975.59	1975.59			0	0
Equities	49255706	ATLAS COPCO AB (ATLKY)	General	5/13/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	553.43	553.43			0	0
Equities	G06242104	ATLASSIAN CORPORATION PLC - (TEAM)	General	2/8/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	912.15	1078.84			0	0
Equities	G06242104	ATLASSIAN CORPORATION PLC - (TEAM)	General	1/26/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	1426.86	1618.26			0	0
Equities	G06242104	ATLASSIAN CORPORATION PLC - (TEAM)	General	4/30/2021 0:00			TD Amerit Self Managed	Other			Hudson Oaks/TD Am	235.32	269.71			0	0

Equities	5.28E+107	AUTO TRADER GROUP PLC UNSP (ATDRY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4993.76	5607.06	0	0	
Equities	5.28E+107	AUTO TRADER GROUP PLC UNSP (ATDRY)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4037.24	4400.22	0	0	
Equities	52769106	AUTODESK INC (ADSK)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1168.4	1281.68	0	0	
Equities	52769106	AUTODESK INC (ADSK)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3222.89	3524.62	0	0	
Equities	52769106	AUTODESK INC (ADSK)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3796.72	4165.46	0	0	
Equities	05278C107	AUTOHOME INC (ATHM)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5446.98	2303.49	0	0	
Equities	05278C107	AUTOHOME INC (ATHM)	General	5/11/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1690.14	940.2	0	0	
Equities	05278C107	AUTOHOME INC (ATHM)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3058.77	1551.33	0	0	
Equities	53015103	AUTOMATIC DATA PROCESSING (ADP)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	9079.13	11486.2	0	0	
Equities	53015103	AUTOMATIC DATA PROCESSING (ADP)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6242.61	6891.72	0	0	
Equities	05338G106	AVALARA INC (AVLR)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4309.46	4664.25	0	0	
Equities	05338G106	AVALARA INC (AVLR)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2860.6	3455	0	0	
	05723KAE0	BAKER HUGHES A GE	General	2/2/2021 0:00	12/15/2027 0:00	9/15/2027 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	10841.5	11029.34	3.03	3.34
	05723KAE0	BAKER HUGHES A GE	General	5/3/2021 0:00	12/15/2027 0:00	9/15/2027 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	10851.6	11029.34	3.03	3.34
Equities	05534B760	BCE INC (BCE)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	14645.29	14815.45	0	0	
Equities	75887109	BECTON DICKINSON & CO (BDX)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	517.66	507.42	0	0	
Equities	75887109	BECTON DICKINSON & CO (BDX)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	482.34	507.42	0	0	
Equities	75887109	BECTON DICKINSON & CO (BDX)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	746.2	761.13	0	0	
Equities	75887109	BECTON DICKINSON & CO (BDX)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	481.54	507.42	0	0	
Equities	75887109	BECTON DICKINSON & CO (BDX)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	258.79	253.71	0	0	
Equities	84670702	BERKSHIRE HATHAWAY INC CL B (BRK.B)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3261.16	3918.74	0	0	
Equities	84670702	BERKSHIRE HATHAWAY INC CL B (BRK.B)	General	5/5/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2743.5	2799.1	0	0	
Equities	84670702	BERKSHIRE HATHAWAY INC CL B (BRK.B)	General	5/4/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	931.72	1119.64	0	0	
Equities	90043100	BILL.COM HOLDINGS INC (BILL)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6504.7	10963.16	0	0	
Equities	90043100	BILL.COM HOLDINGS INC (BILL)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4951.84	6746.56	0	0	
Equities	09062X103	BIOGEN INC (BIIB)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1919.68	2346.47	0	0	
Equities	09062X103	BIOGEN INC (BIIB)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1336.95	1676.05	0	0	
Equities	09239B109	BLACKLINE INC (BL)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5921.3	5106.51	0	0	
Equities	09239B109	BLACKLINE INC (BL)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3596.16	3597.77	0	0	
Equities		BNP PARIBAS ADR (BNPQY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	926.89	834.44	0	0	
Equities	05565A202	BNP PARIBAS ADR (BNPQY)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1025.58	896.25	0	0	
Equities	05565A202	BNP PARIBAS ADR (BNPQY)	General	3/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	914.45	803.53	0	0	
Equities	05565A202	BNP PARIBAS ADR (BNPQY)	General	3/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	484.59	432.67	0	0	
Equities	05565A202	BNP PARIBAS ADR (BNPQY)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	967.14	865.34	0	0	
Equities	99502106	BOOZ ALLEN HAMILTON HOLDING (BAH)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2443.78	2261.75	0	0	
Equities	99502106	BOOZ ALLEN HAMILTON HOLDING (BAH)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2239.25	2442.69	0	0	
Corp Note	10112RBA1	BOSTON PROPERTIES LP	General	5/3/2021 0:00	12/1/2028 0:00	9/1/2028 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11787.1	11913.61	3.8	4.5
Equities	114340102	BROOKS AUTOMATION INC (BRKS)	General	6/1/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2687.79	2331.42	0	0	
Equities	114340102	BROOKS AUTOMATION INC (BRKS)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1722.27	1524.39	0	0	
Equities	12082W204	BURBERRY GROUP PLC (BURBY)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1760.01	2166.72	0	0	
Equities	12082W204	BURBERRY GROUP PLC (BURBY)	General	2/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1375.31	1405.44	0	0	
Equities	122017106	BURLINGTON STORES INC (BURL)	General	2/8/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2544.6	3281	0	0	
Equities	122017106	BURLINGTON STORES INC (BURL)	General	2/12/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	978.95	984.3	0	0	
Corp Note	127055AH4	CABOT CORP	General	2/8/2021 0:00	7/15/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	15000	1.03	15472.94	3.59	3.7
Corp Note	151020AY0	CELGENE CORP	General	1/26/2021 0:00	11/15/2027 0:00	8/15/2027 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11246.4	11312.74	3.13	3.45
Equities	15117X105	CELLNEX TELECOM SAU--UNSP AD (CLLNY)	General	2/12/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1194.16	1234.62	0	0	
Equities	15117X105	CELLNEX TELECOM SAU--UNSP AD (CLLNY)	General	3/9/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1041.13	1169.64	0	0	
Equities	12541W209	CH ROBINSON WORLDWIDE INC (CHRW)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2800.34	2494.38	0	0	
Equities	12541W209	CH ROBINSON WORLDWIDE INC (CHRW)	General	1/27/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2231.69	2048.96	0	0	
Equities	12541W209	CH ROBINSON WORLDWIDE INC (CHRW)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	487.75	445.43	0	0	
Equities	159864107	CHARLES RIVER LABS (CRL)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2162.08	3231.76	0	0	
Equities	159864107	CHARLES RIVER LABS (CRL)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1348.48	1615.88	0	0	
Equities	163086101	CHEFS WAREHOUSE HOLDINGS LL (CHEF)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1058.29	1039.68	0	0	
Equities	163086101	CHEFS WAREHOUSE HOLDINGS LL (CHEF)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1288	1188.2	0	0	
Equities	171340102	CHURCH & DWIGHT INC (CHD)	General	3/2/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2512.22	2509.08	0	0	
Equities	171340102	CHURCH & DWIGHT INC (CHD)	General	3/9/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1885.62	1903.44	0	0	
Equities	171779309	CIENA CORP (CIEN)	General	2/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2456.42	2603.7	0	0	
Equities	171779309	CIENA CORP (CIEN)	General	2/11/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2069.18	2372.26	0	0	
Corp Note	125509BV0	CIGNA CORP	General	2/2/2021 0:00	10/15/2027 0:00	7/15/2027 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	10982.9	10940.34	2.82	3.05
Equities	172908105	CINTAS CORP (CTAS)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2283.44	2763.6	0	0	
Equities	172908105	CINTAS CORP (CTAS)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1726.23	1974	0	0	
Equities	17275R102	CISCO SYSTEMS INC (CSCO)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2581.82	3132.72	0	0	
Equities	17275R102	CISCO SYSTEMS INC (CSCO)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1792	1923.6	0	0	
Corp Note	172967KY6	CITIGROUP INC	General	5/3/2021 0:00	10/21/2026 0:00	7/21/2026 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11071.9	10987.28	2.94	3.2

Corp Note 181059VF0	CLARK CNTY NEV SCH DIS		2/5/2021 0:00	6/15/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	25000	1.04	26136.5	4.81	5
Corp Note 126117AV)	CNA FINANCIAL CORP	General	5/3/2021 0:00	5/1/2029 0:00	2/1/2029 0:00	TD Amerit Self Managed	Other	Hudson O:	20000	20138.4	20672.92	3.42	3.9
Corp Note 12592BAH7	CNH INDUSTRIAL CAPI		2/8/2021 0:00	4/5/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	50000	1.03	51996.61	4.27	4.38
Equities 191216100	COCA COLA CO (KO)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		294.03	341.49	0	0
Equities 191216100	COCA COLA CO (KO)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		543	569.15	0	0
Equities 192446102	COGNIZANT TECH SOLUTIONS CO (CTSH)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2083.12	1930.5	0	0
Equities 192446102	COGNIZANT TECH SOLUTIONS CO (CTSH)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1286.67	1188	0	0
Corp Note 200340AT4	COMERICA INC	General	5/3/2021 0:00	2/1/2029 0:00	11/3/2028 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11672	11794.03	3.45	4
Equities 20449X401	COMPASS GROUP PLC SP ADR (CMPGY)	General	3/31/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		212.3	219.54	0	0
Equities 20449X401	COMPASS GROUP PLC SP ADR (CMPGY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		506.12	461.03	0	0
Equities 20449X401	COMPASS GROUP PLC SP ADR (CMPGY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		467.9	461.03	0	0
Equities 20449X401	COMPASS GROUP PLC SP ADR (CMPGY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		377.89	373.22	0	0
Equities 20449X401	COMPASS GROUP PLC SP ADR (CMPGY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		645.24	636.67	0	0
Equities 20449X401	COMPASS GROUP PLC SP ADR (CMPGY)	General	5/4/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		381.09	373.22	0	0
Equities 20449X401	COMPASS GROUP PLC SP ADR (CMPGY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		230.75	241.49	0	0
Equities 216648402	COOPER COS INC (COO)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2294.73	2503.17	0	0
Equities 216648402	COOPER COS INC (COO)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1642.88	1668.78	0	0
Equities 217204106	COPART INC (CPRT)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2463.56	3242.03	0	0
Equities 217204106	COPART INC (CPRT)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1618.44	1915.75	0	0
Equities 13645T100	CP RAILWAY (CP)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		351.65	369.8	0	0
Equities 13645T100	CP RAILWAY (CP)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1492.6	1479.2	0	0
Equities 13645T100	CP RAILWAY (CP)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		370.35	369.8	0	0
Equities 13645T100	CP RAILWAY (CP)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		369.39	369.8	0	0
Equities 13645T100	CP RAILWAY (CP)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		361.89	369.8	0	0
Equities 13645T100	CP RAILWAY (CP)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		356.37	369.8	0	0
Equities 12626K203	CRH PLC (CRH)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		302.71	352.03	0	0
Equities 12626K203	CRH PLC (CRH)	General	6/4/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		382.7	402.32	0	0
Equities 12626K203	CRH PLC (CRH)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1480.25	1558.99	0	0
Equities 12626K203	CRH PLC (CRH)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		94.19	100.58	0	0
Equities 12626K203	CRH PLC (CRH)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		284.26	301.74	0	0
Equities 12626K203	CRH PLC (CRH)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		269.7	301.74	0	0
Equities 12626K203	CRH PLC (CRH)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		311.23	352.03	0	0
Equities 12626K203	CRH PLC (CRH)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		303.38	352.03	0	0
Equities 12626K203	CRH PLC (CRH)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		250.37	301.74	0	0
Equities 25243Q205	DIAGEO PLC SPONS ADR (DEO)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2402.7	2962.8	0	0
Equities 25243Q205	DIAGEO PLC SPONS ADR (DEO)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1793.65	1975.2	0	0
Equities 253868103	DIGITAL REALTY TRUST INC (DLR)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		8462.08	9095.15	0	0
Equities 253868103	DIGITAL REALTY TRUST INC (DLR)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		6785.89	6782.82	0	0
Equities 254543101	DIODES INC (DIOD)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2488	2555.2	0	0
Equities 254543101	DIODES INC (DIOD)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1917.63	1996.25	0	0
Corp Note 25460CAA1	DIRECTV HOLDINGS LL	General	1/26/2021 0:00	1/15/2025 0:00	10/15/2024 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	10988.6	10639.99	3.69	3.95
Corp Note 254709AG)	DISCOVER FINANCIAL		2/3/2021 0:00	4/27/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	50000	1.04	52449.72	5.02	10.25
Equities 264120106	DUCK CREEK TECHNOLOGIES INC (DCT)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		4135	3554.4	0	0
Equities 264120106	DUCK CREEK TECHNOLOGIES INC (DCT)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2452.04	2621.37	0	0
Corp Note 28176EAD0	EDWARDS LIFESCIENCES	General	5/3/2021 0:00	6/15/2028 0:00	3/15/2028 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11733	11692.61	3.67	4.3
Equities N14506104	ELASTIC NV (ESTC)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2284.62	2083.76	0	0
Equities N14506104	ELASTIC NV (ESTC)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1205.65	1488.4	0	0
Equities 268353109	ENERGIAS DE PORTUGAL SA (EDPFY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2657.15	2275.98	0	0
Equities 268353109	ENERGIAS DE PORTUGAL SA (EDPFY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1518.41	1463.13	0	0
Equities 29362U104	ENTEGRIS INC (ENTG)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2489.43	2738.61	0	0
Equities 29362U104	ENTEGRIS INC (ENTG)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1802.5	1905.12	0	0
Corp Note 294429AL9	EQUIFAX INC	General	1/26/2021 0:00	6/1/2026 0:00	3/1/2026 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11040.1	10818.39	3.01	3.25
Corp Note 294429AP0	EQUIFAX INC		2/8/2021 0:00	8/15/2021 0:00		TD Amerit Self Managed	Other	Hudson O:	15000	1	15036.24	1.03	1.03
Equities 29786A106	ETSY INC (ETSY)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2540.04	2418.48	0	0
Equities 29786A106	ETSY INC (ETSY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1788.98	1813.86	0	0
Corp Note 30161MAS2	EXELON GENERATION CO	General	1/26/2021 0:00	6/1/2025 0:00	5/1/2025 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	10902.8	10866.5	3.01	3.25
Corp Note 30219GAN8	EXPRESS SCRIPTS HOLDI	General	5/3/2021 0:00	3/1/2027 0:00		TD Amerit Self Managed	Other	Hudson O:	10000	11091.5	11180.54	3.1	3.4
Equities 30231G102	EXXON MOBIL CORP (XOM)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		6069.24	7607.78	0	0
Equities 30231G102	EXXON MOBIL CORP (XOM)	General	3/10/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		5499.55	5602.63	0	0
Equities 30231G102	EXXON MOBIL CORP (XOM)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		923.4	884.63	0	0
Equities 30303M102	FACEBOOK INC CL A (FB)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		10086.48	12945.24	0	0
Equities 30303M102	FACEBOOK INC CL A (FB)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		8148.75	8989.75	0	0
Equities 303075105	FACTSET RESH SYS INC (FDS)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		1576.95	1728.3	0	0
Equities 303075105	FACTSET RESH SYS INC (FDS)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am		2021.82	2073.96	0	0



Equities	303075105	FACTSET RESH SYS INC (FDS)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	630.58	691.32	0	0	
Equities	307305102	FANUC CORP UNSP ADR (FANUY)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2532.92	2280	0	0	
Equities	307305102	FANUC CORP UNSP ADR (FANUY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1600.85	1656	0	0	
Corp Note	313747AZ0	FEDERAL REALTY INVES	General	4/15/2021 0:00	6/15/2029 0:00	3/15/2029 0:00	TD Amerit Self Managed	Other	Hudson O:	20000	21176.2	21842.17	2.94	3.2
Corp Note	31620RAH8	FIDELITY NATIONAL FI	General	5/3/2021 0:00	8/15/2028 0:00	5/15/2028 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11694.8	11779.91	3.87	4.5
Corp Note	31620RAF2	FIDELITY NATIONAL FIN	General	4/12/2021 0:00	9/1/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	30000	1.05	32329.9	5.22	5.5
Equities	33616C100	FIRST REPUBLIC BANK (FRC)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2463.91	3167.52	0	0	
Equities	33616C100	FIRST REPUBLIC BANK (FRC)	General	3/5/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1480.48	1583.76	0	0	
Corp Note	337738AR9	FISERV INC	General	1/26/2021 0:00	10/1/2028 0:00	7/1/2028 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11768.5	11721.03	3.61	4.2
Equities	339750101	FLOOR & DECOR HOLDINGS INC (FND)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2465.74	3008.5	0	0	
Corp Note	34354PAC9	FLOWERVE CORP	General	2/8/2021 0:00	9/15/2022 0:00	6/15/2022 0:00	TD Amerit Self Managed	Other	Hudson O:	50000	1.03	51906.2	3.41	3.5
Equities	3.50E+113	FORTINET INC (FTNT)	General	3/10/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2448.72	4380.08	0	0	
Equities	3.50E+113	FORTINET INC (FTNT)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1231.86	1642.53	0	0	
Equities	35138V102	FOX FACTORY HOLDING CORP (FOXF)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	7184.09	9227.16	0	0	
Equities	35138V102	FOX FACTORY HOLDING CORP (FOXF)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6739.26	7122.72	0	0	
Equities	35138V102	FOX FACTORY HOLDING CORP (FOXF)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	503.94	647.52	0	0	
Equities	363576109	GALLAGHER ARTHUR J & CO (AJG)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6696.37	7904.76	0	0	
Equities	363576109	GALLAGHER ARTHUR J & CO (AJG)	General	5/19/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6355.36	6101.92	0	0	
Equities	366651107	GARTNER INC (IT)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2210.53	3669.4	0	0	
Equities	366651107	GARTNER INC (IT)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1972.1	2621	0	0	
Corp Note	361448AN3	GATX CORP	General	2/2/2021 0:00	6/15/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	50000	1.04	52145.93	4.58	4.75
Equities	368736104	GENERAC HOLDINGS INC (GNRC)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2680	4289.5	0	0	
Equities	368736104	GENERAC HOLDINGS INC (GNRC)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1295.34	1715.8	0	0	
Equities	369550108	GENERAL DYNAMICS CORP (GD)	General	6/21/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1528.67	1968.4	0	0	
Equities	369550108	GENERAL DYNAMICS CORP (GD)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1718.91	1771.56	0	0	
Equities	369550108	GENERAL DYNAMICS CORP (GD)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	458.48	590.52	0	0	
Corp Note	369604BD4	GENERAL ELECTRIC CO	General	7/14/2021 0:00	10/9/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	20000	1.03	20696.92	2.63	2.7
Corp Note	37045XBY1	GENERAL MOTORS FINA	General	2/2/2021 0:00	6/30/2022 0:00	5/30/2022 0:00	TD Amerit Self Managed	Other	Hudson O:	50000	1.02	51278.83	3.08	3.15
Corp Note	37045XDG8	GENERAL MOTORS FINAN	General	5/3/2021 0:00	3/8/2024 0:00		TD Amerit Self Managed	Other	Hudson O:	10000	10015	10127.4	1.04	1.05
Equities	375558103	GILEAD SCIENCES INC (GILD)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5210.21	5386.92	0	0	
Equities	375558103	GILEAD SCIENCES INC (GILD)	General	4/30/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4789.5	5247	0	0	
Equities	37636P108	GIVAUDEN SA (GVDNY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1179.04	1380.12	0	0	
Equities	37636P108	GIVAUDEN SA (GVDNY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1350.09	1577.28	0	0	
Corp Note	38141E5C9	GOLDMAN SACHS GROUP	General	1/26/2021 0:00	8/15/2025 0:00		TD Amerit Self Managed	Other	Hudson O:	20000	23154.2	22214.85	4.44	5.1
Equities	38267D109	GOOSEHEAD INSURANCE INC CL (GSHD)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2254.64	1921.51	0	0	
Equities	38267D109	GOOSEHEAD INSURANCE INC CL (GSHD)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1207.69	1243.33	0	0	
Equities	38267D109	GOOSEHEAD INSURANCE INC CL (GSHD)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	661.4	565.15	0	0	
Corp Note	38376AAB9	GOVERNMENT PROPERTIES	General	2/3/2021 0:00	7/15/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	25000	1.03	25780.44	4	4
Corp Note	38376AAB9	GOVERNMENT PROPERTIES	General	2/3/2021 0:00	7/15/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	10000	1.03	10312.18	4	4
Equities	39874R101	GROCERY OUTLET HOLDING CORP (GO)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1922.96	1597.06	0	0	
Equities	39874R101	GROCERY OUTLET HOLDING CORP (GO)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1333.84	1121.34	0	0	
Corp Note	4067922Y6	HAMDEN CONN	General	2/8/2021 0:00	8/15/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	10000	1.04	10622.9	4.11	4.25
Equities	422806208	HEICO CORP CL A (HEI.A)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3499.12	3499.12	0	0	
Equities	422806208	HEICO CORP CL A (HEI.A)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2403.03	2403.03	0	0	
Corp Note	42824CAW9	HEWLETT PACKARD ENTERPRI	General	5/3/2021 0:00	10/15/2025 0:00	7/15/2025 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11673.7	11563.45	3.89	4.05
Corp Note	428236BX0	HEWLETT-PACKARD CO	General	2/5/2021 0:00	9/15/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	50000	1.04	52733.93	3.89	4.05
Equities	437076102	HOME DEPOT INC (HD)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	761.46	980.27	0	0	
Equities	437076102	HOME DEPOT INC (HD)	General	6/25/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	615.21	653.51	0	0	
Equities	437076102	HOME DEPOT INC (HD)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	7765.32	7842.14	0	0	
Equities	437076102	HOME DEPOT INC (HD)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	8331.17	10129.44	0	0	
Equities	G46188101	HORIZON THERAPEUTICS PLC (HZNP)	General	4/20/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2388.6	3220.59	0	0	
Equities	G46188101	HORIZON THERAPEUTICS PLC (HZNP)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1439.4	1558.35	0	0	
Equities	443251103	HOYA CORP (HOCOPY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2008.18	2142.45	0	0	
Equities	443251103	HOYA CORP (HOCOPY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1720.7	2142.45	0	0	
Equities	446413106	HUNTINGTON INGALLS INDUSTRI (HII)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2489.55	3107.55	0	0	
Equities	446413106	HUNTINGTON INGALLS INDUSTRI (HII)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1273.59	1243.02	0	0	
Equities	G4705A100	ICON PLC (ICLR)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2356.46	2611.02	0	0	
Equities	G4705A100	ICON PLC (ICLR)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1967.13	2136.29	0	0	
Equities	45167R104	IDEX CORP (IEX)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2499.76	2855.06	0	0	
Equities	45167R104	IDEX CORP (IEX)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1579.34	1537.34	0	0	
Equities	452327109	ILLUMINA INC (ILMN)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2538.14	2957.4	0	0	
Equities	452327109	ILLUMINA INC (ILMN)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3157.36	3943.2	0	0	
Equities	455793109	INDUSTRIA UNSP ADR REPR 1/2 (IDEXY)	General	5/3/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1647.32	1829.06	0	0	
Equities	455793109	INDUSTRIA UNSP ADR REPR 1/2 (IDEXY)	General	1/26/2021 0:00			TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1254.89	1179.49	0	0	

Equities	45841N107	INTERACTIVE BROKER GROUP IN (IBKR)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4453.72	4129.21	0	0		
Equities	45841N107	INTERACTIVE BROKER GROUP IN (IBKR)	General	3/10/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3043.74	2588.46	0	0		
Equities	45841N107	INTERNATIONAL BUSINESS MACH (IBM)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6559.85	7826.23	0	0		
Equities	45841N107	INTERNATIONAL BUSINESS MACH (IBM)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3451.44	3415.08	0	0		
Equities	46115H107	INTESA SANPAOLO SPA (ISNPY)	General	4/30/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1003.52	973.24	0	0		
Equities	459506101	INTL FLAVORS & FRAGRANCES I (IFF)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1503.45	1938.24	0	0		
Equities	459506101	INTL FLAVORS & FRAGRANCES I (IFF)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1290.42	1341.86	0	0		
Equities	46120E602	INTUITIVE SURGICAL INC (ISRG)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	763.65	976.51	0	0		
Equities	46120E602	INTUITIVE SURGICAL INC (ISRG)	General	6/16/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1746.94	1953.02	0	0		
Equities	46120E602	INTUITIVE SURGICAL INC (ISRG)	General	4/30/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1526.9	1953.02	0	0		
Corp Note	46613SCG3	JEA FLA ELEC SYS REV	General	2/5/2021 0:00	10/1/2021 0:00	TD Amerit Self Managed	Other	Hudson O;	50000	1.01	51256.5	4.96	5
Equities	478160104	JOHNSON & JOHNSON (JNJ)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	10592.45	10620.6	0	0		
Equities	478160104	JOHNSON & JOHNSON (JNJ)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	7362	7708.5	0	0		
Equities	478160104	JOHNSON & JOHNSON (JNJ)	General	4/30/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1274.32	1370.4	0	0		
Equities	46641Q654	JPMORGAN ULTRA-SHORT MUNICI (JMST)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	34666.35	34693.51	0	0		
Equities	46641Q654	JPMORGAN ULTRA-SHORT MUNICI (JMST)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	22313.22	22328.52	0	0		
Equities	46641Q654	JPMORGAN ULTRA-SHORT MUNICI (JMST)	General	4/30/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	408.56	408.76	0	0		
Equities	48214T305	JUST EAT TAKEAWAY.COM NV SP (GRUB)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1845.59	1430.33	0	0		
Equities	48214T305	JUST EAT TAKEAWAY.COM NV SP (GRUB)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1101.05	921.38	0	0		
Equities	48241F104	KBC GROEP NV (KBCSY)	General	4/30/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1296.47	1461.69	0	0		
Equities	48241F104	KBC GROEP NV (KBCSY)	General	3/19/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	981.45	1015.06	0	0		
Equities	49338L103	KEYSIGHT TECHNOLOGIES INC (KEYS)	General	4/30/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2481.25	2755.02	0	0		
Equities	49338L103	KEYSIGHT TECHNOLOGIES INC (KEYS)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1890.85	2106.78	0	0		
Equities	494368103	KIMBERLY CLARK CORP (KMB)	General	4/30/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5939.2	5931.2	0	0		
Equities	494368103	KIMBERLY CLARK CORP (KMB)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4132.3	4178.8	0	0		
Equities	502117203	L OREAL CO ADR (LRLCY)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2281.96	2839.6	0	0		
Equities	502117203	L OREAL CO ADR (LRLCY)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2391.5	2656.4	0	0		
Equities	524671104	LEGRAND SA UNSP ADR (LGRDY)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2047.95	2313.15	0	0		
Equities	524671104	LEGRAND SA UNSP ADR (LGRDY)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1491.89	1652.25	0	0		
Equities	G5494J103	LINDE PUBLIC LIMITED COMPAN (LIN)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2045.44	2394.56	0	0		
Equities	G5494J103	LINDE PUBLIC LIMITED COMPAN (LIN)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1999.83	2095.24	0	0		
Equities	54211N101	LONDON STOCK EXCHANGE GROUP (LNSTY)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3223.99	3223.99	0	0		
Equities	54211N101	LONDON STOCK EXCHANGE GROUP (LNSTY)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	718.92	718.92	0	0		
Equities	54211N101	LONDON STOCK EXCHANGE GROUP (LNSTY)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1819.95	1819.95	0	0		
Equities	502441306	LVMH MOET HENNESSY LOUIS VU (LVMUY)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2511.7	3261.7	0	0		
Equities	502441306	LVMH MOET HENNESSY LOUIS VU (LVMUY)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2125.89	2283.19	0	0		
Equities	57060D108	MARKETAXESS HLDGS INC (MKTX)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3531.48	3282.41	0	0		
Equities	57060D108	MARKETAXESS HLDGS INC (MKTX)	General	3/10/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2942.22	2813.49	0	0		
Equities	574599106	MASCO CORP (MAS)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2480.72	2599.08	0	0		
Equities	574599106	MASCO CORP (MAS)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1533.12	1417.68	0	0		
Equities	57636Q104	MASTERCARD INC -A- (MA)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1315.36	1569.16	0	0		
Equities	57636Q104	MASTERCARD INC -A- (MA)	General	7/28/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4582.2	4707.48	0	0		
Equities	57636Q104	MASTERCARD INC -A- (MA)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4274.6	5099.77	0	0		
Equities	579780206	MCCORMICK & CO INC COM NON (MKC)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	11016.72	9850.23	0	0		
Equities	579780206	MCCORMICK & CO INC COM NON (MKC)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	7491.58	6987.77	0	0		
Equities	580135101	MCDONALDS CORP (MCD)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	8318.29	9528.48	0	0		
Equities	580135101	MCDONALDS CORP (MCD)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5900.75	6108	0	0		
Equities	58450V104	MEDIAALPHA INC (MAX)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3373.07	2418.62	0	0		
Equities	58450V104	MEDIAALPHA INC (MAX)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1149.54	885.69	0	0		
Equities	G5960L103	MEDTRONIC PLC (MDT)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2226.39	2469.43	0	0		
Equities	G5960L103	MEDTRONIC PLC (MDT)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1701.44	1689.61	0	0		
Equities	58933Y105	MERCK & CO INC (MRK)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	14768.7	14543.68	0	0		
Corp Note	59259YPE3	METROPOLITAN TRANSN A	General	2/3/2021 0:00	11/15/2021 0:00	TD Amerit Self Managed	Other	Hudson O;	45000	1.01	45822.6	4.93	5
Equities	592688105	METTLER-TOLEDO INTL INC (MTD)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1228.47	1496.61	0	0		
Equities	592688105	METTLER-TOLEDO INTL INC (MTD)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2637.4	2993.21	0	0		
Equities	594918104	MICROSOFT CORP (MSFT)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	13437.07	16616.26	0	0		
Equities	594918104	MICROSOFT CORP (MSFT)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	10893.62	12318.95	0	0		
Equities	609839105	MONOLITHIC POWER SYSTEMS IN (MPWR)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2331.21	2586.6	0	0		
Equities	609839105	MONOLITHIC POWER SYSTEMS IN (MPWR)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1811.25	2155.5	0	0		
Equities	61022V107	MONOTARO CO LTD (MONOY)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1190.75	1105.84	0	0		
Equities	61022V107	MONOTARO CO LTD (MONOY)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	257.15	240.4	0	0		
Equities	61022V107	MONOTARO CO LTD (MONOY)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	465.27	408.68	0	0		
Equities	617700109	MORNINGSTAR INC (MORN)	General	1/26/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3749.6	3912	0	0		
Equities	617700109	MORNINGSTAR INC (MORN)	General	5/3/2021 0:00	TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2382.75	2200.5	0	0		

Equities	55354G100	MSCI INC CL A (MSCI)	General	3/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2006.07	2955.35	0	0		
Equities	55354G100	MSCI INC CL A (MSCI)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	973.96	1182.14	0	0		
Corp Note	63165TZM0	NASSAU CNTY N Y		2/5/2021 0:00	10/1/2022 0:00	TD Amerit Self Managed	Other	Hudson O:	25000	1.05	26830	4.74	5	
Equities	63947U107	NCINO INC (NCNO)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2892.36	2440.74	0	0		
Equities	63947U107	NCINO INC (NCNO)	General	3/10/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2167.77	2119.59	0	0		
Equities	641069406	NESTLE S A SPONS ADR (NSRGY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3852.26	4127.97	0	0		
Equities	641069406	NESTLE S A SPONS ADR (NSRGY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3229.4	3377.43	0	0		
Equities	641069406	NESTLE S A SPONS ADR (NSRGY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	673.48	750.54	0	0		
Equities	64110L106	NETFLIX INC (NFLX)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2718.54	2595.7	0	0		
Equities	64110L106	NETFLIX INC (NFLX)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3588.55	3633.98	0	0		
Equities	64110L106	NETFLIX INC (NFLX)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1043.18	1038.28	0	0		
Equities	64125C109	NEUROCRINE BIOSCIENCES INC (NBIX)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2493.54	1981.98	0	0		
Equities	64125C109	NEUROCRINE BIOSCIENCES INC (NBIX)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2371.75	2359.5	0	0		
Equities	65339F101	NEXTERA ENERGY INC (NEE)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	8991.26	8086	0	0		
Equities	65339F101	NEXTERA ENERGY INC (NEE)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6926.87	6919.75	0	0		
Equities	65339F101	NEXTERA ENERGY INC (NEE)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	896.7	933	0	0		
Equities	654090109	NIDEC CORP (NJDCY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1873.61	1497.78	0	0		
Equities	654090109	NIDEC CORP (NJDCY)	General	3/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1209.89	1158.66	0	0		
Equities	654106103	NIKE INC CL B (NKE)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5443.72	6655.8	0	0		
Equities	654106103	NIKE INC CL B (NKE)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3067.51	3827.09	0	0		
Equities	654445303	NINTENDO LTD ADR (NTDOY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2490.11	2210.01	0	0		
Equities	654445303	NINTENDO LTD ADR (NTDOY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2176.7	2009.1	0	0		
Corp Note	65819WAP8	NORTH CAROLINA EAST		2/2/2021 0:00	7/1/2022 0:00	TD Amerit Self Managed	Other	Hudson O:	15000	1.03	15525.45	3.47	3.56	
Equities	670100205	NOVO-NORDISK A S ADR (NVO)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4907.08	6123.8	0	0		
Equities	670100205	NOVO-NORDISK A S ADR (NVO)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3377.7	4113	0	0		
Equities	629445206	NVE CORP (NVEC)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	423.96	469.38	0	0		
Equities	629445206	NVE CORP (NVEC)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	310.92	312.92	0	0		
Equities	674488101	OCADO GROUP PLC - SP ADR (OCDDY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	941.67	632.76	0	0		
Equities	674488101	OCADO GROUP PLC - SP ADR (OCDDY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	860.81	738.22	0	0		
Equities	681116109	OLLIE'S BARGAIN OUTLET HOLD (OLLI)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6989.67	6781.73	0	0		
Equities	681116109	OLLIE'S BARGAIN OUTLET HOLD (OLLI)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5259.39	5223.77	0	0		
Equities	68134L109	OLO INC CL A (OLO)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	837.75	993.72	0	0		
Equities	68134L109	OLO INC CL A (OLO)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	605.43	745.29	0	0		
Equities	682680103	ONEOK INC (OKE)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4759.68	5986.23	0	0		
Equities	682680103	ONEOK INC (OKE)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4231.2	4314.4	0	0		
Equities	68376D104	OPORTUN FINANCIAL CORPORATI (OPRT)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	601.78	764.82	0	0		
Equities	68376D104	OPORTUN FINANCIAL CORPORATI (OPRT)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	433.4	424.9	0	0		
Equities	68389X105	ORACLE CORP (ORCL)	General	5/11/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3487.42	4993.77	0	0		
Equities	68389X105	ORACLE CORP (ORCL)	General	5/12/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2517.24	2891.13	0	0		
Equities	70432V102	PAYCOM SOFTWARE INC (PAYC)	General	5/13/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	812.92	797.68	0	0		
Equities	70432V102	PAYCOM SOFTWARE INC (PAYC)	General	6/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3481.02	3589.56	0	0		
Equities	70450Y103	PAYPAL HOLDINGS INC (PYPL)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4681.55	5424.6	0	0		
Equities	70450Y103	PAYPAL HOLDINGS INC (PYPL)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3447.08	3711.57	0	0		
Corp Note	712704AA3	PEOPLES UNITED FINA		6/9/2021 0:00	12/6/2022 0:00	6/15/2022 0:00	TD Amerit Self Managed	Other	Hudson O:	25000	1.04	25899.52	3.53	3.65
Equities	713448108	PEPSICO INC (PEP)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1546.81	1720.46	0	0		
Equities	713448108	PEPSICO INC (PEP)	General	3/8/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1014.93	1094.84	0	0		
Corp Note	718546AR5	PHILLIPS 66		1/26/2021 0:00	3/15/2028 0:00	12/15/2027 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11413.7	11410.68	3.45	3.9
Equities	72341E304	PING AN INS GROUP CO CHINA (PNGAY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1032.66	708.69	0	0		
Equities	72341E304	PING AN INS GROUP CO CHINA (PNGAY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1653.87	1313.66	0	0		
Equities	73278L105	POOL CORP (POOL)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2194.03	2809.08	0	0		
Equities	73278L105	POOL CORP (POOL)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1700	1872.72	0	0		
Corp Note	735000RA1	PORT OAKLAND CALIF REV		2/3/2021 0:00	5/1/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	50000	1.03	52450	4.84	5
Corp Note	735389WA2	PORT SEATTLE WASH REV		2/8/2021 0:00	4/1/2022 0:00		TD Amerit Self Managed	Other	Hudson O:	15000	1.03	15718.8	4.85	5
Corp Note	73755LAN7	POTASH CORPORATION OF	General	1/26/2021 0:00	12/15/2026 0:00	9/15/2026 0:00	TD Amerit Self Managed	Other	Hudson O:	10000	11316	10872.99	3.62	4
Equities	741511109	PRICESMART INC (PSMT)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1153.26	1153.26	0	0		
Equities	741511109	PRICESMART INC (PSMT)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	592.06	592.06	0	0		
Equities	742718109	PROCTER & GAMBLE CO (PG)	General	4/5/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1455.42	1527.68	0	0		
Equities	742718109	PROCTER & GAMBLE CO (PG)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	939.26	972.16	0	0		
Equities	747525103	QUALCOMM INC (QCOM)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	11892.03	10953.65	0	0		
Equities	747525103	QUALCOMM INC (QCOM)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6565.9	7052.35	0	0		
Equities	74758T303	QUALYS INC (QLYS)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2359.1	1833.48	0	0		
Equities	74758T303	QUALYS INC (QLYS)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2537.75	2546.5	0	0		
Equities	754730109	RAYMOND JAMES FINL INC (RJF)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2516.16	3247.63	0	0		
Equities	754730109	RAYMOND JAMES FINL INC (RJF)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1061.84	1039.24	0	0		

Equities	7.55E+105	RAYTHEON TECHNOLOGIES CORPO (RTX)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2154.66	2737.92	0	0		
Equities	7.55E+105	RAYTHEON TECHNOLOGIES CORPO (RTX)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	255.17	264.96	0	0		
Equities	7.55E+105	RAYTHEON TECHNOLOGIES CORPO (RTX)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2011.2	2119.68	0	0		
Equities	756109104	REALTY INCOME CORPORATION (O)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5842.71	6785.28	0	0		
Equities	756109104	REALTY INCOME CORPORATION (O)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5678.5	5795.76	0	0		
Equities	756109104	REALTY INCOME CORPORATION (O)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1915.93	2191.08	0	0		
Equities	756255204	RECKITT BENCKISER-SPON ADR (RBGLY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1383.53	1226.16	0	0		
Equities	756255204	RECKITT BENCKISER-SPON ADR (RBGLY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	587.52	503.04	0	0		
Equities	756255204	RECKITT BENCKISER-SPON ADR (RBGLY)	General	7/20/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	434.75	361.56	0	0		
Equities	756291101	RECRUIT HOLDINGS CO LTD UNS (RCRUY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	955.55	1072.02	0	0		
Equities	756291101	RECRUIT HOLDINGS CO LTD UNS (RCRUY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1066.69	1072.02	0	0		
Equities	756291101	RECRUIT HOLDINGS CO LTD UNS (RCRUY)	General	4/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	483.93	557.03	0	0		
Equities	756291101	RECRUIT HOLDINGS CO LTD UNS (RCRUY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	994.56	1124.57	0	0		
Equities	760125104	RENTOKIL GRP PLC SPONS ADR (RTOKY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1976.53	2143.8	0	0		
Equities	760125104	RENTOKIL GRP PLC SPONS ADR (RTOKY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1568.28	1707.1	0	0		
Equities	760759100	REPUBLIC SVCS INC (RSG)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	9570.15	11841.18	0	0		
Equities	760759100	REPUBLIC SVCS INC (RSG)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6622.84	7197.58	0	0		
Equities	761152107	RESMED INC (RMD)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	667.77	805.5	0	0		
Equities	761152107	RESMED INC (RMD)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2095.5	2953.5	0	0		
Equities	761152107	RESMED INC (RMD)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2002.61	2416.5	0	0		
Equities	76657Y101	RIGHTMOVE PLC UNSP ADR (RTMVY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5034.62	5482.45	0	0		
Equities	76657Y101	RIGHTMOVE PLC UNSP ADR (RTMVY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3861.09	4045.67	0	0		
Equities	771195104	ROCHE HLDG SP ADR (RHHBY)	General	7/21/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2647.11	2778.2	0	0		
Equities	771195104	ROCHE HLDG SP ADR (RHHBY)	General	6/4/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2816.03	3257.2	0	0		
Equities	771195104	ROCHE HLDG SP ADR (RHHBY)	General	6/1/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	546.63	622.7	0	0		
Equities	771195104	ROCHE HLDG SP ADR (RHHBY)	General	5/13/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1013.92	1197.5	0	0		
Corp Note	7716941X2	ROCHESTER N Y		2/5/2021 0:00	8/1/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	30000	1.05	32179.5	4.77	5	
Corp Note	778296AC7	ROSS STORES INC	General	5/3/2021 0:00	4/15/2027 0:00	2/15/2027 0:00	TD Amerit Self Managed	Other	Hudson O;	10000	11764	11748.26	4.03	4.6
Equities	79466L302	SALESFORCE.COM INC (CRM)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6552.68	7069.91	0	0		
Equities	79466L302	SALESFORCE.COM INC (CRM)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4846.17	5119.59	0	0		
Equities	806857108	SCHLUMBERGER LTD (SLB)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1857.74	1724.4	0	0		
Equities	806857108	SCHLUMBERGER LTD (SLB)	General	4/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	581.21	475.7	0	0		
Equities	806857108	SCHLUMBERGER LTD (SLB)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	690.8	624.35	0	0		
Equities	81141R100	SEA LIMITED ADR (SE)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	805.73	854.46	0	0		
Equities	81141R100	SEA LIMITED ADR (SE)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	287.97	284.82	0	0		
Equities	81141R100	SEA LIMITED ADR (SE)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	512	569.64	0	0		
Equities	81762P102	SERVICENOW INC (NOW)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5870.47	6510.19	0	0		
Equities	81762P102	SERVICENOW INC (NOW)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4059.68	4734.68	0	0		
Equities	824841407	SHISEIDO LTD SPONS ADR (SSDOY)	General	2/22/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1844.03	1858.68	0	0		
Equities	824841407	SHISEIDO LTD SPONS ADR (SSDOY)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1357.67	1239.12	0	0		
Equities	83088M102	SKYWORKS SOLS INC (SWKS)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2473.21	2930.63	0	0		
Equities	83088M102	SKYWORKS SOLS INC (SWKS)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1631.7	1758.38	0	0		
Equities	833034101	SNAP ON INC (SNA)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6260.1	7740.25	0	0		
Equities	833034101	SNAP ON INC (SNA)	General	2/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5987	5528.75	0	0		
Corp Note	84265VAH8	SOUTHERN COPPER CO	General	1/26/2021 0:00	4/23/2025 0:00		TD Amerit Self Managed	Other	Hudson O;	10000	11149.6	10999.07	3.56	3.88
Equities	78463M107	SPS COMMERCE INC (SPSC)	General	2/8/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1820.51	1820.51	0	0		
Equities	78463M107	SPS COMMERCE INC (SPSC)	General	2/12/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1348.75	1348.75	0	0		
Equities	855244109	STARBUCKS CORP (SBUX)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1242.84	1475.04	0	0		
Equities	855244109	STARBUCKS CORP (SBUX)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4267.95	4548.04	0	0		
Equities	855244109	STARBUCKS CORP (SBUX)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4660.29	5531.4	0	0		
Equities	G8473T100	STERIS PLC (STE)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2494.41	2789.54	0	0		
Equities	G8473T100	STERIS PLC (STE)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1702.72	1716.64	0	0		
Equities	G85158106	STONECO LTD CL A (STNE)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2103.22	1626.1	0	0		
Equities	G85158106	STONECO LTD CL A (STNE)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1104.66	987.28	0	0		
Equities	862121100	STORE CAPITAL CORPORATION (STOR)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	7874.68	8915.28	0	0		
Equities	862121100	STORE CAPITAL CORPORATION (STOR)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5389.5	5526	0	0		
Equities	866674104	SUN COMMUNITIES INC (SUI)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2539.02	3316.02	0	0		
Equities	866674104	SUN COMMUNITIES INC (SUI)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1501.74	1755.54	0	0		
Equities	874039100	TAIWAN SEMICONDUCTOR MANUFA (TSM)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3328.51	3021.72	0	0		
Equities	874039100	TAIWAN SEMICONDUCTOR MANUFA (TSM)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1983.14	1975.74	0	0		
Equities	874054109	TAKE-TWO INTERACTIVE SOFTWA (TTWO)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2635.61	2201.42	0	0		
Equities	874054109	TAKE-TWO INTERACTIVE SOFTWA (TTWO)	General	3/10/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2105.76	2032.08	0	0		
Corp Note	875127BB7	TAMPA ELECTRIC CO		2/8/2021 0:00	9/15/2022 0:00	6/15/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	23000	1.02	23650.85	2.55	2.6
Equities	H84989104	TE CONNECTIVITY LTD (TEL)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	512.98	579.46	0	0		

Equities	H84989104	TE CONNECTIVITY LTD (TEL)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1882.3	2028.11		0	0	
Equities	H84989104	TE CONNECTIVITY LTD (TEL)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	394.46	434.6		0	0	
Equities	H84989104	TE CONNECTIVITY LTD (TEL)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	516.06	579.46		0	0	
Equities	H84989104	TE CONNECTIVITY LTD (TEL)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	640.03	724.33		0	0	
Equities	87816Y106	TEAMVIEWER AG UNSP ADR (TMVWY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2340.65	1572.3		0	0	
Equities	87816Y106	TEAMVIEWER AG UNSP ADR (TMVWY)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1494.52	1083.14		0	0	
Equities	879360105	TELEDYNE TECH INC (TDY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	775.02	901.22		0	0	
Equities	879360105	TELEDYNE TECH INC (TDY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1342.94	1351.83		0	0	
Equities	879360105	TELEDYNE TECH INC (TDY)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1550.04	1802.44		0	0	
Equities	87946F100	TELEPERFORMANCE (TLPFY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2101.7	2101.7		0	0	
Equities	87946F100	TELEPERFORMANCE (TLPFY)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1750.88	1750.88		0	0	
Equities	87974R208	TEMENOS AG (TMSNY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1426.72	1768.47		0	0	
Equities	87974R208	TEMENOS AG (TMSNY)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1042.88	1125.39		0	0	
Equities	88032Q109	TENCENT HOLDINGS LTD (TCEHY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2143.58	1418.69		0	0	
Equities	88032Q109	TENCENT HOLDINGS LTD (TCEHY)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1440.83	1110.28		0	0	
Equities	884903709	THOMSON REUTERS CORP (TRI)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1653.2	2106.8		0	0	
Equities	884903709	THOMSON REUTERS CORP (TRI)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1390.2	1580.1		0	0	
Corp Note	8873171AV7	TIME WARNER INC	General	5/3/2021 0:00	6/1/2024 0:00	TD Amerit Self Managed	Other	Hudson O;	10000	10740	10645.49	3.44	3.55	
Equities	889110102	TOKYO ELECTRON LTD (TOELY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	967.65	924.21		0	0	
Equities	889110102	TOKYO ELECTRON LTD (TOELY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2172.95	1951.11		0	0	
Corp Note	89236TEA9	TOYOTA MOTOR CREDIT CORP	General	2/8/2021 0:00	6/29/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	25000	1	24996.7	0.4	0.4	
Equities	904767704	UNILEVER PLC SPONS ADR (UL)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1517.34	1433.13		0	0	
Equities	904767704	UNILEVER PLC SPONS ADR (UL)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1409.06	1375.8		0	0	
Equities	904767704	UNILEVER PLC SPONS ADR (UL)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	656.11	687.9		0	0	
Equities	91324P102	UNITEDHEALTH GRP INC (UNH)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4185.72	4928.16		0	0	
Equities	91324P102	UNITEDHEALTH GRP INC (UNH)	General	3/10/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2790.94	2874.76		0	0	
Equities	90337L108	US PHYSICAL THERAPY (USPH)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1204.43	1067.22		0	0	
Equities	90337L108	US PHYSICAL THERAPY (USPH)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	900.28	948.64		0	0	
Equities	918204108	V F CORP (VFC)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	8147.68	7999.95		0	0	
Equities	918204108	V F CORP (VFC)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4638.3	4463.13		0	0	
Corp Note	92345YAC0	VERISK ANALYTICS I	General	2/3/2021 0:00	9/12/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	25000	1.04	26395.34	3.97	4.13	
Corp Note	92345YAC0	VERISK ANALYTICS I	General	2/3/2021 0:00	9/12/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	25000	1.04	26395.34	3.97	4.13	
Equities	92343V104	VERIZON COMM (VZ)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	7700.37	7565.4		0	0	
Equities	92343V104	VERIZON COMM (VZ)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	4451.76	4315.08		0	0	
Equities	92826C839	VISA INC (V)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	6065.79	7437.3		0	0	
Equities	92826C839	VISA INC (V)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3967.97	4214.47		0	0	
Corp Note	928563AB1	VMWARE INC	General	2/5/2021 0:00	8/21/2022 0:00	7/21/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	50000	1.03	51832.13	2.88	2.95
Corp Note	931422AH2	WALGREEN CO	General	4/16/2021 0:00	9/15/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	50000	1.03	52116.55	3.01	3.1	
Corp Note	931427AH1	WALGREENS BOOTS ALL	General	1/26/2021 0:00	11/18/2024 0:00	8/18/2024 0:00	TD Amerit Self Managed	Other	Hudson O;	10000	9812.25	9877.41	3.48	3.8
Corp Note	931427AH1	WALGREENS BOOTS ALL	General	5/3/2021 0:00	11/18/2024 0:00	8/18/2024 0:00	TD Amerit Self Managed	Other	Hudson O;	10000	12006.94	12072.39	3.48	3.8
Equities	931142103	WALMART INC (WMT)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1464.8	1422.3		0	0	
Equities	931142103	WALMART INC (WMT)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	839.64	853.38		0	0	
Equities	254687106	WALT DISNEY CO (DIS)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3774.15	3980.68		0	0	
Equities	254687106	WALT DISNEY CO (DIS)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2603.3	2533.16		0	0	
Equities	942622200	WATSCO INC CL A (WSO)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	7103.39	7812.14		0	0	
Equities	942622200	WATSCO INC CL A (WSO)	General	3/10/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5567.76	5301.1		0	0	
Equities	942622200	WATSCO INC CL A (WSO)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	969.27	1116.02		0	0	
Equities	92939U106	WEC ENERGY GROUP INC (WEC)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	8727.35	9321.35		0	0	
Equities	92939U106	WEC ENERGY GROUP INC (WEC)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5538.98	5366.84		0	0	
Corp Note	95000U2D4	WELLS FARGO & CO	General	1/26/2021 0:00	1/24/2029 0:00	10/24/2028 0:00	TD Amerit Self Managed	Other	Hudson O;	10000	11716.9	11575.82	3.58	4.15
Equities	955306105	WEST PHARM SVCS INC (WST)	General	4/30/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2393.08	3208.56		0	0	
Equities	955306105	WEST PHARM SVCS INC (WST)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1640.35	2005.35		0	0	
Corp Note	959802AZ2	WESTERN UNION CO	General	1/26/2021 0:00	3/15/2026 0:00	2/15/2026 0:00	TD Amerit Self Managed	Other	Hudson O;	16000	15895.84	16057.38	1.35	1.35
Equities	969904101	WILLIAMS SONOMA INC (WSM)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2506.48	3012.07		0	0	
Equities	969904101	WILLIAMS SONOMA INC (WSM)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1207.5	1109.71		0	0	
Equities	98161H101	WORLDRINE SA UNSP ADR (WRDLY)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1606.9	1716.84		0	0	
Equities	98161H101	WORLDRINE SA UNSP ADR (WRDLY)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	1193.22	1144.56		0	0	
Corp Note	986082WX9	YONKERS N Y	General	2/5/2021 0:00	9/1/2022 0:00	TD Amerit Self Managed	Other	Hudson O;	25000	1.05	26835.75	4.75	5	
Equities	989207105	ZEBRA TECH CORP CL A (ZBRA)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	2413.09	3306.93		0	0	
Equities	989207105	ZEBRA TECH CORP CL A (ZBRA)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	984.26	1102.31		0	0	
Equities	98978V103	ZOETIS INC (ZTS)	General	5/3/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	5894.06	7583.34		0	0	
Equities	98978V103	ZOETIS INC (ZTS)	General	1/26/2021 0:00		TD Amerit Self Managed	Other	Hudson Oaks/TD Am	3632.48	4304.06		0	0	

	Moody's	S&P	Fitch
AHL 4.65 11/15/23	Baa2	BBB	
BXP 4 ½ 12/01/28	Baa1	BBB+	
C 3.2 10/21/26	A3	BBB+	A
CI 3.05 10/15/27	Baa1	A-	BBB
CMA 4 02/01/29	A3	BBB+	A-
CNA 3.9 05/01/29	Baa2	A-	BBB+
DFS 5.2 04/27/22	Baa3	BBB-	BBB+
FNF 4 ½ 08/15/28	Baa2	BBB	BBB
FNF 5 ½ 09/01/22	Baa2	BBB	BBB
FRT 3.2 06/15/29	Baa1	A-	
GMT 4 ¾ 06/15/22	Baa2	BBB	
GS 5.1 08/15/25	A2	BBB+	A
OPI 4 07/15/22	Baa3	BBB-	
PBCT 3.65 12/06/22	Baa2	BBB+	
WFC 4.15 01/24/29	A1	BBB+	A+
Y 4.95 06/27/22	Baa1	BBB+	
ABBV 2.9 11/06/22	Baa2	BBB+	
ABBV 2.95 11/21/26	Baa2	BBB+	
BHI 3.337 12/15/27	A3	A-	
CBT 3.7 07/15/22	Baa2	BBB-	
CELG 3.45 11/15/27	WR	A+	WD
CI 3.4 03/01/27		A-	BBB
CNHI 4 ⅝ 04/05/22	Baa3	BBB	BBB-
DTV 3.95 01/15/25	WR	B	WD
EFX 3 ¼ 06/01/26	Baa2	BBB	
EW 4.3 06/15/28	Baa2	BBB	
FISV 4.2 10/01/28	Baa2	BBB	
FLS 3 ½ 09/15/22	Baa3	BBB-	BBB-
GE 2.7 10/09/22	Baa1	BBB+	BBB
GM 1.05 03/08/24	Baa3	BBB	BBB-
GM 3.15 06/30/22	Baa3	BBB	BBB-
HPE 4.9 10/15/25	Baa2	BBB	BBB+
HPQ 4.05 09/15/22	Baa2	BBB	BBB+
POTCN 4 12/15/26	WR	BBB	

PSX 3.9 03/15/28	A3	BBB+	
ROST 4.7 04/15/27	A2	BBB+	
SCCO 3 7/8 04/23/25	Baa2	BBB+	BBB+
TOYOTA Float 06/29/22	A1	A+	A+
TWX 3.55 06/01/24		BBB	BBB+
VMW 2.95 08/21/22	Baa2	BBB-	BBB-
VRSK 4 1/8 09/12/22	Baa2	BBB	BBB+
WBA 3.1 09/15/22	Baa2	BBB	BBB-
WBA 3.8 11/18/24	Baa2	BBB	BBB-
WU 1.35 03/15/26	Baa2	BBB	
CLASCD 5.000 06/15/22	A1	A+	
HAM 4.250 08/15/22	Baa3	AA	
NAS 5.000 10/01/22	A2	A+	A
ROC 5.000 08/01/22	A2	AA-	
YON 5.000 09/01/22	A3	AA	
MTATRN 5.000 11/15/21	A3	BBB+	A-
PTOTRN 5.000 05/01/22	A1	A+	A+
PTSGEN 5.000 04/01/22	A1	A+	AA-
JACPWR 5.000 10/01/21	A2	A+	AA
NCEPWR 3.558 07/01/22	A2	AA	
AEP 4.3 12/01/28	Baa2	BBB+	BBB+
EXC 3 1/4 06/01/25	Baa2	BBB-	BBB
TE 2.6 09/15/22	A3	BBB+	A
EFX 8/15/21 FRN	Baa2	BBB	

Matured

**R628. Money Management Council, Administration.**

**R628-10. Rating Requirements to Be a Permitted Depository.**

**R628-10-1. Purpose.**

This rule establishes a uniform standard for public treasurers to evaluate the financial condition of a Permitted depository institutions to determine if acceptance of Utah public funds by those institutions would expose public treasurers to undo risk. The criteria is applicable to all Permitted depository institutions to determine if they are eligible to accept deposits of Utah public funds. The criteria established by this rule is designed to be flexible enough to ensure that public treasurers will be able to receive competitive market rates on deposits placed outside this state while maintaining sufficient protection from loss.

**R628-10-2. Authority.**

This rule is issued pursuant to Sections 51-7-17(4) and 51-7-18(2)(b)(iv).

**R628-10-3. Definitions.**

The terms used in this rule are defined in Section 51-7-3.

**R628-10-4. Rating Requirements for Permitted Depositories.**

(1) The Permitted depository must meet the following criteria to accept deposits from Utah public entities:

(a) The deposits must be federally insured;

(b) the total assets of the Permitted depository must equal \$5 billion or more as of December 31 of the preceding year, and;

(c) fixed rate negotiable deposits which meet the criteria of Section 51-7-11(3)(f) must, at the time of investment, have the equivalent of an "A" or better short term rating by at least two NRSRO's, or:

(d) variable rate negotiable deposits which meet the criteria of Section 51-7-11(3)(m) must, at the time of investment, have the equivalent of an "A" or better, long term rating, by at least two NRSRO's.

(2) Permitted depository institutions whose ratings drop below the minimum ratings established in R628-10-4(1). above, are no longer eligible to accept new deposits of Utah public funds. Outstanding deposits may be held to maturity, but may not be renewed and no additional deposits may be made by any public treasurer.

**R628-10-5. Restrictions on Concentration of Deposits in any One Permitted Depository Institution.**

The maximum amount of any public treasurers portfolio which can be invested in any one Permitted depository institution shall be as follows:

(1) Portfolios of \$10,000,000 or less may not invest more than 10% of the total portfolio with a single issuer.

(2) Portfolios greater than \$10,000,000 but less than \$20,000,000 may not invest more than \$1,000,000 in a single issuer.



(3) Portfolios of \$20,000,000 or more may not invest more than 5% of the total portfolio with a single issuer.

The amount or percentages used in determining the amount of Permitted deposits a treasurer may purchase, shall be determined by the book value of the portfolio at the time of purchase.

**KEY: public investments, banking law, depository\*, professional competency  
Authorizing, and Implemented or Interpreted Law: 51-7-17(3); 51-7-18(2) (b)**